

Paper Title: Risk assessment assurance: Window 3
24 August – 2 September 2020 (NHS Trusts, NHS FTs and Primary Care)

Agenda item: 8

Report by: Risk Assessment Delivery Unit

Paper type: For discussion

NHS England and NHS Improvement



NHS Trust, Foundation Trusts – window 3



- Portal submissions received from 207 NHS Trusts and Foundation Trusts (included in analysis). A further 9 Trusts submitted data via email (not included in analysis) and 1 Trust did not submit any data in window 3 (referred to regional lead for further engagement).
- Based on portal submissions, the national averages of completed risk assessments as from 2nd September 2020 are:
 - **203 out of 207 trusts have offered risk assessments to all staff**
 - **96% of risk assessments have been completed for staff who are known to be from a BAME background, with mitigating steps agreed where necessary**
 - **91% of risk assessments have been completed for staff who are known to be 'at-risk', with mitigating steps agreed where necessary**
 - **77% of all staff risk assessed**
- The table below outlines the national averages for each window demonstrating significant improvement

National Averages	Window 1 7/7/20 – 17/7/20	Window 2 24/7/20 – 31/07/20	Window 3 24/8/20 – 2/9/20
% BAME Assessed	73%	92%	96%
% At Risk Assessed	58%	81%	91%
% All Staff Assessed	43%	64%	77%