Tuesday, 4 July 2023 1 witnesses, in Whitehall, concerned with the production 2 (10.00 am) 2 and maintenance of a United Kingdom risk register which 3 DR ANDREW GOODALL (continued) 3 sets out a number of risks, I think there are over 100 4 Questions from LEAD COUNSEL TO THE INQUIRY (continued) 4 now in the NSRA, the latest variant of the National Risk 5 5 MR KEITH: Good morning, Dr Goodall. Register, and the Inquiry's also heard evidence from 6 A. Bore da, good morning. 6 Scottish witnesses in relation to the maintenance of 7 7 a Scottish risk assessment process. Q. Could we commence, please, with the issue of the 8 Welsh Government's corporate risk register. What are 8 Is there an analogue for Wales in terms of the risk 9 risk registers? 9 register? 10 10 A. So I would say that we have two different risk registers Α. So we use the National Risk Register, and that would be 11 which are associated in this area. We would have 11 consistent with the advice that you've had from 12 a National Risk Register, which would be in the arena of 12 Cabinet Office colleagues. There are risk registers 13 our hazards and threats, our emergency planning and 13 which are used at the local resilience forum level and 14 preparedness, and there would be a route for reviewing 14 they are part of those local and regional arrangements. 15 those. 15 There is no intermediate Wales hazards and threats risk register which draws those together. The Wales risk --16 We would -- we also have our corporate risk 16 17 17 registers in the organisation, which tend to capture Wales Resilience Forum will oversee those arrangements 18 a much broader range of risk areas, issues which have to 18 but we try to allow that to be focused at the local 19 resilience fora level deliberately, with our four fora 19 be addressed by the organisation. So that can include 20 matters of workforce, matters of delivering government 20 in Wales. 21 policy, but the running of the organisation. So I would 21 Q. There are risk assessments and risk assessment plans at 22 say there's a difference between those two approaches, 22 the local resilience forum level? 23 but we use both. 23 Α. Indeed, yes. Q. 24 Q. The first one, the National Risk Register, the Inquiry 24 In the same way that there are risk assessment plans at 25 has heard evidence, in the main from Cabinet Office 25 the local resilience forum level in England? (Inaudible) 1 1 preparedness, there are some more unique issues. 2 There happen to be more local resilience forums in 2 For example, coal tip safety in Wales, and some of the, 3 England than there are in Wales, there are only four in 3 you know, natural resource issues as well. Wales, but that is the local risk assessment process? 4 4 Q. I can't recall when Scotland introduced its own Scottish 5 A. 5 risk assessment process, but on the premise that it was 6 6 Q. Focusing on the national level, risks which present some time ago, has the Welsh Government specifically 7 themselves to the United Kingdom and England are not 7 considered the merits of a Welsh risk assessment process 8 8 necessarily the same risks that may present themselves over the ten or so years from the inauguration of the 9 to Wales, because you might have a particular form of 9 risk assessment process in Whitehall, which I think was 10 threat or hazard in Wales, I don't know, a particular 10 2010? 11 form of flooding or a particular locality which might be I think certainly with the transfer of responsibilities 11 12 flooded, and therefore a different risk to that which 12 in 2018, I think that was in our thinking to look to may develop in relation to parts of England or the convert it, but in some respects we were not looking to, 13 13 United Kingdom generally? 14 at that point, duplicate some of those UK-wide areas, 14 15 Yes, I would agree. The broad range of risks and 15 because it felt that they were providing the appropriate 16 threats would be consistent, but of course there would 16 backdrop to the hazards and threats that we were facing be some geographical differences in the Wales context. 17 17 at that time I think because we only had -- we only have four local 18 In terms of our current thinking, and indeed 18 reflecting on our own lessons and actions that we've 19 resilience fora, we didn't feel that we needed to really 19 20 work those through, although the Wales Resilience Forum 20 adapted, that is absolutely part of our thinking now 21 21 does have a programme of work that will highlight those that we do need to represent it in that way, so there is 22 risks that emerge. But I would agree with you that --22 a Wales risk register in respect of those hazards and 23 23 threats under review at this moment by the current you know, where we are now, you know, we are introducing

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resilience team.

You refer to thinking; is there any guidance or

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a Wales risk register, and it's for the very points that

you say, that irrespective of health areas and

(1) Pages 1 - 4

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- 1 paperwork to which we may refer ourselves where this 2 issue has been specifically considered by the
- 3 Welsh Government?
- 4 No. I would have expected that, as we absorbed those responsibilities in 2018, it would have formed a pattern 5
- 6 of work to review, not because that was being pressed at
- 7 that time, but the reality was, in 2018, our resilience
- 8 attention had just switched and we weren't able really
- 9 to discharge some of those early expectations from
- 10 sector assurance plans, so there is no documentation as
- 11 such, but I know there had been some thinking over that
- 12 previous time relying on, actually, the individual risk
- 13 assessments that came up from the local resilience fora,
- 14 and I said that was translated in document style into
- 15 the programme of work for the Wales Resilience Forum.
- Dr Goodall, I'm sorry to press you, you say there was 16
- 17 a pattern of work and thinking was done in a document
- 18 style; was a document drawn up in which the issue of --
- 19 A. No.
- 20 Q. -- a Welsh risk assessment was debated?
- 21 No, I'm just reflecting that there was no document at
- 22
- Q. Right. In hindsight, and it must surely follow because 23
- 24 the Welsh Government's position now is that there is
- 25 a need for a Welsh-centric risk assessment process, that
- 1 but the resilience team have actually been producing 2 a Wales risk register as one of our lessons learnt.
- 3 Q. Has that team made a decision on a piece of paper saying
- "The time has come to seek ministerial approval for the 4
- creation of a risk assessment process for Wales"? 5
- A. The team has not done that, in line with your wording, 6 7
- 8 Q. So that's the first part, the National Risk Assessment
- 9 process. The second part to which you made reference is 10
 - the corporate risk register.
- Indeed. 11
- 12 Is that process in existence because the government, the
- Welsh Government, like commercial entities and other 13
- government bodies, is required to assess what risks may 14
- 15 face that particular entity, the Welsh Government, and
- 16 obliged to identify the steps that are required to
- mitigate the risk to ensure that the consequences that 17
- would normally flow from that risk developing can be 18
- 19 addressed?
- 20 Yes, in respect of Welsh Government as an organisation,
- 21 and in respect of capturing the risks and issues that
- 22 the organisation is facing itself, yes.
- Q. Could we have, please, INQ000128968. 23
- 24 Is this the corporate risk register for the
- Welsh Government in July 2014? 25

- 1 that was an error? It should really have been done at
- 2 the time that the Transfer of Functions Order was made
 - in 2018, so that there would be a risk assessment
- 4 structure to which these new executive functions from
- 5 Whitehall could be attached?
- 6 Yes, it helps the clarity of the functions and
- 7 responsibilities that moved across, and that helps to
- 8 clarify a change from support and de facto leadership
- 9 arrangements into the co-ordination role. So, yes,
 - I would agree. And as I've said, you know, our current
- 11 thinking and experience and activities that are taking
- 12 place are actually looking to produce an intermediate
- 13 Wales risk register that reflects our own priorities in
- 14 Wales.
- 15 Q. You've now used, if I may respectfully suggest, another
- 16 euphemism: you're "looking to produce". Has the process
- 17 started of ---
- 18 A. Yes.
- -- writing a risk assessment process for Wales? 19 Q.
- 20 Yeah, the resilience team is reviewing and has
- 21 instigated arrangements to look at a Wales risk
- 22 register.
- 23 Has the decision been made to produce a Welsh risk
- 24 assessment process and register?
- 25 Those arrangements haven't been endorsed by ministers.

- 1 Yes, it is,
- 2 Q. If we could go to page 5 and row P5, thank you -- so 3 page 6 actually.
 - P5 says "Resilience (Major Emergencies):
- 4 5 "If we fail to provide leadership to ensure Wales is
- 6 prepared for and resilient to the full range of national
- 7 hazards and threats, including pandemic influenza,
- 8
- terrorism, major flooding, severe weather and currently 9 the impact of the fire fighters' industrial action, then
- 10 there is a risk to the health and well-being of the
- 11 citizens of Wales "

12 Then if we could go back up to page 6 and the bottom

- 13 half, the document sets out, does it not, mitigating
- 14 steps, so things that need to be done in order to make
- 15 sure that that risk doesn't develop into reality? And
- 16 the controls are: comprehensive governance structure;
- 17 links with responder agencies, liaison; investment in
- 18 facilities and communications; emergency contact 19 arrangements: the existence of a pan-Wales response
- 20 plan; ministerial agreement to strategies, in particular
- 21 the 2011 document; and Welsh Government-led pandemic
- 22 influenza group meetings.

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- 23 So all, I suppose, the various moving parts of the 24 Welsh Government and what it's doing in relation to
 - meeting the risk of pandemic influenza.

(2) Pages 5 - 8

1		Can you tell from this document, Dr Goodall, what
2		the overall view was of the state of those mitigating
3		actions? So does the document tell you whether or not
4		the Welsh Government believes that it has adequately
5		addressed the risk by virtue of its mitigating actions?
6		Is there a reasonableness or a critical review of the
7		overall state of play in this document?
8	A.	It sets out the initial assessment of the risk, which is
9		the score of 20, that scale runs up to a maximum of 25,
10		and it provides the score on the right-hand side after
11		those actions have been deployed, which, allowing for
12		the actions that are set out under the control section,
13		gives that a residual score of 12. So whilst they don't
14		read across individually and directly to that number,
15		they would be capturing a rounder view that there was
16		a sense of assurance about the actions that were taking
17		place at that stage, and this would have been in the
18		run-up to some of the Exercise Cygnus-type arrangements
19		at that time.
20	Q.	Can you tell from the colour-coding on the right-hand
21		side the degree of reasonableness of the actions that
22		were being taken? So is this a category red, "Major
23		risk, impossible to mitigate", or an orange risk, which
24		is, "That's all right, we've identified reasonable

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quickly and effectively so that continuity of business critical activities is lost."

mitigating steps and we think the risk has now reduced".

The mitigating measures are now identified as: the existence of a "Business Continuity Plan"; in very broad terms, the disaster recovery plans are in place; the assertion that "Lessons are learned from disruption events"; and the claim that "Emergency response protocols are in place and are practised through desk top and live exercises".

So a number of questions, please, Dr Goodall. Firstly, why has the identification of the risk become so much more broad and opaque by 2019? There is no specific consideration of pandemic influenza being a risk and therefore no specific consideration of what mitigating measures might be necessary to meet that risk; why was that?

Yeah. So there was a change in the development of the corporate risk register, from the earlier version that you've showed as part of the evidence, through to. 20 I think it was 2017, 2016/2017, when the risk register 21 had been reviewed and set out more in the context of the 22 specific issues that were relevant to the running and operation of the organisation, and this disruption event 23 description was translated, therefore, into: could the 25 organisation reassure that it was able to instigate its

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or is there some sort of other categorisation? 2 A. No, it would be your latter description, which would be 3 indicating that there was a view that those mitigating

4 steps would have reduced the overall risk assessment

5 score.

6 Q. To what sort of level?

7 A. To --

8 Q. And --

9 -- an amber level.

10 Q. What does amber mean?

11 Amber means that -- need to keep it under observation, 12 under consideration, it's not at the highest level of

13 escalation for actions.

14 Q. All right.

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Can we now move forward, please, to the analogous entry for June 2019, just before the pandemic.

INQ000215558, please. If we could scroll in so that we may read it a little more clearly.

19 For quarter 1, 2019 to 2020, the risk is identified 20 as a:

21 "Disruption Event, Affecting People, Places,

22 Finances, Communications and IT.

23 "If there is a significant disruption event (whether 24 an 'act of god' or planned attack/event) the 25 Welsh Government may struggle to recover its operations

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own arrangements, so its own internal business continuity plans, that it was able to have disaster recovery arrangements for IT, and became more internally focused in respect of a smaller range of risks that were set out in the risk register at the time.

From my personal experience, because I was the Director General for Health and Social Services, we had 8 actually included pandemic flu and other health 9 emergencies preparedness within the context of our 10 group-based risk register, and I think rightly, whilst 11 of course that would touch on some of those internal 12 areas, because of our oversight of the system, we 13 actually did need to make sure that we were covering off 14 those pandemic flu risks in that arena, and they were 15 consistently described in there. But there was a shift 16 in the corporate oversight and the way in which the risk 17 register was put together. So this is: can we set up 18 the emergency co-ordinating centre? Do we have a rota 19 in place? Do we have our own resilience plans 20 internally? And looking at it for the internal 21 operation of the organisation. 22 LADY HALLETT: I'm sorry, Dr Goodall, I don't follow. Wouldn't a corporate risk register always focus on the 23

> issues relating to it? I'm not following what you mean 12

operation and running of the business with specific

(3) Pages 9 - 12

1		by it changed, other than it obviously did change.
2	A.	It changed to look at the way in which the civil service
3		itself would step up in the emergency context, and also
4		recognising that emergency planning and response over
5		time had been limited to individual and almost rare
6		events. We'd seen a pattern of requiring our
7		contingencies arrangements to kick in much more often
8		and using our internal co-ordinating arrangements. So
9		there was a decision that was taken corporately
10		reflecting on risk register arrangements and that
11		decision was manoeuvred through. It was a much smalle
12		list of corporate risks that were held at that level.

- 13 MR KEITH: Dr Goodall, can I ask you, as you answer, please,
 14 just to slow down a little.
- 15 A. Of course.
- 16 Q. You're going very fast for the stenographer.
- 17 A. Of course.

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- 18 Q. Dr Goodall, this is a risk register for the entirety of
 19 the Welsh Government, is it not? It is the
 20 Welsh Government corporate risk register?
- A. Yes, it's the Welsh Government risk register for the
 operation of the civil service of the organisation, yes.
- 23 Q. Yes. Risk registers are meant to identify risks facing
 24 the particular body to which the risk register relates,
 25 namely the Welsh Government and its administration a

namely the Welsh Government and its administration and 13

- because it was then in their risk register, nobody
 outside that group would have been required to focus for
 the purposes of the risk register on pandemic flu on the
 part of the Welsh Government, because it was no longer
 in the risk register?
 - A. I don't feel that represents the position, because there was a lot of preparedness activity taking on. I know that Cabinet received updates in 2018 and in fact had instigated sector resilience plans from all of the sectors in Wales, which were explicitly on pandemic flu, and that would have reflected the seriousness and the high level of risk associated with it.

But there is a danger in looking at the risk register that whilst it covers a series of individual areas that you would lose some of that expectation within the organisation if you weren't able to report up those concerns from the health group, I agree.

You make, if I may respectfully suggest, a good point, 18 19 which is that of course work and preparation continues 20 by-the-by, but would you agree that if you take out of 21 a formal quasi-legal document which is designed to 22 ensure that the leaders of the Welsh Government focus on 23 the greatest risks which face the government as a whole 24 and the people of Wales, there will inevitably be 25 a tendency to take your eye off the ball, because nobody

1 governance. Influenza pandemic was generally regarded

2 as the greatest risk facing the nations of the

United Kingdom; it was a Tier 1 risk in the

4 United Kingdom risk register. So why, if that is -- if

5 it is or was the greatest risk facing, generically, the

6 Welsh Government, was the consideration of that risk,

7 the detail of that risk, the proposed mitigation of the

8 risk, the actions required to meet that risk, downgraded

9 by virtue of being put into the Health and Social

10 Services Group risk register -- which is not the

11 Welsh Government, it is one part of the

- 12 Welsh Government, it is the health and social services
- 13 part of the Welsh Government -- and necessarily then
- 14 taken out of the generic corporate risk register?
- 15 A. Simply, at the time the risk register was developed to
 be more generalised about the response, because of the
- 17 pattern of experiences of other events happening and the
- 18 need to step up the Wales resilience arrangements. So
- that was the decision that was taken at the time in
- 20 development.
- 21 Q. Do you accept, Dr Goodall, that the inevitable
- 22 consequence of that decision was that whilst the civil
- 23 servants and ministers in the Health and Social Services
- 24 Group part of the Welsh Government would have been
- thereafter focusing on the risk of pandemic influenza,

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- is saying, "Right, what must we do? Our own risk
 register says this is the greatest threat or hazard that
 we face, we must focus specifically on what we're doing
- we race, we must recas openineany on what we re doing
- 4 to meet it"?

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- 5 A. I'm concerned that it is possible that organisations
- 6 would shift their attention. I would hope that the
- 7 manner in which we continue to focus on the hazards and
- 8 threats and that risk profile in terms of the oversight
- 9 of the Wales Resilience Forum would have kept that
- 10 attention, and certainly we continued with our
- 11 preparedness activities through that period of time.
- 12 Of course I had the opportunity to raise any 13 additional concerns from the Health and Social Services 14 Group perspective because we were overseeing those 15 arrangements within the detail of those plans as well
- 16 where pandemic flu did feature.
- 17 Q. Mr Vaughan Gething, who was, firstly, Deputy Minister
 18 for Health and then subsequently, until 13 May 2021,
- Cabinet Secretary for Health, Well-being and Sport, has
 provided a statement to the Inquiry in which he says
- 20 provided a statement to the Inquiry in which he says 21 this:
 - "... preparedness was not a particular focus of interest or concern in government, the Senedd or outside, and I do not remember any significant questioning on the topic either in government, the

1 Senedd, in the media or elsewhere."

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Elsewhere in his statement he says:

"... preparedness had not featured prominently before in my work, that changed when I had a part in Exercise Cygnus."

That would tend to suggest, Dr Goodall, that at a high general level, whilst individual parts of the Welsh Government were getting on with doing what they felt they needed to do for preparing the Welsh people for the Tier 1 risk of a pandemic influenza, there was an absence of particular focus by the government on preparedness: would you agree?

- 12 preparedness; would you agree?
 13 A. I know the minister was very personally involved in
 14 Exercise Cygnus, but we would have taken some confidence
 15 and at that point the moderated scores, but I note the
 16 minister's statement and we would have raised those
 17 issues with the minister if we had had significant
 18 concern about our response and preparedness at that
- 18 concern about our response and preparedness at that 19 time. But I know that he obviously had reflected, given 20 his involvement in Exercise Cygnus, about its 21 significance.
- Q. Had the risk rating for disruption events, including
 pandemic influenza, gone up between 2014, the first
 chart we looked at, and 2019 to 2020?

25 A. The --

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- more confidence that the arrangements were working.

 That would have come back on experience of instigating

 some of these arrangements, like disaster recovery, over

 that previous time. So it's a lower residual score, it

 was at that time showing a high level of assurance.

 LADY HALLETT: The risk has gone down from 8 out of 25. The
- taby HALLETT: The risk has gone down from 8 out of 25. The
 state of preparedness has got better according to this
 risk register.
- 9 A. Indeed, yes, it had dropped from 12 to 8, yes.
- MR KEITH: Was that in part because further actions were identified as having been -- or actions were identified as having been put into place, a business continuity plan, generically disaster recovery arrangements were in place, lessons were being learned, and response protocols were in place? Is that why the overall risk had gone down, because those things were being done?
- 17 A. Yes, it was, and also, as I say, because of that broader
 18 use of it as being the internal trigger rather than
 19 a cross-Wales trigger.
- Q. But the reality was different, was it not, because, as
 you agreed yesterday, the major civil contingencies
 planning documents, the communicable disease plan, the
 2011 strategy, the Health and Social Services Group
 pandemic influenza response plan, none of them had been

25 updated since 2014, the Transfer of Functions Order in

- Q. The overall risk rating and whether or not the risk had
 been mitigated.
- A. The overall risk score stayed at the same very high
 level
- Q. What about the risk score after the mitigating eventsare taken into account?
- 7 **A.** The mitigation score had dropped from 12 to 8 and, as 8 I said, we had used that more in terms of the internal
- 9 triggers for business continuity, so there was
- 10 a different assessment, but the score had gone down from 11 12 to 8.
- 12 Q. What does that mean, that the score had gone down from13 12 to 8?
- 14 A. It means that, in respect of the mitigations and that
 15 more general view, there was a view that those areas
- would give a degree of confidence about the responsiveness of the organisation and the triggers that
- responsiveness of the organisation and the triggers thatwe'd utilise internally in the organisation.
- 19 Q. May we be clear, please, Dr Goodall. The reduction from12 to 8 signified an appreciation or acknowledgement by
- 21 the Welsh Government that the mitigating features, the
- 22 measures it had identified to meet that risk, were no
- longer as effective as they had been in 2014; their
- 24 impact had been diluted. Is that not right?
- 25 A. The score here would mean that there would have been

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- 1 2018 had taken place, but the Welsh Government did not
- 2 have the full resources, the capacity or the structural
- 3 system to be able to accommodate those changes of
- 4 executive function, not all the recommendations from
- 5 Exercise Cygnus or Taliesin had been implemented, and
- 6 the workstreams that did take place throughout 2018 and
- 7 2019 were significantly interrupted by
- 8 Operation Yellowhammer?
- 9 So how can those control or mitigation measures have 10 been properly advanced as a reason for mitigating the 11 overall risk?
- 12 A. Yes, in hindsight on those scores, with the experience
- we went through, that residual score, despite those
 broader areas, should have been higher, but it wasn'
- broader areas, should have been higher, but it wasn't atthe time.
- Q. But, Dr Goodall, somebody compiling or somebody in the
 Welsh Government would have known when this risk
- 18 register was being compiled that those mitigation
- 19 measures were to a large extent chimera, they simply
- 20 didn't reflect the reality of the position on the
- 21 ground, did they?
- 22 A. I think they were genuinely reflections at the time
- 23 about the way in which we had worked through various
- 24 internal arrangements, including business continuity.
- 25 We'd had experiences around disaster recovery and it

- 1 would have been demonstrating some assurance from the 2 teams at that time, but I obviously can't change the 3 score that is there at that time.
- 4 Q. Do you agree that those mitigation measures were not
- 5 accurately described in that risk register? At
- 6 quarter 1 of 2019, those mitigating measures did not
- 7 reflect the reality of the absence of work within the
- 8 Welsh Government in terms of the updating of the
- 9 planning, the guidance, the resourcing, the response to
- 10 the exercises, or the capacity to meet the Transfer of
- 11 Functions Order?

reflection of the reality?

- 12 A. I think personally, in hindsight, that should have been 13 a higher residual score, yes.
- 14 Q. Yes. Therefore those mitigating measures identified 15 there are inaccurate, they were simply not an adequate
- 17 A. They are generalised statements, but they would have 18 been --
- 19 Q. They are --

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- 20 A. -- business continuity arrangements that were referred
- 21 to as part of that as well, but, as I said, the residual
- 22 score in hindsight should have been higher at that time,
- 23 I agree with you.
- 24 Q. Dr Goodall, they are either inaccurate or they are too 25 general.

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- 1 simply didn't have that within the coronavirus response.
- 2 But I do agree that there were a range of areas in there
- 3 which were not looking to contain mechanisms, and
- 4 therefore more focus on those non-pharmaceutical
- 5 interventions would have genuinely helped in the
- 6 response.
- 7 Q. Were all the pan-Wales or Wales-centric health emergency
- 8 pandemic influenza-related guidance documents all
- 9 similarly tainted by that strategic error? So
- 10 presumably the 2011 strategy, which was a UK document,
- 11 was looked at by the Welsh Government, which would then
- 12 rely upon it for the purposes of drawing up its
- communicable disease guidance, its Health and Social 13
- Services Group pandemic guidance, its civil 14
- 15 contingencies guidance, its pan-Wales response plan, and
- 16 so on, but they were all drawn, weren't they,
- strategically from that original single United Kingdom 17
- document? 18
- 19 Α. Yes, the UK document directed and was the framework that

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- 20 we all worked within. It set out the strategy, and that
- 21 would have translated through. It would have been
- 22 different with some of the arrangements like the
- 23 outbreak plan for Wales, because, given the particular
- 24 focus there, there would have been a focus on
- 25 containment rather than the pandemic flu principle,

1 A. Yeah.

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- 2 Q. Which is it?
- 3 I think they were too generalised, and that probably
- 4 gave some inappropriate assurance on arrangements in
 - there. Within the Health and Social Services Group
- 6 plan, we were able to highlight some of the higher level
- 7 of actions that were taking place on behalf of the
- 8 sector, and I hope that that would have mitigated some
- 9 of that in terms of the way we worked our way through
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- 11 Q. Briefly turning to some of those plans and the guidance,
- do you agree that the 2011 strategy, the UK pandemic 12
- 13 influenza strategy, was not updated before the pandemic
- struck, insofar as Wales was concerned, and that, as it 14
- 15 happened, doctrinally, strategically, it contained, as
- the evidence now very plainly establishes, a number of 16
 - flaws; would you agree with that proposition?
- 18 A. I agree it wasn't updated, and I do agree, given the
- 19 emergence of novel coronavirus pandemic, that there were
- 20 a number of assumptions in there that directed us to not
- 21 plan for a range of areas, and that might have included
- 22 mass gatherings, non-pharmaceutical interventions. We
- 23 would have been reliant more in a pandemic flu response
- 24 for a series of opportunities to treat, to vaccinate, to
- 25 use antivirals, almost as an initial response, and we

- which was an expectation that it would get to a level of 1
- 2 community transmission.
- 3 Q. Therefore, because the 2011 strategy failed to recognise
- 4 through the inherent unpredictability of respiratory
- viruses that there may be a non-influenza catastrophic 5
- 6 outbreak with different incubation periods, different
- 7 transmission levels, different viral load impact, none
- 8 of those issues or the associated countermeasures that
- 9 might have been drawn up to deal with those problems
- 10 were addressed in any -- as with Scotland -- pan-Wales
- 11 documentation?
- 12 Yeah, there was a strong focus on beyond the detection
- 13 and assessment stage to be able to use, in a pandemic
- 14 flu context, treatments and antivirals and to deploy 15
- those, and that was unavailable to us with the
- 16 coronavirus pandemic.

17 On the updating of documents that you raised, we did 18 update the pan-Wales response plan in 2019, we updated

- 19 the health group response activation in 2019 as well.
- 20 but it would be right to say that even if we had updated
- 21 the plan back in 2018, I don't feel that those
- 22 underlying principles would have necessarily changed at
- 23 that point. Of course, even if we had updated them in
 - Wales, we would have updated them again because of a UK
- 25 set of changes.

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- 1 Whatever the variants, whatever the updates, they were 2 still harnessed to that strategic yoke from the original 3 document?
- 4 A. Indeed.

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- 5 Q. The inevitable consequence of all that was, wasn't it, 6 that in the early weeks of Covid -- and I refer now to 7 a statement from Mr Kilpatrick -- it became absolutely 8 apparent that the model which had to be drawn up from 9 scratch in the early days of February and March 2020 10 simply didn't align with the Public Health Wales 11 Communicable Disease Outbreak Plan, which of course was 12 the sole plan that Wales was relying upon for dealing 13 with a communicable disease outbreak? It just didn't 14 help them at all.
- 15 Yeah, the outbreak plan would have tended to have been A. 16 focused on outbreaks in a more local setting and would 17 tend to be discharged within the local resilience fora 18 setting, and obviously the translation to a national level would have been something different. 19

Certainly in, you know, reviewing the infectious diseases emergencies plan for Wales, that also was not really focusing on the broader sort of societal issues that were under review by the UK pandemic flu plan, and that, equally, would have needed us to step in differently at that kind of national level.

place, literally within 24, 48 hours. 1

2 Q. Could you describe for us, please, the width of that 3 exercise, how significant it was in the general pantheon 4 of exercises? Because it was in two parts, I think. 5 The first part addressed the technical and procedural 6 aspects of communications between local resilience 7 forums, the four resilience forums in Wales, and the 8 strategic co-ordinating centres, which deal with the 9 response, as opposed to planning; and the second part of 10 the exercise appears to have been -- and it took place on a single day -- with examining the strategic 11 12 decision-making of the strategic co-ordinating groups. So it appears in general terms to have been addressing 13 14 the mechanics and the working practices of that lower 15 level in the civil contingencies structure, the local 16 level. Is that a fair summary?

17 A. Yes, at the time I was a local health board chief executive and would have had involvement in those 18 19 arrangements and through, you know, my organisation at 20 the time. But, yes, it was looking to test the 21 resilience of those local agency relationships and 22 absolutely in the context of the local resilience fora 23 structures as well. Of course that was up through the 24 co-ordination mechanisms up at the Welsh level as well. So the exercise didn't address directly the workings, in 25

I mean, my view is that we were using the plans as 2 the basis of our response, that it gave us foundations, 3 and certainly that would be true of the pan-Wales 4 response plan, but we had to adapt very quickly to the 5 emerging situation and with the knowledge that was 6 coming through very, very quickly at that time. 7 Q. All right.

> Now, may we then turn, please, to plans -- away from plans to exercises.

The two major exercises with which we need to be concerned are Exercise Taliesin and Exercise Cygnus, are we not?

13 Exercise Taliesin was in 2009. Was that a Welsh Government or a United Kingdom exercise? 14 15 That was actually a United Kingdom exercise. We Α.

16 discharged it very significantly, though, in Wales. 17 I actually remember being part of that exercise at the 18 time, because I was two years into being a chief executive. 19

20 Q. Was that an exercise which took place contemporaneously 21 with the swine flu outbreak and therefore was able to 22 pick up some of the lessons that were learnt necessarily 23 from the outbreak itself?

24 A. Yeah, it almost immediately went into the early part of 25 the swine flu outbreak, as the exercise was taking

1 fact, of the central Welsh Government, so the workings 2 of the Cabinet of the First Minister or the 3 directorates, including Health and Social Services, or 4 that part of the government, but of course they were the bodies that would receive whatever information was 5 6 coming from the local resilience forums and the 7 strategic co-ordinating groups? Well, I would say "and". I think they were absolutely 8 A. 9 focused on ensuring that those local resilience 10 arrangements worked and responder organisations were 11 part of it, but in the context of working in Wales, the

12 structures would have come together at the national 13 level as well, and there would have been involvement at 14 that time from the Health and Social Services Group, its 15 equivalent.

Q. Could we have, please, INQ000128976, which is the report

17 from that exercise. Exercise Taliesin/swine flu 18 structured debriefing report, it took place in the 19 Emergency Coordination Centre on 18 November 2009, 20 although there was an earlier day, as I suggested, 21 I think, in April where --22 There was. A.

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23 Q. -- there was an exercise from 8 till 8 in the evening 24 for the strategic co-ordination groups and local 25 resilience forums. It was prepared for the Wales

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Resilience Partnership Team, and if we could go, please, to page 13, I think we'll find the recommendations or conclusions. If we could scroll back out, please, at the bottom of the page:

"The following conclusions are based on the issues raised at the workshop for swine flu and Exercise Taliesin ...

"In spite of pandemic flu being known as the highest risk and with considerable investment being made in recent years to ensure that the UK is prepared to cope with such an event, a number of gaps were exposed in plans which need to be addressed ahead of a more serious pandemic. These issues in particular are ..."

Then if we could -- could we scroll back out so that we can get our steps in relation to -- our bearings in relation to the particular areas: excess deaths, social care, schools and early years settings, and -over the page -- information gathering, cultural approach based on the worst-case scenario, and overall the response to swine flu did not fully test the plans

So there were some quite serious issues arising out of Exercise Taliesin on the areas which I've identified.

To what extent were those areas still the focus of concern at the time of Exercise Cygnus held in Wales for

INQ000107136

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This is the recommendation document that came, as I've suggested, from that first part of Exercise Cygnus; when the United Kingdom adjourned or delayed its own Exercise Cygnus, Wales went ahead with its part of Cygnus in 2014. We can see that the first paragraph refers to the fact that a Tier 1 UK exercise was meant to be held in October 2014 with three phases. There were initially 11 scheduled -- local resilience fora scheduled to participate in England, but they didn't, of course, because that was put off, while Wales had all four of its local resilience forums agreeing to take part.

If we could scroll back out, please.

Strategic objectives were identified and then "Exercise Play", the workshop, is identified, and then right at the bottom of the page we can see that the main cross-government element scheduled for the week commencing 13 November was postponed.

Could we then go to page 2, please.

"Exercise Outcomes", a number of issues were raised dealing with excess deaths, so that was still an issue by the time of Exercise Cygnus, as you've just rightly acknowledged.

"Communication -- information demands and situation

front of me at this stage, but there were still concerns 7 expressed about clarity of school closure proposals, as 8 I've already indicated that is something that would also 9 go back to some of the founding principles of the 2011 10 pandemic flu strategy. The social care responsiveness 11 and capacity in there, albeit that there would have been 12 actions aside from emergency planning that we would have 13 undertaken to have an understanding of capacity in 14 social care. It's not line managed like the NHS, so 15 it's a different flow of information. And on the excess 16 deaths, whilst I know that there was work that took 17 place at that time, it still seemed that with 18 Exercise Cygnus we still needed to provide clarity, and 19 a lot of that was around the changing assumptions or the

Wales alone in 2014, four years later? So were all

these issues addressed or did they continue to pose

Yeah, whilst actions had taken place on those areas,

looking at the list -- I haven't got the whole list in

concern by the time of Cygnus?

21 deaths as well. So I think that was always a point of 22 modelling that seemed to be asking for more assurance in

challenge to some of the assumptions about potential

23 the system as well, and that was repeated for

24 Exercise Cygnus.

25 Q. If we look at the Cygnus recommendations, they are in 30

reporting needs to be centralised to reduce duplication ... There needs to be consistency of messaging across the board ..."

Does that mean communication in the sense of media and dealing with the public, or communication between the moving parts in the civil contingencies structure?

7 Your latter point, the moving parts of the structures.

8 That's an important issue, is it not?

9 It's an important issue. A.

10 Q. If they don't communicate, then the system isn't likely 11 to perform very well in terms of responding to whatever 12 emergencies present themselves?

A. Indeed that's true, and whilst different arrangements 13 14 require different data flows, yeah, the importance of 15 having enough data but also making sure that there is 16 enough time to be acting on the back of that evidence 17 and data as well.

Q. Regulation we needn't be overly concerned with. 18

"Resources -- There needs to be a national stockpile of resources made available."

School closures, still an issue. Demands for data collection, still an issue. National pandemic flu service, one SCG, strategic co-ordinating group, expressed concern.

Then over the page, the recommendations -- sorry, at

(8) Pages 29 - 32

the top of the page: 1 2 2 "Vulnerable People -- Concerns were expressed over 3 the capacity and readiness of privately owned care homes 3 4 to have robust contingency plans." 4 5 5 Then recommendations were made. 6 So is this the position, Dr Goodall: a number of 6 7 7 features which had come out of the earlier exercise had 8 still not been addressed by the time of Exercise Cygnus. 8 9 and some of them were of considerable importance and 9 10 went to the centrality of the civil contingencies health 10 11 response as a whole? These were significant matters. 11 12 A. Yes, some of those would have continued, and I would say 12 13 that there were activities taking place to understand 13 14 and address those areas, but they continued to feature. 14 concern. 15 You know. I know, for example, that we were able to take 15 16 assurance back from local resilience fora on their views 16 17 of being able to manage excess deaths on modelling 17 18 numbers, which took place back in, I think, 2018. But 18 A. I still don't feel that the work was fully completed 19 19 20 even by that point on the back of the Cygnus 20 21 recommendations. 21 22 Certainly on care home understanding of capacity, 22 numbers 23 having clarity on the local government responsibilities 23 24 and their local business continuity plans for homes was 24 25 important, and to seek that assurance, but at the 25 1 been fairly limited, hadn't it, and therefore it was 1 2 obviously desirable that the Welsh Government took part 2 3 in the adjourned exercise in 2016? 3 4 4 A. Yes, I agree. Q. The recommendations from that, insofar as Wales was 5 5 concerned, were contained in a document, a Wales debrief 6 6 7 report, at INQ000128979. 7 8 8 October 2016. Exercise Cygnus had taken place 9 earlier in October 2016, so this is obviously the 9 10 debrief report. 10 11 If we could go, please, just scroll through the 11 12 12 first -- well, let's have a look at the first page. We can see there that the references to the nature 13 brilliant technician. 13 14 of Exercise Cyanus: 14 Page 4: 15 "... a Tier 1 UK pandemic flu exercise with full 15 16 ministerial participation. In Wales, the Exercise began 16 on the 14th ... continued on [the] 18th ..." 17 17 It involved, again, multi-agency groups from all 18 18 19 four local resilience forums. 19 20 There was a -- the main exercise was on the

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19th and 20th, with the CMO leading COBR officials at

a meeting, leading officials at a COBR meeting, and the

Cabinet Secretary for Health, Well-being and Sport, that

would be Mr Vaughan Gething, and the Minister for Social

Services and Public Health participating.

national level -- and this for me was beyond just the emergency planning response, we did need to have more understanding of simple issues like the numbers of beds available across Wales. So in my Director General role we did commission an exercise to understand that capacity, just to try and have a similar understanding to the way in which we would use some of our NHS data as well, but it was still not to full resolution, I agree. The issue of the capacity of the adult care sector to cope with the demands of a pandemic was perhaps the most significant failing identified at the time of Exercise Cygnus in 2014, was it not? Yes, I would agree that that was still an area of Q. It was the most significant area of concern because it directly went to matters of life and death in the care home sector; would you agree? Indeed, and it was a serious issue for local authorities and their discharge of their responsibilities, and it was important to keep that focus up. As I said, it's why we wanted to have a broader understanding of those Q. In 2016 the delayed United Kingdom exercise took place, and it was an exercise which Wales participated in because the original Exercise Cygnus in 2014 had only There is then a reference to the NHS workshop on the 14th, and how the local resilience forum pandemic planning checklist and various injects were considered for the purposes of the exercise. If we could go forward to the next page, there is a reference to countermeasures awareness workshop. Then if you would bear with me one moment, Dr Goodall. (Pause) We'll go straight to the recommendations page. While we wait for that to come up, is it right that the overall position was that there were -- there we are, thank you very much indeed, very sharp attention by our "Recommendations "... 1 -- All organisations were asked to review their pandemic plans ... "Recommendation 2 -- All organisations to ensure there is sufficient awareness ... of what is ... within 20 the Welsh National Stockpile. 21 "Recommendation 3 -- All organisations to review 22 their local delivery points and antiviral collection 23

If we could just scroll further forward one page, we

will see if there were any more recommendations, and

points ..."

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(9) Pages 33 - 36

1		there weren't.	1		recommendations and the 22, they would have continued to
2		So insofar as Wales was concerned, only a small	2		show some consistent concerns that even if plans or
3		number of the recommendations from the overarching	3		activities were taking place they still hadn't come to
4		exercise were relevant?	4		the best outcome that would give resilience in those
5	A.	There were more recommendations than that, I think, if	5		arrangements as well. So we took the Wales
6	_	you scroll	6		recommendations and drew them into the Exercise Cygnus
7	Q.	Ah, is that because the recommendations were split up	7	_	recommendations just for ease of access.
8	Α.	In sections.	8	Q.	The reality was, wasn't it, that the concerns about the
9	Q.	By reference to particular parts of the exercise.	9		adult social care sector had not gone away two years
10	A.	Particular parts.	10		later, the communication issue had not gone away, but,
11	Q.	All right, yes, there we are, page 7. We have	11		in addition, by the time of the Exercise Cygnus report
12		recommendations 4 and 5.	12		in 2016 there was then additional concerns being
13		I believe there were 12 in all?	13		expressed about countermeasures, the stockpiling; is
14	A.	There were 12 within the Welsh context. There were 22	14		that correct?
15		obviously when the overall Exercise Cygnus	15	Α.	There was a wish to understand the level of provision
16		recommendations came through, and I would say that they	16		that had been made to have assurance on those
17		also remained relevant to us, of course.	17		countermeasure arrangements, but, as part of the Welsh
18	Q.	Of the 12 recommendations of the overall 22	18		response at the time, we had particularly wanted to test
19		recommendations, the 12 that were relevant to Wales, how	19		and exercise that, so it didn't mean that we didn't have
20		many of those recommendations concerned issues which had	20		stocks or countermeasures in place, it was just making
21		still not been addressed from the earlier Taliesin	21		sure that they were going to be appropriate for the
22		exercise and the earlier Cygnus exercise?	22		modelling data that was emerging through Exercise Cygnus
23	A.	Because of our particular use of this exercise, we	23		as well. So that was an intention for us to try and
24		hadn't quite gone into all of those arrangements in that	24		focus particularly on those countermeasures.
25		way, but certainly the broader Exercise Cygnus	25	Q.	Was it at that point that the Welsh Government set up
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1		the Wales pandemic flu task and finish group about which	1		yesterday.
2		you debated the merits of with my Lady yesterday?	2	Λ	
				ω.	It may be thought, Dr Goodall, that in the event of
3		Was that the task and finish group that was put into	3		a disease outbreak in a pandemic, two of the most vital
4		place to try to address such concerns as were coming	4		concerns would be the ability to surge your provision of
5		out finally out of Exercise Cygnus in 2016?	5		health services, and a second would be your ability to
6	A.	Yes, they were the practical arrangements. And	6		be able to provide adequate care and resource to
7		of course we reached out to the pandemic flu UK	7		vulnerable people, particularly those in the
8		arrangements as well and were observing in part of those	8		care sector, in order to be able to deal with the
9	_	arrangements and participating.	9		terrible consequences of a pandemic.
	Q.	That task and finish group, as you said yesterday,	10		It was those two areas, the surge planning and the
11		wasn't able to finish its work, because, although it	11		guidance and the arrangements for the protection of the
12		identified the tasks, it never finished them?	12		adult social care sector, that were not addressed by the
13	A.	Also, as I said yesterday, we ended up moving our	13		task and finish group after 2016, even though those were
14		resilience activity and focus on to other matters.	14		areas which had been flagged up as long ago before as
15		On the Exercise Cygnus arrangements, 15 of the	15		Exercise Taliesin; correct?
16		actions were completed, seven of them were still not	16	A.	Whilst the extreme surge guidance hadn't been issued, it
17		marked as complete and under consideration. I can	17		was available as a draft, and ultimately we were able to
18		describe how on some of those measures I think that they	18		use that when we were responding very quickly to the
19		actually had more significant activity. As an example,	19		coronavirus pandemic. But as I indicated, it wasn't
20		there was a draft communication strategy that had been	20		issued.
21		worked on and was available, but it hadn't been issued.	21		In respect of the care home resilience arrangements,

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reporting in to us.

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We had worked through a draft extreme surge guidance

draft and under consideration, so there were a number of

plan, but at that point it wasn't issued, it was only in

areas that we hadn't finalised or completed, as I said

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arrangements, absolutely, and to make sure we were able

it was really important to maintain a focus on those

to take assurance from the sectors and the systems

(10) Pages 37 - 40

- Q. In addition to this incomplete task and finish process,
 did the Welsh Government, following something called the
- 3 Pollock review a few years before, which had been
- 4 a review specifically commissioned to address why it was
- 5 that lessons weren't being learnt from reviews and
- 6 inquiries, set up something called the Joint
- 7 Organisational Learning strategy, a body called the
- 8 Wales Learning and Development Group, and then
- 9 ultimately the Wales Pandemic Flu Preparedness Group, to
- 10 also look into why learning was not being properly put
- 11 into place following exercises?
- 12 A. It did do that, and it also led to the arrangements put
 - in place first of all with training, which had been
- 14 instigated back in 2008, but also the pattern of the
- 15 annual conferences, both generally for civil
- 16 contingencies and also for the health sector, that
- 17 allowed us to be able to draw in those mechanisms with
- 18 the organisations and the first responder arrangements
- 19 as well. So they also allowed us to have
- 20 an understanding of the focus of those activities over
- 21 that time as well.

- 22 Q. But that additional -- and I'm sorry, I've described it
- 23 repeatedly as labyrinthine -- process itself wasn't able
- 24 to ensure that all the recommendations and the learning
- 25 from the various exercises were put into place, was it?
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- 1 Q. It was never updated, was it?
- 2 A. It wasn't, no, I do agree with that, although it had
- 3 been worked on with colleagues and experts within that
- 4 particular system, but I agree that it hadn't been
- 5 updated by that point, but there was critical care surge
- 6 guidance.
- 7 Q. The consideration that it was thought necessary to give
- 8 to reviewing and amending the pandemic influenza
- 9 framework for local authorities and social services
- 10 providers was never complete?
- 11 A. It wasn't completed, but we did, through our resilience
- 12 arrangements, take assurance from local resilience fora
- on their preparedness and also from local authorities as
- 14 first responders on their arrangements. So there was
- 15 an assurance link through to whether those organisations
- 16 felt that their plans were sufficiently resilient or
- 17 robust.
- 18 Q. There was no national resilience structure, assurance
- 19 structure, put into place in the way that there is in
- 20 England and in respect of local resilience forums in
- 21 England, there was no national resilience standard
- 22 process put into place, a national resilience assurance
- 23 process by which local resilience forums could be
- 24 checked put into place either, was there?
- 25 A. There was a self-assessment process for them within the

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- A. No. As I said yesterday, there were actions that were
 incomplete.
- incomplete.Q. In particular, as a result of resourcing problems, we
- 4 looked at this through Sir Frank Atherton, the strategic
- 5 decision taken to await work from Whitehall before
- 6 getting on with doing work in Wales on the pandemic flu
- 7 preparedness group workstreams, and also because of the
- 8 diversion of attention away from civil contingencies
- 9 planning towards the necessary preparations for
- 10 a no-deal EU exit, those workstreams were never
- 11 completed?
- 12 A. Yes, you're right, and we obviously talked about
- 13 Operation Yellowhammer yesterday, and the way in which
- 14 it needed to take resources within the organisation,
- 15 although that did give us some insight into sector
- 16 resilience plans more generally as part of that process.
- 17 Q. Standing back, in relation to the areas of concern
- 18 expressed after Cygnus, one of the key issues during
- 19 Cygnus was, as I've suggested to you, healthcare demand,
- 20 the surge in demand for critical care, that work was
- 21 still being finalised in 2018, but it never reached
- 22 fruition, did it?
- 23 A. It was still in draft at that time. There had actually
- 24 been guidance issued on critical care surge earlier,
- 25 I think back in 2012.

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- 1 responsibilities the Welsh Government had before 2018,
- 2 before it had the legal duties. It didn't have the
- 3 performance and assurance role, but from 2018 it would
- 4 have allowed us to start discharging those assurance
- 5 roles, and there was a sector resilience assurance
- 6 exercise that was undertaken in 2018, and it was in the
- 7 context of pandemic flu.
- 8 Q. Was there any non-self-assessment, any external
- 9 assurance process for checking the validity, the worth,
- 10 the merit of the plans that were being put into place to
- 11 deal with a pandemic influenza?
- 12 A. It was generally a self-assessment process for LRFs and
- 13 also for individual organisations. We had used some
- 14 peer review examples where Welsh local resilience fora
- 15 would look at each other's progress and make
- 16 assessments
- 17 Q. There was in 2018 an understanding that following the
- 18 Transfer of Functions Order there needed to be a review
- 19 generally of the civil contingencies structure in Wales;
- 20 is that correct?

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- 21 A. Yes, I agree. We needed to understand how we were going
 22 to properly and fully discharge those responsibilities.
- 23 Q. What happened to that general review of civil
 - contingencies following the Transfer of Functions Order?
- 25 A. Whilst we had done the sector resilience oversight and

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(11) Pages 41 - 44

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1		we had expanded the team with the new responsibilities
2		coming over, our attention and activity had simply moved
3		across to Operation Yellowhammer and the EU exit
4		arrangements, and that meant that the resilience team
5		was embedded in that work as well as a very large part
6		of the organisation as well. So the discharging of
7		those responsibilities as we intended was put on pause,
8		even though we were using and deploying the civil
9		contingency mechanisms for EU exit.
10	Q.	The inability to carry out that review, of course,
11		an inability which existed prior to Wales being struck

an inability which existed prior to Wales being struck by the pandemic, has been described by one of your own ministers as "extremely disappointing". You've seen the reference in Mr Kilpatrick's statement.

Even after the pandemic struck, when the review of civil contingencies in Wales took place in 2023 -- it did earlier this year, did it not --

18 A. It did.

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Q. -- did it then become apparent that there were still 19 20 flaws in the civil contingencies system, in particular 21 in relation to leadership capacity, effective and 22 continuous training and exercising, a system for the 23 systematic capture of lessons from exercises, the need 24 for an establishment of minimum standards for 25 competency, skills, qualifications, training and

I have three questions, Dr Goodall, and I have ten minutes, so I'll be keeping an eye on the time.

Yesterday Sir Frank Atherton, when he was giving his evidence, was referred to a document which had been produced for the Health Protection Advisory Group.

For the reference that is INQ000177362.

I won't take you to that document, but I'd just like to read, first of all, what he said in evidence.

He was taken to the document and it was introduced to him as a paper prepared for the committee, that's the Health Protection Advisory Group, in July 2019, six months before the pandemic struck, and the document contained within it this statement:

"The current microbiology/infection services in Wales are fragile and are struggling to deliver on a day to day basis the prevention, early diagnosis and frontline support that professionals and the public require."

He was asked whether that was not a major concern. and he agreed it was a major concern.

I'd like to ask you about a document that you've referred to in your witness statement that you've exhibited, and if it could be brought up on the screen, please. The number is INQ000177552.

You've referred to it in your third witness

development?

2 Yes, indeed. They remain the themes in those areas and 3 that work is under way to make sure that they are

resolved. There is a system that captures the lessons

5 learned now, which is held on an all-Wales level by

6 Public Health Wales, and that is a new introduction

7 since 2017, but we do need to make sure that we embed

those arrangements and deal with the actions as well as

9 the culture and behaviour around those issues as well.

10 So, Dr Goodall, would you agree that, given that many of 11 those issues had been raised consistently, continuously

12 from 2012 onwards, that is an egregious example of

13 locking the stable door after the horse has bolted?

14 We've had to learn many lessons and adapt and, you know, 15 we need to ensure that we keep addressing the issues,

16 from our planning and also from our recent experiences

17 as well

18 MR KEITH: I've no further questions.

19 My Lady, you've given permission for three areas of 20 questioning to be advanced by Covid-19 Bereaved Families 21 for Justice Cymru.

22 LADY HALLETT: Thank you.

Questions from MS HARRIS

MS HARRIS: Good morning. I'm Bethan Harris. I appear on 24 25 behalf of Covid-19 Bereaved Families for Justice.

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1 statement at paragraph 128.

2 Hopefully that can be brought up on the screen.

Thank you. I think it's there.

This is a ministerial advice. You see it.

Dr Goodall?

6 A. Yes, I can, thank you.

7 Q. Thank you. It's addressed to the Minister for Health and Social Services. It's dated 2 September 2019: 8

"Subject: Strengthening and improving the National Health Protection Service in Wales."

Box 1:

12 "What is the issue you are asking the Ministers to 13 consider?

> "This advice summarises the current health protection challenges faced in Wales and sets out the investment needed to meet this challenge and to strengthen and improve our National Health Protection Service."

Then it goes on to refer to the actions which are being recommended, which are to increase the revenue that's necessary, and indeed we heard Sir Frank Atherton refer to the request for additional revenue in this area when he gave his evidence yesterday.

I'd like to refer you to what is stated with regards to the context, which is on the next page, if we could

(12) Pages 45 - 48

1 kindly go to that on the screen, please, headed Then, first of all, it refers to UK-wide shortages 2 2 "Advice": of trained staff and then: 3 "4. Context -- What is the situation that has led 3 "- laboratory estates on many sites which are no 4 to this advice?" 4 longer fit for purpose ..." 5 5 First of all, the "recent terrorism incident in The next bullet point: 6 Salisbury" is referred to, uncertainties caused by EU 6 "- the need for increased ward-based clinical 7 7 exit, growing threat posed by antimicrobial resistance, services and services in the community to support both 8 increased threat of high-consequence infectious disease, 8 infection prevention and antimicrobial stewardship." 9 such as Ebola, and then goes on to refer to: 9 It then goes on to say: 10 "International and domestic events demonstrated 10 "Although microbiology is a shortage speciality 11 fragilities in all the respective national health 11 UK wide, recruitment to Wales is particularly 12 12 protection services operating within the UK, which the challenging when the laboratory estate on many sites is 13 responsible authorities in all four nations are seeking 13 not fit for purpose, and a lack of investment in new 14 to address." 14 technologies and redeployment of scientists means 15 Then it goes on to say: 15 microbiologists are unable to spend time on the frontline supporting professionals ..." 16 "Response to these threats relies on the same 16 17 17 physical and human infrastructure which is currently I'm going to skip the next paragraph. Then finally 18 18 under pressure to deliver basic health protection that: services. Health professionals in Wales are not 19 "Health protection professionals in Wales consider 19 20 confident that they could at all times provide 20 that, adequately resourced, there is much more they 21 an effective response to high consequence infections or 21 could contribute in the prevention, diagnosis and 22 other chemical and biological threats. 22 management of infection and in guiding prudent use of 23 "The National Health Protection Service in Wales is 23 antibiotics." 24 24 fragile; and the service today is confronted by a number So that, as I say, is a document that you've 25 25 of challenges. These include ..." exhibited, and what I'd like to ask you about is, first 1 of all, do you agree that the document that I initially 1 responsibilities as well. So yes, the existing service 2 referred to, the one that Sir Frank Atherton was 2 3 referred to yesterday, and this particular document, in 3 but we were also trying to build in some resilience into 4 4 September 2019, they imply that there was a very real the system with the investment as well. 5 need to improve on infection prevention and control 5 Going to what I think you are saying, and I'll ask you 6 services in Wales at that time in 2019? 6 to confirm, were the two or two of the main pandemic 7 A. Yes, I remember not just the document but the discussion 7 preparedness planning documents -- I'm going to ask you 8 8 at the time. It was a very honest assessment that had whether they actually reflect the importance of 9 9

come through that group, also on the advice and expertise of Public Health Wales, and it was really important that we were responding to those concerns about fragility, and the decision was made to support that. In fact the previous year we had actually made some additional financial investment in Public Health Wales in this arena as well.

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16 Q. Do you agree that, in terms of pandemic preparedness and being prepared for the Tier 1 risk that everyone across the UK, including in Wales, was aware of, that there 19 would need to be capacity to meet a significant increase 20 in need for infection prevention and control services?

21 A. Yes, indeed. I mean, the NHS faces day-to-day pressures 22 whilst it's discharging many activities and supporting 23 its communities. The day-to-day pressures of the 24 service, you know, would need to have some resilience 25 built in to be able to deal with those extra

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was under pressure, which was why we were addressing it,

infection control and prevention.

10 First of all, if you can perhaps confirm that the 11 Wales Framework for Managing Major Infectious Disease 12 Emergencies, October 2014, was one of the main 13 preparedness guidance documents that was current in 14 Wales from 2014 onwards, right up to when the pandemic 15 hit?

16 A. Yes, it would have covered responsibilities and maybe 17 not gone into all of the detail. Certainly the outbreak 18 plan for Wales would have really dealt with some of the 19 very specific issues in terms of discharging that on 20 a local level.

21 Q. Yes, thank you. So that's one of the documents. It's 22 right, isn't it, that that document, the Wales Framework 23 for Managing Major Infectious Disease Emergencies, you 24 may be familiar with its content without me needing to 25 take you specifically to the document, but I'd like to

(13) Pages 49 - 52

refer you to a passage in it, and it was indeed
a passage that was highlighted yesterday, again in
Sir Frank Atherton's evidence. Paragraph 11.1:

"All hospitals need to establish ways of caring for large numbers of infectious patients on a scale outside their normal experience, including those requiring high dependency care."

So just to confirm, that document clearly recognised the importance of infection control in the context of being prepared for a pandemic?

- 11 A. Yes, lagree.
- 12 Q. Indeed.

The other document is the Wales Health and Social Care Influenza Pandemic Preparedness and Response Guidance, again another one of the major preparedness guidance documents, 2014, that remained in force throughout up until the pandemic hit; yes?

- 18 A. Yes, indeed, yeah.
- 19 Q. Thank you.
- A. We'd hoped to review it in 2018, but it was the document
 in 2014 that was an important response document for us,
 yes.
- Q. That one referred to the meticulous use of infection
 control procedures being part of preparedness and part
 of what would be needed in the event of a pandemic?

proposals and plans and they would have been able to make some local decisions on that infrastructure as well.

- 4 Q. Right. So you're saying things were done, but do you accept it wasn't enough?
- A. When we were receiving that national protection
 proposal, that was showing that we needed to invest more
 and have more flexibility and capacity, yes.
- 9 Q. Thank you.

I'm going to just deal with a second question very briefly, because I know that I'm running out of the time that's allocated. Thank you for those answers.

I'd like to ask you a question on PPE, and perhaps putting to one side matters of what the arrangements were with regards to how much PPE was stockpiled and what was the extent of the actual availability of PPE that was planned and put in place.

The distribution system for accessing PPE and

distributing it, was the resilience of that system in Wales adequately tested in advance of the pandemic?

A. The PPE stockpiles, where we were making sure that we had about 15 weeks' worth of supply available, were well tested. When we were in the coronavirus response, we really had to utilise the excellence of the national

procurement service arrangements to change the

1 A. Yes.

Q. That's familiar to you. Thank you.

So what I want to ask you is: do you accept that, when we look at what we had in September 2019, in the document I referred you to, which you've exhibited, and we look at those documents in 2014 and what they flag up in terms of needs, in terms of infection prevention and control, that there just wasn't a robust enough process of following up on what the Welsh Government's own guidance said, that there wasn't a critical review as to whether things were actually put in place that had been stated in the documents?

A. We would have worked with individual health boards in
 Wales who would have used their funding for a variety of
 areas. Public Health Wales, of course, would have made
 its assessment, and when it came forward with its
 national plan we were very happy to support it.

I mean, certainly, and I speak from personal experience as a local health board chief executive, we had tried to ensure that new hospital builds were supporting an infection control outlook, including single room arrangements, for example, but that was not available to all of the estates, and they were certainly possible with new build arrangements in Wales, but we would have worked with individual organisations on their 54

distribution model quite quickly.

But to your question, I don't think that they were rigorously tested. They may well have featured as part of the procurement service's own resilience proposals. But certainly we adapted very quickly to ensure we could distribute the PPE at least out to the regional centres before they needed to go through to the frontline staff and services.

- 9 Q. Just finally, obviously this module is not looking at
 10 what actually transpired and how things played out on
 11 the ground in any detail, but do you acknowledge that
 12 there were problems with distribution?
- A. We ended up having to change our distribution model,
 rather than to 11 centres to literally get to hundreds
 of sites in matters of days, so it was a very different
 response that was required at the time.
- 17 Q. Right. In retrospect, would it be right to say that
 18 there hadn't been sufficient scrutiny of the resilience
 19 of that distribution system in advance of the pandemic?
- A. We were assured on the existing arrangements, but at the
 scale, the severity and the duration of the
 arrangements, we would have needed to have done more
 work based on the experience that we had to the
 coronavirus pandemic.
- 25 MS HARRIS: Thank you.

(14) Pages 53 - 56

1	mank you, my Lady, those are my questions. Thank	I	certainly Rob, as the CSA Health, was involved in the
2	you very much.	2	pandemic response, but they had traditionally not been
3	MR KEITH: My Lady, I omitted to ask about one particular	3	directly involved in the preparedness.
4	area which I know has been of concern to my Lady.	4	MR KEITH: Thank you.
5	LADY HALLETT: The stenographer has been going for a long	5	LADY HALLETT: Thank you very much, and I shall return
6	time. Is it important to deal with it?	6	at 11.40.
7	Further questions from LEAD COUNSEL TO THE INQUIRY	7	(The witness withdrew)
8	MR KEITH: Yes, it's about the Chief Scientific Adviser	8	(11.22 am)
9	system in Wales, and I wanted to ask two questions in	9	(A short break)
10	relation to that, if I may.	10	(11.40 am)
11	Dr Goodall, it is this: it appears from material	11	LADY HALLETT: Ms Blackwell.
12	that the Chief Scientific Adviser for Health in Wales	12	MS BLACKWELL: My Lady, may I please call
13	a man who is actually, I think, a Mr Rob Orford	13	Dr Quentin Sandifer OBE.
14	because he is concerned with being the Chief Scientific	14	DR QUENTIN SANDIFER (sworn).
15	Adviser for Health is not part of the UK Chief	15	Questions from COUNSEL TO THE INQUIRY
16	Scientific Adviser system; and secondly, do we have it	16	MS BLACKWELL: Dr Sandifer, thank you very much for the
17	right that, for whatever reason, the Chief Scientific	17	assistance you've so far given to this Inquiry, and also
18	Adviser in Wales and the Chief Scientific Adviser for	18	thank you for coming to give evidence today.
19	Health in Wales are not at the centre of the Welsh civil	19	You have prepared a witness statement which we see
20	contingency structure, so they don't actually give	20	at INQ000192266. Your signature appears at page 83.
21	advice on civil contingencies to the Welsh Government?	21	There we are.
22	Have I summarised the position correctly?	22	You signed this statement on 27 February this year.
23	A. Yeah, you've summarised those correctly. There were	23	Can you confirm, please, that it's true to the best of
24	some changed arrangements put in place during the	24	your knowledge and belief?
25	pandemic itself to access those, but they were	25	A. Yes.
	57		58
1	MS BLACKWELL: Thank you.	1	management team that has changed in certain respects
2	My Lady, may we have permission to publish it,	2	since its incorporation in 2009, but the chief executive
3	please?	3	since 2014 has operated a corporate level business
4	LADY HALLETT: Yes.	4	executive team structure, where collective
5	MS BLACKWELL: Thank you.	5	decision-making at a corporate level is a key feature,
6	We can take that down, thank you.	6	in addition to each director having clear accountability
7	I'd like to begin by establishing through you,	7	and responsibility for a specific portfolio; is that
8	Dr Sandifer, the creation of Public Health Wales. It	8	right?
9	was formulated in 2009 by the Minister of Health and	9	A. That's correct.
10	Social Services, following a review of public health	10	Q. The second key role is that of National Director of
11	functions undertaken in 2006; is that right?	11	Health Protection and Screening Services and Medical
12	A. That's correct.	12	Director, a title which was previously known as
13	Q. Thank you.	13	the Executive Director of Public Health Services,
14	The functions of Public Health Wales are three-fold:	14	Medical Director.
15	it provides data and science-based leadership,	15	A. That's correct, and I held that latter title from
16	specialising in public health expertise and	16	October 2012 until December 2020.
17	co-ordination and advice, and is a delivery of key	17	Q. Thank you.
18	public health services; second, it works closely with	18	In your role at executive level responsibility,
19	public health boards, local authorities and other	19	which we'll go into in more detail throughout the course
20	partners, including education and housing; and, third,	20	of your evidence this morning, is it right that you have
21	it protects and improves the health and well-being and	21	now become a consultant adviser for pandemic and
22	seeks to reduce health inequalities. Is that right?	22	international health for Public Health Wales?
23	A. That's correct.	23	A. Yes, following my retirement in December 2020,
24	Q. Thank you.	24	I returned in 2021 and undertook that contracted
25	The structure of Public Health Wales has a senior	25	consultancy role on a part-time basis.

(15) Pages 57 - 60

1 Q. Thank you.

2 I want to turn for a moment to deal with the funding 3 of Public Health Wales, and to confirm with you, please, 4 Dr Sandifer, that there are two main sources of funding 5 for the organisation: funding from the Welsh Government 6 and other National Health Service Wales organisations 7 who provide remuneration for the provision of services 8 delivered by Public Health Wales?

9 A. That's correct.

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Q. You tell us at paragraph 41 in your witness statement 10 11

> "In exercising its role as functions, Public Health Wales was not held back in any way by the funding made available by the Welsh Government."

And that, in your view:

"There are no examples of insufficient funding curtailing the ability to fulfil Public Health Wales' role and functions in a timely manner in relation to the pandemic."

Is that right?

21 A. That's right.

22 Q. Public Health Wales funding increased dramatically from 23 2009 to 2010, when it was set at £41.5 million, to £152.9 million by the time we reached 2019 to 2020. 24

> What was the cause of that dramatic increase in 61

A. Yes, it is.

2 So as well as having health protection and microbiology 3 responsibilities, it also had an EPRR function, didn't it? 4

5 A. It did.

6 Q. In terms of the allocation of budget for emergency 7 preparedness, resilience and response functions, that increased from 2014 to 2015 and £387,000 to 2020 to 8 9 2022, £884,000. Can you explain why there was such

10 a dramatic increase in that part of the budget, please. 11 A. Yes. I think it's fair to say it's very difficult to 12 tease out what exactly were the financial elements attached to EPRR, because of course EPRR is a function 13 delivered by the whole of the organisation, and in 14 15 particular from our health protection and microbiology 16 services. But I do recognise those figures, and the reason why there was such an increase is that following 17 the Ebola outbreak in Wales in 2014 to 2016, and on the 18 19 back of reviews that we undertook both to our emergency 20 planning and business continuity arrangements, we 21 introduced some changes in 2017/2018 including,

22 for example, expansion of our emergency planning support 23 workforce, the appointment of a lead manager, and all of

24 those appointments attracted the need for further

25 investment

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funding, and why was it made?

2 Well, the figure that you started with, the £41 million, A. 3 reflected a half year position, because the organisation 4 was established at the beginning of October 2009. The 5 full year figure, which is reflected in the 2010/2011 6 accounts, was around £81 million.

7 The principal reason for the expansion in funding 8 was partly as a result of some transfers of functions 9 that were discharged in other bodies in Wales, 10 transferring in to Public Health Wales, with the funding 11 attached to those, and also in part as a result, 12 likewise, of some service transfers, for example our 13 diabetic retinopathy service was transferred in from a local health board into Public Health Wales and 14 15 brought with it a substantial amount of financial 16 resources

> Then there were some other additional investments made by Welsh Government during the course of the ten years, including in health protection, but also in other areas like policy, research, international health development.

22 Q. Thank you.

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23 Can you confirm, for the purposes of the Civil 24 Contingencies Act of 2004, Public Health Wales is a Category 1 responder?

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Q. Right. 1

Did you think at the time, in 2014 to 2015, that the 2 3 EPRR budget was sufficient?

A. I think Public Health Wales discharged its EPRR 4 5 functions within the resources available to it, but in 6 doing so, at that time, it should be said that the EPRR

7 function was located in our Health Protection Team, and

8 it is probably true to say that it was subsidised by the

9 Health Protection service.

10 Q. Well, you tell us at paragraph 196 in your witness 11 statement that "the health protection services in Public 12 Health Wales were under-powered". What did you mean by 13 that?

14 So that paragraph refers to our assessment of the A. 15 initial phase of the coronavirus response.

> So, if I could just summarise, perhaps, to help the Inquiry understand the pattern of investment: between 2010 and 2019 there had been no budget cuts and there had been an increase in both the financial resources and the workforce in the health protection and microbiology services, which is where most of the EPRR functions were based and from which they were delivered.

23 Q. Right.

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Well, just before we leave the issue of finance and budgets, you also tell us at paragraph 78 that in

(16) Pages 61 - 64

- February 2019 the Welsh Government confirmed additional 1
- 2 funding for the National Health Protection Service and
- 3 that there was £1 million capital funding in that year
- 4 to directly support the automation of the laboratory
- 5 services across Wales and £0.6 million minimum revenue
- 6 for the continued development of the Pathogen Genomics
- 7 Unit. Is that right?
- 8 That's correct, and that reflected, in fact, a series of
- 9 investments that had been made since 2014 in our health
- 10 protection and our microbiology services.
- 11 Dr Tracey Cooper, your successor in post, tells us in
- 12 her witness statement that that funding facilitated
- 13 enhancements to the microbiology laboratory network and
- 14 infrastructure, but that those improvements were still
- 15 being made and implemented at the time of the pandemic:
- 16 do you agree with that?
- 17 If I could just gently correct, Dr Tracey Cooper's the
- chief executive of Public Health Wales and had been so 18
- since June of 2014, and remains still the chief 19
- 20 executive.
- 21 Q. Thank you.
- But to your point, yes, that is correct. 22 A.
- Q. All right. She also told us that that funding was only 23
- a part response to the need raised by Public Health 24
- 25 Wales for the strengthening of the health protection 65
- particularly fragile? 1
- 2 Yes, that fragility was created in 2015/2016 with the
- 3 retirement of a number of senior microbiologists and
- difficulties that we had recruiting to those posts. And 4
- 5 the investment that we referred to, the fragility and
- 6 the investment we referred to, was accompanied actually
- 7 also by some internal actions we took to strengthen the 8 model for the delivery of microbiology at the same time.
- 9 Q. To what extent did Public Health Wales provide advice to 10 the Welsh Government on its pandemic preparedness?
- So Public Health Wales has throughout its existence 11
- 12 provided specialist advice and direct support systems
- leadership to Welsh Government and the wider health, 13
- social care and local government system in Wales in 14
- 15 respect of EPRR, and so, yes, that included specific
- 16
- advice to Welsh Government on its pandemic planning
- arrangements, learning and development, exercising and 17
- 18 training
- 19 Q. What level of representation did Public Health Wales
- 20 have on scientific advisory bodies such as SAGE and
- 21 NERVTAG?
- So Public Health Wales has never been a member -- or at 22
- least, I'll put it the other way around. Representation 23
- 24 from Public Health Wales, there has been no
- representation from Public Health Wales on NERVTAG. 25

- 1 system across Wales, so she appears to be saying -- and
- 2 please correct me if I'm wrong -- that a plea had been
- 3 made for further investment, and the investment in terms
- 4 of the laboratory services that was received was only
- 5 a part response; do you agree with that?
- 6 It was. We began our discussions with Welsh Government
- 7 in the second half of 2017 about what we regarded as the
 - additional investment required to strengthen our
- 9 National Health Protection Service. This also coincided
- 10 with what we believed was some necessary investment,
- 11 such as you referred to, the capital investment, in
- 12 particular technologies, molecular diagnostics and
- 13 genomics sequencing in particular. But -- and we were
- grateful for the investment that we did receive, but we 14
- 15 had still anticipated and therefore made the case for
- 16 further investment, which then came through later
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- Is that partly because in 2017/2018 the organisation 18 Q.
- 19 identified to the Welsh Government in particular the
- 20 need to strengthen the health protection system and what
- 21 Dr Cooper describes as a particularly fragile
- 22 microbiology service?
- 23 A.
- Q. 24 All right. Do you agree that at the time and before
- 25 that investment was made, the microbiology services were

- Likewise, we've not had a seat on SAGE pre-pandemic. 1
- 2 Q. Right. Do you think that Public Health Wales deserved
 - a place on NERVTAG and SAGE?
- I do think that it would be beneficial for Public Health 4
 - Wales to be on NERVTAG. I recognise that SAGE is
- 6 established in specific circumstances --
- 7 Q. Yes.

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- 8 Α. -- and that government representation would be the most
- 9 appropriate sitting outside of government. We didn't
- 10 presume that that would include us but we would
- 11 of course always be happy to support Welsh Government
- 12 had we been asked.
- Q. In terms of responsibility at a local level, Public 13
- 14 Health Wales' duties and responsibilities, and its
- 15 obligations indeed under the Civil Contingencies Act,
- 16 meant that it worked closely with local resilience fora;
- 17 is that right?
- Yes, we had representation on four -- all four local 18
- 19 resilience fora in Wales.
- 20 Did Public Health Wales also chair the Wales Risk Group?
- We did. One -- the senior manager for our combined --21 Α.
- 22 in 2017/2018, we combined our business continuity and
- 23 emergency planning arrangements. Our business -- our
- 24 manager for that service did lead that group from
- 25 January 2018 till November 2020.

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- 1 What, if any, discussion was there during the meetings 2 of that group about the National Risk Assessment?
- 3 So what that group did is it took the National Risk
- 4 Assessment and then, with Welsh Government and with
- 5 representatives from the four local resilience fora.
- 6 considered how that risk assessment applied within Wales
- 7 and the steps and actions that should be taken,
- 8 including the inclusion of the risks in local resilience
- 9 fora, community risk registers.
- 10 Q. Did that also involve an assessment of any gaps in 11 preparedness that might exist at the local level?
- 12 A. We also, as I've already said, had representation at the
- 13 local level, and our representation at the local level
- 14 included liaising with each of the local resilience fora
- 15 about their assessed risks and advising them
- 16 accordingly.

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- 17 Q. So how did Public Health Wales have the necessary
- 18 arrangements in place to effectively support and enable
- the local resilience fora to make their own rapid and 19
- 20 effective responses to the pandemic when it struck?
- 21 So Public Health Wales' Health Protection Team, together
- 22 with the microbiology service, used our emergency
- response plan as the basis for our initial response to 23
- 24 the pandemic in 2020, and in doing so we were aware that
- 25 the local resilience for in turn were standing up their
 - responsibilities, I'd now like to look at some of the plans that were in place over the course of the Module 1 time period.
 - First of all, please, can we look at INQ000089558, which is the Public Health Wales Emergency Response Plan.
 - We can see that this version is version 2, created in September of 2018, and if we go to page 2, please, we can see that under the heading "Sponsoring Executive", you appear as the sponsoring executive.
 - What does that mean in terms of this particular
- What that means is that, as the executive lead for 13 public health emergency planning in Wales, this document 14 15 was endorsed by me and commended by myself to our board 16 for consideration.
- What was the purpose of this document? How was it 17 intended to be used? 18
- 19 Α. So this document, as it says at the top, provides the 20 specific arrangements for our strategic and tactical 21 response to emergencies, incidents and outbreaks.
 - It's the top level document of a suite of documents also that sit with this. It is an internal document, but it has been written very much in the spirit of the

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Civil Contingencies Act, was shared with and therefore 25

- 1 strategic co-ordinating groups, as it appropriate, as
- 2 a response.
- 3 Q. What is the Wales Resilience Forum and how does Public
- 4 Health Wales contribute to that?
- 5 Wales Resilience Forum is a structure within
- 6 Welsh Government that is chaired by the First Minister
- 7 and includes representation from all multi-agency
- 8 partners at a strategic level to consider the risks
- 9 across the piece, so not confined only to health, and
- 10 I represented Public Health Wales as a member of that 11
- 12 Q. How does that sit with the Wales Resilience Partnership
- 13
- 14 The Wales Resilience Partnership Team was a group
- 15 underneath the Wales resilience fora, in part providing
- secretariat functions, and in part tasked with the 16
- 17 operationalisation of some of the activities that had
- 18 been discussed at the Wales Resilience Forum.
- Q. Did you also sit on the Wales Resilience Partnership 19 20
- 21 I didn't, but our emergency planning and business
- 22 continuity manager did.
- 23 Q. Right, thank you.
- 24 All right, now having established the body that
- 25 Public Health Wales became in 2009 and its functions and

- 1 deliberately made known to our local resilience fora
- 2 partners.
- 3 Q. So they had access to this?
- 4 They and Welsh Government had access to this document.
- 5

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- 6 Can we go to page 9, please, and look at
 - paragraph 1.1. Thank you. We can see here under the
- 8 heading "Introduction" that:
- 9 "The Public Health Wales Emergency Response Plan 10 details the organisation's response arrangements to any
- 11 emergency, incident or outbreak that impacts on, or
- 12 requires the mobilisation of, public health resources
- 13 and capabilities. The Emergency Response Plan provides
- 14 a framework to establish, create and improve
- 15 resilience."
- 16 Did this document replace an earlier iteration, and
- 17 if so, when was that first created, please?
- So this document replaced an earlier version which had 18
- 19 been approved by our board in November 2016, and indeed
- 20 it was about the fifth -- I'd have to just remind
- 21 myself, we had a plan in 2009, 2012, 2014, 2016 and,
- 22 yes, this would have been the fifth iteration of the
- 23 plan in 2018.
- 24 Q. It wasn't updated, was it, until 2022, I think?
- That's simply because events overtook us. At the 25

(18) Pages 69 - 72

- 1 beginning of 2020, which is -- I mean, we review our 2 plans annually anyway. The plan was still, we 3 considered, relevant at the beginning of the outbreak of 4 the pandemic, but we, during the course of the pandemic, 5 recognised that the plan would need updating and at the 6 earliest appropriate time we did so.
- 7 Q. Thank you.

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Can we go to page 10, please, and have a look at the roles and responsibilities. We can see that, third row from the bottom -- can we just scroll out, please, here

"Facilitate epidemiological follow-up of affected populations and communities as necessary."

To what extent did Public Health Wales achieve this? What was this intended to represent?

- So in an incident, an emergency, particularly in 16 17 an outbreak, what we always sought to do was to assess 18 the impact of that on the populations affected. We did this during the Covid response, a wide range of 19 20 assessments were undertaken, including epidemiological 21 assessment. But an example would be: had there been 22 a chemical release from a factory with a cloud of gas 23 discharged and floating over a local population, we 24 would follow -- after that event had been resolved, we 25 might well follow up with the affected population to see
- It has, yes.

group.

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- 2 And it was -- it involved the CMO, the Chief Medical 3 Officer for Wales, but you tell us in your witness 4 statement that there was a period of abeyance of the 5 group before it was re-established in May of 2018. Why was the group put into abeyance, which required it to be 6 7 re-established in May of 2018?
- 8 A. So the group is a non-statutory advisory group to the 9 Chief Medical Officer, and in 2014 the then Chief 10 Medical Officer retired and the group therefore ceased 11 to meet. The current Chief Medical Officer came into 12 post later in the year, and then, at the beginning of 2018, he decided that he would like to re-establish the 13
- 15 Q. What was the involvement of Public Health Wales in that 16
- Public Health Wales has always been a member of the 17 Health Protection Advisory Group. 18
- 19 Q. To what extent was pandemic preparedness discussed 20 during those group meetings?
- 21 So, the group looked at the whole breadth of public Α. 22 health threats and hazards, and Public Health Wales 23 advised and supported the group, for example by 24 preparing technical documents and papers for it to 25
 - consider, and influenza pandemic preparedness had been 75

if there had been any longer term impact. 1

- 2 Q. Do you agree that within this document, the EPRR 3 information largely focuses on command and control 4 measures and doesn't include any specific detail on how 5 to handle a coronavirus outbreak, and it doesn't go into
- 6 any plan for non-pharmaceutical interventions?
- 7 So I think I should explain that this plan, which is 8 an all-hazards plan, it's a generic plan, was written in 9 the context of Public Health Wales as a Category 1
- 10 responder, being able to demonstrate that it was
- 11 fulfilling the requirements of the Civil Contingencies
- 12 Act and the duties and responsibilities, including
- 13 statutory and non-statutory guidance, and that is how
- 14 this document has been written.
- 15 Q. So is your answer that you wouldn't have expected that 16 level of detail to be involved or incorporated into
- 17 a document such as this?
- 18 I would expect that information to be in other
- 19 documents.
- 20 Q. Right. Well, we'll move to look at other documents in
- 21 a moment. But before we do -- we can take that down, 22
 - please -- I would like to ask you about the Health
- 23 Protection Advisory Group. That is a group that had
- 24 been or indeed has been in existence for many years,
- 25 hasn't it?

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- one of the subjects of discussion in the group. 1
- 2 Q. What was discussed about it?
- 3 A. So we had been aware that in 2016, or 2014, as has been
- 4 discussed already, there had been an exercise in Wales
- 5 and that there had also been an exercise at the UK level
- 6 that Wales had participated in, and that had generated
- 7 recommendations and outputs which needed to be followed
- 8 through.
- 9 Q. So did Public Health Wales become involved with the 10 recommendations that fell out of Exercise Cygnus and the
- 11 other exercises that ran before that, which we'll turn
- 12 to look at in a moment?
- So when Exercise Cygnus took place, Public Health Wales 13 Α.
- 14 had two representatives observing. When the report was
- 15 published in July of 2017, we obviously received a copy
- 16 of that report and, as was shown yesterday, a Wales
- 17 influenza pandemic preparedness group was convened,
- 18 meeting first in September that year, and Public Health
- 19 Wales was represented on that group.
- 20 Q. All right. Well, we'll come to look at that in 21 a moment
- 22 Before we do, let's just have a look at a set of
- 23 meetings from this group, from 17 December of 2019, when 24 the Health Protection Advisory Group met, and we can see 25

from the first page that Public Health Wales were

(19) Pages 73 - 76

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represented by Andrew Jones.

You sent your apologies for that meeting. We can see that halfway down the page. But no doubt you will have been filled in by -- what took place during the course of the meeting and any recommendations that came

- 7 Yes, I was on annual leave. Andrew Jones is my deputy.
 - Q. Thank you.

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Can we go to page 4, please, of these meeting notes, and look at paragraph 5.2. Thank you.

We can see that the:

"CMO acknowledged [that] there were significant questions around the preparedness of NHS Wales to deal with a similar situation and to be able to manage an infected case at one of our acute hospitals for at least 24hrs. It was agreed that whilst a key work stream of strengthening the National Health Protection Service would look at an all-Wales system for dealing with high consequence infections more urgent action was necessary to provide reassurance."

To what does this relate, please?

22 A. So this relates to discussions that were taking place at 23 the time about the development of a high-consequence 24 infectious diseases unit in Wales.

25 Q. Yes.

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If we turn to page 2, please, we can see in the top third of the page that again you are the sponsoring executive, as the executive director of public health services, and medical director.

Can you tell us when this group was established and what the purpose was for the group being brought into

So the group was established in August 2018. The story behind it is we had conducted a review of our business continuity arrangements in 2016, and that review had recommended that we should bring together our business continuity and emergency planning arrangements under the leadership of a single executive. They were at that time under different executives within Public Health Wales

It also recommended that we should establish the role of the business continuity -- sorry, the executive -- the emergency planning and business continuity lead, the manager that I have already referred to.

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21 Q. Yes.

22 So all of that was accepted in 2017, and in 2017 we brought -- started to bring these entities together, and 23 24 that was finally completed and formalised in the first half of 2018. 25

At that time, it was necessary for any patient that had 2 a high-consequence infectious disease to be transferred 3 to a designated unit in England.

4 Q. Yes. Right. Well, if we look at the bottom of this 5 paragraph, we can see that action 23 was for the:

"CMO to write to health boards, [Public Health Wales] and WAST requesting their plan/pathway for dealing with a high consequence infectious disease (deadline for [which was] March 2020)."

Do you know whether or not that was done?

11 So in January 2020, as it became clear to us in Public 12 Health Wales the novel coronavirus represented a very 13 serious threat, we as an organisation entered into discussions with Welsh Government and -- with one of our 14 15 local health boards, to discuss how we could establish very quickly a high-consequence infectious disease unit 16 17 at that hospital, in advance of and in readiness for 18 potential patients if novel coronavirus came to Wales. 19

Q. We can take that down now, please.

I would like to look at the Emergency Planning and Business Continuity Group and look at the terms of reference for this group which are at INQ000089648.

23 Thank you.

24 We can see that's the front page there. These are 25 the terms of reference.

78

Q. If we look at the bottom part of this page, we can see 2 that under the heading "Updates and amendments" that 3 there is a blank table. Has this document, these terms 4 of reference, ever been updated or amended?

5 I don't know the answer to that question to the current 6

7 Q. All right. Well, if we need to establish the answer for 8 that, then we will formally ask.

Is it right that the Emergency Planning and Business Continuity Team was steered by the local resilience forum?

11 The Emergency Planning and Business Continuity Team 12 A. effectively provided the operational leadership for the 13

14 implementation of the emergency preparedness planning 15 and response arrangements of Public Health Wales, and

16 that necessitated engagement with a wide range of

17 partners, Welsh Government, local government, the health 18 boards, the local resilience fora.

19 Q. Well, let's look at the Emergency Planning and Business

20 Continuity Work Plan, please, 2018 to 2020, which we can 21 see at INQ000183523. Was this document the work plan 22

for the group?

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24 Q. You sponsored this document as well, didn't you?

25 A. I did.

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(20) Pages 77 - 80

1	Q.	Which was approved in July of 2019.
2		Could we look at page 2, please. Thank you.
3		We can see at the top that:
4		"[The] document outlines the Emergency Planning and
5		Business Continuity actions for [the time period]. The
6		actions address the legal requirements on Public Health
7		Wales in its role as a Category 1 responder"
8		Can we go to page 9, please.
9		We can see it that this sets out the emergency
10		planning activity under the integrated emergency
11		management system, and if we could just scroll through
12		the next few pages we can see that there are the six
13		phases that we've seen before.
14		Thank you, if we can carry on.
15		Ending with next page, please response and
16		recovery.
17		There are no references to pandemic or influenza in
18		this document, although there are references to bomb
19		threats and Brexit health and other such matters. Do
20		you agree with that?
21	A.	I do agree with that.
22	Q.	Why were references to the threats or hazards of
23		pandemic influenza and matters of that nature not
24		contained within this document?
25	A.	So the reason why Brexit was included, because it was
		81
1		it deserve a mention in this document?
2	A.	In hindsight, yes, I can see that it could, but at the
3		time, having just established this group, we didn't
4		include it.
5	Q.	Does the fact that it's not included demonstrate that
6		pandemic influenza was not in the forefront of the mind
7		of Public Health Wales and other organisations who were
8		involved in the creation of this document?
9	A.	No, I don't agree with that. As I prefaced my previous
10		answer, Public Health Wales has had a full and active
11		involvement in support of and advising Welsh Government
12		and partners in the development of our pandemic
13		influenza plans.
14	Q.	Public Health Wales did sit on the Wales Pandemic Flu
15		Preparedness Group, didn't it?
16	A.	It did.

Q. I'd like to look now, please, at a set of minutes from

We have them at INQ000187219. Thank you.

then we know that Public Health Wales was present,

although the name of the person attending has been

redacted. So that wasn't you on this occasion?

Now, we can see who was present. David Goulding,

who was the Welsh Government health emergency planning

adviser, and other members of the Welsh Government, and

the meeting of that group in January of 2018.

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1		a live issue at the time. Pandemic influenza was not
2		included because we were having those were set out in
3		the other documents, that no doubt we will come to, the
4		documents that have already been referred to, and that
5		this therefore took a strategic approach to how we
6		discharged our responsibilities under the Civil
7		
		Contingencies Act in respect of any incident, outbreak
8		or emergency that might take place. But it didn't
9		explicitly refer to the development of pandemic
10	_	influenza planning.
11	Q.	, ,
12		National Risk Assessment had assessed the hazard of
13		pandemic influenza as being at the first tier, so it
14		created the most serious threat?
15	A.	Yes, we did.
16	Q.	So do you still say that it wasn't necessary or
17		appropriate for it to be mentioned in this work plan for
18		emergency planning?
19	A.	As I say, we recognised that pandemic influenza was at
20		the top of the National Risk Assessment and we were
21		fully engaged throughout the period of Module 1 in
22		direct discussions with Welsh Government and with our
23		local resilience fora to prepare and respond to
24		influenza pandemic.
25	Q.	But that's not really an answer to the question. Didn't
		82
1	Α.	No it woon't but I do know who it was
		No, it wasn't, but I do know who it was.
2	Q.	All right. Well, perhaps that doesn't matter, and if it

Q. All right. Well, perhaps that doesn't matter, and if it does we'll come back to it.
Could we have a look, please, at the second paragraph, which is I think on page 2. Thank you. Here we see under the heading "UK Pandemic Flu Review and Work Plan":
"DG discussed the PFRB High Level Work Plan, advising that the Review Board had agreed to extend the

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advising that the Review Board had agreed to extend the time period into a second year to address ongoing deliverables. [He] asked if there were any questions regarding the Work Plan, none were asked and added that the next UK Pandemic Flu Readiness Board would meet at the end of February. WP alluded to the difficult timescales for some areas being set centrally.

"The meeting discussed the importance of operational delivery, through the UK review some key pandemic influenza strategic policies and guidance are updated notably the UK Pandemic Influenza Framework 2011 and the Cabinet Office Pandemic Influenza Guidance to LRFs."

Now, is it right that three of the four LRFs were not in attendance at this meeting?

- 23 A. You would have to re-show the first page for me to be24 certain.
- 25 Q. All right. Can we go back to that, please. At the

14

(21) Pages 81 - 84

1		bottom paragraph here we can see:	1		this particular group?
2		"It was noted with disappointment that three of the	2	Α.	
3		four LRF Chairs"	3		experience was that the LRFs were active participants
4	A.	Yes.	4		with us in all areas of emergency planning and response,
5	Q.	"were not in attendance. WP confirmed that the LRF	5		including pandemic flu.
6		chairs had been invited and that no apologies had been	6	Q.	
7		received from them. Reference was made to LRF	7		were taking place and these minutes, I remind us,
8		engagement with being taken forward by the DCLG in	8		were at January of 2018 that the Wales Pandemic Flu
9		England and the need for Welsh Government to also engage	9		Preparedness Group was an effective group?
10		with the LRFs in Wales. DG emphasised the importance of	10	Δ	The so I was aware that there were a range of
11		having the LRF chairs on the group and asked that this	11	Λ.	workstreams which had made limited progress, as has been
12		be re-enforced with them."	12		discussed already.
13		Does this chime with any concern that you had about	13	0	All right. Well, I'd like to look, please, again at
14		the engagement of LRFs in this particular group?	14	u.	page 2 and at paragraph 3, and the first paragraph
15	A.	Until I saw these minutes recently, I had not remembered	15		there:
	Α.	•	16		
16		that only one of the four LRFs had been in attendance.			"WP advised that it was the perception at a UK level
17		My memory of our LRFs is that they were active and full	17		that Wales and other devolved governments are lagging
18		participants in pandemic flu preparedness, and I've	18		slightly behind England in some areas such as
19		noted which health board was in attendance. So I share	19		legislation and health and social care surge planning
20		this disappointment of the chair, and fully agree with	20		due to a lack of resource."
21		his sentiment that they should be reminded of the	21	٨	Were you aware of that at this time?
22	_	importance of attending.	22	Α.	,
23	Q.	But was this a common theme?	23	Q.	C
24		No.	24	Α.	So, as I understand it, Welsh Government were
25	Q.	Was this a problem, that the LRFs were not engaged in 85	25		undertaking work on healthcare surge planning, 86
		00			55
1		particularly in the area of critical care. I wasn't	1		document. Did Public Health Wales have any involvement
2		familiar with the detail of the progress with the	2		in providing the additional guidance in relation to
3		social care surge planning.	3		those particular areas of stockpile?
4	Q.	All right. We can take that down, please.	4	A.	So the Welsh Government led on countermeasures
5		The Wales Health and Social Care Influenza Pandemic	5		stockpiling, including PPE. Our infection prevention
6		Preparedness and Response Guidance we see at	6		control lead did occasionally provide advice to
7		INQ000089573.	7		Welsh Government if any specific questions were raised,
8		This was issued, as we see, by the Welsh Government	8		but we had no other direct involvement.
9		Department of Social Services, Health Emergency	9	Q.	Could we go to page 13 of this document, please.
10		Preparedness Unit in February of 2014, and this was	10		Thank you.
11		really the Welsh response to the United Kingdom 2011	11		Now, we can see here the co-ordination arrangements
12		guidance, wasn't it?	12		for major infectious disease emergencies in Wales. If
13	A.	It was.	13		we familiarise ourselves with this, we've got the
14					
	Q.	Yes. You will be familiar with this document,	14		National Security Council at the top, SAGE to the right.
15	Q.	Yes. You will be familiar with this document, Dr Sandifer?	14 15		National Security Council at the top, SAGE to the right. Then, going from left to right on the second level, the
15 16	Q. A.	·			
		Dr Sandifer?	15		Then, going from left to right on the second level, the
16	A.	Dr Sandifer? Yes.	15 16		Then, going from left to right on the second level, the Department of Health organisations and the devolved
16 17	A.	Dr Sandifer? Yes. Yes, and you can confirm then, without us having to go	15 16 17		Then, going from left to right on the second level, the Department of Health organisations and the devolved administration health departments. In the middle we've
16 17 18	A.	Dr Sandifer? Yes. Yes, and you can confirm then, without us having to go into the detail of it, that in general terms it relied	15 16 17 18		Then, going from left to right on the second level, the Department of Health organisations and the devolved administration health departments. In the middle we've got the Wales Civil Contingencies Committee and the
16 17 18 19	A.	Dr Sandifer? Yes. Yes, and you can confirm then, without us having to go into the detail of it, that in general terms it relied upon the planning assumptions that had been established	15 16 17 18 19		Then, going from left to right on the second level, the Department of Health organisations and the devolved administration health departments. In the middle we've got the Wales Civil Contingencies Committee and the DH&SS Health Response Team, and to the right the
16 17 18 19 20	A. Q.	Dr Sandifer? Yes. Yes, and you can confirm then, without us having to go into the detail of it, that in general terms it relied upon the planning assumptions that had been established in the UK 2011 document? Yes.	15 16 17 18 19 20		Then, going from left to right on the second level, the Department of Health organisations and the devolved administration health departments. In the middle we've got the Wales Civil Contingencies Committee and the DH&SS Health Response Team, and to the right the strategic co-ordination groups.

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you?

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consumable products, including eyewear, aprons, gowns

and gloves. We don't see that level of detail in the UK

(22) Pages 85 - 88

Now, is that co-ordination arrangement familiar to

1	A.	It is.	1		presents a diverse and complex challenge at a time when
2	Q.	There would appear from this chart to be no direct	2		staffing capacities are likely to be reduced. Close
3		contact or line of contact between Public Health Wales	3		working relationships across health and social care
4		and, for instance, the SAGE group that we see at the top	4		organisations, the independent sector and voluntary
5		right-hand corner. Do you think there should have been?	5		groups will be essential to sustaining services during
6	A.	So, as I said earlier, no, there was no direct	6		a pandemic."
7		connection between us and SAGE. We expected that	7		Do you agree, Dr Sandifer, that this plan should
8		Welsh Government would be invited to join SAGE at both	8		have included more guidance around when someone should
9		ministerial and official level, and if it was thought	9		be discharged from hospital and what should happen on
10		appropriate by Welsh Government for Public Health Wales	10		discharge back into the community, for example guidance
11		representation in support of their minister or	11		on sending tested or untested patients to care homes or
12		officials, then we would have been pleased to provide	12		back into the community?
13		that.	13	A.	Obviously that became an important issue during the
14	Q.	All right.	14		coronavirus pandemic, and I can see that that could have
15		Could we go to page 31, please.	15		been helpful to the health boards, and incidentally it
16		Now, in relation to community care, we can see that	16		was the health boards and the local government
17		the guidance here is that:	17		overseeing social care services that would have been the
18		"As demand for hospital care increases, patients	18		principal beneficiaries of that guidance.
19		discharged home may require a greater level of care than	19	Q.	All right.
20		they would do normally. Social and community care	20		Do you agree that there is also a failure or a gap
21		services may face particular challenges that	21		in this guidance to include advice in terms of when
22		include"	22		lockdowns or social distancing measures of any
23		There are a series of bullet points there.	23		description could or should have been implemented?
24		Just moving down below the bullet points:	24	Α.	Well, on lockdowns, I think it's fair to say from my own
25		"Care of individuals in the community therefore	25	<i>.</i>	professional experience I hadn't envisaged circumstances
20		89	20		90
1		where we would have locked down a whole society or,	1		Welsh Government's Wales Framework for Managing Major
2		indeed, a whole country in the way that we did in	2		Infectious Disease Emergencies.
3		March 2020. Of course self-isolation of individuals or	3		I know that the Inquiry has already looked at this
4		perhaps a family unit, or quarantine of a small defined	4		document. It's dated October of 2014. We can see at
5		population, are established principles in public health	5		the bottom left-hand corner it's issued by the Health
6		infectious disease control. But lockdowns took us into	6		Resilience Branch of Welsh Government.
7		completely unchartered territory.	7		I would just like to look at paragraph 1.1 on
8	Q.	But there's nothing in this document about any social	8		page 5, please, and 1.2 as well, if we could zoom in,
9		distancing measures of any severity, from mixing with	9		please, on those two paragraphs.
10		people within your community and that being restricted	10		We know that:
11		to the other end of the spectrum, which is lockdown; is	11		"This Framework sets out generic arrangements for
12		that a mistake?	12		the management of major infectious disease emergencies
13	A.	I would expect our health boards, working through their	13		by health services in Wales and should be considered in
14	,	local resilience fora, to be familiar with the basic	14		the context of the principles set out in"
15		tenets of social distancing as a regular or a normal	15		Then there's four more pieces of documentation and
16		practice in reducing community transmission. So at	16		guidance: the NHS Wales Emergency Planning Guidance, the
17		the if you like, that end of the spectrum, I'm not	17		Cabinet Office statutory guidance Emergency
18		sure that that needed formal guidance. But of course	18		Preparedness, and the non-statutory guidance Emergency
19		lockdowns I don't think were anticipated in the way that	19		Response and Recovery, and the Pan-Wales Response Plan
20		they were implemented, and that is the reason why they	20		"The Framework is supported by the following
21		don't appear.	21		guidance"
22	Q.	All right.	22		We've then got five more pieces of guidance:
23	æ.	Well, before we turn away from plans and guidance,	23		"The Communicable Disease Outbreak Plan for
24		I'd just like to look at two more documents, please.	24		Wales
25		The first is at INQ000089572, and this is the	25		"The UK National Framework for responding to
			20		or rational ramovolition toopoliding to

(23) Pages 89 - 92

1		an influenza pandemic"	1		The Communicable Disease Outbreak Plan for Wales,
2		Which we've already mentioned.	2		which we haven't discussed yet, that was really a plan
3		"Guidelines for Smallpox Response	3		for responding to local outbreaks, whilst this framework
4		"Wales Health and Social Care Influenza Pandemic	4		was for responding to infectious disease threats that
5		Preparedness & Response Guidance"	5		might affect the whole of Wales.
6		Which we've just looked at.	6		So I think it's important to recognise that there
7		And:	7		was some need for distinguishing between a plan to deal
8		"NHS Wales Guidance Mass Casualties Incidents	8		with something at a local level, between something at
9		A Framework for Planning."	9		a national level, but the general premise of
10		This was a complicated business, wasn't it?	10		simplification would have been helpful.
11	A.	It was.	11	Q.	All right, thank you.
12	Q.	Did you, in your role at the time, find it unhelpful	12		Well, let's look, please, finally, at the
13		that there were so many different pieces of guidance and	13		Communicable Disease Outbreak Plan for Wales, which is
14		planning documentation that would need to be assessed	14		at INQ000089575. Thank you.
15		and considered in the outbreak of a pandemic?	15		Can we go to page 2, please.
16	A.	I was very conscious that you had to have a good	16		Now, we can see under the preface, written in April
17		understanding of the range of organisations and guidance	17		of 2014:
18		to be able to navigate your way through this.	18		"In recent years, there have been multiple plans in
19	Q.	Right. Far better, do you agree, Dr Sandifer, to have	19		Wales for the investigation and control of communicable
20		a slimmed down, simplified set of guidance and plans for	20		disease. All these have contained very similar
21		everybody to be able to understand and follow?	21		guidance. Whilst it has been recognised that each
22	A.	There's absolutely no doubt that the principle of	22		individual plan was robust and fit for purpose, the
23		consolidating and simplification is a good idea, would	23		presence of several plans for use in outbreaks has
24		have been helpful, but I think it's just worth	24		caused confusion as to which plan should be followed.
25		recognising the context of a couple of these plans.	25		Therefore, at the request of the Welsh Government,
		93			94
1		a multi-agency working group was convened in 2008 to	1		development of this plan. We had a series of plans
2		draw the plans together into one generic template."	2		highlighted in bold here in the early noughties,
3		So just pausing there, this is a reaction in April	3		which we recognised caused confusion, as the first
4		of 2014 to multiple plans for the investigation and	4		paragraph states. The work began in 2008. The first
5		control of communicable diseases, so this was an effort	5		version of the Wales Communicable Disease Outbreak Plan
6		undertaken in 2008, to simplify things, but, as we've	6		was actually published in 2011, and then we kept it
7		just seen, in fact, although this one response deals	7		under review and this plan reflected an updating of the
8		with this particular area, when you look more widely	8		2011 communicable disease outbreak plan in April 2014.
9		there were still multiple pieces of guidance and	9	Q.	All right. Well, I want to look further back, please,
10		planning documentation that was still in existence?	10		because the Management of Communicable Disease Outbreak
11	A.	That's correct.	11		plan upon which this was based, taking into account what
12	Q.	Can we move down, please, to look at the full page.	12		you've just said about there being an interim plan in
13		Thank you. If we can go over to oh, in fact, before	13		2011, was first written in 1995; is that right?
14		we do that, can we just highlight what we can see in	14	A.	As I understand it, yes.
15		bold in the middle of the page, please. Because when we	15	Q.	And not updated until this plan was brought into force,
16		look at what was used to create this plan, we can see	16		although we can see that there was a draft update
17		that it's an amalgamation of a major outbreak of food	17		in 2007.
18		poisoning plan, an emergency framework for	18	A.	So there was a draft update in 2007, and then that plan,
19		health-related incidents and outbreaks in Wales and	19		together with the other two plans, consolidated into the
20		Hertfordshire from contaminated drinking water, and	20		2011 plan that I've just referred to.
21		then, finally, the model plan for the management of	21	Q.	Yes.
22		communicable disease outbreaks in Wales.	22		Why was the Management of Communicable Disease
23		So, so far as this Inquiry is concerned, that is the	23		Outbreaks plan not updated between 1995 and 2011?
24		model plan upon which this document was able to draw?	24	A.	I don't know the answer to that. It pre-dated my time
25	A.	Yeah, if I could just clarify something about the	25		in office.

(24) Pages 93 - 96

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1	Q.	Do you think that by 2011 the 1995 plan was massively
0		out of data?

- 3 Well, the 1995 plan had been updated, but it was in 4 a draft form --
- 5 Q. Well, according to -- I'm so sorry, Dr Sandifer, but 6 according to this note, although a draft update was in
- force in 2007, from what you've told us, that wasn't 7
- 8 formalised until 2011.
- 9 A. That's right.
- Q. All right. 10
- 11 But I would anticipate that our communicable disease
- 12 specialists would have been working to the most updated 13 plan, even if it was still in draft format.
- Q. But why wasn't it updated between 1995 and the draft 14 15 update of 2007?
- 16 A. I don't know the answer to that question.
- 17 Q. Does that concern you?
- 18 A. It is a very long time, and I would have expected our
- plans to have been reviewed and updated in a period of 19 20 12 years, at least once or twice.
- 21 Q. Yes. So does it concern you that it wasn't, according 22 to this note?
- A. If I -- yes, I mean, it's a concern that 12 years 23 24 elapsed between updating of a plan.
- 25 Q. Again, I have to ask: does the fact that the

- Cabinet Office Guidance ... states that there are 1 2 broadly 3 categories that need to be considered ..."
 - Then we have those with mobility problems, those with mental health or learning difficulties, and dependents such as children.
- 6 So it rather looks as if Public Health Wales were 7 taking their lead as to who would qualify to be 8 described as vulnerable from the Cabinet Office guidance 9 at chapter 5 of the emergency planning -- Revision to 10 Emergency Preparedness document?
- Yes, I would accept that. 11

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- 12 Do you think that that was an extensive enough
- description of who should be categorised and considered 13 as vulnerable? 14
- 15 A. Well, in hindsight and reflecting from today, no,
- 16
- Because it doesn't contain any mention of the elderly or 17 Q. those with comorbidities or those suffering from health 18
- 19 inequalities, does it?
- 20 No, it doesn't explicitly refer to those, but the way
- 21 I would expect this section of the plan to be
- 22 implemented is that the -- in the circumstances of
- 23 an emergency, a risk assessment would be undertaken.
- 24 The populations most at risk in the course of that
- incident would be identified, and the responders would 25

- communicable diseases outbreaks plan was in abeyance, 1
- 2 I'm going to suggest, demonstrate that this was not
 - a subject at the forefront of those who should have been
- 4 thinking and planning about it?
- 5 A. I don't think that's a fair characterisation of the
- 6 people who were responsible for discharging communicable
- 7 disease control during that period. It is a fact, and
 - I'm not disputing that, for a period of 12 years it
- 9 would appear that this plan was not updated, and I don't
- 10 have information to explain that.
- 11 All right. Well, I'd like to go back, please, just for
- 12 a moment to the emergency response plan that we've
- 13 looked at briefly, the Public Health Wales Emergency
- Response Plan, which is at INQ000089558, because I'd 14
- 15 just like to for a moment concentrate on what level of
- consideration was given by Public Health Wales in this 16
 - emergency response plan to vulnerable people.
 - We can see that consideration at page 25:
- 19 "Public Health Wales is required to give special 20 consideration to those who are made vulnerable as
- 21 a result of the emergency or who are less able to help
- 22 themselves in the circumstances of an emergency."
- 23 And we see at 14.5:
- 24 "Those who are vulnerable will vary depending on the 25
- nature of the emergency. For planning purposes the 98
- 1 pay due attention to those. But -- and that could be
- 2 a very, very long list, but this does reflect what the
 - chapter 5 says in the guidance.
- 4 Q. Has that narrow definition now been expanded?
- So Public Health Wales recognises that it needs to take 5
- 6 a more, to quote Alexander and Mann, "people-centred
- 7 approach" to its emergency response planning, and the
- 8 current guidance plan that was recently published takes
- 9 us some way toward that but acknowledges that there is
- 10 still further work to be done to address that issue.
- 11 Is that plan that you've just mentioned, the updated 12
 - plan, an update of this?
- 13 A. It is.
- 14 Q. What's the date of it, please?
- 15 May of 2023.
- 16 O. So the current position is that, as you've just told us,
- 17 Dr Sandifer, the definition has been broadened but on
- reflection now it needs to be broadened even further? 18
- 19 A. So it has been broadened and in very particular way to
- 20 take account of inequalities, recognising the particular
- 21 impact inequalities had during the coronavirus pandemic.
- 22 But it's my view that that plan represents a stage in
- 23 a process that still needs further development, and
- 24 I understand that my successor has had conversations
- 25 with his strategic partners to signal the need for us to

100

(25) Pages 97 - 100

1		continue that work.	1	found its way into the 2023 guidance?
2	Q.	Do you know when that will be completed?	2	A. That, I think, is simply a reflection of the scale of
3	A.	I don't know when that work will be completed. The plan	3	work that Public Health Wales is still engaged in, in
4		has just been approved and I would envisage that Public	4	the transition of Covid-19 into an endemic and the
5		Health Wales will attend to that now.	5	recovery work associated with that.
6	Q.	Are you able to help us with the detail of how that	6	MS BLACKWELL: All right. Thank you very much.
7		current definition still requires to be extended?	7	Those are all my questions for Dr Sandifer.
8	A.	Well, this definition is still within the guidance.	8	I understand, my Lady, that you've provisionally
9		These groups are still relevant categories	9	provided permission for Bereaved Families for Justice
10	Q.	Yes.	10	Cymru to have five minutes of questions on particular
11	A.	in any future plan. I would envisage that the plan	11	topics.
12		as it develops might move away from the expression of	12	LADY HALLETT: I have, thank you.
13		"vulnerable persons", although that is generally	13	Questions from MS SHEPHERD
14		recognised within the EPRR community, and set out more	14	MS SHEPHERD: Thank you, my Lady.
15		broadly the wider range of vulnerabilities in the	15	Dr Sandifer, I ask questions on behalf of Covid-19
16		population that the plan should consider.	16	Bereaved Families for Justice Cymru.
17	Q.	So I'm going to press you on this. What isn't contained	17	I want to look at an exhibit in your witness
18		in the current 2023 guidance that needs to be contained	18	statement. If we could have up on the screen, please,
19		within it?	19	INQ000089594.
20	A.	Well, the guidance does refer to inequalities, but	20	So this is the report to inform policy on airborne
21		I think it could go further and expand on what that	21	isolation rooms in major acute hospitals, and it's the
22		means in terms of different population groups, minority	22	Report of the Airborne Isolation Rooms Review Working
23		ethnic groups, for example, who were particularly	23	Group on behalf of Welsh Government.
24		vulnerable during the coronavirus pandemic.	24	If we have a look at the date, it's 18 October 2017,
25	Q.	For whatever reason that level of detail has not yet 101	25	and we can see the purpose and summary of the documen 102
1		at the bottom.	1	If we have a look now, please, at page 13 of this
2		If we look now, please, at page 2, in the	2	document
3		introduction, and it's the top paragraph, it says here:	3	LADY HALLETT: Ms Shepherd, just before you go on, can you
4		"Since 2006 NHS Wales Shared Services Partnership	4	alert me to which is the question I have given
5		Specialist Estates Services have surveyed and	5	permission for you to ask.
6		produced an annual report on all airborne isolation	6	MS SHEPHERD: Yes, my Lady, you gave me permission to ask
7		rooms in major hospitals across Wales. Every year the	7	why there hadn't been an update to the airborne
8		reports have concluded that many of these airborne	8	isolation facilities in accordance with this document.
9		isolation rooms are inadequate for the purpose intended	9	LADY HALLETT: Sorry, I must have lost it. Anyway, okay.
10		when assessed against current best practice."	10	MS SHEPHERD: If we have a look at page 13, the very bottom
11		Then the rest of that introduction just outlines why	11	bullet point of this page, it says:
		•	12	
12		there needs to be a working group to look at this.	13	"Current building structures do not support safe
13		If we then look, please, at page 3, next, these are		management of patients with infectious diseases, pose
14		the recommendations and this is an indicative list of	14	significant threats to the capacity of the hospitals
15		the organisms requiring airborne isolation facilities.	15	following admission even of suspected cases, pose
16		Subparagraph (f): "SARS like infections and MERS CoV".	16	a threat to the well-being of healthcare staff"
17		If we have a little look down at the bottom of the	17	So that was the case as at 2017. Ms Blackwell KC
18		page there are some recommendations. Recommendation 2:	18	put to you earlier some meeting minutes from 2019 when
19		"Every hospital in Wales with a 24-hour Emergency	19	the CMO, Sir Frank Atherton, had raised the issue with
20		Unit must have at least one Negative Pressure Suite	20	ability to deal with HCID infection. Was that still the
21		located within that Emergency Unit."	21	case as of January 2020?
22		Then the recommendation below:	22	, ,
23		"Every Health Board in Wales must have at least one	23	a concern, an ongoing concern, in July of 2019 with the
24		Negative Pressure Suite able to accommodate a case	24	Chief Medical Officer. I fully recognise this report
25		requiring respiratory isolation" 103	25	and I fully agree with the content of this report. 104

(26) Pages 101 - 104

1		I should state here for clarity: the responsibility	1	Q. Does that reflect a lack of urgency to deal with
2		for ensuring that there are safe isolation facilities on	2	infection control generally in hospitals?
3		the healthcare estate rests with the health boards in	3	A. Again, our health boards, who have responsibility for
4		Wales.	4	the hospitals in Wales, also have a responsibility for
5	Q.	Could we have a look, finally, please, in this document,	5	healthcare-associated infections and antimicrobial
6		at page 14, in the very top bullet point:	6	resistance, and again that was a journey that they were
7		"None of the Health Boards are designed to deal with	7	still on. Public Health Wales had worked with them over
8		such threats and there is no hospital in Wales currently	8	the years to address the performance and generally
9		that has a facility to manage emerging infectious	9	progress had been made, some had made better progress,
10		threats at an optimal level."	10	but we were not yet where I would have liked by the time
11		So since 2006 this has been raised, it was raised in	11	we reached the end of 2019.
12		this document in 2017, and it was raised again by the	12	MS SHEPHERD: Thank you, my Lady.
		CMO Frank Atherton in 2019, we get to January 2020 and	13	LADY HALLETT: Thank you, Ms Shepherd, I did find the
13		· · · · · · · · · · · · · · · · · · ·		• • • • • • • • • • • • • • • • • • • •
14		still nothing has been done to address this; do you	14	question in the end. Thank you.
15	^	agree?	15	Ms Blackwell.
16	A.	I agree that this was a significant area that, yes, had	16	MS BLACKWELL: Thank you, that concludes Dr Sandifer's
17		not yet been adequately dealt with over a very long	17	evidence.
18	_	period of time.	18	THE WITNESS: Thank you.
19	Q.	Does that reflect that there was a lack of urgency in	19	(The witness withdrew)
20		Wales to address this issue and lessons were not	20	LADY HALLETT: I think the suggestion is I should take
21		learned?	21	a shortened lunch break because we've got quite a heavy
22	A.	I can't speculate on the precise reasons for that.	22	afternoon.
23		As I say, Public Health Wales has flagged this as	23	MS BLACKWELL: Yes, my Lady, would you consider perhaps
24		an issue over the years, but the issue clearly was not	24	sitting again at quarter to? Thank you very much.
25		fully addressed by the time Covid-19 came along.	25	LADY HALLETT: Very well, quarter to. Thank you.
		105		106
1	(12	.58 pm)	1	Dr. Mary of 2010 years had become Cabinat County for
	,	• •	•	By May of 2016 you had become Cabinet Secretary for
		(The short adjournment)	2	By May of 2016 you had become Cabinet Secretary for Health, Well-heing and Sport, and you maintained that
2	(1.4	(The short adjournment)	2	Health, Well-being and Sport, and you maintained that
2	•	15 pm)	3	Health, Well-being and Sport, and you maintained that position when the name of that portfolio was changed
2 3 4	LAI	I5 pm) DY HALLETT: Ms Blackwell.	3 4	Health, Well-being and Sport, and you maintained that position when the name of that portfolio was changed in 2018 to Minister for Health and Social Services, and
2 3 4 5	LAI	IS pm) DY HALLETT: Ms Blackwell. BLACKWELL: Good afternoon, my Lady. May I please call	3 4 5	Health, Well-being and Sport, and you maintained that position when the name of that portfolio was changed in 2018 to Minister for Health and Social Services, and from May of 2021 you have been Minister for the Economy;
2 3 4 5 6	LAI	IS pm) DY HALLETT: Ms Blackwell. BLACKWELL: Good afternoon, my Lady. May I please call Vaughan Gething.	3 4 5 6	Health, Well-being and Sport, and you maintained that position when the name of that portfolio was changed in 2018 to Minister for Health and Social Services, and from May of 2021 you have been Minister for the Economy; is that right?
2 3 4 5 6 7	LAI	DY HALLETT: Ms Blackwell. BLACKWELL: Good afternoon, my Lady. May I please call Vaughan Gething. MR VAUGHAN GETHING (affirmed)	3 4 5 6 7	Health, Well-being and Sport, and you maintained that position when the name of that portfolio was changed in 2018 to Minister for Health and Social Services, and from May of 2021 you have been Minister for the Economy; is that right? A. That's correct.
2 3 4 5 6 7 8	LAI MS	DY HALLETT: Ms Blackwell. BLACKWELL: Good afternoon, my Lady. May I please call Vaughan Gething. MR VAUGHAN GETHING (affirmed) Questions from COUNSEL TO THE INQUIRY	3 4 5 6 7 8	Health, Well-being and Sport, and you maintained that position when the name of that portfolio was changed in 2018 to Minister for Health and Social Services, and from May of 2021 you have been Minister for the Economy; is that right? A. That's correct. Q. Thank you.
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	MS MS	DY HALLETT: Ms Blackwell. BLACKWELL: Good afternoon, my Lady. May I please call Vaughan Gething. MR VAUGHAN GETHING (affirmed) Questions from COUNSEL TO THE INQUIRY BLACKWELL: Thank you, Mr Gething, and thank you for the assistance that you've so far provided to the Inquiry. Thank you for coming to give evidence today. Your witness statement is at INQ000187304. If we go to page 20, please, we can see that it was signed on 19 April of this year, and can you confirm, please, that it's true to the best of your knowledge and belief? Indeed, yes. Thank you very much. We can take that down. Now, dealing with your professional background, so	3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	Health, Well-being and Sport, and you maintained that position when the name of that portfolio was changed in 2018 to Minister for Health and Social Services, and from May of 2021 you have been Minister for the Economy; is that right? A. That's correct. Q. Thank you. So you held roles within the Ministry of Health in one way or another since September of 2014, but before October of 2016 is it right that you had not been presented with the fact that a pandemic was a significant health risk to Wales? A. Well, I understood that if a pandemic happened it would be it wasn't, as it were, brought to my direct attention that it was something that I needed to be particularly prepared for. I had other priorities, not this.

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I undertook.

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you became a member of the Senedd for Cardiff South and

Penarth. In June of 2013 you were appointed as Deputy

Minister for Tackling Poverty, and in September 2014 as

Deputy Minister for Health.

108

I can't remember which officially it was, but described

the fact that there was a request for an exercise and

for my attendance and participation, which obviously

(27) Pages 105 - 108

- 1 Q. When you became Deputy Minister for Health, did you
- 2 understand at that stage that pandemic influenza in
- 3 particular was a Tier 1 risk for the United Kingdom?
- 4 A. No, it wasn't the focus of my role as Deputy Minister
 5 for Health
- 6 Q. Well, did you understand that it was a Tier 1 risk? It
- 7 might not have been a focus, but did you know at that
- 8 time --
- 9 A. No, no, because pandemic preparedness would have rested
- 10 with the Cabinet Minister for Health at the time. My
- 11 role was very much on NHS performance and the link with
- 12 social care in as far as it affected delayed transfers
- 13 of care.
- 14 Q. So until you became Minister, Cabinet Minister, you had
- 15 no knowledge that pandemic influenza was a Tier 1
- 16 hazard?
- 17 A. No, not in the way it's described now, no.
- 18 Q. Becoming Minister in May of 2016, did it take
- 19 five months for you to become aware of the pandemic
- 20 threat?
- 21 A. So when I became the Minister, the Cabinet Minister,
- 22 I received a briefing which did mention pandemic
- 23 preparedness but there wasn't an in-depth briefing, and
- 24 it was in the -- as I say, in the run-up to
- 25 Operation Cygnus, the Cygnus exercise, that I was much 109
- 1 business to understand what was the most pressing health
- 2 risk to Wales?
- 3 A. In the briefing that I had, it described the fact there
- 4 was work ongoing about pandemic preparedness, it
- 5 described the fact that this was something the
- 6 department was responsible for at the time of my
- 7 appointment. In terms of the most pressing risk, the
- 8 risk register I think describes if these things come to
- 9 pass these are the biggest risks that can happen. My
- 10 job, in terms of being the Health Secretary for all the
- 11 risks I had in front of me, were the very standard
- 12 things in a politically contested environment.
- 13 Q. Can we take it that if you didn't appreciate it was
- 14 a Tier 1 risk, you didn't read the National Risk
- 15 Register or the assessment?
- 16 A. No, I did not read the National Risk Register.
- 17 Q. Nor did you read any of the guidance and documents that
- 18 we've been through during the course of this Inquiry so
- 19 far? I'm going to describe it as a myriad of guidance
- and plans that were in force at the time dealing with
- 21 civil emergencies in general and pandemic influenza in
- 22 particular.
- 23 A. No. I'm aware you have gone through a range of plans
- 24 with previous witnesses and I did not read those on my
- 25 entry into the post.
- 111

- 1 better informed about the preparation for a pandemic
- 2 and, indeed, the potential scale of it, and its
- 3 relationship in the UK-wide risk register.
- 4 Q. So before the briefing in October of 2016, what did you
- 5 know? At the time that you became Cabinet Minister, did
- 6 you understand that pandemic influenza was
- 7 a Tier 1 risk?

17

- 8 A. Not in those exact terms, I think that would be wrong.
- 9 I knew that we had pandemic preparedness, and I'd seen
 - it and read my initial briefing, obviously, but not in
- 11 the way it's now described in the Tier 1 risk register.
- 12 It would be wrong for me to try to tell you that
- 13 I understood that it was in the Tier 1 risk register.
- 14 That was definitely in the run-up to and the briefing
- 15 for Cygnus.
- 16 Q. So what did you know of it in May 2016, what did you
 - know of pandemic influenza?
- 18 A. I knew that it was a potential risk. I'd obviously
- 19 lived in Wales and so was aware that swine flu had been
- 20 something that the government had had to deal with, so
- 21 it was a much -- much more general than the specific
- 22 awareness of it being a Tier 1 risk in the UK-wide risk
- 23 register.
- 24 Q. As newly appointed Cabinet Secretary for Health,
- 25 Well-being and Sport, didn't you think that it was your
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- 1 Q. When did you read them?
- 2 A. I've read some of them for the first time in preparing
- 3 for this Inquiry, because as a minister, for some of
- 4 those documents, they're not actually read -- for the
- 5 minister to read, it's about how the system, the overall
- 6 system is prepared. I did obviously receive briefings
- 7 on our pandemic preparedness from Cygnus onwards at
- 8 various points in time, and they're included in the
- 9 documents before the Inquiry.
- 10 Q. All right.
- 11 LADY HALLETT: Just pausing there, sorry, Mr Gething, like
- 12 me you speak very quickly and we have a struggling
- 13 stenographer.
- 14 A. Okay.
- 15 LADY HALLETT: So I have to train myself to try to slow
- 16 down.
- 17 A. I will try to speak slightly slower.
- 18 MS BLACKWELL: Thank you.
- 19 The Inquiry has heard much about the United Kingdom
- 20 influenza preparedness strategy of 2011, and you will
- 21 now be familiar with that document, Mr Gething.
- 22 A. Yes, I see it.
- 23 $\,$ Q. Yes. It doesn't feature at all in your witness
- 24 statement. When did you first read it?
- 25 A. I read it in advance -- I read it and looked over it in

- 1 advance of preparing for this Inquiry.
- 2 Q. So whilst you were in post as Cabinet Office Minister
- 3 for Health and Social Services, you hadn't read the
- 4 United Kingdom Influenza Pandemic Preparedness Strategy?
- 5 A. No, I'd received briefings on the strategy in advance of
- 6 Cygnus and indeed briefings on our own strategies in
- 7 Wales to try to implement those.
- 8 Q. Were you aware during your time in office that the
- 9 Welsh Government had implemented the strategy into their
- 10 own planning?
- 11 A. Yeah, that was my understanding, yes.
- 12 **Q.** All right. Does that mean that you were aware, then, of
- 13 the Wales Framework for Managing Major Infectious
- 14 Disease Emergencies?
- 15 A. I was aware of it insofar as I was briefed on it, but.
- 16 as I say, that document isn't written for ministers to
- 17 run through and to read through. And I think it's part
- 18 of the point where you sit as a minister within the
- 19 system and the choices you're required to make. If
- 20 I chose -- if I read all of those documents and others
- 21 within my brief, then I wouldn't get to do anything
- 22 else. It's a choice about how ministers' time is used.
- 23 Q. There is no mention of that document in your witness
- 24 statement. Can we take it from that that, although you
- 25 might have been aware of it, you didn't read it whilst
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- 1 I read that document, and looked over it more fully in
- 2 preparation for this Inquiry, but I received briefings
 - on the document and on the requirements in it around
- 4 Cygnus and afterwards, and you'll see it's mentioned in
- 5 a number of the documents that then come to me in the
- 6 briefings I received from officials.

- 7 Q. So did you read that document whilst you were in office?
- 8 A. No, I didn't read it in detail, because, as I say, I'd
- 9 received a briefing on what's generally required in the
- 10 documents and how that then would affect the choices you
- 11 might have to make as a minister.
- 12 Q. Were you aware as part of your briefing to that document
- that paragraph 1.3 says that it will not be possible to
- 14 stop the spread of the pandemic influenza virus in the
- 15 country of origin or in the UK as it will spread too
- 16 rapidly and too widely?
- 17 A. I'm aware that's the planning assumption and, as I say,
- 18 in the run-up to Cygnus the assumption of that exercise
- 19 was that flu was already here and the challenge of
- 20 dealing with an influenza pandemic, again the
- 21 assumptions were it would already be here in numbers and
- 22 it would be spreading. So that challenge was there.
- 23 But I don't want to -- in terms of the specific
- 24 paragraph and had I read that before, that's not
- 25 correct, but I was aware of the assumption, as you put

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- 1 you were in office?
- 2 A. That's correct.
- $3\,$ $\,$ $\,$ Q. Were you aware of the planning assumptions that form the
- 4 basis of both of those documents, the UK-wide and the
- 5 Wales document?
- 6 A. Yeah, and that forms part of the briefing that went into
- 7 Operation Cygnus and so it set out the point about the
- 8 risk register, it set out the planning assumptions on
- 9 an influenza pandemic being the most likely of the
- 10 pandemics that could come. So those planning
- 11 assumptions were part of that, and obviously they were
- 12 part of how Cygnus was run as an exercise.
- 13 Q. Did you ever question whether those planning assumptions
- 14 were tolerable or whether they might be mitigated?
- 15 A. I didn't question the assumption that an influenza
- 16 pandemic was the most likely of the pandemic risks, and
- 17 in terms of what was tolerable I think that comes into
- some of the things we went through in the Cygnus and
- some of the follow-on work, and then obviously we had to
- 20 deal with those as very live issues during the Covid
- 21 pandemic.
- 22 Q. When you were in office, were you aware of the Wales
- 23 Health and Social Care Influenza Pandemic Preparedness
- 24 and Response Guidance of 2014?
- 25 A. I wouldn't say I was aware of the detail of it. Again,

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- 1 it earlier, in planning terms.
- 2 Q. Do you agree with the evidence that the Inquiry has
- 3 heard from other witnesses that that was a flawed
- 4 doctrine, that really there should have been
- 5 consideration given to preventing the spread rather than
- 6 accepting that influenza would spread and that
- 7 mitigation of how to deal with the fallout from that was
- 8 all that the plan related to?
- 9 A. Yes. I've heard the witness evidence from a number of
- 10 people that it was a flaw to assume that it would be
- an influenza-type pandemic and it would already be here.
- There are, of course, questions you will come in to,
- 13 I'm sure, about how far you could either prevent or
- 14 contain something like that.
- 15 Q. If you didn't read these documents themselves and only
- 16 relied upon the briefings you were given, would you have
- 17 been aware of the absence in them of any guidance about
- 18 the scaling up of contact tracing, or moving patients or
- 19 quarantining or indeed lockdown?
- 20 A. Well, there's a number of points there. So the guidance
- 21 on a scaling up activity or moving patients, that was
- 22 part of what we went through in Cygnus, so I did have
- an understanding that if you had a pandemic of that
- scale, then you would need to make uncomfortable choicesthat would require moving people around the system.

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(29) Pages 113 - 116

- 1 Q. Yes.
- 2 A. And that would probably require stopping some things to 3 allow more capacity to be created.

4 So there was a final part to your question as well?

- 5 Q. Yes, contact tracing, moving patients around, 6 quarantining and lockdown.
- 7 Yes. So on quarantining, I have heard the evidence of 8 Dr Sandifer this morning and I did understand, because 9 of particularly some of the localised outbreaks we had, 10 that quarantining in community or family groups was
- 11 entirely possible, but not at the scale that was
- 12 envisaged and there was not a genuine consideration of
- 13 the scale or the depth of the Covid pandemic, so there
- 14 wasn't then a consideration presented to me -- and
- 15 I think this evidence is common to all nations in the --
- UK, of the scale of the lockdowns that were then 16
- 17 considered and implemented during the Covid pandemic.
- 18 So in terms of preparation there wasn't preparation that considered that scale of lockdown. 19
- Q. We'll come to deal with Operation Cygnus in a moment, 20 21 but just on the issue of your level of knowledge, did
- 22 you as Minister for Health, commission any research,
- 23 advisory papers or briefings to you as to what the 24 evidence was telling the scientists in terms of this
- 25 pandemic influenza hazard?
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- 1 around Cygnus, but I read it in the January, and that 2 was after, obviously, the first indications about Covid 3 in China.
 - Q. You tell us at paragraph 37 in your witness statement:

"My impression of the Plan, as a layman and someone without any previous experience or knowledge of pandemic preparedness, was that it was considered and reasonable. I do not think I first saw it [as we've established] until January 2020."

Is it right, Mr Gething, to describe yourself as a layman when you had been the Cabinet Minister for Health since 2016?

- 13 A. I'm describing myself in comparison to, for example, the people vou've already heard evidence from. I wasn't the 14 15 Chief Medical Officer or the Medical Director of Public
- 16 Health Wales or the people involved in emergency planning, so in that sense it is a lay perspective, but 17
- obviously compared to the wider population I've got 18
- 19 experience in government of doing a range of things.
- 20 Q. Describing yourself as having no previous experience or 21 knowledge of pandemic preparedness when you had been 22 four years in post might be surprising to some people.
- 23 A. Again, I think if ... I'm trying to be clear about the 24 difference between myself and people involved in the

25 detail of emergency planning. So compared to the 119

- 1 No, because I did rely on the briefings that I received,
- 2 on the advice I received. 3 Q. So nothing --
- 4 A. It's hard to second-guess the advice you're being given
- 5 as a minister, whether you're new or not, and to decide
- 6 which areas you do want to try to second-guess on it,
- 7 and I did rely on the advice provided and the provisions
- 8 within it, and obviously in hindsight there are good
- 9 reasons to question and to look afresh at what we do.
- 10 Q. But nothing in the briefings that you received, nothing
- 11 about the detail of the pandemic influenza threat caused
- 12 you to request any further advisory papers or evidence
- 13 gathering to take place in order to try to work out what
- 14 Wales needed to do to mitigate this threat?
- 15 No, I had advice from officials who had expertise, and A.
- 16 obviously you've heard the way our system is constructed
- 17 with Public Health Wales and others within it, that
- 18 advice was provided, and at the time I had no reason to
- 19 go and to want to go behind it or to question it, so
- 20 I did rely on the advice I was given.
- 21 Q. You tell us in your witness statement that you first
- 22 read the Pan-Wales Response Plan in January of 2020?
- 23 Α.

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- 24 Q. Yes, did you know of its existence before that date?
- 25 I think, again, it was referred to and briefed in and

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- 1 general population, I certainly had more experience and
- 2 knowledge, and I'm trying to be clear about that
- 3 distinction rather than trying to go beyond it.
- 4 Q. What level of contact did you have with the Chief
 - Scientific Adviser for Health, Dr Rob Orford?
- 6 A. I saw Dr Orford on a number of occasions through the
- 7 year. So in the pattern of that sort of engagement,
- 8 I would have a regular meeting with the Chief Executive
- 9 of NHS Wales, I'd have a regular meeting, normally at
- 10 least monthly, with the Chief Medical Officer, sometimes
- 11 sooner, and for some officials like Dr Orford I'd
- 12 probably see them three or four times a year in set
- 13 meetings. So, for example, some of the points that have
- 14 been described around investing in our genomics
- 15 capacity, some of that came from conversations with
- 16 Dr Orford and Dr Atherton about what we needed to do
- 17 So I was -- I knew who Rob was, I'd met him on several
- 18 occasions before we get into the depths of the Covid
- 19 pandemic.
- 20 Q. Between you taking office in 2016 and the onset of the
- 21 pandemic in 2020, were you aware that the Chief
- 22 Scientific Adviser for Health had no involvement in
- 23 pandemic preparedness planning?
- 24 A. No, I wasn't aware of that specifically, no.
- 25 Does that surprise you?

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(30) Pages 117 - 120

- 1 In retrospect, it is, because he had such a role in 2 giving advice to ministers when we actually had to deal 3 with the scale of the pandemic.
- Q. Were you aware during your time in office of the 4 5 Welsh Government risk register?
- 6 A. Yes, I knew we had a Welsh Government risk register.
- 7 Q. Did you ever read it?
- 8 A. No.
- 9 Q. Were you aware of the Health and Social Services Group 10 risk register?
- 11 Yes, and I would discuss that from time to time as(?) it
- was raised with me by the Director General at the time, 12 13 obviously who was Dr Goodall.
- 14 Q. Did you read it?
- No, I don't think I did go through and read the risk 15 16
- 17 Q. You tell us at paragraph 19 in your witness statement:
- 18 "My impression was that UK Ministers did not take ministers and officials from the devolved governments 19 20 seriously."
- 21 Is that how you felt all the way through your time 22 as Cabinet Office Minister? Cabinet Minister, sorry.
- It changed during the course of the pandemic, is --23
- 24 Q.

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- 25 So actually until that time, yes, that was my clear 121
- Again, I think that Mr Hunt's evidence does not disagree 1 2 with my evidence, he simply does not agree with the 3 positive points that I make that it was a choice. We would request meetings. We wrote on a number of issues. 4 5 We didn't write every week, it wasn't, "We'll write 6 a letter and see if we get a response", when we wrote on 7 real and serious issues we did not get a response or it 8 took a very long time to get a response, and that isn't 9 an accident. They knew who I was and what I was writing 10
 - In no-deal Brexit preparation, I did not meet the Cabinet Minister for Health, whether it was Mr Hancock or Mr Hunt, and again that's a choice. When you then have to deal with each other, as we did, you were starting relationships from anew with someone you'd never met, and I think that is suboptimal.
- LADY HALLETT: He did mention that there were good 17 relationships between officials even if the politicians 18 19 weren't getting on, as perhaps most of us would like to 20 think they would try to get on. In what way do you 21 think things might have been different if the ministers 22 had had the kind of contact one would hope and you were 23 talking about?
- 24 When ministers talk and pragmatically agree, it always 25 helps to speed up decision-making, and it can often help

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- impression; and, with respect, I think the evidence of
- 2 Mr Hunt confirms that.
- 3 Q. Did you raise your dissatisfaction with how the UK 4 ministers treated you as a devolved minister with any of
- 5 the UK ministers at the time?
- 6 Well, that was challenging, because there was a choice
- 7 about the lack of contact, which I go through in my
 - evidence. Correspondence would either not be answered
- 9 or take months to answer, as I set out. So it was one
- 10 of the challenges, was that -- the point about contact
- 11 was a deliberate choice from the UK side, and my view
- 12 has always been there is plenty of room for the
- 13 political disagreement but there also has to be space
- 14 made for pragmatic engagement because we always need to
- 15 do business together on a range of things.
- In your view, Mr Gething, did the strained ministerial 16 17 relations hamper pandemic preparedness in Wales?
- 18 Yes, I do think they had an effect, I think they would
- have been better if we had had some form of ministerial 19 20
- engagement beyond the Cygnus exercise.
- 21 LADY HALLETT: To be fair, I don't think Mr Hunt said that
- 22 he accepted that the UK ministers hadn't deliberately
- 23 contacted you or avoided you or treated you with
- 24 disrespect, what he said was there was a difficult
- 25 political relationship.

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to highlight risks and opportunities. I see that in my 1 2 current role as well as my previous one. So I do think 3 that if there'd been ministerial engagement even only 4 once after Cygnus it would probably have moved along 5 preparedness for all of us.

I say in my own statement that I think that there were good relationships between officials, but, as I say, I think it would have been assisted if, despite the fact that we are politically very competitive, especially around the politics of the health service, there should always be room for some pragmatism and you have to do business. You don't have to like the person

13 on the other side of the desk, but you should from time

14 to time meet.

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15 MS BLACKWELL: Thank you, my Lady.

16 Was it your experience as a minister, Mr Gething, 17 that the public-facing political concerns set the 18 agenda, and that was to the detriment of 19 forward planning for things like a pandemic influenza?

20 A. So the public facing concerns definitely affected the

- 21 agenda because every day something could happen that you
- 22 would end up having to deal with as the minister.
- 23 That's life in ministerial office, especially if you're 24 a health minister.
- 25 At the start of the term, what we're trying to do

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(31) Pages 121 - 124

- 1 was to deliver a long-term plan for health and 2 social care, and so I was trying to do that whilst dealing with the very headline issues as well. So, yes, 3 4 it did mean that other issues had less attention. 5 Antimicrobial resistance, for example, I know it was 6 mentioned in evidence earlier today, that was an issue 7 that got occasional attention between not just myself 8 but in the animal health field, veterinary health field 9 as well. Pandemic preparedness was never a subject of 10 questions, never a subject of scrutiny within the 11 Welsh Parliament, and I suspect that will change and 12 that will be a change for the better. 13 Does that mean at the time that there was limited -- it
- 14 was given a limited priority by the government?

It didn't have the same priority as those headline

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- issues that did take up lots of the life and the energy 17 of the government, and I know you've heard from other 18 evidence that there was -- preparedness was taking place but it wasn't -- it didn't have the same intensity that 19 20 trying to deliver a long-term plan had or responding to 21 the latest very public challenges that our whole system, 22 not just the minister, would have to deal with.
- Q. Do you think that there needs to be a check on what is 23 24 appearing or coming down the line in the long term as 25 well as the focusing of ministers' attention on the here
- But no, I wasn't aware, and of course 2009 is before 2 I was elected
- 3 Q. Yes, I understand that, but the result of that exercise and one of the recommendations being consideration given 4 5 to the social care sector, that wasn't something that 6 was brought to your attention? 7 Not in pandemic preparedness and planning terms, but the

social care sector was very important to me in terms of

- 9 running the whole health and social care system, that's 10 why we had a long-term plan for health and social care, 11 but not in the specific context of pandemic preparedness 12 and planning.
- Q. The first Exercise Cygnus, in 2014, which was held in 13 Wales, were you aware of that exercise at the time? 14 15 I think you might have been Deputy Minister for Health 16 at the time that that took place, or indeed shortly afterwards. 17
- Yes, so I started in September 2014 as the Deputy Health 18 19 Minister, but I wasn't aware of the Wales-only 20 Exercise Cygnus operation.
- 21 Q. All right. One of the recommendations that this Inquiry 22 has heard fell out of that exercise was the concerns around the capacity and readiness of privately owned 23 24 care homes to be able to cope with the likes of a pandemic and having their own contingency plans in 25

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2 Α. That's the difficult balance to strike. So at the end of 2018 and into 2019 I was dealing with a maternity -a significant cluster of serious harm in maternity 5 services in the Cwm Taf health board, so that was an issue that I wasn't aware of until it was brought to my attention by officials. I then had a meeting where we 8 then had to do a number of very difficult things, and that took time that -- that was entirely appropriate and 10 it was the right thing for my time to get directed at, 11 but it meant that other things then needed to move.

12 So there is the challenge of what comes up, where 13 you do need to shift your priorities, as well as your 14 point about longer term priorities, and that's 15 definitely a lesson learning point, not just for people 16 in the government but more widely as well I think.

17 I want to turn now to discuss with you your knowledge 18 and involvement in the exercises that the Inquiry has 19 heard so much about.

The Inquiry heard this morning in questions put to Dr Goodall about an exercise in April of 2009 called Taliesin. During your time in office, were you aware that that exercise had taken place?

- 24 It's Exercise Taliesin, Welsh poet.
- 25 Q. I do beg your pardon.

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- place. So that wasn't brought to your attention? 1 2 A. Not that specific -- in relation to the pandemic. The 3 broader points, though, about the future of the sector 4 were much more in my mind. And, as the deputy minister, delayed transfers of care is definitely an area where 5 6 you can't resolve that without health and social care 7 working together, but that's not the same as looking at 8 it through the lens of pandemic preparedness. 9 Q. Whilst you were in the role of Deputy Minister for 10 Health, were you aware of Exercise Dromedary that took 11 place in 2015? 12 Α.

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- Q. That was a Public Health Wales multi-agency exercise 14 dealing with the outbreak of one case of MERS CoV 15 coronavirus, in which surge capacity wasn't tested but 16 was mentioned. That's not something that was on your 17 radar as Deputy Health Minister?
- 19 was virtually everything that had a target on it, that 20 had a number, was then my responsibility, including all 21 of the media that went with it. So it wasn't actually 22 part of the role that I had as the Deputy Health 23 Minister

No, and essentially my role as a Deputy Health Minister

24 Q. You don't mention Exercise Alice in your witness statement, which we know was delivered in February of 25 128

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- 1 2016 by the Department of Health. Was that something
- 2 that was within your knowledge when you became Cabinet
- 3 Minister?
- 4 No. So when I became the Cabinet Minister in 2015, and
- 5 then in 2016 I am now aware that Exercise Alice has
- 6 taken place, but I think it was a Public Health England
- 7 led exercise, so I wasn't aware of it, no.
- 8 Q. Yes. In your briefing for Exercise Cygnus later on in
- 9 2016, were these previous exercises, and in particular
- I'm going to highlight the issues that they raised with 10
- 11 social care, not brought to your attention?
- 12 A. Not in the sense of pandemic preparedness. So around
- 13 the exercise and the briefing and the conversation that
- 14 takes place, then actually social care is part of the
- 15 system-wide challenge that you're trying to understand
- 16 and run an exercise for.
- 17 Q. Did you have any direct involvement in Exercise Cygnus
- 18 in October 2016?
- A. Yes, I attended ministerial meetings on both days as 19
- 20 requested. My deputy at the time also attended some of
- 21 those exercises as requested. So on both of the days
- 22 when ministerial attendance was requested we both
- attended and participated. 23
- 24 Q. It was a huge exercise, wasn't it?
- It was, it involved a range of people. I remember
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- 1 because there are a number of notes that come after it
- 2 and there is then the briefing I receive from officials,
- 3 so let's be clear about which report.
- Q. Well, I'll put it on screen. 4
- 5 Thank you.

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- Q. It's INQ000022792, please. 6
- 7 So this is the report following the three-day 8 exercise in October of 2016.
 - Can we go to page 6 and paragraph 3, please. Under
 - "Key Learning", thank you:
- 11 "The analysis of the evaluation reports from the 12 organisations participating in the exercise indicate
- that the UK's command & control and emergency response 13
- structures provide a sound basis for the response to 14
- 15 pandemic influenza. However, the UK's preparedness and
- 16 response, in terms of its plans, policies and
- 17 capability, is currently not sufficient to cope with the
- extreme demands of a severe pandemic that will have 18
- 19 a nation-wide impact across all sectors."
- 20 Let's just pause there. Do you remember reading 21 that in October of 2016?
- 22 A.
- Had you read it, would that have caused you some 23 Q. 24
- If I had read this, and with respect I think it was 25

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- 1 having the briefing with Dr Atherton and I remember
- 2 sitting down in the basin of Cathays Park in the control
- 3 centre where it was run from and participating in those
- 4 meetings.
- 5 Q. Was it important to you that all relevant learning from
- 6 Exercise Cygnus was carried forwards and incorporated
- 7 into Wales pandemic planning?
- Yes. And as I think I describe in my statement, 8 A.
- 9 I understood there would be lessons learning, there
- 10 would be an officials route(?), both within Wales as
- 11 well as with other partners, and I expected that the
- 12 learning from that would then be implemented. My own
- 13 impressions from the exercise were that there were
- 14 positives and there were things that I would expect to
- 15 be worked on.

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- 16 The way in which you describe it in your witness
- 17 statement at paragraph 67 is:
- 18 "I was advised that learning points had been
- 19 identified and would be implemented ... either in whole
- 20 or in part, or that there was a delay in implementation.
- 21 For that reason, I assumed, absent any advice to the
- contrary or questions in the Senedd, that the lessons of 22
- 23 Exercise Cygnus had been applied."
 - Did you read the Exercise Cygnus report, Mr Gething?
- 25 A. I can't recall which report are you referring to,

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- produced after October 2016, I'm not sure of the exact 1
- 2 date, but whenever, if I had read that paragraph, then
- 3 I -- I almost certainly would have asked extra questions
- 4 about what was then taking place, and there is definite
- 5 learning from that about -- again, I made the point
- 6 earlier about where ministers' time is used. If I had
- 7 read that I think that I almost certainly would have
- 8 asked more questions and asked for more assurance about
- 9 what was happening.
- 10 Can we take it that you didn't read the report?
- 11 No, I didn't. I did not read this report. I recognise
- 12 the front now in preparation for it.
- Q. Did your advisers feed back to you the fact that there 13
- 14 were real concerns about the capacity of the
- 15 United Kingdom preparedness and response?
- 16 A. My advisers fed back, and you've got the documents, that
- 17 there was a need for improvement, there was a need for
- 18 learning to be applied, and I received briefings on the
- 19 progress of those during 2017, 2018 and 2019, and I know
- 20 you've got some of the email trains around that as well.
- 21 Q. What steps did you take, then, to satisfy yourself that
- 22 Wales would be prepared for the next pandemic?
- A. Well, I engaged with the briefings I received from my 23
- 24 officials, and you'll know that at various points I have asked about follow-up from different parts of the 25

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(33) Pages 129 - 132

sector, and I know you've also been through, for example, the improvements, the investment in microbiology, a range of other things that happened. So there were different decisions that I made.

Looking back, I think it is fair to say that if I had put more ministerial time into this, then I may well have sped up preparedness.

Was social care on your radar as Cabinet Minister?

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- 8 9 A. Yes. I've set out earlier that social care was part of 10 the departmental responsibility, and at the start of the 11 term my deputy and I at the time invested fairly significant time and then through the rest of that 12 13 period in not just having the long-term plan to cover 14 both health and social care but actually direct time 15 with local government and with partnership boards 16 bringing health and the third sector together, because 17 we knew that you can't have a long-term future for the 18 health service without social care being part of the 19 answer.
- 20 Q. Did your advisers bring to your attention that, as part 21 of Exercise Cygnus, the local resilience forums had 22 expressed concerns that it was not possible to collate 23 an accurate picture of social care capacity because much 24 of the capacity lay with private providers?
- A. Not specifically in those terms, but I'm obviously aware 133

structure of the service, what improvement could look like, and the broader vulnerability within the sector as well.

So you're thinking about different models for social care so it's more sustainable, who the new actors are going to be. There are lots of relatively small family-run homes that give you different vulnerability. If people retire, that home can go and you potentially have a number of residents who may not be able to carry on. So that was a challenge, and the way that it's commissioned and funded is also a key risk as well. And all those challenges, in the way I've described them, would still exist now, but we're in a better position now than when I started, and I expect we'll be in a better position in the future, because, you know, social care is essential for the future of the health service and it's part of our -- it's part of our success story that lots of us can expect to live to be older and there is a need to plan for and to deliver against that.

- Did you take any action on this recurring issue that there appeared to be no register of private care homes in terms of the contingency plans for a pandemic or for any sort of outbreak that they might have? Did you take any action on that?
- 25 No, not on that specific point.

- of the structure of social care and how it has changed 2 significantly over the last few decades.
- 3 Q. You will be aware now that that concern is something 4 that was raised as far back as Exercise Taliesin, and 5 nothing appears to have been done about it in the 6 meantime; is that a concern that was ever brought to 7 your attention when you were Cabinet Minister for
- 8 Health? 9 Not in those specific terms. Like I said, the concerns
- 10 about social care were broad and not simply focused on 11 pandemic preparedness, it was much more about the 12 stability of the sector, given the demands that it
- 13 faces. And the structure of it is part of that too. Q.
- 14 Did you cause for any research, briefings, action to be 15 taken as a result of what you knew to be the problems 16 with social care during the time that you were Cabinet 17 Minister?
- 18 A. Yes, we invested in social care, it was part of our plan 19 for the future. I made deliberate choices to put parts 20 of the NHS allocated budget into partnership space that 21 had to be used by health and social care working 22 together through the seven regional partnership boards 23 that we'd created. So social care was definitely part
- 24 of what we were concerned about, and wanted to invest in 25 its future, and that did also take into account the 134
- Q. Are you aware, Mr Gething, that following 2 Exercise Cygnus there was a group created called the 3 Wales Pandemic Flu Preparedness Group?
- 4 Α. Yes

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- Did that group ever report directly to you? 5
- 6 I received briefings from that group. The named 7 individual who provided those briefings is 8 David Goulding, and he is referred to in the papers.
- 9 Q. Were you aware that during a meeting of that group, the 10 following was discussed:

"Adult social care and community healthcare.

"NR advised that there was currently an issue around who would be the SRO for the social care workstream within the Department of Health. He added that within Wales he was trying to establish where there was social care capacity but the task was extremely difficult as most of the care provision was provided by independent companies."

So, in other words, there was a restatement of the problem, knowledge of private social care?

21 Α. Yeah, and that -- that's part of what you're looking at 22 across the whole system, regardless of the purpose, 23 because understanding who's providing the care, 24 understanding the commissioning arrangements that are 25 largely led by local government and understanding the

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- variety of different homes in each of the 22 authorities 1 2 is part of the challenge we have, and had, when it came 3 to dealing for this in very real terms.
- 4 Q. Were you able to watch the evidence of Frank Atherton 5 from yesterday or have you been able to receive 6 a briefing on what that might have contained?
- 7 I've seen some of it. We had Cabinet yesterday so 8 I wasn't able to absent myself to watch all of it.
- 9 Q. All right. Are you aware, then, that in an email trail 10 from July 2018 there was concern expressed about the 11 pace of development of the review and guidance which 12 needed to be exposed to ministers, according to 13 Mr Atherton, along with resource issues? In fact 14 I think it might have been Mr Kilpatrick who sent the 15 first email, but there was a chain, I think, referred to during the evidence of Mr Atherton which indicated that 16 17 this concern needed to be brought to the attention of
- A. Yes, I'm aware of the email exchange that was referenced 19 20 vesterday
- 21 Yes. Was that brought to your attention?

ministers?

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22 Not specifically in terms of that exchange, but I know 23 you've heard other evidence about some of the other 24 steps that were taken, but, for example, I didn't then 25 get at that briefing saying, "We need you to intervene,

1 England first -- that's just the reality of travel patterns and population size -- that you would want to

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2 3 have that come through. So, again, if that was put in 4 front of me as a minister, I would -- and it goes back 5 to, I think, my Lady's questions about what could be 6 helped -- if I'd had a briefing that said, "We haven't 7 got this in the Department of Health in England, it 8 would be really helpful, and we also need to make sure 9 that we have a greater focus in the Welsh Government", 10 one of the things you could have done as a minister 11 would be to say, "I want to know more about this, I want 12 to know what has happened within a certain timescale", and often that is something you do, say, "I want to know 13 14 within three months what's happening, and I want to have 15 the officials in front of me", that kickstarts people to 16 do things within a timescale, and you could have written or asked for a conversation with a UK minister, and 17 that's one of those challenges, where you do have 18 19 correspondence, it isn't political, where not having 20 better relationships does get in the way of doing

21 government business that has to take place. 22 One of the issues which Wales could have grappled with, 23 without having to wait for the Department of Health to 24 act, is getting to grips with private care home capacity 25 and ensuring that they had in place contingency plans,

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1 Minister, because we're not making enough progress". 2 And there are times where you intervene and you say, 3 "I want to know what's happening", or, "I want to meet 4 officials", and it does accelerate progress and provide 5 renewed focus, but I don't recall ever being briefed on 6 that particular exchange or its outcome in relation 7 specifically to, "We want you to be more engaged in 8 pandemic preparedness to make sure that we accelerate 9 the work that we're doing".

Q. One of the concerns expressed in that email train was

11 the fact that the Welsh Government appeared to be 12 waiting for the Department of Health to issue guidance 13 before it could assess and review its own plans and 14 guidance. Do you consider that to be a problem for

15 Wales, reactive rather than proactive? 16 Well, I think it's always part of the challenge when you

17 want to work across the four nations about where and how 18 you do that, and to do that in a way where you can have your own plans to do what you want to in Wales, whereas 19

20 in the pandemic scenario you know it's likely to affect

21 all four nations and so you want some deliberate

22 consistency. Or if you're going to do things

23 differently, to understand why. And because England is

24 a much bigger block within the UK, and it's likely that

25 if you have a future pandemic it will come through

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isn't it? 1

2 A. So I think that's fair. I think it's fair to say that

3 we could have made more progress with our own sector. 4 The prime concern about the social care at the time was

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its vulnerability and sustainability full stop, and so

6 actually that still means needing to understand who is

7 in the sector. In general terms you had

8 an understanding about the average size of homes, but

9 actually the more granular detail, to understand, with

10 local authorities in particular but also the health

service, the numbers of homes, where they are, and in 11

12 this area their infection prevention and control

13 procedures in general terms as well as the specifics

14 around the pandemic.

15 Q. Right. Finally, Mr Gething, I want to ask you about 16 PPE.

LADY HALLETT: It's all right. 17

MS BLACKWELL: Not at all, I was just pausing. 18

19 LADY HALLETT: It's the pollen.

20 MS BLACKWELL: It's affecting us all.

21 At paragraph 35 in your witness statement you say:

22 "We have learned a great deal about the importance

23 of PPE supplies, the adequacy of our stockpile, the

24 importance of secure supply chains and the trade-offs

25 between price and security of supply."

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What do you mean by the trade-off between price and security of supply?

So we had operated a just-in-time system where price drove most of our procurement decisions, including on PPE, and one of the lessons is about the length and the fragility of those chains and, again, I think it's common evidence from a number of people that they collapsed in the face of the pandemic. So if we want to be more resilient in the future we would need to carry on investing in PPE production that is closer to home. That would almost certainly mean that it will cost more, and the challenge there is that whoever is in whichever part of the government across the four nations of the UK, there are political and financial choices that come with that.

If you want to invest in having a supply that you know is more expensive than you could buy in another part of the world but you're doing it because you want to make sure you don't have fragility if there is a future pandemic, you've got to be prepared to do that, and that has a budget consequence to it.

It's the same with other areas of preparedness as well, and, you know, I have looked at Mr Hunt's evidence and he talks about South Korea, so not just on PPE but on improving capacity in laboratories, for example,

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whether or not it was sufficient.

Can we highlight, please, the table in the middle of the page.

4 A. Yeah.

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This is the quantity of items in the PIPP stockpile, as we've just established, in March 2020, and how long it lasted: aprons, six weeks; eye protectors, ten weeks; type IIR masks, which are of particular use for care homes and hospitals, 5.5 weeks; FFP3 respirators; 10.9 weeks; gloves, singles, 1.5 weeks; hand sanitiser, 4.3 weeks

Even if we acknowledge that the assumptions that the Welsh and UK Government were planning for were not strictly adhered to by the Covid-19 pandemic, in fact in some senses they were worse, weren't they? Do you agree that the PPE stockpile in Wales was woefully inadequate to deal with the pandemic that was being planned for?

A. Yes, so our collective planning assumptions did not 18 19 stand up against reality. So they were not adequate for 20 the challenge we then faced, and that is set out in the 21 table. I think it's very, very clear. And that --

LADY HALLETT: I don't think, with respect, sorry to 22 interrupt, that wasn't the question. 23

24 Sorry.

LADY HALLETT: The question was the planning was for

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well, that isn't just the capital involved in building a facility, you need the people to do that, and you've got to invest on a recurrent basis for that to happen.

So those are choices and that resilience, if you're looking to build it in, if you need more capacity you can't avoid the fact that that will cost more money, and that's a choice that we have to be prepared collectively to make. I think that holds regardless of who is in government in whichever part of the UK.

10 Were you aware that Welsh and UK-wide planning Q. 11 assumptions were to plan for successive flu waves to 12 each last approximately 15 weeks in duration?

13 A. Yes.

14 Q. All right. I'd like to just look, please, at the 15 document which sets out the stockpile held in 16 South Wales of PPE.

It's at INQ000066526.

Just reminding ourselves that the central PPE stockpile for Wales was the pandemic influenza preparedness programme stockpile. This is Audit Wales' report entitled "Procuring and supplying PPE for the Covid-19 Pandemic". It's dated April of 2021.

Now, this will be the subject of evidence in later modules, but I'd just like to look, please, at page 21 and paragraph 1.26 to ask you about the planning and

something that was going to last for 15 weeks. 1

2 A.

3 LADY HALLETT: The point that Ms Blackwell is making is that 4 it didn't even last 15 weeks, not just Covid.

No, and that was -- and I remember having a conversation 5 6 about this time. I want to try to avoid going too far 7 into the actual response.

We were going through PPE at a much faster rate than 9 our planning assumptions assumed we would, so actually 10 we found that our stockpile that should have lasted for 11 a whole wave didn't. And, you know, the rate at which 12 we were going through it was much faster than we 13 expected, and it's why in the very early stages this was 14 one of my major concerns in practice. But I don't want 15 to get drawn too far into what I know we'll be going 16 through in Module 2.

MS BLACKWELL: So do you agree, Mr Gething, that the plan 17 18 that was in place was not just a plan for the wrong 19 pandemic, but it was an inadequate plan in any event. 20 even for the pandemic that it was being created for?

21 Α. I think it's fair to say that the plan for an influenza 22 pandemic would still have had challenges. If we'd had 23 an influenza pandemic -- I hesitate to say it was 24 inadequate because it's such a loaded term, but it

certainly -- with all the evidence that I've seen, and 25

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	UK Covid	l-19 Inquir	у	
1	I know the Inquiry have seen, the planning for	1		Welsh Government's pandemic planning?
2	an influenza-type pandemic was not complete, and we	2	A.	No, I didn't ask for royal colleges to take p
3	would have had vulnerabilities if it had been	3		specifically. In the way that pandemic plai
4	an influenza pandemic.	4		undertaken, you have had this evidence fr
5	Q. Thank you.	5		people, officials within the Welsh Government
6	My Lady, those are all the questions I have for	6		with a range of partners, but I'm not sure v
7	Mr Gething. You have provisionally provided permission	7		did engage with the royal colleges or not.
8	for a series of questions all to be asked by Covid-19	8		not see Rosemary Gallagher's evidence o
9	Bereaved Families for Justice Cymru. Please may that be	9	Q.	Were you aware that in her report in 2011
10	done now?	10		Dame Deirdre Hine, this was following the
11	LADY HALLETT: Certainly.	11		pandemic, she advised as to increased sta
12	Questions by MS SHEPHERD	12		engagement in pandemic planning?
13	MS SHEPHERD: My Lady.	13	A.	Yes, I'm aware of that in preparation for th
14	LADY HALLETT: Ms Shepherd.	14		I'm not sure if she defined the list of stake
15	MS SHEPHERD: Mr Gething, my name is Laura Shepherd and	15		but I'm aware that it was one of the recom
16	I appear on behalf of Covid-19 Bereaved Families for	16	Q.	I'm going to move on now to ask you abou
17	Justice Cymru.	17		capacity. So you have been asked questi
18	I'm going to start off by asking you about	18		sufficiency of the PPE stockpile, and I kno
19	stakeholders. I don't know if you heard the evidence of	19		heard the evidence that was given earlier
20	Rosemary Gallagher that she gave last week. She spoke	20		Andrew Goodall, and he spoke about the
21	about the engagement or perhaps lack thereof that she	21		was in place as to surge capacity and how
22	had with the United Kingdom Government. Did you as	22		finalised before January 2020.
23	Health Minister invite the Royal College of Nursing or	23		When it got to the pandemic, do you a
24	the British Medical Association or any other clinical	24		was a difficulty with surge capacity in Wale
25	stakeholder groups to have input into the 145	25	A.	Yes, there was a difficulty in surge capacit 146

as in the rest of the UK, and the challenges, during 2 a surge capacity it's not just a facility, it's people 3 you need, and that is the big challenge. So if you 4 could invest in more people you have more capacity to surge to, but those people will be working before you 5 6 need to change things. So the ability to surge is about 7 people, it's partly about facilities, it's also -- and 8 I think I went through this briefly in questions 9 earlier -- you have to choose what you're not going to 10 do to create that space, whether that's the number of 11 people who you would then expect to provide care to give 12 you more capacity, or whether that is stopping some services from happening, or reorganising the way that 13 14 15

Q. Were those choices made in preparation or were they made

16 at the time when Covid hit? So some of that work had been done in preparation, 17 I know you heard this from Dr Goodall, the work hadn't 18 19 been concluded but the thinking about it was not 20 starting from a zero base. The challenge then is when 21 it happens you have to think very quickly. That's why 22 the workstream on regulation is relevant as well, 23 because part of that surge capacity was a change in 24 regulation that was swiftly introduced, and was 25 envisaged, actually, in terms of pandemic preparedness, 147

o, I didn't ask for royal colleges to take part pecifically. In the way that pandemic planning was ndertaken, you have had this evidence from a range of eople, officials within the Welsh Government worked

ith a range of partners, but I'm not sure whether they d engage with the royal colleges or not. But I did ot see Rosemary Gallagher's evidence on this point.

ame Deirdre Hine, this was following the swine flu andemic, she advised as to increased stakeholder ngagement in pandemic planning?

es, I'm aware of that in preparation for this Inquiry. n not sure if she defined the list of stakeholders, ut I'm aware that it was one of the recommendations.

n going to move on now to ask you about surge apacity. So you have been asked questions about the ufficiency of the PPE stockpile, and I know that you eard the evidence that was given earlier by ndrew Goodall, and he spoke about the workstream that

as in place as to surge capacity and how that wasn't nalised before January 2020.

When it got to the pandemic, do you agree that there as a difficulty with surge capacity in Wales?

es, there was a difficulty in surge capacity in Wales.

to allow you to have people put back on the professional registers to undertake work they wouldn't otherwise have done, and that of course relies on those people wanting and being prepared to come forward.

So I guess fair to say that the work wasn't complete, but it doesn't mean that nothing had happened, because when the time came there was thinking to rely on as well as the need to make choices.

9 Q. I just want to have a look at some of the planning 10 presumption when it comes to surge capacity.

11 If we could have up on the screen, please, INQ000089573.

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This is a document you've already been referred to today, this is the Wales Health and Social Care Influenza Pandemic Preparedness and Response Guidance dated February 2014.

If we could have a look at page 7, please. So in the box there in the middle of the page, the very top row in that box savs:

"Up to 50% of the population could experience symptoms of pandemic influenza over one or more pandemic waves each lasting 15 weeks."

Then if we look a couple of boxes down it says: "1-4% of symptomatic people may require hospital treatment."

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So that's up to 2% of the entire population of Wales
in hospital, potentially in a 15-week period. Was Wales
prepared for that?

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Not in the sense we had the hospital capacity at the start of the pandemic. That's a simple matter of the maths of the matter. To be prepared to know that you might have to do that in general terms we didn't have a granular plan on: here is how we will create that capacity.

I think it's also fair to point out. Ms Shepherd. that the planning assumptions are on the reasonable worst-case scenario, so that is if you're not taking action, and actually of course we did act, and the assumptions are these things can happen if you don't take action.

What we did meant that fortunately 2% of the population did not require hospital treatment, and to create the capacity for that -- again, I'm trying not to get drawn into Module 2, my Lady, but of course we did have to rapidly go through some of the challenges around field hospital expansion.

22 Q. I'm going to move on now then to excess deaths, and what I mean by this is the measures in place to deal with that, body bags, mortuary capacity and the ability to 25 maintain the dignity of those who died.

a bad death is and understanding that that has a real impact on people who are left behind, and when we started and had to consider the measures we might have to take immediately for mortuary capacity and for crematoria -- and I knew that crematoria capacity and there were plans that had gone through and been discussed with partners about how to increase crematoria capacity, but actually all of this work was not fully completed, and that meant that when Covid came, we were not as prepared as we could and should have been, and that does, yes, Ms Shepherd, lead to additional pain for bereaved families.

- Q. Do you accept that you had responsibility to plan for 13 14
- 15 Yes, I'm the minister in the government, of course it's 16 my responsibility.
- There is one final area I wanted to ask you about, and 17 Q. that's infection control and in particular isolation 18 19 rooms in hospitals. The evidence that we heard earlier 20 today from Dr Sandifer, and we also heard it yesterday, 21 was there was no provision in Wales whatsoever to deal 22 with HCIDs, which means that if people in Wales -- if 23 someone in Wales has an HCID, they're taken to London or

24 I think it's Newcastle. Do you accept that lack of 25 preparedness for even one case of SARS in Wales reflects

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According to a Welsh Government document from the 2 Technical Advisory Group, there were 2,257 deaths 3 involving Covid-19 between 1 March 2020 and 31 May 2020, 4 so that's a period of 12 weeks. Wales was not prepared 5 for that number of deaths, was it? 6 A. I think in terms of the briefing that I had, excess 7 deaths were mentioned. I was aware there was work on 8 excess deaths that needed to be completed. I don't 9 think that work was finalised. The most difficult part, 10 I think, is not the physical capacity, it's the dignity 11 in death that I found most difficult. 12 Q. If we could have a look again, please, at the 13 INQ000089573 document, page 7. Again we've got excess 14 deaths below the table on page 7, and again it says 15 here: 16 "Planners should aim to be able to cope with 17 between 12,000, and 15,000 deaths in Wales ..."

That's over a 15-week period, and we had just over 2,000 deaths in Wales over a 12-week period. Do you accept that that lack of preparation led to real pain and suffering of the bereaved in Wales?

22 A. I think, in honesty, addressing the issue of excess 23 deaths does lead to pain and suffering and it did lead 24 to it, because, as I say, one of the things I found most 25 difficult was the dignity in death, and knowing what 150

1 a big oversight from those who were responsible for 2 pandemic preparedness?

3 A. No, and I think the reason is important. So if you have 4 two centres in England, you're dealing with very small 5 numbers of a high-consequence infection. The challenge 6 we are looking at is how do we make sure we have the ability to deal with a small number of high-consequence 8 infections. For the scale of the pandemic, the centres 9 in London and Newcastle could not cope and would not 10 reasonably cope. Actually the challenges around how you 11 surge capacity, as we had to with intensive care and 12 more, is much more relevant to pandemic preparedness and 13 coping with a genuine pandemic, as we did in the early 14 stages of containment, and I think Chris Whitty has 15 given evidence on this as well, I don't think it would 16 have made any difference if we'd had a centre in Wales. 17 If that had been in the Heath in Cardiff, if you live in 18 Betws-y-Coed, that wouldn't have been the place you 19 would have gone anyway. So there is a challenge about 20 understanding the difference between a very small number 21 of high-consequence infections -- if you recall, when 22 there was a nurse who returned from the work she was 23 doing and the challenge around Ebola, actually that is 24 where you have those very limited number of high

consequence facilities. The pandemic is a different

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1		order.	1	MS BLACKWELL: Would that be a convenient moment to take our
2	Q.	SARS was an HCID until March 2020 and there was not	2	afternoon break before the final witness of the day.
3		sorry, Covid coronavirus and SARS was HCID until	3	LADY HALLETT: Yes, of course. I'm just trying to think
4		March 2020, and there was not one single isolation unit	4	it's very important that we finish Mr Drakeford's
5		in Wales that could deal with it, was there?	5	evidence today.
6	A.	Not at that time, no. And, as I say, in terms of	6	MS BLACKWELL: Perhaps ten minutes? Sorry, you're getting
7		I think we are maybe talking about different points	7	mixed messages.
8		here. Having an individual unit with a small number of	8	LADY HALLETT: I am getting mixed messages. Ten minutes.
9		beds would not have prevented the challenges of the	9	3 o'clock.
10		pandemic. The scale and the depth of what we needed to	10	(2.50 pm)
11		prepare for and to deal with would not have been changed	11	(A short break)
12		if we'd had four high-consequence infection beds at	12	(3.00 pm)
13		University Hospital Wales in Cardiff. That's the point	13	LADY HALLETT: Mr Keith.
14		I'm trying to make. I think there's a danger that you	14	MR KEITH: The First Minister of Wales, please.
15		say, "This is the issue", when actually it's the much	15	MR MARK DRAKEFORD (affirmed)
16		broader challenges of preparedness I think that are the	16	Questions from LEAD COUNSEL TO THE INQUIRY
17		real challenge.	17	MR KEITH: Would you be good enough to give your full name,
18	MS	SHEPHERD: Thank you, my Lady.	18	please, to the Inquiry.
19	LA	DY HALLETT: Thank you very much, Ms Shepherd.	19	A. Mark Drakeford.
20	MS	BLACKWELL: My Lady, that completes Mr Gething's	20	Q. Mr Drakeford, thank you very much for the assistance
21		evidence.	21	that you have so far provided to the Inquiry by way of
22	LA	DY HALLETT: Thank you very much for your help,	22	the provision of a witness statement. Is that a witness
23		Mr Gething.	23	statement dated 21 April 2023 to which you've appended
24	THE	E WITNESS: Thank you.	24	your signature and declared the statement of truth?
25		(The witness withdrew)	25	A. It is.
		153		154
1	Q.	Mr Drakeford, whilst you give evidence, could you please	1	at the time of the swine flu pandemic before that?
2		keep your voice up whilst at the same time not falling	2	A. That's true.
3		into the tran into which a number of other witnesses	3	O All right

into the trap, into which a number of other witnesses 4 have fallen, of speaking too fast for our stenographer. 5 Mr Drakeford, it is very well known that you are the 6 First Minister of Wales and you have been so since 7 December of 2018. But before that, from March 2013, 8 were you Minister for Health and Social Services, and 9 from May 2016 Cabinet Secretary for Finance and Local 10 Government, and then November 2017, Cabinet Secretary 11 for Finance?

12 A. I was

Q. Your experience, therefore, reflects or is important for 13 14 a number of areas in this module, because in practice 15 you've worked inside, and of course you now lead, the 16 Welsh Government since the outset of devolution, and 17 you've worked as both Health Minister and Minister for Local Government, and therefore you have great 18 19 experience of dealing with the system for health 20 emergencies, as well as, of course, the resourcing for 21 the preparedness structures in Wales.

22 Did you also have to deal with the Ebola outbreak 23 whilst you were Health Minister?

24 That did happen while I was the Health Minister, yes.

You may indeed even have been an adviser in government 25 155

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I'd like to start asking you questions, please, about devolution and the position concerning civil contingencies.

7 You are, of course, familiar with the Welsh 8 Ministers (Transfer of Functions) Order 2018. That is 9 a hugely important piece of legislation, secondary 10 legislation, which came into force on 24 May 2018, and

11 it transferred functions from ministers of the Crown in

12 London to Welsh Government ministers in relation to the

13 field of civil contingencies, as well as, I should say,

14 a large number of other matters; is that broadly correct?

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16 That is correct. Α.

17 So that we can understand the position, prior to that date were there a number of functions, activities, 18

19 executive functions which were already within the

20 competence of the Welsh Government, that is to say that

21 they were not reserved, they were devolved matters, but

22 they had not practically been transferred to the

23 Welsh Government enabling you to be able to carry them

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Well, the Welsh Government had been involved in dealing 25 156

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1		with givil amarganaian from the years start of
		with civil emergencies from the very start of
2		devolution. The very first year I was part of advising
3		the Welsh Government we had a series of events in
4		flooding, in fuel protests and foot-and-mouth disease,
5		which illustrated that in fact, if not in law, the
6		Welsh Government was drawn in to responding to those
7		civil emergencies, and that continued to be the case in
8		the decade and more thereafter.
9	Q.	But legally some of the functions which you were
10		required, obligated to carry out would or were on one
11		view still reserved matters which had not been converted
12		into devolved matters, enabling you to act legally, or
13		were matters which had been changed into devolved
14		matters but the piece of secondary legislation formally
15		confirming that they were powers that you could exercise
16		hadn't yet gone through, but you just got on with the
17		job?
18	A.	In many ways the Transfer of Functions Order regularised
19		the way in which things had happened on the ground for
20		some time.
21	Q.	An important part of that order concerned part 1 of the
22		Civil Contingencies Act 2004, the United Kingdom

Presumably, in anticipation of the Transfer of Functions 1 2 Order being made, and it was an order which you had 3 sought of course, politically the Welsh Government had for some time stated to the United Kingdom Government, 4 5 "It is time for these functions to be transferred, let 6 us make de jure what is already de facto", presumably 7 you had to make sure that the Welsh Government 8 structures, in terms of resourcing, the personnel, the 9 group or committee structure, was ready to discharge the 10 new functions which it would be allowed to carry out?

legislation concerned with civil contingencies, because

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until the order was made transferring functions from

ministers of the Crown to the Welsh ministers, the

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Well, the normal pattern would be that a transfer of 12 functions is accompanied by a transfer of funding to discharge those functions, because those functions are 13 already being discharged elsewhere. So you no longer 14 15 need to discharge them at central government level and 16 the funding follows. So it would be true that the 17 Welsh Government was responsible for making sure that, in terms of structures, that we were ready to discharge 18 19 those new responsibilities. In this case, as I think 20 the Inquiry will know, no funding followed from 21 the United Kingdom Government for these purposes. So 22 funding had to be found from within wider 23 Welsh Government resources that would otherwise have 24 been used for other purposes.

25 So looking at those three areas of resources, personnel 159

Welsh Government was unable to pass regulations under 1 2 that Act and also formally to discharge the functions 3 specified by the Act itself; is that broadly correct? 4 That is correct, yeah.

5 Q. There is evidence before my Lady's Inquiry that until 6 the Transfer of Functions Order, much of the discharge 7 of civil contingencies powers by the Welsh Government 8 was therefore framed not by that legislative framework 9 or the legality of the functions order, but by the views 10 of the First Ministers in place at the time and senior 11 Welsh ministers. You were, in other words, forced back

12 into the position of making decisions yourselves as 13 ministers, as executive ministerial decision-makers, 14 regardless of the legal position and the application of

15 the Civil Contingencies Act; would you agree? 16

Only in part, I think, because many of the emergencies 17 which were dealt with over that period relied on other 18 powers which were already in the hands of Welsh ministers. 19

> So I could give you one example, while I was the Health Minister there was a major measles outbreak in South Wales. It was a public health emergency, but all the powers that we needed to respond to that were already in the hands of Welsh ministers through the Public Health Act of 1984.

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and the structure, in terms of resources, the Welsh Government was keenly aware that it would need extra resources in order to be able to discharge the additional functions which it was empowered to operate or would be empowered to operate from May 2018. Did you seek from the United Kingdom Government

additional resources to enable you to carry out those new functions?

9 Yes. A.

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10 Q. What was the response?

11 Cabinet Office said that they had been unable to 12 identify any expenditure that they had undertaken to discharge those functions, and therefore there was no 13 14 money to be transferred. They could not identify any 15 discrete sums of money used for those purposes in Wales, 16 and therefore there was no sum of money that they could 17 identify to accompany the transfer of responsibilities. Q. So, in effect, because they were unable to specify how 18

19 much the existing discharge of those functions cost 20 them, in the general kitty, perhaps the general 21 consolidated fund, they would not give you and could not 22 give you the same amount of money in order for you to 23 then discharge those functions going forward?

24 A. That was their argument.

25 Therefore you fell back, of course, on your own funding 160

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A. We did. We employed eight new -- or we created eight new posts within the Welsh Government to enable us to discharge these new responsibilities. But they were funded, as I said, not from the new money that you might

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sources?

to Wales for the functions that the Welsh Governmentalready possessed.

have expected, but from money that was already devolved

- 9 **Q.** May we take it from the fact that post-Covid the
 10 relevant risk and resilience directorate or division has
 11 many more people now in it than was the case in 2018,
 12 that the number of people you were able to fund fell
 13 short of, to use a word from earlier in the evidence,
 14 the optimum? You would, all other things being equal,
 15 had you had the money, have preferred to fund
- 16 considerably more people than the eight that you were 17 able to do so?
- 18 A. Well, my experience as Finance Minister taught me that
 19 you always had to think of two things: you had to think
 20 of the amount of money that you have available, but you
 21 also have to think about the capacity of a system to
 22 absorb that funding. Sometimes it's not just a matter
 23 of finding the money. Systems can't spend the money -24 can't spend the money wisely if you provide it all too

quickly. Capacity has to be built up over time. In the

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- 1 were more about allowing resources that were already 2 there to be deployed more effectively. I'm not saying 3 for a moment that there was no case for additional 4 resources, but the primary case was: you have 5 a resource, the powers that they need are not in the 6 right place, when those powers are transferred, those 7 people who are there already would be able to do their 8 job more effectively.
- 9 Q. Finally, in relation to the structure, there was 10 a pre-existing civil contingencies structure in Wales, 11 of course, and as we will see later in the course of 12 your evidence it had many moving parts. To what extent did the Welsh Government seek to recalibrate that 13 14 structure, to make sure that the structure was in the 15 best place possible to start carrying out the new 16 functions which the Transfer of Functions Order 17 permitted them to do so?
- Well, ministerially, because that's the perspective from 18 19 which I was able to view it, the structures were 20 sufficient to make sure advice flowed to ministers, 21 flowed in a timely way, was enabled to raise issues with 22 ministers when those issues were required. And in some 23 ways -- you don't spend your time looking at the wiring 24 diagram so long as you are confident that the diagram 25 produces the result that you need, consistent advice of 163

- beginning, eight posts to take on these new
 responsibilities, I felt, as the Finance Minister having
 to find the money, that that was both something we could
- 4 manage financially but also that the system would be
- 5 able to use that money in a proper way.
- Q. Let me ask you the same question in a different way,
 Mr Drakeford: had the United Kingdom Government provided
- 8 the funding that you had sought, would you have still
- 9 ended up with only eight people in that team?
- 10 A. Very hard question to be sure, given that they didn't
 11 give us any money at all. We would have cut our coat
 12 according to our cloth.
- 13 Q. All right.

14 In terms of personnel, it wasn't just a question 15 then of being able to identify changes in personnel in the particular part of the Welsh Government, the 16 17 Welsh Government resilience team, perhaps. What 18 consideration was given to ensuring that, for the 19 discharge across the board of these civil contingencies 20 powers, more people would need to be placed in various 21 other parts of the Welsh Government or outside the 22 Welsh Government, either in relation to the audit teams 23 or the local resilience forum level or the strategic 24 co-ordination group level and so on?

- 25 **A.** I think my recollection of the time is that the powers 162
- 1 the quality that you require, in a timely fashion, and
- 2 I felt confident that the system did do that.
- Q. Beyond -- I don't mean this pejoratively -- beyond the
 parochial issue of what advice individual ministers and
- 5 the First Minister was receiving, to what extent did the
- 6 Welsh Government stand back and say, "Is this structure
- 7 for civil contingencies emergency preparedness the
- 8 correct structure to be able to deal with the future
- 9 discharge of these additional powers?"
- 10 A. Yes.
- 11 Q. The evidence is quite plain that there are a lot of
- 12 different bodies in the Welsh civil contingency field.
- 13 Were they the right bodies in the right place to be able
- 14 to deal with these new functions?
- 15 A. Well, my predecessor as First Minister ordered a review
- of those structures to accompany the transfer of
- 17 functions. So there was a conscious effort to make sure
- 18 that the Welsh Government would be equipped in that
- 19 structural sense to use the new powers that came its
- 20 way.
- 21 $\,$ Q. $\,$ There was, and my Lady has heard evidence to this
- 22 effect, a report on civil emergencies in Wales dated
- 23 6 December 2012. There is absolutely no reason why you
- 24 would have had to have had that brought to your
- 25 attention when you became First Minister or even Health

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Minister, because it was before your tenure as Health Minister. But the evidence yesterday and in part today is that that report in 2012 highlighted the fact that there were too many bodies, too many groups in the civil contingency system in Wales, that there was a complex resilience framework, problems with inefficiency and effectiveness, as well as there being no common approach to risk assessment and a number of other important

Do you know whether or not following that report in 2012, six years before the Transfer of Functions Order, there was any rationalisation of the groups or the structures?

Well, I've given this some thought, having heard it raised with previous witnesses. From the perspective of the Minister, the system did not look particularly complex. You know, there was -- I think it's to be found in the Pan-Wales Response Plan, on a single page, the essence of the structure.

Once the structure moves into the particularities of, you know, professional roles and perspectives, it does sometimes enter a rather arcane world that is only really understood by those that are part of it.

But as a Minister, what I needed to know was: how would advice get to me, which levels would it have 165

provided all of that is effectively translated into the actions that you would need to take in face of an emergency, as set out on pages 9 and 11, then you have confidence to know that the system, when called upon, whatever complexity might lie behind it, the system when called upon will operate in that comprehensible way.

Q. Would you nevertheless agree that, following the 2012 civil emergencies report, the audit office report on civil emergencies in Wales, and between that time and 2018, there was no wholesale change or no significant change to the civil contingencies structure in Wales?

A. Yeah, I think that will be fair. 13

Q. All right. 14

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In May 2018, exactly concurrent in fact to the Transfer of Functions Order, there was a report of Internal Audit Services called Audit Services' Emergency Planning, Preparedness and Response, INQ000128972, which was concerned with the assurance rating of the emergency planning preparedness and response system. So it's a report by Internal Audit Services within the Welsh Government.

If you look at the top half of the page, you will see an overarching general assurance rating given to the system of emergency planning, preparedness and response,

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1 passed through, am I confident that that means that the 2 advice that comes on to my desk has been tested, has the 3 necessary expertise. And I didn't feel that complexity 4 was a barrier to that happening.

5 Q. We're going to try to find that diagram, Mr Drakeford, 6 if we can

7 Page 9.

8 I think it's the Pan-Wales Response Plan, INQ000107119.

9 You can find it on page 9, and page 11 goes alongside 10

11 Q. Thank you.

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Yes, so there is the flowchart which deals, in fact, with a slightly different issue, which is the levels of contingency or emergency, because in the event of a level 2, the civil contingencies group is required to establish a Wales civil contingencies committee.

So I think what you have in mind is perhaps rather more page 11, which is a concentric chart -- no, that's again dealing with levels 1, 2 and 3.

We will see if we can find it, but I think you have in mind there is a concentric chart which sets out the local resilience forums, the strategic co-ordinating groups, the various external bodies and then those parts of the Welsh Government on top.

25 But essentially, from a Minister's point of view.

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1 and quite evidently, Mr Drakeford, all parts of the 2 Welsh Government are subject to audit at some level and 3 from time to time, and this is the audit on emergency 4 planning, preparedness and response. The assurance rating is given as reasonable. If you 5

take it from me that that was a reduction in the rating from the earlier rating, which was substantial, that it had received eight years before in 2010, were you aware that at the time that the functions were being transferred to the Welsh Government, the assurance rating for the Welsh Government's emergency planning system had been downgraded one slot, one mark?

13 A. I don't think that I would have known it in those terms. 14 What I would have been aware of was advice that

15 suggested that the level of threat over that period had 16 grown, so that the system itself may have been as it was 17 in 2012, but now it's having to deal with different

18 threats and more significant threats.

19 Q. There are references in this report to prospective 20 obligations which would have to be placed on the

21 First Minister of Wales. You weren't First Minister

then, you became so in December of 2018, but if you 23 could have page 3, paragraphs 1.3 through to 1.6 --

24 page 3, 1.3 to 1.6 -- and perhaps picking up the thread 25 at 1.5:

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coast.

"Following a Wales Audit Report in 2012, the First Minister has agreed to the transfer of executive functions ... This is likely to take place by June 2018 ... This ... give[s] Welsh Ministers brand new powers to exercise additional functions including:

"- Issuing guidance ...

"- monitoring devolved responders and requiring them to produce information ...

"- bringing enforcement proceedings ..."

Then if we could just run through, please, to page 5 at paragraph 3.7, so we can see the overarching scheme:

"Resource requirements have been identified by the Resilience Team to be able to carry out the new duties and activities required to support Ministers' responsibilities."

There is a reference then to the then First Minister writing to the United Kingdom Government looking for money.

In broad terms, Mr Drakeford, following that audit report, which had noted that the rating had reduced itself from substantial to reasonable, was there a wholesale rewriting of guidance? Were, in a general sense, the Welsh Government's pandemic plans and civil contingencies plans rewritten? Were there or was there put into place a new system or an enhanced system of

has, and we were content at this point to do so.

I'm not sure we would have felt that there was a huge advantage to be gained from deducing Welsh-specific risks when the United Kingdom Government's risk assessment process appeared to us to be one that you could rely on and had the expertise it required to deliver it.

- Q. You are, of course, familiar with the doctrine that all risk is local, the subsidiarity principle, you've no doubt seen the evidence about how, in the civil contingencies field, the principle of subsidiarity is applied. There will no doubt be risks, won't there, which will affect Wales differently? There may even be risks which would only affect Wales. But to rely upon the United Kingdom risk register for risks identified by UK officials to apply across the board tended, did it not, to fail to give sufficient consideration to Welsh-specific risks and how they might be managed?
- Welsh-specific risks and how they might be managed?

 A. Well, the way that that was discharged was through the four LRFs, so I agree with you that you needed a local application of the national register. Whether there is a Welsh intermediate tier for that was something we did not feel at that time would have justified the resources that would have been required to develop it. But we did ensure that at the four LRF levels there was a statutory

1 monitoring devolved responders and possibly bringing 2 enforcement proceedings?

A. The system didn't have a wholesale change, and the
 review that my predecessor set in motion in 2016
 concluded that wholesale change was not required. There
 were adaptions and modifications but the system did not
 need a root and branch rewriting in the terms that you
 put it.

As you know, the powers that came with the Transfer of Functions Order were not exercised immediately.

On a related issue, the Welsh Government had never

Q. On a related issue, the Welsh Government had never
 produced its own self-standing risk assessment process
 or risk assessment document setting out all the risks
 facing the Welsh Government in the same way that
 the United Kingdom Government did in London and we're
 aware that the Scottish Government did in Scotland.

Do you recall whether at this time of great change consideration was given to the production and publication for the first time of a Welsh-centric risk assessment process?

A. I don't think I could say to you I remember a specific
 discussion of that sort. I remember the general
 discussion, which is that for certain purposes it is
 sensible from a Welsh perspective to lie on -- to rely
 on the expertise and the capacity that the UK Government

obligation to produce a community risk register. So if I might just give you one example, the National Risk Register no doubt had things in it about what would happen in the event of an explosion at an oil refinery. Now, in the Dyfed-Powys part of Wales, that's a significant concern, given that there is a concentration of oil refineries. In the north of Wales that wouldn't be a very high consideration there being no oil refineries actually across the North Wales

So the way in which we discharged the obligation, which I agree with is an important one, to localise and particularise the National Risk Register, was not at a Wales level but at the level of the four LRFs.

Q. That's exactly the point, isn't it, that the risk assessments were looked at solely in the context, at least formally on paper, of the four local resilience forums. There was no formal process by which the national Welsh Government could identify a risk in relation to which it may have to step in to deal with alongside the local resilience forum, the strategic co-ordinating group, and whatever regional partnership there might be?

A. I think you will find that in the -- and I've heard you used the word "labyrinthine" -- structures that exist,

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- actually there is a group which is chaired by the 1 2 Welsh Government which brings the four LRF risk 3 assessors together for this purpose. So while it's 4 discharged at the LRF level, the Welsh Government is not 5 in ignorance of the way that those community risk 6 assessments are being produced because there is a coming 7 together of the four LRFs under the chairing of 8 a Welsh Government official in order to collect that 9 back at an all Wales -- from an all Wales perspective. 10 Q. There is a Wales Resilience Forum at which such issues 11 are debated? Indeed. 12 A.
- Q. My point, though, Mr Drakeford, was concerned with the system of risk assessment. There is no process by which, formally, the Welsh Government gets to analyse the risks which its country faces -- which may have to be responded to not just by local resilience forums but by the Welsh Government itself -- in the way that there is for Scotland and for England?
- 20 A. No, for those purposes, we used the UK-wide risk21 assessment process.
- 22 Q. All right.

23 In October of 2018, at one of the meetings of that 24 exact same body, the Wales Resilience Forum, 25 Mr Drakeford, the then Cabinet Secretary for local 173

until 2023?

2 A. No.

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Q. So that we can understand the chronology, it took the best part of 2018 for that review process to be initiated. The review was then hampered by the diversion of resources to what is now, by way of a familiar refrain, the necessary preparations for a no-deal EU exit, and then of course, after that, Covid.

Is it a matter of some regret that that review, which was obviously important, otherwise you wouldn't have ordered it, was not in the event able to be brought to fruition, and was not brought to fruition for a matter of years, there being at least 18 months from October 2018 to March 2020?

15 October 2018 to March 2020?

16 A. Well, of course it was a matter of considerable

17 disappointment to us, because the Welsh Government had,

18 as you said, worked hard to secure the transfer of those

19 responsibilities, and to make sure that we were in

20 a proper position to discharge them.

21 But by the time I became First Minister, I chaired

But by the time I became First Minister, I chaired my first Cabinet I think four days after becoming First Minister, and almost the whole of that Cabinet meeting is devoted to preparations for leaving the European Union without a deal. So by the time I became 175

government and public services agreed that a review of emergency planning governance and structures was required, I think, in order to ensure whether they were "fit for purpose".

You agreed, as First Minister -- because by December you had become the First Minister -- you had agreed that a comprehensive review should be undertaken which would develop a platform to support the new regulations.

So the answer, perhaps, to one of my earlier questions was that there was a recognition by you, on behalf of the Welsh Government but by you personally, that it was important to carry out a review to make sure that the system could cope with that transfer of powers?

A. I think I said, apologies if I glossed over it in an earlier answer, that my predecessor, on agreeing the transfer of functions, had initiated that review.

Now, by the time it came to make its way into sort of formal sign-offs, it had probably been overtaken by my arrival. But the initiation of it pre-dated my becoming First Minister.

Q. We believe that following that Wales Resilience Forum
 meeting, which didn't take place until October 2018, the
 matter formally did go to you as First Minister and you
 agreed that that review was necessary.

The review didn't, however, take place, did it, 174

First Minister, the system was already turning its sights very firmly to a danger that was right in front of you and of very significant potential consequence.

Q. May we have, please, then that review, INQ000187580,

May we have, please, then that review, INQUUU187580,
 please, of 2023.
 There is the Review of Civil Contingencies in Wales.

7 It says across it, or at least on the copy that we have, 8 Mr Drakeford, in stern terms "Not government policy". 9 We have been collectively a little troubled by that. 10 This is a review of civil contingencies in Wales ordered 11 by the Welsh Government in part but jointly owned by 12 a number of other organisations. Why is it necessary --13 or why does it say "Not government policy"? Is that 14 because you haven't yet formally decided whether or not 15 to give effect to its recommendations?

A. Well, I am imagining, rather than being certain on the

specifics, but this would be the normal way of things happening in Wales. The document would be produced, we would wish the views of partners to be received on it, there may be, you know, aspects of it that the fire service, for example, to take just one example, might wish to draw to our attention. So while we would

circulate the document to make sure that anybody with
 an interest can contribute to the final version, we make

it clear to people that it's not, at that stage,

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1 formally adopted as government policy. 2 Q. If we turn to page 33, please, we'll find the 3

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recommendations, priorities and next steps.

The first one is a national assurance framework for Wales to be developed with monitoring to be managed by the Wales Resilience Board. So in fact a new body, not the Wales Resilience Forum but the Wales Resilience Board. In the right-hand column the authors of this worthy document state that this is a matter that is "Critical".

If we just go forward to page 35 we will see what is meant by critical, although it may be thought self-evident. There we are:

"Critical (Do Now) -- it is of the greatest importance that action is taken immediately."

So going back to page 33, may we take it, Mr Drakeford, that the authors of this report regarded the absence of a national assurance framework for Wales, that is to say a system by which all the moving parts in the Welsh civil contingencies structure could be tested to make sure they were up to scratch, was a matter of the very greatest concern to which it was essential that action be taken right away?

A. Well, it is self-evidently the views of the authors that this is their most important recommendation. My own 177

that time. I was aware, because I've been involved in some discussions, through the resilience forum, that contemporary thinking is that that intermediate Welsh level may need strengthening, and you see that in this recommendation.

Q. On page 34, at number 13:

"Regional risk assessment should be used to define a regional training and exercise regime to address Wales-wide capability gaps or development needs."

Then over the page at 15, page 35, "centrally

managed training and exercise regime".

Now, plainly many of these recommendations must have been formed as a result of the terrible experience of Covid, but to the extent that they identify significant changes in the Welsh structure for civil contingencies, training, assurance, formal process of risk assessment, does this not rather indicate that there were pre-existing structural flaws in the civil contingencies system in Wales, that is to say even before Covid?

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20 A. I think I probably have two observations to make there. 21 First of all, I wouldn't necessarily interpret the fact 22 that a document says that skills and professional 23 development is needed as meaning that that didn't exist 24 previously.

25 Q. Agreed. 1 view would be that, nevertheless, that recommendation

2 has to be tested by others, given that its

implementation will rely upon the willingness of others

to make that contribution. So no doubt this will make

5 its way to my desk with final proposals and they may not

6 look identical to the ones that we see in front of us 7 this afternoon

8 Q. The civil service in Wales will of course present this 9 to you along with their own views and no doubt seek 10 a decision from you.

> Number 2, risk, we were just debating this very issue a few moments ago:

"[The Welsh Government] and [the local resilience forums] should work in partnership to interpret the UK National Risk Register and adapt UK level risks to Wales ... to identify upcoming and potential risks that would significantly impact Wales ...

"Essential."

Does that go directly to the heart of the issue that we were debating a few moments ago: the need for a Welsh Government level input into the identification, management, ownership of Welsh risks?

23 Yes, it does. I was careful in answering your earlier 24 questions to try to be clear that the view that the UK 25 risk register was adequate for the purpose was a view of 178

A. I think it is just a statement of the ongoing need to 2 make sure that that is part of the system. And I don't 3 think myself you can necessarily conclude that because, 4 in the light of new powers, changed circumstances, that a report says that that now needs to be reflected in new 5 6 and strengthened systems, that that says that prior to 7 those things the system that was there was not fit for 8 the purposes which, at that time, were there to be

10 Q. But, Mr Drakeford, the premise of that answer was that 11 this is recommending new and improved systems. Insofar 12 as the report recommended a national assurance

13 framework, a Welsh Government risk assessment procedure,

14 a provision of centrally managed training and exercise,

15 those were not improvements, they are standalone and

16 completely novel developments. They simply have never

existed hitherto.

discharged.

And they reflect the report authors' view of what is 18 A. 19 needed in Wales in 2023 rather than their reflection of 20 what was needed in 2018. I think that's the only point 21 I'm making, is that it is reflecting today's Wales,

22 today's circumstances, today's challenges.

23 Q. But these were challenges, of course, which have come 24 into focus as a result of Covid, but in terms of the 25 structural performance of the civil contingencies

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1 structure in Wales, these are not new issues. The 2 United Kingdom -- the London government had put into 3 position over many years a system for national 4 assurance, for National Resilience Standards -- my Lady 5 heard evidence about the production of three different 6 versions of those National Resilience Standards --7 a national resilience academy, a structure for training 8 and exercising; they're all part and parcel of civil 9 contingencies, are they not?

A. And the capacity to offer training and a number of those
 things existed right through the system. They are
 restated here in the contemporary circumstances.

13 Q. All right.

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Risk registers. You'll know from the paperwork which we have provided you with that there were in existence corporate risk registers for the Welsh Government.

Please may we have up the first one, which is an issue which is January 2016, INQ000215556, page 1, column P5 -- or entry P5, row P5:

"Resilience (Major Emergencies):

"If we fail to provide leadership and co-ordination in ensuring Wales is prepared for and resilient to the full range of national hazards and threats which is faces then there is a risk to the health and well-being

control measures, by way of business continuity plan, disaster recovery arrangements, lessons are learned, emergency response protocols.

Dr Goodall, the permanent secretary to the Welsh Government, from whom we heard yesterday and today, acknowledged that, insofar as those measured purported to reduce the risk of disruption events, whilst worthy on their own -- in their own terms, they did not actually reflect the reality of the position on the ground, insofar as, although there were a multitude of plans, civil contingency, public health emergency, pandemic influenza plans, they had not been updated, and in the majority had not been updated since 2014, the disaster recovery arrangements were undermined by the fact that a significant number of recommendations from earlier exercises had not been implemented, lessons had not been fully learned from disruption events, and emergency response protocols, whilst the subject of some training and exercising, had not been scrutinised to the full.

Would you agree, therefore, that insofar as the Welsh Government sought to properly understand the risk of pandemic influenza, it went awry by virtue of relying upon mitigation measures which turned out not to be wholly accurate?

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of its citizens."

A self-evident risk one might think.

There are then a list of controls identified as being the risk control measures or proposed mitigating actions, and if we could just go over the page, please, we will see the rubric, the notes which go with that -- those scores, and explain what they all are.

If you go back, please, to page 1, there is, it appears, in this risk register, very little by way of specific identification of what the emergency that is pandemic influenza might consist of or the specific controls or countermeasures for pandemic influenza as opposed to major emergencies, civil contingencies, major events and so on.

Would you agree, Mr Drakeford?

16 A. I think that is -- that's a fair summary of what we see17 in front of us.

18 Q. If we then have a look at June 2019, INQ000215558, which is a single-page document -- and on this occasion please feel free to scroll in, the reference to risk description, disruption event affecting people, places, finances, communications and IT. If there is a significant disruption event the Welsh Government may

25 effectively. Then a number of mitigation measures,

struggle to recover its operations quickly and

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Well, as far as the two documents that I've just seen 2 are concerned, I think Dr Goodall is a more effective 3 witness than I can be. Ministers are not responsible 4 for the corporate risk register. I would expect it to be used by senior officials to draw the attention of 5 6 ministers to areas where senior officials believe 7 ministerial intervention would be necessary, but 8 ministers do not routinely see and are certainly not 9 directly responsible for the material that lies behind 10 them. So Dr Goodall's evidence to you would be more 11 useful to you, I think, than my own.

12 Q. All right.

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Could you give us, then, some indication of the frequency with which the issue of the Tier 1 risk of a pandemic influenza was brought to the specific attention of the First Minister.

You were, as First Minister, ex officio the chair of the Wales Resilience Forum, and it's obvious that there are a number of Welsh Government documents in place. But how often was pandemic preparedness brought to you specifically as a priority worthy of your attention?

22 A. I wonder if I could just make one general point.

23 Q. Please.

24 A. Which is that I have struggled a little in reading
 25 documents again for today to distinguish between things
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- 1 which I am learning as a result of preparation and 2 things that I actually knew at the time, and I do not 3 want to imply to you that because I know things now 4 I necessarily knew them then.
- 5 Q. Of course.

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6 A. So that is a line I've not always found it easy to 7 completely walk down.

> But I think I should probably say that, of course, by the time I'd become First Minister I have been, as you have said, engaged in the Welsh Government for -well, since the very start of devolution, and have lived through a whole series of emergencies, and having been the health minister particularly, I am maybe more alert to the risk of an influenza pandemic than I otherwise would have been.

So I am --

- 17 Q. Because of those emergencies that you had to deal with 18 de facto?
- A. Yeah, the history of being in the Welsh Government is 19 20 constantly dealing with one sort of civic emergency or 21 another. During the time that I was the Health 22 Minister, for example, as well as the measles epidemic 23 I was dealing with officials with a TB outbreak in the
- town of Llanelli, the Ebola outbreak happened while 25 I was the Health Minister, and that is, you know.
- 1 exercise
- 2 Q.
- 3 So while I share the same identical experience as Mr Gething, I don't think I was ever asked a single 4 5 question on the floor of the Senedd or in any media 6 interview on preparedness per se. That did not mean 7 that when exercises took place and conclusions were 8 being drawn from them that your attention as a minister 9 was not drawn to them.
 - Q. In your witness statement for this Inquiry you say:

"I became First Minister in 2018 [that's obviously December 2018]. I do not recall any advice from officials that there were reservations about the state of Wales' pandemic preparedness, nor did I recall any concerns in the Senedd being raised with me."

May we take it from that that none of the issues that we've so far debated, the civil contingencies report of 2012, the issues about putting the recommendations from that into place, or, as you rightly observe, the outcome of Exercise Cygnus, the Wales-specific part of it in 2014 or the UK part of it in 2016, or exercise -- and the name has now completely escaped me -- Talie ...

- 24 A. Taliesin?
- Taliesin, I'm very grateful, Mr Drakeford. 25 187

completely beyond things like flooding, coal tip safety, 2 cyber security incidents and all the other emergencies 3 that you have to get drawn into.

By the time I became First Minister I was, therefore, aware, but I think as much because of the background of the fact that there was a Tier 1 risk and that pandemic influenza was and had been over many years repeatedly identified as the most likely risk to face the United Kingdom.

10 Q. In his witness statement to this Inquiry, Mr Gething 11 said, as far as he could recall, preparedness was not 12 a particular focus of interest or concern in the 13 government, the Senedd or outside; but he became aware 14 of it by virtue of his personal involvement in 15 Exercise Cygnus.

Regardless of your own plainly established personal involvement as First Minister in the field of civil emergencies and including health emergencies, can you recall to what extent the civil servants brought to you or to your fellow ministers concerns about the state of preparedness for pandemic influenza?

- 22 Α. Well, in my recollection it would almost always have 23 been in the context of exercises that were carried out. 24 So the 2014 Cygnus exercise happened when I was the 25 Health Minister. That was, of course, the Welsh-only 186
- None of those specific issues, we may take it, were 1 2 brought to your attention because they all preceded, 3 of course, you becoming First Minister, and if you never 4 received advice or you can't recall advice about 5 reservations and the state of pandemic preparedness, it 6 must follow that none of those specific matters were 7 brought to your attention?
- 8 Not at the point that I became First Minister, but, as 9 I've tried to indicate, I would have been aware of them, 10 having been involved in those events throughout that 11 period.
- But your own personal knowledge of the state of affairs 12 Q. 13 or the state of play of the civil contingencies system 14 can't be translated into executive action on the part of 15 the government or a determined attempt to try to improve 16 the position, that's not within your capability, is it? 17 You may have known of problems or flaws in the system, 18 but unless the government around you identifies those 19 flaws and determines it will act upon them, nothing is 20 likely to be done, is it? 21 A. So just to try and make myself plainer, if I can: by the
- 22 time I've become First Minister I'm not unaware of the 23 issues that have been faced by the Welsh Government or 24 the exercise in which the Welsh Government has been 25 involved. When I become First Minister I do not receive 188

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advice from the civil service that says, "You need to be 1 2 particularly aware of difficulties that we are now 3 experiencing in these fields".

Q. Thank you.

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We have heard something of task and finish committees being instituted in order to ensure that recommendations from exercises and outbreaks are learnt. Following swine flu, the Wales Resilience Partnership Team agreed to set up the Wales pandemic flu task and finish group to consider recommendations from the 2009 swine flu pandemic.

Were you aware that that committee was unable to finish its task because the recommendations were not all fully implemented?

- 15 I could not say that I was -- that I recollect being aware of that specific issue. 16
- 17 Q. All right. There was a review in 2013 called the 18 Pollock review which investigated ironically why lessons weren't being learned, and as a result of that review 19 20 the Wales Learning and Development Group was formed, and 21 a decision was taken to apply something called Joint 22 Organisational Learning strategy. 23

But then Exercise Cygnus came along, both in 2014 and 2016, and the Wales Resilience Partnership Team delegated the responsibility of implementing 189

did pursue pandemic preparedness, and that in Wales it went on for two years after the Cygnus conclusions were reached, but you reach a point at which we are diverting resources across the whole of the Welsh Government into dealing with the dangers that are sitting right in front of us of leaving the European Union without a deal.

- 7 Q. But obviously this Inquiry is concerned exclusively with 8 civil contingency emergency preparedness; from that 9 standpoint, those recommendations were never fully 10 implemented?
- That is without doubt. I simply make the point that 11 12 government is never exclusively concerned with any one strand in the many challenges that it has in front 13 of it. 14
- 15 Q. Indeed.
- 16 A. You can't understand the way the government responds unless you are aware of the context within which it is 17 responding. 18
- 19 Q. Pandemic preparedness is self-evidently a matter of life 20 and death, is it not?
- 21 A. Yes
- 22 Q. To what extent was that terrible balance identified between focusing upon the life and death consequences of 23 24 pandemic preparedness and the consequences of failing to prepare for a no-deal EU exit? 25

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recommendations to yet another body, the Wales Pandemic Flu Preparedness Group.

3 That group met in September 2017, but didn't meet 4 again after January 2018. Were you aware when you 5 became First Minister in December 2018 that that primary 6 group for the implementation of the recommendations from 7 Cygnus, whilst sitting in September 2017, never sat 8 after January 2018?

- 9 I'd want to check my own recollection, but I actually 10 believe it met in as late as October of that year.
- 11 October 2018?
- 12 A. 2018, yes, I believe that was the final meeting before 13 its resources were directed into the no-deal Brexit 14 exercise, rather than the January.
- 15 Q. All right. Well, we'll double-check that, of course.
- 16 A. Thank you.

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- 17 Q. But the point remains, doesn't it, that the workstreams 18 which were identified after Exercise Cygnus in 2016 were not all fully implemented because the body designed to 19 20 ensure implementation, the Wales Pandemic Flu 21 Preparedness Group, didn't sit -- we'll agree to 22 disagree whether it was after January or October 2018 --23 because of the impact of the no-deal EU exit planning?
- 24 **A.** Yes, I think the expert report that the committee --
- 25 that the Inquiry has says that devolved administrations

Apologies if this answer is slightly longer than some of 2 the ones I've tried to give.

So at the point when the UK Government decides to institute Operation Yellowhammer and we are now facing the reality of leaving the European Union without a deal, with all the consequences that that would have had for Wales, I am making a decision, along with my colleagues, to divert resources to deal with that.

The number of people we have in the Welsh Government 10 who have genuine expertise in civil contingency matters 11 is relatively modest, and their skills are particularly 12 relevant to preparing for leaving the European Union 13 without a deal. To give you just one example, Wales has 14 reservoirs that serve not simply the Welsh population, 15 but the whole of Birmingham and the whole of the 16 northwest of England. The chemicals that you rely on to 17 make that water safe to drink come from Germany, and 18 while we were a member of the European Union they came 19 without hindrance and they came on an "as they were 20 needed" basis. We faced a real risk that if we left the 21 European Union without a deal, that water supply would 22 no longer be usable. That is the sort of present danger 23 that you are talking about. It is absolutely real, 24 would be immediate, and would have absolutely direct 25 results on the lives of people.

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Who do we look to in the Welsh Government to help us
to find a way through that if we need to? Well, some of
those people would need to be people who had the
expertise in preparing and thinking about, and indeed,
in the Welsh context, practically responding to the
other emergencies we had already faced.

- 7 Q. To what extent was that balance brought to your 8 attention, Mr Drakeford? And the point that you make 9 is, if I may say so, very well made. You were faced 10 with present and immediate risks, which of course you 11 had to address. But it's in the way of government, 12 isn't it, that you get on with addressing the job in 13 hand? To what extent were you aware that that 14 necessarily was diverting resources away from other less 15 present but perhaps more catastrophic risks? Was it 16 an issue on which they sought your advice?
 - A. I am very consciously aware of it, and I am consistently aware of it because I'm forever having to disappoint my colleagues, who have ambitions in their own portfolio areas, pieces of legislation that they are committed to bringing forward, for example, and my job is to explain to them why that will now not be possible. I'd do that, you know, month after month, throughout the whole of 2019, always to their disappointment.

So ves, the diversion of resources from other 193

THE WITNESS: Thank you.

2 LADY HALLETT: So I shall return at 4.10, just a five-minute

3 break.

(4.05 pm) 4

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(A short break)

(4.10 pm) 6

7 MR KEITH: Mr Drakeford, turning to the question of guidance 8 and strategies and plans, you are of course aware that 9 the United Kingdom 2011 document, the influenza 10 preparedness strategy, formed the genesis for all the 11 civil contingency and major infectious disease pandemic 12 related documentation in Wales, and therefore was similarly tainted -- they were all similarly tainted by 13 the same doctrinal or strategic flaws, as my Lady finds 14 15 them to be. What was sauce for the goose was sauce for 16 the gander doctrinally for all that material, wasn't it? 17

- The plan was an inadequate basis for dealing with the events that subsequently unfolded, but not wholly 18 19 inadequate. There were aspects of it which were still 20 useful, but it clearly did not stand up to its major 21
- It went beyond that, though, didn't it, because there 22 Q. 23 was a complete failure to address issues such as the 24 inherent characteristics of respiratory viruses, the consequences of differing incubation periods, differing 25 195

1 priorities to dealing with leaving the European Union

2 without an agreement, it's a very conscious set of

3 decisions, and constantly debated as well, as to whether

4 we are getting that balance right.

5 Q. May we take it from that answer, Mr Drakeford, that you

6 were, therefore, aware of the consequential impact upon 7 pandemic preparedness because of the necessary diversion

8 of resources, that it was an area that was brought to

9 your attention and in relation to which you were forced

10 into the Hobson's choice, perhaps, of saying, "That area

11 can no longer be resourced and it simply cannot be the

12 subject of focus because of this other greater risk that

13 we face"?

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14 Α. Well, it cannot be resourced to the extent that it

15 previously was being resourced. It's not the case that

16 you rob everything away from it. Work does continue

even beyond the end of December 2018, but you've had to

18 reduce the resource in order to deal with something that

19 is immediate and pressing and potentially catastrophic

20 in its impact if you're not able to deal with it.

21 LADY HALLETT: Mr Keith, forgive my interrupting. How are

we doing for time? Because obviously we need a break.

MR KEITH: It's a very convenient point. 23

LADY HALLETT: We are determined to complete your evidence 24

25 today. Mr Drakeford, don't panic.

- transmission rates, differing viral loads and so on, and 1
- 2 of course all the associated countermeasures which might
- 3 prove to be necessary to deal with such a virus; all
- 4 that was absent?
- All of that is absent, and there are other aspects that 5
- 6 the plan turned out not to have grappled with adequately 7

- 8 Q. Yes. The Pan-Wales Response Plan of 2019, even by 2019,
- 9 was tainted by the same doctrinal flaws. It doesn't
- 10 itself or didn't itself in fact contain much in it by
- 11 reference to pandemic planning, because although there
- 12 was a section on terrorism and the threat of terrorism,
- 13 there was no analogous section on influenza pandemic,
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- 15 It is a generic document intended to cover the range of
- 16 potential emergencies that might be faced in Wales, so
 - in that sense it doesn't operate at that level of
- 18 specificity, that is true.
- 19 Q. So in summary, Mr Drakeford, by the onset of the
- 20 pandemic in January 2020, the government -- not you
- 21 personally but the government -- had failed to address
- 22 many of the problems identified in the Wales audit
- 23 office report of 2012 in relation to the complexity of
- 24 the Resilience Framework, problems with inefficiency and

ineffectiveness, doubts over the availability and 25

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maintenance of physical assets in human resources.

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It had failed to implement all the recommendations from Exercise Taliesin and the two Cygnus exercises. There hadn't been any significant recalibration of the structural system following the Transfer of Functions Order. None of the pandemic or disease outbreak or civil contingency paperwork that mattered had been updated. Then, of course, materially some of the final workstreams arising from the Wales Pandemic Flu Preparedness Group were blown off course by the preparations for a no-deal EU exit.

So, in the round, would you agree that there were and there continued to be significant failings over that eight-year period?

There's no doubt at all that there were failings. A. I think the way that you set them out would, to my mind, give an unduly bleak account of some of the things that had happened over that period. So while some things had failed to be followed through, it was a failure in part rather than in whole.

When you say that the system had not taken the lessons in terms of effectiveness, in fact the system was very, very regularly tested in reality, including to a very major extent in February of 2020, and demonstrated that it was very effective in dealing with 197

links to the United Kingdom at a ministerial and medical

The Hine review in July 2010 made a multitude of recommendations about how health ministers should meet pan UK in order to address matters of mutual concern.

Was it your experience that at that level, the health minister level, the relations between Wales and the Westminster government worked well?

9 No, I wouldn't characterise them as working well. What A. 10 they --

Q. Why not? 11

> -- lacked was a systematic basis for engagement, and this has long been my complaint about intergovernmental relations in the United Kingdom, that they rely far too often on individual willingness to work in that way, whereas what you need is a robust system of machinery of government that brings people to the table for common purposes, whether individuals are so inclined or not.

19 Q. You've referred then expressly to ministers. I was 20 actually asking you about at the health level. Does 21 what you say apply, therefore, to all forms of Welsh 22 ministers, so the First Minister, health ministers and 23 other ministers, or does it also apply to the health 24 official level, so, for example, relations between the 25 Chief Medical Officers?

real life civil emergencies in Wales.

2 So while I don't dissent at all from your general 3 conclusion that there were things that ought to have 4 been done and could have been done that hadn't been 5 done, I think to describe it entirely in those terms is 6 to overlook some of the things that positively had 7 happened and the successful way in which the system in 8 Wales demonstrated its ability to respond when real 9 emergencies arose.

10 Q. Let me make it absolutely plain, Mr Drakeford, it forms 11 no part of this examination to question the remarkable 12 individual response, of course, from all those who 13 responded in the face of the pandemic, and of course 14 it's quite plain that the Welsh Government was able to, 15 in material regard, respond efficiently to the terrible 16 demands made on it. But the fact that it was able to 17 respond is neither here nor there in terms of the 18 consideration of whether or not structurally the system 19 of preparedness, in advance of the pandemic, was simply 20 not as good as it should have been and therefore the 21 Welsh Government was not as prepared as it could have 22 been. Would you agree? 23 A. Put like that, I would agree, yes.

Q. Right. 24

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Could I now ask you, please, some questions about 198

At official level, much work goes on day in, day out in 2 a perfectly orderly and engaged way, and I think you've 3 got good examples in the way the Chief Medical Officers 4 at that top of the profession level worked together as 5 well. I was trying to explain my long-held view that 6 the United Kingdom lacks, at that ministerial 7 intergovernmental level, a sufficiently robust, 8 reliable, regular pattern of engagement that does not 9 rely on the individual predilections of particular 10 players either to become engaged or not to become 11 engaged.

12 Q. In effect, a system that doesn't rely on ministerial 13 whim as to whether or not a meeting will take place at 14

15 Well, I would give you a good example, if I could. When 16 I became the Finance Minister, I took a telephone call 17 at his initiative from the Chancellor of the Exchequer, 18 Philip Hammond at the time. We agreed that day-to-day 19 the relationships will be between myself and the Chief 20 Secretary to the Treasury, but he made it clear in that 21 call that at any point if I needed to speak directly to 22 him about an issue, he would always be available to take 23 that call.

> That is a good example of where a particular minister with a predilection to co-operate in that way 200

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- 1 made it clear that he wanted to do so. The system ought 2 not to rely on individual willingness of that sort.
- 3 Q. There is evidence to suggest that consideration was 4 given at some stage to the setting up of a health 5 ministers forum to provide a structure of the type that 6 you've described. Do you know why that never came to
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- 8 A. Well, there is a JMC mechanism, a joint ministerial 9 committee mechanism. It operated very well in certain
- contexts, it didn't operate at all in others. It didn't 10
- 11 operate in the Health context and during the time that
- I was the Health Minister in Wales. While we enjoyed, 12
- 13 I would say, very good relationships with Jane Ellison,
- 14 who was a Conservative minister in charge of public
- 15 health and who led the Ebola response, that was absent
- 16 at the level of the Secretary of State.
- 17 Q. The JMC system has always existed, and it may or may not
- 18 operate effectively depending on perhaps the whim of the
- contributors, but I am asking about a particular body, 19
- 20 a health ministers forum, which was debated after the
- 21 Hine review in 2010. Do you know what became of those
- 22 proposals or why nothing in practice was done?
- A. I would say there was no appetite on the part of 23
- 24 UK Government ministers to establish such a forum. The
- 25 initiative lies with them in a JMC context.

intergovernmental review mechanism anticipated. It has

- 1 ministers for example could be one. I myself do not
- 2 believe that that tier has operated in the way that the
- 4 been at best hit and miss.
- 5 LADY HALLETT: Is that because health is such a party political issue? Why do you think, Mr Drakeford? 6
- 7 It will be wider than health, and my own view is, is
- 8 that where there were pre-existing relationships, they
- 9 have continued. So there's always been a strong
- 10 interministerial group around farming and environment
- 11 and rural affairs, and that has continued to meet under
- 12 the new regime and to do so regularly. Where there is
- no history of engagement of that sort, the new machinery 13
- 14 has not succeeded in sparking those arrangements into
- 15 life.

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- So I don't think it's particularly to do with health or the politically contested nature of health. I think there's no history of it in health, and as in other parts of Whitehall where there is no history, the new intergovernmental arrangements haven't succeeded in
- 22 MR KEITH: It does appear, however, to be an improvement on 23 what went before?
- 24 It is systematic, it has an independent secretariat, it 25

generating new forms of interaction.

has an independent means of resolving disputes. All of 203

- Right. So it wasn't a lack of initiative on the Welsh 1 2 part; the problem lay on the UK side, you believe?
- 3 A. Under the JMC mechanism, the initiative lies in the 4 hands of UK ministers.
- 5 Q. There has since been a review of intergovernmental
- 6 relations, it took place in 2022. I think it was
- 7 commissioned in 2018, so it didn't proceed terribly
- 8 quickly. Do you know whether or not, as First Minister,
- 9 there have been any meetings of the interministerial
- 10 group or the standing committee or the secretariat for
- 11 which that new process provides?
- 12 A. It is a three tiered structure.
- 13 Its pinnacle is a council of ministers, that's the
- First Ministers and the Prime Minister. It has met 14
- 15 once, but not in full form because there has not been
- 16 a First Minister of the Northern Ireland Executive since
- 17 the agreement was struck, but the current
- 18 Prime Minister, Mr Sunak, has presided over one meeting
- 19 of the council.

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- The intermediate tier has the F:ISC, the finance
- 21 interministerial committee, that does meet regularly,
- 22 and then it has another standing committee that has met
- 23 more intermittently.
 - Then at the third tier there will be the sort of
- 25 meeting between specific portfolio ministers, health

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- 1 those are improvements. I was glad to be able to sign
- 2 the document.
- 3 Q. Good.
- 4 There is also, in the field of resilience, a new
 - forum, the UK Resilience Forum, in relation to which the
- 6 Deputy Prime Minister Oliver Dowden gave evidence now
- 7 some time ago. There have been three meetings of that
- UK Resilience Forum. The Welsh Government attended the 8
- 9 first one in July 2021 and it attended the third one in
- 10 February 2023, but was absent with apologies from the
- 11 May 2022 meeting. Do you happen to know why, unusually,
- 12 the Welsh Government, given the attendance of other
- 13 entities, absented itself from that meeting?
- 14 A. I think it's important to say that while it is
- 15 ministerially chaired, that forum, it is not
- 16 a ministerial meeting.
- No, indeed not. 17
- 18 The Welsh Government is represented by senior officials.
- 19 I have made enquiries as to why we were not represented
- 20 that day, alongside almost a dozen other bodies, and the
- 21 information that I received was that our officials felt
- 22 that the agenda that day was an agenda in which they
- 23 were already engaged in other forums and that on that
- 24 day couldn't command a priority in their dairies.

But, as you say, they were at the first and third

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1	meetings and it's our intention to continue to be
2	involved.

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- Q. If all these bilateral or multilateral meetings or fora
 are to work, then of course it requires the
 participation of all the parties and effort to be made
 to attend; I'm sure you'd agree with that notion?
 - Q. The final topic, Mr Drakeford, concerns inequalities.

There is evidence that the Welsh Government has devoted a great deal of time and energy to ensuring an improvement in prospects economically, societally, on the part of its citizens and its communities. It does, nevertheless, appear that, in the field of pandemic preparedness, civil emergency planning, very little attention was given to the issue of how a pandemic would affect sectors of the community disproportionately, and how steps could be taken to ensure that the impact on those who are vulnerable and marginalised could be mitigated. Would you agree?

I should say that it is a flaw that is apparent from the analogous papers, guidance, doctrine, strategies in Scotland and in London.

A. I should say at the outset that addressing inequalities
 is absolutely in the bloodstream of successive
 Welsh Governments. I spent a great deal of my time

Welsh Governments. I spent a great deal of my time in 205

have granted permission to Covid-19 Bereaved Families
 for Justice Cymru to ask five minutes' worth of
 additional questions.

4 LADY HALLETT: Ms Heaven.

Questions from MS HEAVEN

6 MS HEAVEN: Thank you, my Lady.

First Minister, my name is Kirsten Heaven and, as I think you know, I represent the Bereaved Families for Justice Cymru.

I just want to ask you really about one very small topic around some discussions in 2013, but before we get there, can I please clarify some things from your statements about some of the jobs and political roles you've held.

So what you tell us in your statement is from 2000 you became a special adviser for health and social policy, I think that was to Rhodri Morgan, and I think at that time you had some experience of the SARS outbreak; is that correct?

- 20 A. That is correct.
- Q. We know obviously that you were elected in 2011. Can
 I just ask, where were you, politically, in 2009 when
- 23 swine flu broke out?
- 24 A. I was still a special adviser to the Welsh Government.
- Q. Okay. Were you a special adviser in a health role still 207

1 the very first Assembly term working with

Professor Peter Townsend who was the -- probably the

world's leading expert on health inequalities at the

4 time to get his advice to address those issues in Wales.

5 I think there is evidence you will have seen from
6 Dr Sandifer, who has given evidence, and the advice of
7 Public Health Wales to us was, that while you had to be
8 aware of the unequal impact of a pandemic on the
9 population, it was very difficult to anticipate in
10 advance of the particular nature of that pandemic where
11 those inequalities would most fall.

So while there is evidence in the documentation of awareness of inequality and the way in which a pandemic would exaggerate existing inequalities and therefore had to be planned for from the outset, the more granular planning, which groups would be affected, how would you respond to them, you'd have to do that when the nature of the pandemic you were dealing with became more apparent. You -- it just wouldn't be possible to plan without that greater knowledge.

I think that was the advice that Public Health Wales would have given to us and I think has given to the Inquiry.

24 MR KEITH: Thank you, Mr Drakeford.

25 My Lady, those are all my questions. I believe you 206

- 1 at that time or were you in a different role?
- 2 A. I was the head of the First Minister's political office3 at that time.
- 4 Q. But would it be fair to say you knew about the fact of 5 swine flu?
- 6 A. Oh, I did, and I attended, in that advisory capacity,
- 7 a number of meetings between Scottish, UK, Welsh and
- 8 Northern Irish ministers.
- 9 $\,$ **Q.** 2011 to 2013, we know that you were the chair of the
- Welsh Assembly Health and Social Care Committee. Wealso know, obviously, that there was a MERS outbreak in
- 12 April 2012. Did that come up when you were chairing the committee at all, do you recollect?
- 14 A. It would only have come up in this way: that I think,
- from recollection, once a term, so three times a year,
- the minister responsible would appear in front of the
- 17 committee for what is called general scrutiny, in which
- 40
- 18 any topic of the day could be raised and the minister
- 19 asked questions. That would have been the opportunity
- 20 for the committee to hear on that matter.
- 21 Q. But do you recollect whether or not that happened now?
- 22 A. I--
- 23 Q. You can't?
- 24 A. Without looking back, I can't recollect here.
- 25 **Q.** But did you know that there had been such a thing called 208

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1		MERS, either then or later, when you became Minister for
2		Health?
3	A.	I would have been aware of it, yes.
4	Q.	You also say in your statement that you had experience
5		of Ebola, and you've mentioned that briefly today, and
6		I think that was when you were Health Minister
7	A.	Yes.
8	Q.	is that fair?
9		And, just to be clear, you became
10	LAI	DY HALLETT: Ms Heaven, sorry, I've been asked to ask you
11		to slow down. I appreciate

- 12 MS HEAVEN: Sorry, I know I --
- 13 LADY HALLETT: Don't worry, I know you're trying to keep to 14 the timing.
- MS HEAVEN: I'm trying to get through. 15
- LADY HALLETT: Don't worry, I'll let you off if you run over 16 17 if you slow down.
- MS HEAVEN: I will. Sorry, I'm just trying to stick to the 18
- 19 time.
- 20 LADY HALLETT: Yes.
- 21 MS HEAVEN: To be clear, March 2013 was, I think, when you 22 became elected Minister for Health; is that right?
- A. Correct. 23
- Q. Okay. 24

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25 So vesterday Frank Atherton was taken to some 209

1 that Wales could see the emergence of such a novel 2 virus, and he talks about little background immunity, 3 that a vaccine may not work or it may not be available. There is then a reference to virulence and 4 transmissibility in the context of the 1918 Spanish flu, 5

> and there is also a reference to swine flu. So in other words, he is talking about virulence and transmissibility with the potential for fast transmission and a very high death rate.

Now, as I've said, you were not at this meeting, we know that, but given that there is no reference in your witness statement to HEPU or this specific meeting or the gist of this information, is it safe for us to assume that you were not aware that these matters were being discussed either in this conference or in general terms, and that's in relation to a novel virus or a Disease X, as it's been referred to in this Inquiry; would that be a fair assumption?

- 18 19 Α. I think it would be fair. I was aware of the 20 conference, I've seen the report of Dr Watkins' 21 contribution since, but I don't think I would be likely 22 to have been alerted to it at the time.
- Q. So in other words you were not briefed? 23
- 24 Not on the contribution of a single speaker at a single conference, and I wouldn't expect to be. 25

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minutes from the Health Emergency Preparedness Unit, so 1 2 that's HEPU for short, and these related to a pandemic 3 planning yearly conference in October 2013. They were 4 chaired by David Goulding, who was head of HEPU. Now, 5 there was no suggestion, just to be clear, that you were 6 at this conference. We know that HEPU sits within the 7 Health and Social Services Group and it reports to the 8 Welsh Government.

So by the stage of October 2013 I think you had been 10 in post for seven months. Is it safe to assume that by 11 this stage you knew about the fact that HEPU existed and 12 the general nature of its work?

- 13 I would have known Mr Goulding prior to becoming the Health Minister, so I would have been aware of his work 14 15 and the unit, ves.
- 16 Now, what's interesting about this 2013 conference is we 17 can see that a talk was given by somebody called 18 a Dr John Watkins, and I won't bring it up just to save 19 time, but I'll summarise the gist of what I want to ask 20 vou about.

Now, we understand he's a consultant or he was then a consultant epidemiologist in Public Health Wales, and he is telling this conference about how current threats include a novel virus, and he says that that pandemic influenza planning assumptions in Wales must consider 210

- No. But just thinking generally about your state of 1 2 knowledge on this, obviously you'll appreciate why I'm 3 asking, it's a very important topic: novel virus, Disease X, it's being talked about as a possible risk in 4 5 2013 in Wales. We obviously know that you went on to become First Minister for Wales, you went on to chair 6
- the Wales Resilience Forum. Did you, as either 8 a Health Minister or even in your capacity as
- 9 First Minister for Wales, specifically ask your
- 10 officials -- be it David Goulding or somebody in Public 11 Health Wales -- about the risk of a novel virus or
- 12 a Disease X breaking out in Wales and whether Wales was 13 prepared? So did you ask that question of anybody?
- 14 A.
- 15 Q. Given your long experience in health and given what you 16 had seen of the dangerous viruses that I've set out, 17 would you not accept today that that was an obvious and 18 basic question that you could and should have asked?
- 19 A. I don't think I would accept it on those terms, because 20 I would have asked myself what sort of answer I was 21 likely to have received, other than to recognise that
- 22 there are a plethora of unknowns out there that you need
- 23 to be aware of, and that you need to have sources of
- 24 information about, and I doubt that the answer would
- 25 have gotten me much further than that.

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1	Q.	But the point is you didn't ask the question, did you?	1	(The hearing adjourned until 10 am
2	A.	I've	2	on Wednesday, 5 July 2023)
3	Q.	Forgetting what the answer might have been, you didn't	3	
4		ask the question?	4	
5	A.	I didn't ask the question. You said to me that was not	5	
6		a reasonable thing	6	
7	Q.	Okay.	7	
8	A.	to have done, and I was explaining trying to	8	
9		explain why I didn't ask the question.	9	
10	MS	HEAVEN: Those are all my questions. Thank you very	10	
11		much, First Minister.	11	
12	THE	E WITNESS: Thank you.	12	
13	LAI	DY HALLETT: Thank you very much, Ms Heaven.	13	
14		Mr Keith.	14	
15	MR	KEITH: My Lady, that concludes the evidence for today.	15	
16	LAI	DY HALLETT: Thank you very much indeed, Mr Drakeford.	16	
17	THE	E WITNESS: Thank you.	17	
18	LA	DY HALLETT: The next time we meet I think will be in	18	
19		Wales.	19	
20	THE	EWITNESS: Wales. Excellent. Thank you.	20	
21	LA	DY HALLETT: Thank you for your time.	21	
22		(The witness withdrew)	22	
23	LAI	DY HALLETT: 10 o'clock tomorrow?	23	
24	MR	KEITH: Yes, please.	24	
25	(4.4	0 pm)	25	
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