Friday, 3 May 2024	1	if the second paragraph of that letter could be
00 am)	2	highlighted. So, my Lady, you will see that in that
Statement by LEAD COUNSEL TO THE INQUIRY for MODULE 2C	3	letter it was suggested that it had come as a surprise
DOBBIN: My Lady, I was going to address you, if I may,	4	to members of the Executive Office Covid Inquiry team,
and before the witness is sworn.	5	including Ms Jane Holmes and Ms Maria Hannon, as th
My Lady, a disclosure issue has arisen, I wonder if	6	believed that the notes had been provided to
I can address you on that. You may recollect that when	7	the Inquiry. As you can see, they set out that:
I opened the Inquiry well, when I opened Module 2C on	8	"Steps were taken to search for the notes and the
behalf of the Inquiry, I referred to the fact that in	9	email dated 3 August 2023 that had scanned the
respect of a meeting which took place on 2 July of the	10	handwritten notes attached. This was located within
Executive Committee, that the handwritten notes of that	11	Content Manager by [Ms Hannon] who carried out the
meeting were missing; in other words that they hadn't	12	search."
been provided to the Inquiry.	13	Can I put the suggestion that that came as
I noted on behalf of the Inquiry that that was	14	a surprise in some context for you and set out
significant, because it was the first Executive	15	a chronology of all the requests that were made of the
Committee meeting which had taken place after the	16	Executive Office for the notes of 2 July 2020.
funeral of Mr Storey, at which the deputy First Minister	17	So on 8 February 2023 a solicitor to the Inquiry
and other ministers had attended.	18	emailed the legal representative of the TEO setting out
Very shortly after the opening was provided to	19	a list of materials that were missing. Amongst the
the Inquiry, the Inquiry was approached by the Executive	20	materials that were missing and which were set out by
Office to say that in fact they had the minutes of the	21	the solicitor were the notes of 2 July 2020.
2 July meeting, and I wonder if I may bring up on screen	22	On 9 March 2023, a Solicitor to the Inquiry once
the letter that was sent to the Inquiry on behalf of the	23	again emailed the legal representative of the TEO sayi
Executive Office by the Departmental Solicitor's Office.	24	that she had not received a response to the request an
We have this letter at INQ000474209. If I could ask	25	asking if the materials could be provided urgently and
by 17March 2023. On 11 April 2023 a Solicitor to the	1	referred to the fact that the Inquiry was missing
Inquiry wrote again to the TEO legal representative, and	2	handwritten notes and a number of briefing papers that
set out again a list of documents and categories of	3	had been set out in the schedule of 11 April.
documents which remained outstanding from TEO's	4	On 20 June 2023, the TEO contacted a Solicitor to
disclosure to Module 2C thus far, and again the notes of	5	the Inquiry to set out that a number of outstanding
2 July were included within the material that was	6	items had been uploaded to Egress, and attached to th
missing.	7	email was a table providing an update against the items
On 1 June 2023, there was a meeting between the	8	that had been requested.
Executive Office and Module 2C, and the first item on	9	In respect of the notes of 2 July 2020, it was
the agenda that was discussed was the outstanding	10	specifically said "Notes not held, confirmed by
disclosure, and again the fact that there were a number	11	Executive Secretary".
of documents from the schedule which had been provided	12	Notwithstanding that that indication had been giver
in April and were missing, and reference was made to the	13	that the notes were not held, on 6 July 2023 again
fact that that had been circulated a number of times,	14	a Solicitor to the Inquiry contacted TEO setting out
and there was a query as to why outstanding documents	15	that they were grateful for the ongoing disclosure of
were still missing.	16	documents, but again said "We have a few specific
On 2 June 2023, so immediately after that, Solicitor	17	handwritten notes of minutes which appear to be
to the Inquiry again emailed about the outstanding	18	outstanding, and we would be grateful if you could
documents that had been set out in the schedule which	19	please provide those to the Inquiry, or if it's the case
was in the email of 11 April.	20	that no handwritten notes exist for these meetings, you
On 7 June 2023, the TEO contacted the Inquiry to say	21	confirmation of the same", and again it set out the
that it was aiming to complete disclosure.	22	dates of the handwritten minutes that were missing, an
On 16 June, again 2023, a Solicitor to the Inquiry	23	again this included a request for the 2 July 2020
contacted the TEO again setting out that there were	24	handwritten notes.
still outstanding documents and again specifically		On 31 July 2023, there was a letter again from
		itstanding documents and again specifically 25

(1) Pages 1 - 4

1	Module 2C to the Executive Office, and again this set
2	out in respect of the email that had been sent by the
3	TEO in response to the ongoing requests for disclosure
4	that a number of meeting notes were not held. So
5	the Inquiry set out its understanding that the
6	2 July 2020 notes were not held, and the Inquiry set out
7	to the TEO that:
8	"These handwritten notes cover a period of
9	significant interest to the Inquiry, and it's plainly of
10	concern if these are in fact missing. To that end,
11	please confirm that the handwritten notes are missing,
12	that there are no copies of them, and please explain
13	where these records ought to be stored. Please explain
14	the process by which such records were stored and how it
15	is possible to remove them from storage. Can you
16	explain what is known about the circumstances in which
17	they have gone missing? Has there been an investigation
18	into this?"
19	We are now aware, because it's been disclosed to us,
20	that in fact there were investigations in and around
21	this time as to the missing notes.
22	Perhaps if I could ask to be brought up on screen,
23	please, the document at INQ000421789. If I could ask,
24	please, for page 2 of that.
25	My Lady, page 2 of this email sets out, and you can 5
1	paragraph, if that paragraph was intended to convey that
2	there had been any casualness in terms of our requests
3	and the efforts that were made in order to obtain the
4	notes of 2 July 2020, that would obviously be quite
5	wrong and it's important on behalf of the Inquiry that
6	I make clear to you obviously that the importance or the
7	potential importance of those notes was appreciated by
8	the Inquiry, and that I make clear to you all of the
9 10	efforts that were made in order to obtain those notes, in order to be able to provide them to
11	core participants, obviously, before the Inquiry
12	started.
12	Thank you, my Lady.
13	LADY HALLETT: Thank you very much, Ms Dobbin. I think you
15	made mention of a name that had been redacted, so that
16	just needs to be deleted from the transcript, early on
17	in your remarks.
18	As far as the issue you've just raised, this is
19	an issue I expect will be explored today with witnesses,
20	and I am very concerned about what you've just told me,
21	and I will see at the end of the day and consider with
22	the Inquiry team whether I wish to pursue this matter
23	further. It's not a very happy picture.
24	MS DOBBIN: I'm grateful, my Lady.
25	My Lady, I think the witness can be sworn in.
	7

1		see it in blue, these were the requests that had been
2		made on behalf of the Inquiry. You can see at B again
3		the reference to the 2 July notes.
4		If we go over the page, please, to page 1, you'll
5		note that this email is dated 3 August 2023, and that in
6		the first paragraph it confirms that the handwritten
7		notes of 2 July 2020 had in fact been found.
8		If you look at the third paragraph, and I'd ask you
9		just to take a note of this for now, you will see that
10		reference is made to the existence of the notes being
11		attributable to the minute-taker taking them, and the
12		suggestion that where they don't exist or where they
12		
		haven't been found it may be that the minute-taker
14		didn't make those notes. We'll come back to that in
15		evidence.
16		But it suffices to say that, despite those notes
17		having been found and despite the sheer number of
18		requests having been made, despite the fact that the TEO
19		in fact told the Inquiry that those notes weren't held,
20		and despite the very specific questions that the Inquiry
21		asked about the precise circumstances in which notes
22		like this could go missing, they weren't provided until
23		after the opening had been given.
24		My Lady, if I could go back to the letter that the
25		TEO sent, INQ000474209, and again highlight the second
		6
1	LAI	DY HALLETT: I'm sorry you've had to wait.
2		MS KAREN PEARSON (affirmed)
2 3	C	MS KAREN PEARSON (affirmed) Questions from LEAD COUNSEL TO THE INQUIRY for MODULE 2C
2 3 4	C	MS KAREN PEARSON (affirmed) Questions from LEAD COUNSEL TO THE INQUIRY for MODULE 2C DOBBIN: Can I ask you to give your full name to
2 3 4 5	C MS	MS KAREN PEARSON (affirmed) Questions from LEAD COUNSEL TO THE INQUIRY for MODULE 2C DOBBIN: Can I ask you to give your full name to the Inquiry, please.
2 3 4 5 6	C MS A.	MS KAREN PEARSON (affirmed) Questions from LEAD COUNSEL TO THE INQUIRY for MODULE 2C DOBBIN: Can I ask you to give your full name to the Inquiry, please. Karen Jayne Pearson.
2 3 4 5	C MS	MS KAREN PEARSON (affirmed) Questions from LEAD COUNSEL TO THE INQUIRY for MODULE 2C DOBBIN: Can I ask you to give your full name to the Inquiry, please. Karen Jayne Pearson. Now, Ms Pearson, I think you have in fact made two
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2 3 4 5 6 7	C MS A.	MS KAREN PEARSON (affirmed) Questions from LEAD COUNSEL TO THE INQUIRY for MODULE 2C DOBBIN: Can I ask you to give your full name to the Inquiry, please. Karen Jayne Pearson. Now, Ms Pearson, I think you have in fact made two
2 3 4 5 6 7 8	G MS A. Q.	MS KAREN PEARSON (affirmed) Questions from LEAD COUNSEL TO THE INQUIRY for MODULE 2C DOBBIN: Can I ask you to give your full name to the Inquiry, please. Karen Jayne Pearson. Now, Ms Pearson, I think you have in fact made two witness statements to the Inquiry; is that correct? That is correct.
2 3 4 5 6 7 8 9	C MS A. Q. A.	MS KAREN PEARSON (affirmed) Questions from LEAD COUNSEL TO THE INQUIRY for MODULE 2C DOBBIN: Can I ask you to give your full name to the Inquiry, please. Karen Jayne Pearson. Now, Ms Pearson, I think you have in fact made two witness statements to the Inquiry; is that correct? That is correct.
2 3 4 5 6 7 8 9 10	C MS A. Q. A.	MS KAREN PEARSON (affirmed) Questions from LEAD COUNSEL TO THE INQUIRY for MODULE 2C DOBBIN: Can I ask you to give your full name to the Inquiry, please. Karen Jayne Pearson. Now, Ms Pearson, I think you have in fact made two witness statements to the Inquiry; is that correct? That is correct. You have made one in your personal capacity. Do you
2 3 4 5 6 7 8 9 10 11	C MS A. Q. A. Q.	MS KAREN PEARSON (affirmed) Questions from LEAD COUNSEL TO THE INQUIRY for MODULE 2C DOBBIN: Can I ask you to give your full name to the Inquiry, please. Karen Jayne Pearson. Now, Ms Pearson, I think you have in fact made two witness statements to the Inquiry; is that correct? That is correct. You have made one in your personal capacity. Do you have that in front of you?
2 3 4 5 6 7 8 9 10 11 12	C MS A. Q. A. Q.	MS KAREN PEARSON (affirmed) Questions from LEAD COUNSEL TO THE INQUIRY for MODULE 2C DOBBIN: Can I ask you to give your full name to the Inquiry, please. Karen Jayne Pearson. Now, Ms Pearson, I think you have in fact made two witness statements to the Inquiry; is that correct? That is correct. You have made one in your personal capacity. Do you have that in front of you? Yes, thank you.
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2 3 4 5 6 7 8 9 10 11 12 13 14	C MS A. Q. A. Q. A. Q.	MS KAREN PEARSON (affirmed) Questions from LEAD COUNSEL TO THE INQUIRY for MODULE 2C DOBBIN: Can I ask you to give your full name to the Inquiry, please. Karen Jayne Pearson. Now, Ms Pearson, I think you have in fact made two witness statements to the Inquiry; is that correct? That is correct. You have made one in your personal capacity. Do you have that in front of you? Yes, thank you. And I think it's right that you signed that statement on 28 February 2024? I did.
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16	C MS A. Q. A. Q. A. Q.	MS KAREN PEARSON (affirmed) evestions from LEAD COUNSEL TO THE INQUIRY for MODULE 2C DOBBIN: Can I ask you to give your full name to the Inquiry, please. Karen Jayne Pearson. Now, Ms Pearson, I think you have in fact made two witness statements to the Inquiry; is that correct? That is correct. You have made one in your personal capacity. Do you have that in front of you? Yes, thank you. And I think it's right that you signed that statement on 28 February 2024? I did. And are you content that that statement's true to the
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	C MS A. Q. A. Q. A. Q. A.	MS KAREN PEARSON (affirmed) Questions from LEAD COUNSEL TO THE INQUIRY for MODULE 2C DOBBIN: Can I ask you to give your full name to the Inquiry, please. Karen Jayne Pearson. Now, Ms Pearson, I think you have in fact made two witness statements to the Inquiry; is that correct? That is correct. You have made one in your personal capacity. Do you have that in front of you? Yes, thank you. And I think it's right that you signed that statement on 28 February 2024? I did. And are you content that that statement's true to the best of your knowledge and belief? I am, yes.
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20	C MS A. Q. A. Q. A. Q. A.	MS KAREN PEARSON (affirmed) Destions from LEAD COUNSEL TO THE INQUIRY for MODULE 2C DOBBIN: Can I ask you to give your full name to the Inquiry, please. Karen Jayne Pearson. Now, Ms Pearson, I think you have in fact made two witness statements to the Inquiry; is that correct? That is correct. You have made one in your personal capacity. Do you have that in front of you? Yes, thank you. And I think it's right that you signed that statement on 28 February 2024? I did. And are you content that that statement's true to the best of your knowledge and belief? I am, yes. Thank you. I think that you also signed the TEO statement, so you signed a statement on behalf of the
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	C MS Q. Q. A. Q. A. Q. A. Q. A.	MS KAREN PEARSON (affirmed) Destions from LEAD COUNSEL TO THE INQUIRY for MODULE 2C DOBBIN: Can I ask you to give your full name to the Inquiry, please. Karen Jayne Pearson. Now, Ms Pearson, I think you have in fact made two witness statements to the Inquiry; is that correct? That is correct. You have made one in your personal capacity. Do you have that in front of you? Yes, thank you. And I think it's right that you signed that statement on 28 February 2024? I did. And are you content that that statement's true to the best of your knowledge and belief? I am, yes. Thank you. I think that you also signed the TEO statement, so you signed a statement on behalf of the Executive Office; is that correct?
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	C MS A. Q. A. Q. A. Q. A. Q. A.	MS KAREN PEARSON (affirmed) Destions from LEAD COUNSEL TO THE INQUIRY for MODULE 2C DOBBIN: Can I ask you to give your full name to the Inquiry, please. Karen Jayne Pearson. Now, Ms Pearson, I think you have in fact made two witness statements to the Inquiry; is that correct? That is correct. You have made one in your personal capacity. Do you have that in front of you? Yes, thank you. And I think it's right that you signed that statement on 28 February 2024? I did. And are you content that that statement's true to the best of your knowledge and belief? I am, yes. Thank you. I think that you also signed the TEO statement, so you signed a statement on behalf of the Executive Office; is that correct? Yes. And do you also have that in front of you?

	19 February 2024?	1		"The existence of manuscript notes is attributable
2 A .	That's right.	2		solely to the discretion of the customary minute
3 Q .	And again, are you content that the contents of that	3		taker"
4	statement are true to the best of your knowledge and	4		We can see, can't we, that that language comes from
5	belief?	5		the email that was sent by the Executive Office or
6 A .	Yes, I am, yes.	6		within the Executive Office about the outstanding notes;
7 Q .	Now, if at any time, Ms Pearson, you need a break,	7		correct?
8	please do say and we'll be able to stop proceedings.	8	Α.	Yes, that's my understanding, yes.
9	All right?	9	Q.	And in fact perhaps if it's possible to bring both of
0 A .	Okay.	10		those documents on screen together, the email is at
1 Q .	Thank you.	11		INQ000421789.
2	Now, the first question that I wanted to ask you	12		Thank you.
3	about was in fact about the corporate statement and what	13		So if we look at paragraph 71 of the statement, and
4	was said about some of the handwritten notes. So	14		if we could highlight "The existence of manuscript notes
5	I wonder if I could ask, please, for that to be brought	15		is attributable", and if we could highlight the email,
6	up on screen. That's at paragraph 70, so that's	16		please.
7	INQ000438174, and paragraph 71, please.	17		We can see the equivalent.
8	I think it's right, Ms Pearson, that paragraph 71 is	18		So in other words, paragraph 71 of the statement is
9	dealing with the issue of the outstanding handwritten	19		expressly addressed and based on the email that was
20	notes that hadn't been provided to the Inquiry, and that	20		sent; correct?
21	specifically refers to the notes of 3 February, 6 August	21	Α.	Yes.
22	and 13 August. Correct?	22	Q.	But obviously the statement doesn't refer to the fact
23 A .	Correct.	23		that the minutes of 2 July had been found?
24 Q .	If we look at the sentence that begins on the second	24	Α.	No, it doesn't, you're right.
25	line: 9	25	Q.	And in fact it goes on, if we look at the very end of 10
1	that paragraph, to say:	1	Q.	Thank you, I'm going to move on now, if I may, to deal
	"All extent handwritten notes of an Executive			
2	"All extant handwritten notes of an Executive	2		with your evidence.
3	meeting taken by the usual minute taker had been	3		I want to start, please, by asking you a bit about
3 4	meeting taken by the usual minute taker had been provided."	3 4		I want to start, please, by asking you a bit about your role and your background, if I may, in the
3 4 5 A .	meeting taken by the usual minute taker had been provided." Yes.	3 4 5		I want to start, please, by asking you a bit about your role and your background, if I may, in the Executive Office. I think in fact it's right that you
3 4 5 A. 6 Q .	meeting taken by the usual minute taker had been provided." Yes. But that wasn't, in fact, correct, was it?	3 4 5 6		I want to start, please, by asking you a bit about your role and your background, if I may, in the Executive Office. I think in fact it's right that you started your Civil Service career in the Home Office; is
3 4 5 A. 6 Q. 7 A.	meeting taken by the usual minute taker had been provided." Yes. But that wasn't, in fact, correct, was it? Correct, you are right, yes.	3 4 5 6 7		I want to start, please, by asking you a bit about your role and your background, if I may, in the Executive Office. I think in fact it's right that you started your Civil Service career in the Home Office; is that correct?
3 4 5 A. 6 Q. 7 A. 8 Q.	meeting taken by the usual minute taker had been provided." Yes. But that wasn't, in fact, correct, was it? Correct, you are right, yes. When you signed this statement, were you or did you	3 4 5 6 7 8	А.	I want to start, please, by asking you a bit about your role and your background, if I may, in the Executive Office. I think in fact it's right that you started your Civil Service career in the Home Office; is that correct? Yes.
3 4 5 A. 6 Q. 7 A. 8 Q. 9	meeting taken by the usual minute taker had been provided." Yes. But that wasn't, in fact, correct, was it? Correct, you are right, yes. When you signed this statement, were you or did you understand the steps that had been taken in order to	3 4 5 6 7 8 9	Q.	I want to start, please, by asking you a bit about your role and your background, if I may, in the Executive Office. I think in fact it's right that you started your Civil Service career in the Home Office; is that correct? Yes. In Westminster?
3 4 5 A. 6 Q. 7 A. 8 Q. 9	meeting taken by the usual minute taker had been provided." Yes. But that wasn't, in fact, correct, was it? Correct, you are right, yes. When you signed this statement, were you or did you understand the steps that had been taken in order to investigate what had happened to the missing handwritten	3 4 5 6 7 8 9 10	Q. A.	I want to start, please, by asking you a bit about your role and your background, if I may, in the Executive Office. I think in fact it's right that you started your Civil Service career in the Home Office; is that correct? Yes. In Westminster? That's right.
3 4 5 A. 6 Q. 7 A. 8 Q. 9 10	meeting taken by the usual minute taker had been provided." Yes. But that wasn't, in fact, correct, was it? Correct, you are right, yes. When you signed this statement, were you or did you understand the steps that had been taken in order to investigate what had happened to the missing handwritten notes?	3 4 5 6 7 8 9 10 11	Q. A.	I want to start, please, by asking you a bit about your role and your background, if I may, in the Executive Office. I think in fact it's right that you started your Civil Service career in the Home Office; is that correct? Yes. In Westminster? That's right. I know it's referred to as the Home Civil Service; is
3 4 5 A. 6 Q. 7 A. 8 Q. 9 0 1 1 2 A.	meeting taken by the usual minute taker had been provided." Yes. But that wasn't, in fact, correct, was it? Correct, you are right, yes. When you signed this statement, were you or did you understand the steps that had been taken in order to investigate what had happened to the missing handwritten notes? I was aware that the Inquiry was looking for full	3 4 5 6 7 8 9 10 11	Q. A. Q.	I want to start, please, by asking you a bit about your role and your background, if I may, in the Executive Office. I think in fact it's right that you started your Civil Service career in the Home Office; is that correct? Yes. In Westminster? That's right. I know it's referred to as the Home Civil Service; is that right?
 3 4 5 A. 6 Q. 7 A. 8 Q. 9 10 11 12 A. 13 	meeting taken by the usual minute taker had been provided." Yes. But that wasn't, in fact, correct, was it? Correct, you are right, yes. When you signed this statement, were you or did you understand the steps that had been taken in order to investigate what had happened to the missing handwritten notes? I was aware that the Inquiry was looking for full disclosure, that's not in doubt, but I just need to	3 4 5 6 7 8 9 10 11 12 13	Q. A. Q. A.	I want to start, please, by asking you a bit about your role and your background, if I may, in the Executive Office. I think in fact it's right that you started your Civil Service career in the Home Office; is that correct? Yes. In Westminster? That's right. I know it's referred to as the Home Civil Service; is that right? Yes.
3 4 5 A. 6 Q. 7 A. 8 Q. 9 0 1 2 A. 3 4	meeting taken by the usual minute taker had been provided." Yes. But that wasn't, in fact, correct, was it? Correct, you are right, yes. When you signed this statement, were you or did you understand the steps that had been taken in order to investigate what had happened to the missing handwritten notes? I was aware that the Inquiry was looking for full disclosure, that's not in doubt, but I just need to share that I was off work for a period of time in 2023.	3 4 5 6 7 8 9 10 11 12 13 14	Q. A. Q.	I want to start, please, by asking you a bit about your role and your background, if I may, in the Executive Office. I think in fact it's right that you started your Civil Service career in the Home Office; is that correct? Yes. In Westminster? That's right. I know it's referred to as the Home Civil Service; is that right? Yes. And you began your career there in 1986; is that
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3 4 5 A. 6 Q. 7 A. 8 Q. 9 0 1 2 2 A. 3 4 5 6 7 A. 8 Q. 9 Q. 20 Q.	 meeting taken by the usual minute taker had been provided." Yes. But that wasn't, in fact, correct, was it? Correct, you are right, yes. When you signed this statement, were you or did you understand the steps that had been taken in order to investigate what had happened to the missing handwritten notes? I was aware that the Inquiry was looking for full disclosure, that's not in doubt, but I just need to share that I was off work for a period of time in 2023. That's no excuse, that's no I just it's a fact. I was not around for about six months. But my understanding, in signing the statement, was that there were three missing notes. Thank you. So certainly as far as that bit of your statement 	3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20	Q. A. Q. A. Q. Q.	I want to start, please, by asking you a bit about your role and your background, if I may, in the Executive Office. I think in fact it's right that you started your Civil Service career in the Home Office; is that correct? Yes. In Westminster? That's right. I know it's referred to as the Home Civil Service; is that right? Yes. And you began your career there in 1986; is that correct? Yes. Then in 1998 you came on a secondment to the Northern Ireland Office; is that also right? Yes. And then thereafter did you stay and effectively become
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3 4 5 6 7 8 9 0 1 2 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 8 9 0 1 2 8 9 0 1 2 8 9 0 1 2 8 9 0 1 2 8 9 0 2 8 9 0 9 0 9 0 9 0 0 9 0 1 2 8 9 0 9 0 0 9 0 0 9 0 0 9 0 0 1 9 0 0 0 9 0 0 1 9 0 0 0 1 9 0 0 0 1 1 9 0 0 0 1 1 9 0 0 1 1 1 1	 meeting taken by the usual minute taker had been provided." Yes. But that wasn't, in fact, correct, was it? Correct, you are right, yes. When you signed this statement, were you or did you understand the steps that had been taken in order to investigate what had happened to the missing handwritten notes? I was aware that the Inquiry was looking for full disclosure, that's not in doubt, but I just need to share that I was off work for a period of time in 2023. That's no excuse, that's no I just it's a fact. I was not around for about six months. But my understanding, in signing the statement, was that there were three missing notes. Thank you. So certainly as far as that bit of your statement goes, that's obviously something that needs to be corrected because at the time the Executive Office had 	3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	Q. A. Q. A. Q. A. Q.	I want to start, please, by asking you a bit about your role and your background, if I may, in the Executive Office. I think in fact it's right that you started your Civil Service career in the Home Office; is that correct? Yes. In Westminster? That's right. I know it's referred to as the Home Civil Service; is that right? Yes. And you began your career there in 1986; is that correct? Yes. Then in 1998 you came on a secondment to the Northern Ireland Office; is that also right? Yes. And then thereafter did you stay and effectively become a member of the Northern Ireland Office, Yes, I did. I started in the Northern Ireland Office,
3 4 5 A. 6 Q. 7 A. 8 Q. 9 0 12 A. 13 4 5 6 7 A. 12 A. 13 4 5 6 7 B. 9 Q. 20 21 22 23	 meeting taken by the usual minute taker had been provided." Yes. But that wasn't, in fact, correct, was it? Correct, you are right, yes. When you signed this statement, were you or did you understand the steps that had been taken in order to investigate what had happened to the missing handwritten notes? I was aware that the Inquiry was looking for full disclosure, that's not in doubt, but I just need to share that I was off work for a period of time in 2023. That's no excuse, that's no I just it's a fact. I was not around for about six months. But my understanding, in signing the statement, was that there were three missing notes. Thank you. So certainly as far as that bit of your statement goes, that's obviously something that needs to be corrected because at the time the Executive Office had not in fact provided all of the handwritten notes that 	3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	Q. A. Q. A. Q. A. Q.	I want to start, please, by asking you a bit about your role and your background, if I may, in the Executive Office. I think in fact it's right that you started your Civil Service career in the Home Office; is that correct? Yes. In Westminster? That's right. I know it's referred to as the Home Civil Service; is that right? Yes. And you began your career there in 1986; is that correct? Yes. Then in 1998 you came on a secondment to the Northern Ireland Office; is that also right? Yes. And then thereafter did you stay and effectively become a member of the Northern Ireland Civil Service? Yes, I did. I started in the Northern Ireland Office, and as part of that posting I was in a devolving job
3 4 5 6 7 8 9 0 1 2 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 8 9 0 1 2 8 9 0 1 2 8 9 0 1 2 8 9 0 1 2 8 9 0 2 8 9 0 9 0 9 0 9 0 0 9 0 1 2 8 9 0 9 0 0 9 0 0 9 0 0 9 0 0 1 9 0 0 0 9 0 0 1 9 0 0 0 1 9 0 0 0 1 1 9 0 0 0 1 1 9 0 0 1 1 1 1	 meeting taken by the usual minute taker had been provided." Yes. But that wasn't, in fact, correct, was it? Correct, you are right, yes. When you signed this statement, were you or did you understand the steps that had been taken in order to investigate what had happened to the missing handwritten notes? I was aware that the Inquiry was looking for full disclosure, that's not in doubt, but I just need to share that I was off work for a period of time in 2023. That's no excuse, that's no I just it's a fact. I was not around for about six months. But my understanding, in signing the statement, was that there were three missing notes. Thank you. So certainly as far as that bit of your statement goes, that's obviously something that needs to be corrected because at the time the Executive Office had not in fact provided all of the handwritten notes that it was in possession of? 	3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	Q. A. Q. A. Q. A. Q.	I want to start, please, by asking you a bit about your role and your background, if I may, in the Executive Office. I think in fact it's right that you started your Civil Service career in the Home Office; is that correct? Yes. In Westminster? That's right. I know it's referred to as the Home Civil Service; is that right? Yes. And you began your career there in 1986; is that correct? Yes. Then in 1998 you came on a secondment to the Northern Ireland Office; is that also right? Yes. And then thereafter did you stay and effectively become a member of the Northern Ireland Office, Yes, I did. I started in the Northern Ireland Office,

1		2012.
2	Q.	I think you had a number of different roles, but
3		eventually in May 2019, you were one of the civil
4		servants who became part of the work dealing with
5		EU exit; is that correct?
6	Α.	I was working on EU exit in the Department of Justice
7		prior to that date. In May 2019 I transferred to the
8	~	Executive Office to work on EU exit.
9	Q.	I see. And there you remained, and we'll come to this
10		in due course, until you were asked to become part of
11 12		the civil contingency framework within the Executive
12	•	Office responding to the pandemic; is that right? Yes. I would just say I was asked to move across to
13 14	Α.	Covid work, but that was in more of a policy role rather
14		than the operational civil contingencies role at that
16		time.
17	Q.	All right. Well, I'm going to ask you a bit more about
18	ч.	that, and a bit more about how you came to be in that
19		role and the work that you did.
20		Before I move on to that, though, I do want to ask
20		about the role that you had on the departmental board.
22	Α.	
23	Q.	You deal with that at paragraph 6 of your witness
24		statement. Can I ask you to explain what the
25		departmental board was, please.
		13
1		having a role in determining the rick appetite of the
1		having a role in determining the risk appetite of the
2	Δ	Executive Office; correct?
2 3	A. 0	Executive Office; correct? Correct, yes.
2 3 4	A. Q.	Executive Office; correct? Correct, yes. What you've said in your statement is that one of the
2 3 4 5		Executive Office; correct? Correct, yes. What you've said in your statement is that one of the matters which that board considered was the paper that
2 3 4 5 6		Executive Office; correct? Correct, yes. What you've said in your statement is that one of the matters which that board considered was the paper that had been written by Mr Stewart on 25 February about
2 3 4 5 6 7		Executive Office; correct? Correct, yes. What you've said in your statement is that one of the matters which that board considered was the paper that
2 3 4 5 6		Executive Office; correct? Correct, yes. What you've said in your statement is that one of the matters which that board considered was the paper that had been written by Mr Stewart on 25 February about capacity in civil contingencies in government in
2 3 4 5 6 7 8	Q.	Executive Office; correct? Correct, yes. What you've said in your statement is that one of the matters which that board considered was the paper that had been written by Mr Stewart on 25 February about capacity in civil contingencies in government in Northern Ireland?
2 3 4 5 6 7 8 9	Q. A.	Executive Office; correct? Correct, yes. What you've said in your statement is that one of the matters which that board considered was the paper that had been written by Mr Stewart on 25 February about capacity in civil contingencies in government in Northern Ireland? Yes.
2 3 4 5 6 7 8 9	Q. A.	Executive Office; correct? Correct, yes. What you've said in your statement is that one of the matters which that board considered was the paper that had been written by Mr Stewart on 25 February about capacity in civil contingencies in government in Northern Ireland? Yes. I wonder if we could just bring that up please. That's
2 3 4 5 6 7 8 9 10 11	Q. A.	Executive Office; correct? Correct, yes. What you've said in your statement is that one of the matters which that board considered was the paper that had been written by Mr Stewart on 25 February about capacity in civil contingencies in government in Northern Ireland? Yes. I wonder if we could just bring that up please. That's at INQ000205712.
2 3 4 5 6 7 8 9 10 11 12	Q. A.	Executive Office; correct? Correct, yes. What you've said in your statement is that one of the matters which that board considered was the paper that had been written by Mr Stewart on 25 February about capacity in civil contingencies in government in Northern Ireland? Yes. I wonder if we could just bring that up please. That's at INQ000205712. The Inquiry has already looked at this document, I'm
2 3 4 5 6 7 8 9 10 11 12 13	Q. A.	Executive Office; correct? Correct, yes. What you've said in your statement is that one of the matters which that board considered was the paper that had been written by Mr Stewart on 25 February about capacity in civil contingencies in government in Northern Ireland? Yes. I wonder if we could just bring that up please. That's at INQ000205712. The Inquiry has already looked at this document, I'm sure you're familiar with it as well, and I know you
2 3 4 5 6 7 8 9 10 11 12 13 14	Q. A.	Executive Office; correct? Correct, yes. What you've said in your statement is that one of the matters which that board considered was the paper that had been written by Mr Stewart on 25 February about capacity in civil contingencies in government in Northern Ireland? Yes. I wonder if we could just bring that up please. That's at INQ000205712. The Inquiry has already looked at this document, I'm sure you're familiar with it as well, and I know you looked at it to prepare your statement.
2 3 4 5 6 7 8 9 10 11 12 13 14 15	Q. A.	Executive Office; correct? Correct, yes. What you've said in your statement is that one of the matters which that board considered was the paper that had been written by Mr Stewart on 25 February about capacity in civil contingencies in government in Northern Ireland? Yes. I wonder if we could just bring that up please. That's at INQ000205712. The Inquiry has already looked at this document, I'm sure you're familiar with it as well, and I know you looked at it to prepare your statement. I wonder if we could just go to paragraph 23 of
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16	Q. A.	Executive Office; correct? Correct, yes. What you've said in your statement is that one of the matters which that board considered was the paper that had been written by Mr Stewart on 25 February about capacity in civil contingencies in government in Northern Ireland? Yes. I wonder if we could just bring that up please. That's at INQ000205712. The Inquiry has already looked at this document, I'm sure you're familiar with it as well, and I know you looked at it to prepare your statement. I wonder if we could just go to paragraph 23 of that, please.
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17	Q. A.	Executive Office; correct? Correct, yes. What you've said in your statement is that one of the matters which that board considered was the paper that had been written by Mr Stewart on 25 February about capacity in civil contingencies in government in Northern Ireland? Yes. I wonder if we could just bring that up please. That's at INQ000205712. The Inquiry has already looked at this document, I'm sure you're familiar with it as well, and I know you looked at it to prepare your statement. I wonder if we could just go to paragraph 23 of that, please. I'm sorry, Ms Pearson, I understand the screen is
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	Q. A. Q.	Executive Office; correct? Correct, yes. What you've said in your statement is that one of the matters which that board considered was the paper that had been written by Mr Stewart on 25 February about capacity in civil contingencies in government in Northern Ireland? Yes. I wonder if we could just bring that up please. That's at INQ000205712. The Inquiry has already looked at this document, I'm sure you're familiar with it as well, and I know you looked at it to prepare your statement. I wonder if we could just go to paragraph 23 of that, please. I'm sorry, Ms Pearson, I understand the screen is frozen?
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19	Q. A. Q.	Executive Office; correct? Correct, yes. What you've said in your statement is that one of the matters which that board considered was the paper that had been written by Mr Stewart on 25 February about capacity in civil contingencies in government in Northern Ireland? Yes. I wonder if we could just bring that up please. That's at INQ000205712. The Inquiry has already looked at this document, I'm sure you're familiar with it as well, and I know you looked at it to prepare your statement. I wonder if we could just go to paragraph 23 of that, please. I'm sorry, Ms Pearson, I understand the screen is frozen? It's fine.
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20	Q. A. Q.	Executive Office; correct? Correct, yes. What you've said in your statement is that one of the matters which that board considered was the paper that had been written by Mr Stewart on 25 February about capacity in civil contingencies in government in Northern Ireland? Yes. I wonder if we could just bring that up please. That's at INQ000205712. The Inquiry has already looked at this document, I'm sure you're familiar with it as well, and I know you looked at it to prepare your statement. I wonder if we could just go to paragraph 23 of that, please. I'm sorry, Ms Pearson, I understand the screen is frozen? It's fine. I'll just let you orientate yourself. We know that what
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	Q. A. Q.	Executive Office; correct? Correct, yes. What you've said in your statement is that one of the matters which that board considered was the paper that had been written by Mr Stewart on 25 February about capacity in civil contingencies in government in Northern Ireland? Yes. I wonder if we could just bring that up please. That's at INQ000205712. The Inquiry has already looked at this document, I'm sure you're familiar with it as well, and I know you looked at it to prepare your statement. I wonder if we could just go to paragraph 23 of that, please. I'm sorry, Ms Pearson, I understand the screen is frozen? It's fine. I'll just let you orientate yourself. We know that what was being proposed was a strategic review of civil
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	Q. A. Q.	Executive Office; correct? Correct, yes. What you've said in your statement is that one of the matters which that board considered was the paper that had been written by Mr Stewart on 25 February about capacity in civil contingencies in government in Northern Ireland? Yes. I wonder if we could just bring that up please. That's at INQ000205712. The Inquiry has already looked at this document, I'm sure you're familiar with it as well, and I know you looked at it to prepare your statement. I wonder if we could just go to paragraph 23 of that, please. I'm sorry, Ms Pearson, I understand the screen is frozen? It's fine. I'll just let you orientate yourself. We know that what was being proposed was a strategic review of civil contingency capability.
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	Q. A. Q.	Executive Office; correct? Correct, yes. What you've said in your statement is that one of the matters which that board considered was the paper that had been written by Mr Stewart on 25 February about capacity in civil contingencies in government in Northern Ireland? Yes. I wonder if we could just bring that up please. That's at INQ000205712. The Inquiry has already looked at this document, I'm sure you're familiar with it as well, and I know you looked at it to prepare your statement. I wonder if we could just go to paragraph 23 of that, please. I'm sorry, Ms Pearson, I understand the screen is frozen? It's fine. I'll just let you orientate yourself. We know that what was being proposed was a strategic review of civil contingency capability. We can see at paragraph 22 onwards Mr Stewart is

nquir	у	3 May 2024
1	A.	Yes. So all departments will have a departmental board
2		charged with looking after governance matters primarily.
3		It's not necessarily a policymaking board, although it
4		will be aware of policy work in its own department.
5		So the TEO departmental board would have looked at
6		matters such as finance, governance, staffing, risk,
7		business planning, that sort of work, rather than
8	~	specific policy objectives.
9 10	Q.	Just to be clear, this is the departmental board for the Executive Office itself; is that correct? So it's not
10		a cross-departmental board, it's specific to the
12		Executive Office?
13	Α.	I think Jenny Pyper referred yesterday to the NICS
14		board.
15	Q.	Yes.
16	Α.	That's where you get the overarching view of governance.
17		My statement is it's referring specifically to the TEO
18		departmental board.
19	Q.	And that had a number of senior civil servants on it and
20		non-executive members as well; correct?
21	Α.	Correct.
22	Q.	Part of its role, I think, was also to assess risk on
23	•	the part of the Executive Office as well; is that right?
24 25	A. Q.	Yes, it is. And I think you referred to that in your statement as
20	ч.	14
1	Q.	Just give me one second.
2		(Pause)
3		So 22 is dealing with risk and at 23, as part of
4		that assessment:
5		" if no action is taken to address the lessons
6		learnt and to implement recommendations from the C3
7		Project experience the risk arises that civil
8		contingency arrangements in Northern Ireland will fall
9		even further behind the rest of the UK, and the
10 11		Executive and wider society may not be prepared for, or
12		have the capacity and capability to deal effectively with, an emergency situation should a major contingency
13		present."
14		Obviously, Ms Pearson, at this point in time,
15		Covid-19 was, as it were, hurtling towards
16		Northern Ireland. What was the assessment of the
17		departmental board, or what was its response to this
18		paper and the risk that was being set out?
19	Α.	I think we accepted the recommendation that the review
20		should launch. We were also aware of the enormous work
21		that had gone on in Yellowhammer, we would have been
22		aware of that at departmental board. I would have been
23		aware of it in my job in TEO at that time as well, and
24		previously having worked on preparations in the

25 Department of Justice. So I think we would have 16

1	_	accepted that assessment.	1		the face of an oncoming pandemic, that this was the
		But	2		state of affairs, and that it was being suggested that
	LAL	DY HALLETT: Sorry, Yellowhammer, you mean the	3		there wasn't the capability to deal or there may not be
4		preparations for a no-deal Brexit?	4		the capability to be able to deal with an emergency
		Yes, my Lady, yes.	5		or the major contingency that wasn't theoretical but
	1015	DOBBIN: But, I mean, what might be thought odd about	6		which was real?
7		this paper or what might be thought to be an air of	7	Α.	
8		unreality about it is that it's talking about	8		own role in Yellowhammer, I would have been aware of the
9		a hypothetical risk in the future as opposed to a fear	9		state of readiness that that would have assisted with in
10		that there was a lack of capacity in order to be able to	10		a pandemic. You can't lift Yellowhammer and make it
11		respond to a major emergency which was right in front of	11		work directly. I think we've heard about some of the
12		Northern Ireland, which was coming.	12		initial problems. But I would have been personally
	Α.	I accept that, looking at it now. At the time, we would	13		aware that the Yellowhammer situation would have relied
14		have been aware of how much preparation had been done.	14		on bringing volunteer staff in from other departments,
15		Chris Stewart here is recommending a review, but that	15		that was the core of the Yellowhammer preparation.
16		would have been building on the preparations that had	16	Q.	
17		been made for no-deal exit.	17		set out here, is it? That's not answering what's
	Q.	So when you say you would have been aware of all of the	18		obviously a very significant concern, that
19		preparations going on, are you specifically referring,	19		Northern Ireland doesn't have the capacity to cope with
20		Ms Pearson, just to Operation Yellowhammer and those	20		a major contingency.
21		preparations	21	Α.	5
		Yes.	22		he had immediately available to him, not the totality of
		as opposed to anything Covid specific?	23		what the service would have been able to deliver in
24		I today I'm referring to Operation Yellowhammer.	24		Covid, and that's exactly what happened. I think Chris
25	Q.	But, I mean, wasn't the board incredibly concerned, in 17	25		here is trying to stabilise his staffing for the future. 18
1 2	Q.	But this entire paper was proposing a review of all of the civil contingencies	1 2	Α.	I I don't recall a specific conversation around it, I'm absolutely clear that I was at that board and that
	Δ	Yes, yes.	3		we agreed this paper, but I think I am distinguishing
		and their capacity. He wasn't, this isn't a paper	4		between utility of Yellowhammer in Covid, which is
5	ч.	about a fear of not having enough staff.	5		exactly what happened, and the need to stabilise the
	Δ	Yes, I accept that.	6		size of the team, because it was just too small.
		Because the reality of the position was that over 800	7	0	Yes, I want to try and keep everything separate,
8	Ξ.	people had in fact been trained as part of	8	۹.	though
9		Operation Yellowhammer in order to be part of the civil	9	A.	-
10		contingencies operation; correct?	10	Q.	-
	Α.	Correct.	11	_ .	to focus at the minute on what might be regarded as
	Q.	So notwithstanding that, and that that had been done,	12		a flare going up to the departmental board about a risk
13	_ .	he's nonetheless pointing to the overarching concern	13		in relation to a theoretical emergency whenever there
14		that nonetheless Northern Ireland capability in	14		was in fact a real emergency in front of it.
15		contingency was so far behind the rest of the UK that	15	A.	
16		that was the real concern?	16	Q.	
	Α.	Yes, I think that's correct. He had a very small team	17	-	departmental board or any sort of
18		at that point.	18	A.	
	Q.	Yes.	19		Yellowhammer, it was recent and it was going to be
	Α.	And I've acknowledged that in my statement as well. It	20		applicable, and I think Chris said yesterday and I agree
21		makes sense to me that Yellowhammer was going to be what	21		that designing arrangements for an emergency should be,
22		we would rely on for Covid, and it makes sense to me	22		he referred to it as blind, I refer to it as agnostic,
~~		also that we should review the size of his team and to	23		to the nature of the emergency, it's your ongoing
23					
23		see if we could improve that for the future.	24		capability that's important, and then of course you do
23 24	Q.	see if we could improve that for the future. So what was the board's response, then, to this paper?	24 25		capability that's important, and then of course you do have to tailor that to what you're dealing with at the

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nquiry

			UK Covid-19 In
	1		time. So I suppose maybe I was so close to Yellowhammer
:	2		that I was not relaxed about it, not in any way, but
;	3		I knew what capability we had and how that could be
4	4		applied if the pandemic took hold, as it did.
!	5 (Q.	But you would have, I mean, obviously appreciated that
(6		planning for a pandemic is not, would not be the same as
-	7		planning for EU exit?
ł	в ,	A.	They're fundamentally different, but there's a lot of
9	9		applicability in the capability, would be my position.
1	0	Q.	So was the board not interested or enquiring about what
1	1		sort of planning was actually going on, in other words
1	2		how is the risk that's being presented here, how is that
1	3		being met in respect of the Covid pandemic?
1	4	A.	I wouldn't accept that the board wouldn't be interested
1	5		in that. I can only say that we were aware of
1	6		Yellowhammer and that had given us a good position on
1	7		capability.
1	8	Q.	I may come back to this, but just focusing on
1	9		Yellowhammer for a moment, and your expertise in that.
2	0		Obviously we know that 800 people were trained and we
2	1		know that at the start of March, or in the first couple
2	2		of weeks of March, certainly, Mr Stewart was scrabbling
2	3		to find anyone from those 800 volunteers who would
2	4		become part of the hub.
2	5	Α.	Yes.
			21
	1		arrival because they've been inducted and trained has
:	2		some advantages to it.
:	3 (Q.	Right. I will
4	4	LAD	DY HALLETT: Are you moving on?
!	5 I	MS	DOBBIN: I was going to.
(6 I	LAD	DY HALLETT: Just going back to Mr Stewart's paper, when
-	7		Ms Dobbin asked you questions about what you remember of

- 7 Ms Dobbin asked you questions about what you remember of
- 8 what the board did, you said "We accepted the
- 9 recommendation, the review of civil contingencies should
- 10 launch", you thought the review was going to start
- immediately, did you? 11
- 12 Α. Yes, I did, yes.
- 13 LADY HALLETT: So it wasn't going to be in Mr Stewart's own
- 14 time, that wasn't your understanding?
- A. I ... when I look back at it now, the wording on the 15
- 16 paper would lead me to suggest that if you're asking for 17 a review it's because you think something needs to be
- 18 reviewed.
- LADY HALLETT: Well, it was urgent, wasn't it, if you were 19 20 that far behind the rest of the UK?
- 21 Α. Yes, my Lady.
- 22 MS DOBBIN: So I want to come, then, Ms Pearson to how you
- 23 end up becoming involved in the response, having been
- 24 part of the EU exit team. Perhaps if we do this by the
- documents and we go to INQ000218494. I think if we 25 23

- O. We've seen references to one or two people volunteering. Can you assist as to why no one would volunteer?
- 2 3 A. I think Mr Harbinson, in his statement, on his experience as chief of staff, covers this. I don't want 4 5 to jump on to me joining the team, but it's something 6 Anthony and I talked about at the time when I did go 7 across, and I think there's a couple of things. There were some HR issues about terms and conditions, 8 q recompense for the sort of work. I also think that 10 I accept in my statement that we didn't have something that went straight from "here's the requirement" to 11 "here's the provision of staff" and I think that's a bit 12 13 of a gap. 14 Q. And do these kind of arrangements have to be dependent on volunteers rather than having people who can be 15 16 commanded to be part of a civil contingency response? 17 A. I think the answer to that is that that's what we had in Yellowhammer, was a volunteer basis. Chris referred 18 19 yesterday to it being quite specific work, it's not for 20 everyone. Having a pool that we can draw on is 21 important, and I can tell you what we're doing about 22 that in future. But commanding people to go to that 23 sort of work I think would be less good than volunteers, 24 I think having people that want to be here, understand 25 the nature of the work and can assist immediately on 22
- 1 start, please, on page 2, so we can see this is at 2 4 March, and this is an email from a Ms Rooney, who 3 we've heard a bit about, who was involved in civil 4 contingencies. She refers to having chaired a C3 lead. 5 Can you help as to what that means? 6 A. Yes, so the Inquiry will hear two different references 7 to C3, C3 was a short version of the Yellowhammer work. 8 In this context, what Bernie means here is each 9 department and some of our operational leads will have 10 a civil contingencies lead and we bring them together in a group called C3, which is command, control, 11 co-ordinate. So I think it's shorthand for that group. 12 13 Q. If we just look at what she's saying, so I think she --14 there has been a C3 lead meeting and strong views were 15 expressed, and the people who attended wanted it to be 16 conveyed back to the head of Civil Service, and they set 17 out a number of issues, and we can see: 18 "Lack of structures and staff to respond to the 19 Cabinet Office ..." And the Inquiry's already seen some of the requests 20 21 that were being made by Cabinet Office. 22 A "call for DOC", I think is that a departmental 23 operation ...? 24 Α. Centre. 25
 - Q. Centre. So that again was part of a civil contingencies 24

1		stand-up, wasn't it? It was having a centre within each	1		the third paragraph, says that he thinks that's
2		department that would feed information through,	2		a "disappointing" response from colleagues, but "not
3		essentially, to a hub; correct?	3		a surprise".
4	Α.	That's correct, and you'll also hear the term "EOC",	4		I think if we we can just see at the bottom of
5		emergency operations centre, it's the same concept.	5		the first page:
6	Q.	So the C3 leads wanted those to be set up, so I think we	6		"Departments are free to establish [those centres]
7		can assume from this that they hadn't been set up within	7		if they wish. To be candid, with the exception of [the
8		departments at this point in time?	8		Department of Health], I wonder what they would be doing
9	Α.	Yes.	9		at present, when the focus is on planning."
10	Q.	And a call for the NI hub to be established as a matter	10		If we go to the top of the email chain, you do then
11		of priority?	11		become involved
12	Α.	Yes.	12	Α.	Yes.
13	Q.	And I think can we assume that the C3 leads then, are	13	Q.	in this, to say, and we can see what you say:
14		they permanent secretaries or are they senior grade	14		" keen to discuss how our preparedness work and
15		civil servants?	15		C3 might intersect this year, and what we can offer from
16	Α.	It differs from department to department. For some	16		our preparedness work to assist in your risk analysis."
17		departments it will be a specific role. For other	17	Α.	Yeah.
18		departments it will be part of a person's job. But it	18	Q.	Your reference to "this year" doesn't sound as though
19		wouldn't be that senior, no, no.	19		that's doesn't carry any urgency with it, that
20	Q.	All right. And were you part of this structure or were	20		there's any sense of assistance being needed in respect
21		you at this meeting?	21		of what was happening and the calls that were being made
22	Α.	I was not part of the C3 structure, and I was not at	22		by these C3 leads to have these parts of the civil
23		that meeting.	23		contingencies structure stood up?
24	Q.	Okay. If we go to page 1, please.	24	Α.	I agree that I could have been more specific there.
25		I think this is a response from Mr Stewart, who, at	25		What I meant was, at any point this year, and the
		25			26
		25			20
1		reference to the year was not just, then, about Covid,	1		this, but it was stood up in a few days later.
1 2			1 2	Q.	this, but it was stood up in a few days later.
		reference to the year was not just, then, about Covid,		Q.	this, but it was stood up in a few days later.
2		reference to the year was not just, then, about Covid, it was about the transition from the EU, which would	2	Q.	this, but it was stood up in a few days later. We know obviously that the civil contingencies
2 3		reference to the year was not just, then, about Covid, it was about the transition from the EU, which would come towards the end of that year. So what I'm	2 3	Q.	this, but it was stood up in a few days later. We know obviously that the civil contingencies arrangements weren't in fact stood up until 18 March.
2 3 4	Q.	reference to the year was not just, then, about Covid, it was about the transition from the EU, which would come towards the end of that year. So what I'm suggesting here is a conversation about how we work	2 3 4	Q.	this, but it was stood up in a few days later. We know obviously that the civil contingencies arrangements weren't in fact stood up until 18 March. Can you help us with, or can you explain what the
2 3 4 5	Q.	reference to the year was not just, then, about Covid, it was about the transition from the EU, which would come towards the end of that year. So what I'm suggesting here is a conversation about how we work together.	2 3 4 5		this, but it was stood up in a few days later. We know obviously that the civil contingencies arrangements weren't in fact stood up until 18 March. Can you help us with, or can you explain what the reluctance was, from your perspective, at the start of
2 3 4 5 6	Q. A.	reference to the year was not just, then, about Covid, it was about the transition from the EU, which would come towards the end of that year. So what I'm suggesting here is a conversation about how we work together. All right. And this reads as though you understand that some assistance might be needed?	2 3 4 5 6		this, but it was stood up in a few days later. We know obviously that the civil contingencies arrangements weren't in fact stood up until 18 March. Can you help us with, or can you explain what the reluctance was, from your perspective, at the start of March 2020, to standing these arrangements up?
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	A. Q. A. Q.	reference to the year was not just, then, about Covid, it was about the transition from the EU, which would come towards the end of that year. So what I'm suggesting here is a conversation about how we work together. All right. And this reads as though you understand that some assistance might be needed? Yes. And that Mr Stewart, for example, might need some help in being able to carry through with these arrangements; is that correct? Yes, correct. Were you aware of a sense at this time amongst the C3 leads in these departments that they felt that the civil contingencies arrangements should be on foot, and wanting the head of Civil Service to know that's what they thought? I became aware of that thinking in the C3 community through this email chain, and that's why I responded to this particular email chain.	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20	A. Q.	this, but it was stood up in a few days later. We know obviously that the civil contingencies arrangements weren't in fact stood up until 18 March. Can you help us with, or can you explain what the reluctance was, from your perspective, at the start of March 2020, to standing these arrangements up? At this point I'm not part of the Covid team. I think other people have spoken to their thinking on the standing up of the hub, and I would agree that standing up the hub too early can be detrimental to overall effort. The precise date on which the hub could have been stood up, other people have spoken to, but at this point I can see that I'm starting to imagine that we might need to offer some assistance at the point it is stood up. I'm not here suggesting that it should be, I'm getting myself ready for the possibility that we will be asked. The work that you did on EU exit and, forgive me if I'm wrong about this, but it had given you expertise in planning, that's what you were is that correct?
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	A. Q. A. Q.	reference to the year was not just, then, about Covid, it was about the transition from the EU, which would come towards the end of that year. So what I'm suggesting here is a conversation about how we work together. All right. And this reads as though you understand that some assistance might be needed? Yes. And that Mr Stewart, for example, might need some help in being able to carry through with these arrangements; is that correct? Yes, correct. Were you aware of a sense at this time amongst the C3 leads in these departments that they felt that the civil contingencies arrangements should be on foot, and wanting the head of Civil Service to know that's what they thought? I became aware of that thinking in the C3 community through this email chain, and that's why I responded to this particular email chain. So what happened to that, then? What happened to the	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19	Α.	this, but it was stood up in a few days later. We know obviously that the civil contingencies arrangements weren't in fact stood up until 18 March. Can you help us with, or can you explain what the reluctance was, from your perspective, at the start of March 2020, to standing these arrangements up? At this point I'm not part of the Covid team. I think other people have spoken to their thinking on the standing up of the hub, and I would agree that standing up the hub too early can be detrimental to overall effort. The precise date on which the hub could have been stood up, other people have spoken to, but at this point I can see that I'm starting to imagine that we might need to offer some assistance at the point it is stood up. I'm not here suggesting that it should be, I'm getting myself ready for the possibility that we will be asked. The work that you did on EU exit and, forgive me if I'm wrong about this, but it had given you expertise in planning, that's what you were is that correct? Yes.
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	A. Q. A. Q.	reference to the year was not just, then, about Covid, it was about the transition from the EU, which would come towards the end of that year. So what I'm suggesting here is a conversation about how we work together. All right. And this reads as though you understand that some assistance might be needed? Yes. And that Mr Stewart, for example, might need some help in being able to carry through with these arrangements; is that correct? Yes, correct. Were you aware of a sense at this time amongst the C3 leads in these departments that they felt that the civil contingencies arrangements should be on foot, and wanting the head of Civil Service to know that's what they thought? I became aware of that thinking in the C3 community through this email chain, and that's why I responded to this particular email chain. So what happened to that, then? What happened to the calls from the people who would who were going to be,	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	A. Q. Q.	this, but it was stood up in a few days later. We know obviously that the civil contingencies arrangements weren't in fact stood up until 18 March. Can you help us with, or can you explain what the reluctance was, from your perspective, at the start of March 2020, to standing these arrangements up? At this point I'm not part of the Covid team. I think other people have spoken to their thinking on the standing up of the hub, and I would agree that standing up the hub too early can be detrimental to overall effort. The precise date on which the hub could have been stood up, other people have spoken to, but at this point I can see that I'm starting to imagine that we might need to offer some assistance at the point it is stood up. I'm not here suggesting that it should be, I'm getting myself ready for the possibility that we will be asked. The work that you did on EU exit and, forgive me if I'm wrong about this, but it had given you expertise in planning, that's what you were is that correct? Yes. That's effectively what you understood?
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	A. Q. A. Q.	reference to the year was not just, then, about Covid, it was about the transition from the EU, which would come towards the end of that year. So what I'm suggesting here is a conversation about how we work together. All right. And this reads as though you understand that some assistance might be needed? Yes. And that Mr Stewart, for example, might need some help in being able to carry through with these arrangements; is that correct? Yes, correct. Were you aware of a sense at this time amongst the C3 leads in these departments that they felt that the civil contingencies arrangements should be on foot, and wanting the head of Civil Service to know that's what they thought? I became aware of that thinking in the C3 community through this email chain, and that's why I responded to this particular email chain. So what happened to that, then? What happened to the	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	A. Q. A. Q.	this, but it was stood up in a few days later. We know obviously that the civil contingencies arrangements weren't in fact stood up until 18 March. Can you help us with, or can you explain what the reluctance was, from your perspective, at the start of March 2020, to standing these arrangements up? At this point I'm not part of the Covid team. I think other people have spoken to their thinking on the standing up of the hub, and I would agree that standing up the hub too early can be detrimental to overall effort. The precise date on which the hub could have been stood up, other people have spoken to, but at this point I can see that I'm starting to imagine that we might need to offer some assistance at the point it is stood up. I'm not here suggesting that it should be, I'm getting myself ready for the possibility that we will be asked. The work that you did on EU exit and, forgive me if I'm wrong about this, but it had given you expertise in planning, that's what you were is that correct? Yes.

A. The hub was not immediately stood up in response to

27

- 24 Q. And we will see eventually what you were brought in to
- 25 help with. I mean, did you have any concerns at this 28
 - (7) Pages 25 28

- stage that, for example, the Civil Contingencies Group 1
- 2 ought to be meeting in order to ensure that the plans
- 3 were in fact on foot and were going to be effective to 4 deal with the pandemic?
- 5 A. At that -- on 4 March, no, I didn't, but I'm absolutely
- 6 clear that I was starting to think my way into it at
- 7 that point, because my sense was we would be asked to 8 help.
- 9 **Q.** Right, well, let's -- maybe if we move on, then, to when
- 10 you were asked to help, and that might help us
- understand what had happened before. But -- and it may 11
- 12 be I can just help you with the dates. 13
 - I think you were contacted on a Saturday, on
- 14 14 March?
- A. That's my recollection, yes. 15
- 16 Q. And that was by Dr McCormick, who was the -- I think he 17 was the permanent secretary who was in charge, is that 18 right, of EU exit?
- 19 A. That's correct, he was my immediate line manager.
- 20 Q. And I think he asked you if you would move across to the
- 21 TEO to be part of the Covid response; is that right?
- 22 Α. That's correct.
- 23 Q. And in fact you then moved across on Monday the 17th?
- 24 A. Tuesday the 17th maybe.
- 25 Q. Yes, you're right, I've got my dates mixed up, I just 29
- 1 in more granular detail what it was that departments
- 2 were doing to respond at this point --
- 3 A. I don't recall that, no, no.
- 4 Q. So as far as you're concerned, then, was the plan that
- 5 you drafted on 17 March, was that essentially the first 6 strategic plan that had been drafted in Northern Ireland 7 that was cross-departmental?
- 8 A. Yes, but for a specific purpose. I think the document 9 that you showed both Sir David and Chris is a civil
- 10 contingency style document. What I was brought across
- 11 to do was to pull together actions into a single space
- 12 to enable the Executive to monitor and reach early
- 13 decisions on where they wanted to put their resources
- 14 and their time and their effort. So I think it had
- 15 a very specific purpose wouldn't necessarily be a civil
- 16 contingencies response plan. This is about drawing
- 17 together a strategy for the Executive to aid
- 18 decision-making.
- 19 But it must be intrinsic to that that obviously you know Q. 20 what every department is doing and you know where your 21 areas of risk are?
- 22 **A**. Yes.
- 23 Q. But as far as you're concerned, at 17 March that didn't 24 exist?
- 25 Α. Not as far as I'm aware, no.

- know from your emails that you were certainly in office 1 2
 - on the 17th --
- 3 Α. Yes.
- 4 Q. -- and drafting a plan.
- 5 A. Yes
- 6 Q. And we'll go on to look at it, but what effectively you
- 7 did was to try to set out an overarching strategic plan
- 8 that would encompass a sort of holistic approach to
- 9 responding to the pandemic?
- 10 A. That's a fair summary, yes.
- 11 Q. Can I ask you: when you arrived, then, and took up your
- post on 17 March, did you find a suite of plans that had 12
- 13 already been prepared, so a suite of departmental plans,
- 14 and an overarching plan that pulled all of those
- 15 together or identified gaps, that kind of material?
- 16 A. No.
- 17 Q. We've seen a document of the -- forgive me, it's dated,
- 18 I think, I will see if someone can remind me, we've seen
- 19 it in the Inquiry already, it's quite a high-level plan
- 20 that Mr Stewart pulled together, that was a summary of
- 21 what the departmental response was going to be. I don't
- 22 know if you're familiar with that document?
- 23 A. Yes, I am, yes.
- 24 **Q.** I take it from your answer you didn't find, then,
- 25 detailed plans that sat beneath that summary setting out 30
- 1 Q. The plan that you drafted on 17 March, I mean obviously
- 2 you rightly say in your witness statement you don't have
- 3 any background in public health at all, and I don't
- 4 think that you even -- that's what you say in your
- 5 statement, you didn't even have access to influenza 6
- preparedness plans, or any of that sort of background at 7 all.
- 8 A. That's correct.
- Q. So you were very much looking at it from your 9
- perspective as someone who was trained in planning in 10 11 EU exit?
- 12 Α. Experienced in planning, yes.
- 13 Q. Yes.
- 14 Α. Yes
- 15 Q. Can I ask you, then, given that you didn't have that
- 16 background, when you drafted that very initial plan on
- 17 17 March, and I know that you went on to draft other
- 18 documents, but did you have any planning assumptions or
- 19 anything specific that you could base that plan on, or
- 20 were you -- was it intended to be a much higher level
- 21 plan than that?
- 22 Α. Definitely to be a higher level, but what Yellowhammer
- 23 taught us, I think, was the need for whole-system
- 24 responses to a big emergency of the Yellowhammer sort.
- 25 I think that's applicable. And also the need for

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1		departments to work collaboratively together, that's	1
2		applicable. So it was very much based on that	2
3		experience plus the knowledge that planning for a large	3
4		emergency requires communative(sic) approaches, and	4
5		having just the list of actions would not be sufficient	5
6		in a big emergency, you've got to be able to understand	6
7		how each risk and how each action overlays, impacts and	7
8		hopefully supports each other.	8
9	Q.	So if we just perhaps have a look at your the	9
10		document you drafted.	1(
11		And we have this at INQ000208070 and I think if we	11
12		could go to page 2 of that, please.	12
13		Probably just need to make sure you can orientate	13
14		yourself in this. We can see you sent it on 17 March,	14
15		and I think that the document is effectively set out in	15
16		this email.	16
17	A.	Yes.	17
18	Q.	If we could just scan down, please, thank you.	18 19
19 20		So I think just under your name, Ms Pearson, we can	20
20 21		see the way that you set this out. So if we look at "Planning":	20
21		"- All parts of the public sector will refresh and	22
22		be ready to invoke response plans.	23
24		"A joined up approach across the public sector"	24
25		And you refer there to a base case and planning	25
		33	
1		absolutely driving whatever plan we end up with. So	1
2		here I'm putting down a marker.	2
3	Q.	Yes. This isn't a criticism of you, Ms Pearson, it's	3
4		just trying to understand whether or not any of these	4
5		components actually existed at the time, or whether you	5
6		had any such documents that you were actually using as	6
7		a basis for this. But I think, as I understand what	7
8		you're saying, you weren't sitting down with a set of	8
9		planning assumptions at this point in time, because they	9
10		didn't exist?	1(
11	Α.	I'm saying I didn't sit down with a set of planning	11
12		assumptions. They may well have existed, but I'm	12
13		writing this on day one, so	13
14	Q.	Yes.	14
15	Α.	I'm just putting down a marker that the plan needs to	15
16		be in line with those items. On day one I wouldn't know	16
17		if they existed for Covid or not. I would have known	17
18		more about the EU exit planning assumptions.	18
19	Q.	And then just again in terms of, and I think it's right	19
20		that you set out six, as it were, headlines that the	20
21		planning should be based around, so we can see for	21
22		decision-making, political and administrative, clear and	22
23		transparent. So again this is all very much at a high	23
24	_	level, isn't it?	24
25	Α.	Yes, yes.	25

uir	y	3 May 2024
1		assumptions which reflect the issues likely to arise for
2		Northern Ireland.
3		When you drafted this, was there a base case and was
4		there a set of planning assumptions that you were
5		working on?
6	Α.	This is an outline of where we eventually got to.
7		I wasn't making assumptions around the base case. I was
8		saying that the plan had to be grounded in the base
9		case, and the base case and the reasonable worst-case
10		scenario would have come from the civil contingencies
11		side, but that the plan had to be in line with whatever
12		that was.
13	LA	DY HALLETT: What do you mean by base case?
14	Α.	So reasonable worst-case scenario is
15	LA	DY HALLETT: I know what that is. Are you using those
16		interchangeably?
17	Α.	Yes, I am. So the base case is what you might see, and
18		the reasonable worst-case scenario takes you up another
19		level.
20	MS	DOBBIN: And, again, the planning assumptions, did those
21		exist or were you saying as part of this plan "We need
22		some planning assumptions"?
23	Α.	Probably closer to the latter. This is written on the
24		first day of my post, so I'm taking an approach here
25		that says: the planning assumptions need to be 34
		54
1	Q.	And if we go just further on into this document, you
2		then set out in a bit more detail, don't you
3	Α.	Yes.
4	Q.	under each heading, and again I think we see here, if
5		we look at planning and we look at the very last
6		paragraph under above "Actions":
7		"The starting point would be the articulation of the
8		base case and planning assumptions, including the
9		reasonable worst case scenario for Northern Ireland
10		read across to other planning considerations"
11		If we just continue through and under
12		"Decision making", I think you go on to say yes, it's
13		at the top of that page:
14		"There will need to be a set of clear and strong
15		objectives for the response starting with health and
16		well-being this may drive a citizen centric approach
17		to planning and response, taking account of short,
18		medium and long-term and economic [wellbeing]"
19		Again you're talking there, "this may drive
20		a citizen centric approach to planning". On that day
21		did you see or did there exist any such documentation or
22		material about a citizen centric approach to planning?
23	A.	Not that I was aware of, no.
24 25	Q.	Again if we look, I think you set out some actions,
-0		that's at page 6.

36

35

(9) Pages 33 - 36

1	Α.	Yes.
2	Q.	So we can see just at the very top of that page,
3		collective decision-making would be the default, the
4		Executive or the CG, so again coming back to the
5		contingencies, the Civil Contingencies Group would be
6		the forum, objectives and values would be agreed,
7		arrangements would be stored up and prioritised.
8		It might be thought that these are all really
9		rudimentary parts of responding to a pandemic, and that
10		someone would have thought about them before you came
11		along and drafted this plan on 17 March. Had they in
12		fact been thought about?
13	Α.	Looking at this now, I think I am stating the obvious
14		here, that collective decision-making would be in the
15		Executive and CCG(NI) would be part of the overall
16		response. I don't think I could say that I was
17		conscious of what thought had been given, but they're
18		the only things that could have happened.
19	Q.	You've said that you think it's a statement of the
20		obvious, but and it might be, but I think nonetheless
21		the issue remains as to whether or not anyone had
22		actually given thought to what the proper structures
23		would be for making decisions and specifically whether
24		or not thought had been given to what the role of the
25		Executive Committee would be within the civil
		37
1		is just so fundamental.
2	Q.	But what all of the plans say is that the CCG would be
3		the decision the strategic decision-making body
4		within civil contingencies in Northern Ireland. That's
5		quite difficult to reconcile with your saying, well,
6		anything that's cross-cutting would have to be decided
7		with the Executive Committee. So does that not suggest
8		there was a lack of clarity as to how decisions would be
9		made?
10	Α.	The role of CCG(NI) is not to be a policymaking forum,
11		it's the collective response to an emergency, and its
12		role in our new framework, I wouldn't be able to point
13		you to where it is in the old protocol, but the role of
14		CCG(NI) is very tightly defined in the framework, it's
15		there to drive the emergency response, it's not there to
16		supplant the role of ministers in policy
17		decision-making, and it's perfectly possible, in my
18		view, and this is what happened, to have both running in
19		tandem, and CCG(NI) will take decisions, it does take
20		decisions, it will allocate resources, it will task out
21		certain actions, but it will not supplant the role of
22		the Executive in any way, but it's got to be there to
23		support that decision-making process. That's why I've

23 support that decision-making process. That's why $\ensuremath{\mathsf{I've}}$ 24 mentioned both.

25 **Q.** We know that there was a review carried out of the civil 39

1		contingencies arena.
2	Α.	Within the civil contingencies arena, I think that
3		I'm not sure what forgive me. I'm not sure what
4		thought would have to be given to the role of the
5		Executive. It's so fundamental, and in our doctrine on
6		civil contingencies, CCG(NI) would be where you would go
7		at the right point in time. I don't think anybody
8		forgive me, I don't think anyone would have had to sit
9		down and come up with those answers, because it's just
10		where you'd have to go.
11	Q.	I think we will see, I won't take you to it, and I think
12		maybe it's a question for ministers, but I think on
13		19 March at an Executive Committee meeting, ministers
14		did raise the question of what the proper role of the
15		Executive Committee was within decision-making, and
16		therefore it may not necessarily have been that clear.
17		Were you aware of that at the time?
18	Α.	Yes, I believe I was at that meeting. But I think they
19		were looking for clarity on their role, not whether they
20		would have a role. The only legitimate decision-making
21		body on policy at this magnitude when it's going across
22		more than one department is the Executive. If they're
23		asking for assistance on: what does that look like, how
24		do we shape it, how often should we meet, I think
25		they're natural questions, but the role of the Executive 38
1		contingencies arrangements, I don't think you've been

1		contingencies arrangements, I don't think you've been
2		asked about it, and it's not in your EP, so I don't want
3		to ask you questions about something that you're not
4		familiar with, but you may be aware of it, and it did
5		find that the CCG didn't operate as intended because it
6		wasn't a decision-making body and because most decisions
7		did end up being taken by the Executive Committee.
8		I think that's right, isn't it?
9	Α.	That is correct, and to be fair I think I do touch on it
10		in my statement, so I'm perfectly happy with this.
11		CCG(NI) needs to be understood for what it is, and if
12		the people conducting the review thought that it should
13		have been taking policy decisions then I would have to
14		disagree with the review. You can't supplant the
15		Executive role.
16	Q.	Yes. There's obviously a difference between making
17		policy and giving effect to policy and obviously that
18		might well be the proper role of the Executive
19		Committee, but in an emergency, you're not likely to be
20		making fine-tuned policy decisions, you're probably
21		going to be making fairly sharp-edged decisions?
22	Α.	Yes.
23	Q.	And I think is it also right, though, that after a time
04		

- 24 those were in fact the decisions that were being made by
- 25 the Executive Committee rather than by the CCG? 40

(10) Pages 37 - 40

Α.	The Executive Committee right from the start was making	1	Q.	Yes. Just looking at one that takes officials, so not
	decisions on policy, restrictions, allocation of	2		one I mean, I don't think there are any rules about
	resources, CCG was doing something completely different,	3		who has to be there, I think you can have one that's
	which is managing the emergency response in realtime,	4		just civil servants, it might just be thought really
	and CCG has to operate in the context of what the	5		surprising that in the run-up to a pandemic, when
	Executive and ministers want to do in policy, and the	6		there's very clear and emerging evidence that its spread
	Executive will be cognisant of what CCG is telling it	7		to Northern Ireland would be inexorable, that there was
	about the nature of the emergency and the response	8		only one meeting before the 18th, one meeting of
	actions that have been tasked out.	9		officials before 18 March?
	So they're just two very, very different creatures,	10	Α.	One meeting of officials in CCG mode, but officials
	in my mind.	11		would have been meeting in other fora, and I think
Q.	Okay. We know that until the middle of March there had	12		Sir David covered that in terms of Friday meetings of
	been one CCG meeting on 20 February, which was attended	13		the permanent secretaries group. So I wouldn't want to
	by officials, and one CCG meeting that had taken place	14		assume that it was not discussed anywhere else.
	on 12 March, which was attended by ministers. Were you	15		I wouldn't know, but I wouldn't want to make that
	surprised when you came into your role on 17 March that	16	_	assumption.
	there had in fact only been one officials' meeting up	17	Q.	
	until that point?	18		together in order to consider planning for what was
Α.	I don't recall being surprised particularly. When you	19		going to happen.
	look back now, I suppose if CCG had stood up a little	20	Α.	Yes.
	bit earlier that might have been good, and I think	21	Q.	So it had a very specific remit and focus?
	David Sterling has said that, but CCG(NI) is it's	22	A.	That's correct.
	a huge undertaking, and you should stand it up at the	23	Q.	Do you think it's that that might be thought surprising,
	right point, and I think it eventually stood up in full	24		the need to have that kind of formal structure and
	mode on 18 March. 41	25		consideration, before 18 March, it just doesn't really 42
	seem to have featured or been thought about?	1		what was happening, but it is true to say it was not
Α.	seem to have featured or been thought about? But it's a fact that CCG was only stood up at that	1		what was happening, but it is true to say it was not encompassed into that plan, that's correct.
A.	But it's a fact that CCG was only stood up at that	2	Q.	encompassed into that plan, that's correct.
	But it's a fact that CCG was only stood up at that point, yes, that's true.	2 3	Q.	encompassed into that plan, that's correct. I'm going to go on to ask you more about the role of the
	But it's a fact that CCG was only stood up at that point, yes, that's true. Can I go on, then, to the strategy that you drafted,	2	Q.	encompassed into that plan, that's correct.
	But it's a fact that CCG was only stood up at that point, yes, that's true.	2 3 4	Q.	encompassed into that plan, that's correct. I'm going to go on to ask you more about the role of the Department of Health. If maybe we can go to your plan.
	But it's a fact that CCG was only stood up at that point, yes, that's true. Can I go on, then, to the strategy that you drafted, please, and I think and it may be I don't need to	2 3 4 5	Q.	encompassed into that plan, that's correct. I'm going to go on to ask you more about the role of the Department of Health. If maybe we can go to your plan. And we have that at INQ000258405.
	But it's a fact that CCG was only stood up at that point, yes, that's true. Can I go on, then, to the strategy that you drafted, please, and I think and it may be I don't need to take you to this, let's see if we can deal with it	2 3 4 5 6	Q.	encompassed into that plan, that's correct. I'm going to go on to ask you more about the role of the Department of Health. If maybe we can go to your plan. And we have that at INQ000258405. I'm sure you're familiar with this, Ms Pearson, but
	But it's a fact that CCG was only stood up at that point, yes, that's true. Can I go on, then, to the strategy that you drafted, please, and I think and it may be I don't need to take you to this, let's see if we can deal with it without the documents first, but I think when you came	2 3 4 5 6 7	Q. A.	encompassed into that plan, that's correct. I'm going to go on to ask you more about the role of the Department of Health. If maybe we can go to your plan. And we have that at INQ000258405. I'm sure you're familiar with this, Ms Pearson, but I think if we just maybe go to page 2, so those are the
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Q. A. Q. A.	But it's a fact that CCG was only stood up at that point, yes, that's true. Can I go on, then, to the strategy that you drafted, please, and I think and it may be I don't need to take you to this, let's see if we can deal with it without the documents first, but I think when you came at the end of March to drafting, I think, a more considered and Yes. overarching strategy, that it was envisaged that there would be a health response within it, so it would be a truly cross-cutting departmental response that took in the Department of Health, but that didn't eventuate and the Department of Health effectively didn't want its response to be encompassed within a cross-departmental strategy; is that right? Yes, I think that the genesis of that is the Executive in discussion of the draft asked for that to happen, and I think Minister Swann wrote to say Yes. that wasn't going to happen. I think it's fair to note, though, how much discussion there was of the	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	A. Q. A. Q. A.	 encompassed into that plan, that's correct. I'm going to go on to ask you more about the role of the Department of Health. If maybe we can go to your plan. And we have that at INQ000258405. I'm sure you're familiar with this, Ms Pearson, but I think if we just maybe go to page 2, so those are the three strategic priorities; correct? Yes. Then we have the governance framework at page 3, which is quite familiar, and quite simple, as it were. That's effectively the flow of information, isn't it That's correct. to the Executive? Yes. Then we have the planning assumptions as at 28 March. And at this point in time it was thought that the peak would be in May and June 2020, and that's notwithstanding it's obviously the end of March, but that was still that was the basis upon which the planning was taking place; is that correct? That's correct, sorry, yes. If we look yes, it's over the page, please,

Α.

Α.

Α.

Q.

Α.

(11) Pages 41 - 44

1		you referred to a 1% fatality rate as well.	
2		Can I check whether or not it was understood at that	:
3		point that that wasn't a case fatality rate? Were you	:
4		familiar with the distinctions at that point in time?	
5	Α.	No. I've included that from Department of Health,	4
6		I think.	(
7	Q.	So that's what you understood at the time	
8	Α.	Yes.	;
9	Q.	that that was and again, that was the basis upon	:
10		which this plan	1
11	Α.	Yes.	1
12	Q.	was premised.	1
13		I think again if we just, and this is just to give	1
14		the Chair an idea of what this plan looked at, I think	1
15		if we look at page 9, when it comes to and this is	1
16		"Health and well-being of citizens". Again I take it	1
17		this is absent, then, any input from the Department of	1
18		Health?	1
19	Α.	It's absent of the health plan being part of this, but	1
20		I don't think it's absent of information from health, if	2
21		I can put it that way.	2
22	Q.	I think if we perhaps have a look at page 10, please,	2
23		and again if we look for example, I'm just looking at	2
24		children:	2
25		"Ensure children, vulnerable and the	2
		45	
1	0	as a whole, and not intended to provide them with any	
2	ч.	granularity, as it were, in terms of what they should do	
3		or if I took, for example, 1.8, or 1.9, for example,	
4		the safety of children in care, obviously there's a huge	
5		number of areas of legislation and policy that that	
6		would touch upon?	
7	Α.	That's correct. That's correct. I think I said in my	
8	7.1	statement that the plan was not intended to cover	
9		absolutely everything, it would have been vast and	
10		unwieldy, and I don't think it would have assisted the	1
11		Inquiry, and that was the most important thing in this	1
12		plan, to let them see and generate a collective effort.	1
13	Q.		1
14		they be sighted on the much more granular detail, or, if	1
15		we took the safety of children, for example, the fact	1
16		that and obviously we're in lockdown at this point in	1
17		time.	1
18	Α.	So that's the responsibility of individual ministers.	1
19		I'm sure you're going to take me on to this, but what we	1
20		produced for them was a series of presentations that	2
21		they could call individual ministers in on to get into	2
22		the detail, but we were not trying to bog the Executive	2
23		down with all of the actions that were going on, because	2
24		individual ministers were well canable of delivering	2

- 24 individual ministers were well capable of delivering
- 25 within their own departments, but there had to be

1		self-isolating have access to food and medicines."
2		Making sure arrangements are made for "safety in
3		care and custody".
4		Again, if I may just try to get some idea of what
5		this was intended to do, because this is obviously very
6		high level
7	Α.	Yes.
8	Q.	again.
9		What was this intended to provide for the Executive
10		Committee or for the Executive Office? What was it
11		going to do?
12	Α.	It was going to give them a way of looking across
13		a number of risks and actions collectively rather than
14		leaving specific actions solely to departments. It was
15		to help them then commission certain presentations as we
16		moved through this from other ministers to get into more
17		detail, and it was to give them collective ownership of
18		the actions rather than each minister being left to
19		their own devices on it.
20	Q.	So was this supposed to provide them with the most
21		important issues that they effectively needed to have
22		insight
23	Α.	Yes.
24	Q.	whenever they were meeting
25	Α.	Yes.
		46
1		a collective way of understanding what was happening
		a concerne may or understanding what was happening

2		across the piece.
3	Q.	Yes, and was the idea that this sort of document would
4		inform, then, each meeting, for example, or were
5		ministers supposed to keep abreast of this, and to

- continuously review and think about: where have wereached, for example, with child protection or ...
- 8 A. I would say both. So this document did go to many9 meetings until we reached the point in time review, it
- 10 went to many meetings, but it gave the Executive
- 11 a chance to look at: what do we think the big risks are
- 12 at the moment? So we provided a heat map -- sorry for
- 13 the jargon -- we had a heat map that showed which ones
- 14 we thought were flashing red, and that enabled them to
- 15 prioritise the order in which they were asked for
- 16 specific presentations from individual ministers. But
- 17 individual ministers were then delivering huge amounts
- 18 and were still going to the Assembly in various formats
- 19 and -- to update on their departmental work.
- 20 **MS DOBBIN:** I think that might be an appropriate moment to
- 21 have a morning break.
- 22 LADY HALLETT: Of course.
- 23 **MS DOBBIN:** Thank you, Ms Pearson.
- 24 LADY HALLETT: I shall return at 11.30.
- 25 (11.12 am)

1	(A short break)	1	Q.
2 (1	1.30 am)	2	
3 L	ADY HALLETT: Ms Dobbin.	3	
4 N	S DOBBIN: Thank you, my Lady.	4	
5	Ms Pearson, I just wanted to finish off, if I may,	5	
6	then, on the planning at this critical point in March.	6	
7	What you've said in your statement, I don't need to take	7	
8	you to it, but you said that it would have been	8	
9	preferable if there had been a contingency plan, I think	9	
10	you mean at an earlier stage.	10	
11	Was there any such plan, whenever you look up your	11	
12	position on 17 March, or are you saying effectively that	12	Α.
13	your plans became the contingency plans?	13	Q.
14 A	. My plan became the response plan, in realtime. I think	14	
15	if there had been a full set of plans they still would	15	
16	have needed a lot of attention at that point, because	16	
17	they would have been planning for flu and not Covid.	17	
18	I think Chris was clear on that yesterday, and I agree	18	
19	with him. I think that's what we would have had to do,	19	
20	is take any existing plan and then turn it into	20	
21	something more specific for Covid.	21	
22 C	. But, I mean, we haven't seen a developed plan even based	22	
23	on a flu plan in Northern Ireland; I think that's right,	23	
24	isn't it?	24	
25 A	, ,	25	
	49		
1 2 3	I think it's right, understanding that there are tensions here between the Department of Health and the	1 2 3	A.
2 3	I think it's right, understanding that there are tensions here between the Department of Health and the ministers; is that correct?	2 3	A.
2 3 4 A	I think it's right, understanding that there are tensions here between the Department of Health and the ministers; is that correct? . That's how it looks, yes, for certain, yep.	2 3 4	Α.
2 3 4 A 5 C	 I think it's right, understanding that there are tensions here between the Department of Health and the ministers; is that correct? That's how it looks, yes, for certain, yep. I'll come on to what you say about this, but I just 	2 3 4 5	Α.
2 3 4 A 5 C 6	I think it's right, understanding that there are tensions here between the Department of Health and the ministers; is that correct? That's how it looks, yes, for certain, yep. I'll come on to what you say about this, but I just wanted to ask you a bit, if I may, about the first	2 3 4 5 6	Α.
2 3 4 A 5 C 6 7	 I think it's right, understanding that there are tensions here between the Department of Health and the ministers; is that correct? That's how it looks, yes, for certain, yep. I'll come on to what you say about this, but I just 	2 3 4 5	A.
2 3 4 A 5 C 6	 I think it's right, understanding that there are tensions here between the Department of Health and the ministers; is that correct? That's how it looks, yes, for certain, yep. I'll come on to what you say about this, but I just wanted to ask you a bit, if I may, about the first response that was sent to this email. 	2 3 4 5 6 7	A.
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2 3 4 A 5 C 6 7 8 9	I think it's right, understanding that there are tensions here between the Department of Health and the ministers; is that correct? That's how it looks, yes, for certain, yep. I'll come on to what you say about this, but I just wanted to ask you a bit, if I may, about the first response that was sent to this email. Please may we scroll up, thank you, there is a response from someone called Mr Hugh Widdis, and is he a civil servant as well?	2 3 4 5 6 7 8 9	A.
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1	Q.	I'm going to move on, then, to deal with an issue that
2		I think may be quite important, and I just want to spend
3		a bit of time on it, again, maybe to help the Chair
4		understand some of the structural issues, and it's just
5		going back to the role of the Department of Health, if
6		I may, and to ask you an about specific email exchange
7		to see if it illuminates that point and to see if you
8		can help us with it.
9		It's INQ000287536, please, and it's page 2. We've
10		seen part of this email conversation. I think you've
11		seen this before, haven't you, Ms Pearson?
12	Α.	I have, yes.
13	Q.	That's fine, I just wanted to check. I think we can
14		see, first of all, the email that we took Sir David to
15		whenever he gave evidence, and it's the one where he
16		sets out, I think, the particular concern on the part of
17		the deputy First Minister effectively I'm
18		summarising not feeling in control, not having power
19		or influence over the health minister, and I think that
20		the position of the First Minister was perhaps a little
21		more circumspect but equally that she was frustrated too
22		about, I think, the lack of control over information
23		that was coming out of the Department of Health. And
24		I think we will see that you're part of this email
25		chain, so you're obviously very new to this role, but
		50
4	•	I think there's two parts to that David Starling
1	Α.	I think there's two parts to that. David Sterling
2	Α.	I think described that period of time as being the most
2 3	A.	I think described that period of time as being the most difficult in and around the closure of schools, it was
2 3 4	A.	I think described that period of time as being the most difficult in and around the closure of schools, it was an incredibly difficult time. He does then go on to
2 3 4 5	Α.	I think described that period of time as being the most difficult in and around the closure of schools, it was an incredibly difficult time. He does then go on to say, I think, when he was in here that things got
2 3 4 5 6	Α.	I think described that period of time as being the most difficult in and around the closure of schools, it was an incredibly difficult time. He does then go on to say, I think, when he was in here that things got better, so I think this is very much a snapshot of how
2 3 4 5	Α.	I think described that period of time as being the most difficult in and around the closure of schools, it was an incredibly difficult time. He does then go on to say, I think, when he was in here that things got better, so I think this is very much a snapshot of how difficult things were at that time.
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		U	K Covid-19 Inqu
1		strategy. An emergency programme for government which	n 1
2		is just Covid focused. Calling more decisions into the	2
3		Executive as significant or controversial, this might	3
4		slow down decision-making. A proper subcommittee on th	
5		public health aspects of Covid with just the	5
6		First Minister and deputy First Minister perhaps meeting	6
7		weekly in full Executive meetings where the decisions	7
8		could be ratified.	8
9	Δ	Yes.	9
10	Q.		10
11		it would tend to suggest that there was a real sense on	11
12		behalf of the First Minister and the deputy First	12
13		Minister that they just didn't, as it were, have	13
14		a handle on what the Department of Health were doing in	14
15		response to the pandemic; is that correct a fair	15
16		assessment?	16
17	Α.		10
18	Α.	point in time that's a fair assessment. What Hugh is	18
			18
19		talking about, I think, is just some Civil Service	
20		options for structures and reporting arrangements, but	20 21
21 22		I can see from this that he was trying to tailor that to	21
22 23	~	the concerns that David had set out below.	
	Q.		23
24		sorry, I'll make sure I'm accurate about this.	24 25
25		Mr Widdis is also responding, we've already seen this, 53	20
1	Q.	and we see your reply, and I wanted to ask you a bit	1
2		about each of the things that you say about that.	2
3		So you said:	3
4		"We have some things in place:	4
5		"- six priorities."	5
6		Those are the six priorities that you had set out in	6
7		your original plan of 17 March; correct?	7
8	Α.	Yes.	8
9	Q.	And:	9
10		"- a draft framework for collective	10
11		responsibilities."	11
12	Α.	Yes.	12
13	Q.	Was that your 30 March plan or was that a different	13
14		plan?	14
15	Α.	Can you just remind me of the date	15
16	Q.	It's 27 March.	16
17	Α.	Yes, it's that would be the 30th.	17
18	Q.	That's fine.	18
19	Α.	A single document doing those two different things.	19
20	Q.	I thought that, but I just wanted to check.	20
21		Then you set out:	21
22		"What's not working?	22
23		"- Ministers are just back	23
24		"- a new ministerial team	24
24		"- a real crisis which they can not control and it	25

1		to the concern that was being expressed by the deputy
2		First Minister that they might be held corporately
3		responsible as well or liable if things were to go
4		wrong, and I think Mr Widdis is also addressing that,
5		isn't he, at the top of the email?
6	Α.	Yes.
7	Q.	I can only imagine that worldwide all parties who happen
8		to be in government fear they'll be held responsible if
9		they get this wrong:
10		"No one remembers Chamberlain for anything other
11		than Munich."
12		Correct?
13	Α.	Yes.
14	Q.	So again I think pointing to a different type of concern
15		then on the part of the deputy First Minister that if
16		things were going to go if things went wrong
17		effectively it would be they who would be responsible
18		and liable for it; yes?
19	Α.	Yes. Of course we're looking at David's read-out of the
20		conversation, so I think what Hugh says there is a fair
21		reflection of what David has said below. I don't think
22		I could go further than that because I wasn't in the
23		discussion.
24	Q.	All right, but you do reply
25	Α.	Yes.
		54
1		is scaring them
2		"- some [departments] doing things which take them
3		by surprise"
4		I'll just stop there, what did you mean by
5		departments doing things that were taking them by
6		surprise?
7	Α.	That's a reference to Department of Health, as it's all
8		on that same chain, that there might have been another
9		couple of examples but I can't bring them I can't
10 11		bring them to mind, sorry, but there was concern that they were being bounced in different ways.
12	Q.	Then:
13	ω.	"- haven't moved to delivery confidence mode yet"
13		I'm afraid you might have to help us with what
15		"delivery confidence mode" means.
16	Α.	Sorry about that. What that means is that you can have
17	Λ.	a plan, but you need to know whether it's working, you
18		need to know what actions are being delivered, and you
19		need to know what actions are being delivered, and you need to know what overall level of confidence that
20		you've got that the situation's getting at least
21		stabilised or will improve and eventually does improve.
22		So sorry about the jargon, but that's what I meant.
23	Q.	And is that, we know from the notes of 18 March of the
24		Executive Committee meeting that there was that sense of
25		them not that's we see reference to them being in
		56

	response mode, and I think again, I'm generalising,	1		at that point, was that in fact there was a response,
	but a sense perhaps of ministers feeling that they	2		things were happening in Northern Ireland, but that
	weren't in command of the response.	3		confidence wasn't there on the part of the
	Would you, again, agree with that assessment, that	4		First Minister and the deputy First Minister?
	that was a general sense on their part?	5	Α.	Delivery confidence about the actions that they wanted
Α.	So if I could go back to that difference between	6		to see, and of course at this point the case numbers are
	response and Executive decision-making.	7		continuing to rise.
Q.	Yes.	8	Q.	Yes.
Α.	By this point in time, 27 March, I think, the Executive	9	Α.	They will also have been hearing from constituents about
	is meeting, and it is discussing plans	10		the impact of restrictions. So that's quite a mix of
Q.	Yes.	11		things for them to grapple with when they're just back
Α.	and CCG is operational. What I think they were	12		and it's a new team and they're being taken by surprise.
	concerned about was the delivery, stabilisation and	13		So
	improvement.	14	Q.	Yes.
	I think on 23 March Minister O'Neill had given	15	Α.	there was kind of a structure to my list in this
	a statement in the Assembly jointly for herself and the	16		email of why I thought they might be as worried as David
	First Minister that set out some key principles that	17		was portraying here.
	they'd set out their objective. But from memory,	18	Q.	I was going to ask you about that, the reference to them
	I think it listed a lot of actions that had been	19		being frightened. Was that because of the numbers of
	delivered already. And that's what I mean by delivery	20		people who were becoming infected and
	confidence, they need to be able to see that things are	21	Α.	Absolutely.
	being done and things and to have that sort of	22	Q.	dying at this stage?
	structured hope: things are going to get better because	23	Α.	Absolutely. That was the most important thing for them,
	they've got a plan in place.	24		that they could do something about that.
Q.	5	25		Would I now use the word "scaring"? I don't think
	57			58
	I would. But this was at a difficult period in time,	1	Α.	No, I don't I don't think I don't think so,
	have all a constrained and the descent and the statement of	•		I think that would have been earlier.
	but they were it was their priority. It was their	2		
	priority.	2	Q.	When you arrived, did you get a sense of panic on the
Q.			Q.	When you arrived, did you get a sense of panic on the part of ministers or urgency about what was happening?
Q.	priority.	3		
Q.	priority. Was there a sense of them having been taken that they	3 4	Α.	part of ministers or urgency about what was happening?
Q.	priority. Was there a sense of them having been taken that they were taken by surprise that things had gone quite as	3 4 5	Α.	part of ministers or urgency about what was happening? Not panic, but definitely urgency.
Q.	priority. Was there a sense of them having been taken that they were taken by surprise that things had gone quite as badly as they had? And when I say "gone badly", that in	3 4 5 6	Α.	part of ministers or urgency about what was happening? Not panic, but definitely urgency. Right.
Q.	priority. Was there a sense of them having been taken that they were taken by surprise that things had gone quite as badly as they had? And when I say "gone badly", that in fact that infection rates were as high as they were and	3 4 5 6 7	Α.	part of ministers or urgency about what was happening? Not panic, but definitely urgency. Right. You've also said here:
Q. A.	priority. Was there a sense of them having been taken that they were taken by surprise that things had gone quite as badly as they had? And when I say "gone badly", that in fact that infection rates were as high as they were and that they were being confronted with people losing their	3 4 5 6 7 8	Α.	part of ministers or urgency about what was happening? Not panic, but definitely urgency. Right. You've also said here: "- focusing on specific issues, not seeing the
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24 doubt about that.

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8 Q. Yes.

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25 Q.

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25 But was that after you'd arrived? Q.

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- id out in the plan, but they were never
- le to see every point of detail in
- that's just not realistic.
- -- I think everyone will understand that
- l, as it were, when you do have the roles
- hat being involved in the granularity of
- partment is doing is not realistic and
- damaging, but that's not what that's
- at's suggesting that they just have their
- own specific points or specific things that they're 23
- 24 interested in potentially.
- 25 A. From memory, at the time, the conversations in the 60

1		Executive were focusing on things like contact tracing,
2		PPE supplies. What they didn't have, in my view, is the
3		overall health picture, and I know you touched on that
4		yesterday. I think that's all I can say about it at
5		this point in time.
6	Q.	All right.
7		The final thing that you say is they're:
8		"- falling back on party ways of doing things"
9		Which may be the most important point here. Can you
10		tell the Inquiry a bit more about that, please.
11	Α.	Yes. So I cover this in detail in my statement.
12		I think, and this is a personal view, it's impossible to
13		stop being a politician when that's your job and you're
14		elected. So you go into an Executive, and you're
15		bringing your constituency with you, you're bringing
16		your views and your politics with you. Here even more
17		so than anywhere else.
18		Having to compromise all the time is almost the
19		structure that's built into the system, but you can
20		occasionally fall back into very different viewpoints,
21		and I think that's what Sir David was talking about on
22		the education piece, which was what I think caused this
23		email exchange.
24	Q.	All right. So that's the first schism, as it were, that
25		had arisen, that these that different positions had
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1		priority. What I'm suggesting is they're going to come
1 2		priority. What I'm suggesting is they're going to come at that from angles. But having that fundamental core
2		at that from angles. But having that fundamental core
2 3	MS	at that from angles. But having that fundamental core objective of making this better was there. I have
2 3 4	MS	at that from angles. But having that fundamental core objective of making this better was there. I have absolutely no doubt about that in my mind.
2 3 4 5	MS	at that from angles. But having that fundamental core objective of making this better was there. I have absolutely no doubt about that in my mind. DOBBIN: I'm going to come on to ask you a bit more about
2 3 4 5 6	MS	at that from angles. But having that fundamental core objective of making this better was there. I have absolutely no doubt about that in my mind. DOBBIN: I'm going to come on to ask you a bit more about that as time went on, but, I mean, to be clear about
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2 3 4 5 6 7 8	MS	at that from angles. But having that fundamental core objective of making this better was there. I have absolutely no doubt about that in my mind. DOBBIN: I'm going to come on to ask you a bit more about that as time went on, but, I mean, to be clear about this, it is right that certainly amongst civil servants, that they regarded the first issue, as it were, that ministers had to decide in Northern Ireland, that being whether to close schools or not after 12 March, that
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1		been taken on schools and that that position had been,
2		I think, by and large, a politically informed one; is
3		that right?
4	Α.	It was politically informed, of course, but the health
5		advice was in there as well.
6	Q.	Yes.
7	Α.	They were listening to that, so but I don't think we
8		can expect them to entirely leave their party political
9		views at the door. I don't think we can expect them to
10		leave their departmental views at the door. But beyond
11		this point, I think we were seeing huge efforts at
12		compromise all the time and on an ongoing basis. That's
13		the only way to get business done.
14	LAI	DY HALLETT: Can I just challenge that? In a time of
15		a national emergency when people are dying, can't we
16		expect politicians to leave their party politics behind
17		and think of the people who are suffering and dying?
18	Α.	Forgive me, my Lady, I'm not suggesting
19	LAI	DY HALLETT: Or am I being unrealistic?
20	Α.	No, I'm not suggesting that what I said there about
21		party political views means that they're not caring
22		about the people. I think I said earlier that the
23		concern about the virus and the impact on people, the
24		health outcomes, and unfortunately people were going to
25		lose loved ones, that was absolutely top of their 62
1		didn't need to close; correct?
2	Α.	Correct.
3	Q.	But the Republic of Ireland had closed
4	Α.	That's correct.
5	Q.	schools, and some politicians wanted to do as the
6		Republic of Ireland had done. And did you understand
7		whether or not there was at that point in time
8		an understanding of why the Republic of Ireland had
9		decided to take that position, in other words what
10		epidemiological basis there was for it? Was there that
11		kind of consideration?
12	Α.	I don't recall that being the case. I think it was
13		a decision had been taken, so: what do we now do? Two
14 15		different viewpoints, with medical advice in the mix,
15		but then a decision eventually to close, all in a short period of time.
10	Q.	Yes.
18	Q. A.	So that is going to create a bit of tension, it's just
10		going to.
20	Q.	All right.
20	ч.	You've suggested effectively that things got better
21		and that there was a period, I think, of greater
22		cohesiveness. We saw when Sir David gave evidence that
23		he had written an email at the time effectively saying
24		that Northern Irish politicians had been spared having 64

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effectively been made for them, and that on the occasions then when they were required to make a decision about something, schools and key workers I think were the two things, that they had been found wanting, so to speak. Would you agree with that assessment? A. The big things being decided for them, I think I cover that in my statement as well, that things like furlough being in place? A. So the fact that some decisions that may need to be taken for medical reasons that would have had impacts for people's livelihoods and the economy, furlough was an amazing cushion for that. As we moved through, though, it still fell to them to decide when to lift certain restrictions, and that's when I felt things were getting better. Particularly by the time you got the 12 May Pathway out of Restrictions, they had an agreed set of things. And they didn't always move at the same time as the rest of the UK, they were taking their own decisions, and that's where I saw really good compromise and working in the Executive. 4 C. All right. 5 I do then want to come to ask you a bit about that, 65 6 1 behaviours, the social interactions, because you can still have guidance and you can still have campaigns around what you want people to do. So I think it's a little bit more complex than just the restrictions. 5 But the lifting of restrictions is also a signal that 6 we're able to lift restrictions because we're in 7 a situation that is, I'm not going to say improving 8 because it was still in community transmission and we're 9 still getting, unfortunately, deaths, but it does it 10 does send a signal. And the reality is we can't keep 11 people in restrictions and lockdown forever, it's just 11 not possible. 13 Q . Yes. All right. So I think what in fact happened was 14 that infection rates started to go up quite quickly? 14 A. With the first lifting of restrictions to infection 17 between things the case numbers were very, very low. 18 Q . I think it's right, but we can go to the documents 16 we need to, that certainly	effectively been used for them, and that on the occasions then when they were required to make a decision about something, schools and key workers I think were the two things, that they had been found wanting, so to speak. Would you agree with that assessment? A. The big things being decided for them, I think I cover that in my statement as well, that things like furlough being in place? C. Yes. A. So the fact that some decisions that may need to be taken for medical reasons that would have had impacts for people's livelihoods and the economy, furlough was an amazing cushion for that. As we moved through, though, it still fell to them to decide when to lit certain restrictions, and that's when I felt things were getting better. Particularly by the time you got the 12 May Pathway out of Restrictions, they had an agreed set of things. And they didn't always move at the same time as the rest of the UK, they were taking their own decisions, and that's where I saw really good compromise and working in the Executive. 4 C . All right. 5 I do then want to come to ask you a bit about that, 65 1 behaviours, the social interactions, because you can still have guidance and you can still have campaigns around what you want people to do. So I think it's a little bit more complex than just the restrictions. 5 But the lifting of restrictions is also a signal that 6 we're able to lift restrictions because we're in 7 a situation that is, I'm not going to say improving 8 because it was still in community transmission and we're still getting, unfortunately, deaths, but it does - it 9 does send a signal. And the reality is we can't keep 11 people in restrictions alo lockdown forever, it's just 11 not possible. 13 Q . Yes. All right. So I think what in fact happened was 14 that infection rates started to go up quite quickly? 15 A . With the first lifting of restrictions to infection 16 rates going up., lactually think there was a period in 17 between things - the case numbers were very, very low. 14 A Yes. 24 Q . But I th			
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 24 young people started to go up 25 A. That's right. 	 24 young people started to go up 25 A. That's right. 	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20	A. Q.	still have guidance and you can still have campaigns around what you want people to do. So I think it's a little bit more complex than just the restrictions. But the lifting of restrictions is also a signal that we're able to lift restrictions because we're in a situation that is, I'm not going to say improving because it was still in community transmission and we're still getting, unfortunately, deaths, but it does it does send a signal. And the reality is we can't keep people in restrictions and lockdown forever, it's just not possible. Yes. All right. So I think what in fact happened was that infection rates started to go up quite quickly? With the first lifting of restrictions to infection rates going up, I actually think there was a period in between things the case numbers were very, very low. I think it's probably right in June in June, I think, that there was probably I think we can see a number of points at which levels had gotten very low.
25 A. That's right.	25 A. That's right.	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	A. Q. A.	still have guidance and you can still have campaigns around what you want people to do. So I think it's a little bit more complex than just the restrictions. But the lifting of restrictions is also a signal that we're able to lift restrictions because we're in a situation that is, I'm not going to say improving because it was still in community transmission and we're still getting, unfortunately, deaths, but it does it does send a signal. And the reality is we can't keep people in restrictions and lockdown forever, it's just not possible. Yes. All right. So I think what in fact happened was that infection rates started to go up quite quickly? With the first lifting of restrictions to infection rates going up, I actually think there was a period in between things the case numbers were very, very low. I think it's probably right in June in June, I think, that there was probably I think we can see a number of points at which levels had gotten very low. Yes.
0		2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	A. Q. A.	still have guidance and you can still have campaigns around what you want people to do. So I think it's a little bit more complex than just the restrictions. But the lifting of restrictions is also a signal that we're able to lift restrictions because we're in a situation that is, I'm not going to say improving because it was still in community transmission and we're still getting, unfortunately, deaths, but it does it does send a signal. And the reality is we can't keep people in restrictions and lockdown forever, it's just not possible. Yes. All right. So I think what in fact happened was that infection rates started to go up quite quickly? With the first lifting of restrictions to infection rates going up, I actually think there was a period in between things the case numbers were very, very low. I think it's probably right in June in June, I think, that there was probably I think we can see a number of points at which levels had gotten very low. Yes. But I think it's right, but we can go to the documents
07	67	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	A. Q. A.	 still have guidance and you can still have campaigns around what you want people to do. So I think it's a little bit more complex than just the restrictions. But the lifting of restrictions is also a signal that we're able to lift restrictions because we're in a situation that is, I'm not going to say improving because it was still in community transmission and we're still getting, unfortunately, deaths, but it does it does send a signal. And the reality is we can't keep people in restrictions and lockdown forever, it's just not possible. Yes. All right. So I think what in fact happened was that infection rates started to go up quite quickly? With the first lifting of restrictions to infection rates going up, I actually think there was a period in between things the case numbers were very, very low. I think it's probably right in June in June, I think, that there was probably I think we can see a number of points at which levels had gotten very low. Yes. But I think it's right, but we can go to the documents if we need to, that certainly transmission rates amongst
67	01	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24	A. Q. Q.	still have guidance and you can still have campaigns around what you want people to do. So I think it's a little bit more complex than just the restrictions. But the lifting of restrictions is also a signal that we're able to lift restrictions because we're in a situation that is, I'm not going to say improving because it was still in community transmission and we're still getting, unfortunately, deaths, but it does it does send a signal. And the reality is we can't keep people in restrictions and lockdown forever, it's just not possible. Yes. All right. So I think what in fact happened was that infection rates started to go up quite quickly? With the first lifting of restrictions to infection rates going up, I actually think there was a period in between things the case numbers were very, very low. I think it's probably right in June in June, I think, that there was probably I think we can see a number of points at which levels had gotten very low. Yes. But I think it's right, but we can go to the documents if we need to, that certainly transmission rates amongst young people started to go up That's right.

1		if I may. So I won't ask you to we won't go to the
2		strategy for lifting restrictions, but I think it's
3		right that there was a strategy document that set out
4		a sort of stratified approach to lifting restrictions.
5	Α.	Yes.
6	Q.	And I think that overall the idea was that they would be
7		lifted incrementally with a period of time built in to
8		see what the effect was, and that was so that there
9		could be some sort of measurement of what the cumulative
10		effect of lifting restrictions was. Is that correct?
11	Α.	That's correct.
12	Q.	I think it's right, I think there are two things, and
13		you do address this in your statement, at paragraph 183,
14		I think it's correct that, first of all, there were
15		quite early warnings about the risk of a second wave,
16		that the CMO was effectively making that clear; is that
17		right?
18	Α.	That's correct.
19	Q.	And, please, if this is incorrect or too simplistic, but
20		that once restrictions started to be lifted in effect
21		that there was a real risk that there would be a second
22		wave?
23	Α.	It would depend I think that there's several elements
24		to that. It's not just the lifting of the restrictions
25		but it's then about how people react to that the
20		but it's then about how people react to that, the
20		66
20		
1	Q.	
	Q.	66
1	Q.	66 during the summer of 2020. And I think that it's
1 2	Q. A.	66 during the summer of 2020. And I think that it's right that probably by August again the position the
1 2 3		66 during the summer of 2020. And I think that it's right that probably by August again the position the rates were continuing to rise.
1 2 3 4	Α.	66 during the summer of 2020. And I think that it's right that probably by August again the position the rates were continuing to rise. Yes.
1 2 3 4 5	Α.	66 during the summer of 2020. And I think that it's right that probably by August again the position the rates were continuing to rise. Yes. Does that accord with your memory? And then by the time
1 2 3 4 5 6	Α.	66 during the summer of 2020. And I think that it's right that probably by August again the position the rates were continuing to rise. Yes. Does that accord with your memory? And then by the time we get to September and I know you do deal with this
1 2 3 4 5 6 7	Α.	66 during the summer of 2020. And I think that it's right that probably by August again the position the rates were continuing to rise. Yes. Does that accord with your memory? And then by the time we get to September and I know you do deal with this in your statement that in fact the position was
1 2 3 4 5 6 7 8	A. Q.	66 during the summer of 2020. And I think that it's right that probably by August again the position the rates were continuing to rise. Yes. Does that accord with your memory? And then by the time we get to September and I know you do deal with this in your statement that in fact the position was reached whereby local restrictions had to be brought in?
1 2 3 4 5 6 7 8 9	A. Q. A.	66 during the summer of 2020. And I think that it's right that probably by August again the position the rates were continuing to rise. Yes. Does that accord with your memory? And then by the time we get to September and I know you do deal with this in your statement that in fact the position was reached whereby local restrictions had to be brought in? That's correct.
1 2 3 4 5 6 7 8 9 10	A. Q. A. Q.	66 during the summer of 2020. And I think that it's right that probably by August again the position the rates were continuing to rise. Yes. Does that accord with your memory? And then by the time we get to September and I know you do deal with this in your statement that in fact the position was reached whereby local restrictions had to be brought in? That's correct. Correct?
1 2 3 4 5 6 7 8 9 10 11	A. Q. A. Q.	66 during the summer of 2020. And I think that it's right that probably by August again the position the rates were continuing to rise. Yes. Does that accord with your memory? And then by the time we get to September and I know you do deal with this in your statement that in fact the position was reached whereby local restrictions had to be brought in? That's correct. Correct? Yep.
1 2 3 4 5 6 7 8 9 10 11 12	A. Q. A. Q.	66 during the summer of 2020. And I think that it's right that probably by August again the position the rates were continuing to rise. Yes. Does that accord with your memory? And then by the time we get to September and I know you do deal with this in your statement that in fact the position was reached whereby local restrictions had to be brought in? That's correct. Correct? Yep. And those local restrictions were brought in across
1 2 3 4 5 6 7 8 9 10 11 12 13	A. Q. A. Q. A.	66 during the summer of 2020. And I think that it's right that probably by August again the position the rates were continuing to rise. Yes. Does that accord with your memory? And then by the time we get to September and I know you do deal with this in your statement that in fact the position was reached whereby local restrictions had to be brought in? That's correct. Correct? Yep. And those local restrictions were brought in across a number of very specific areas?
1 2 3 4 5 6 7 8 9 10 11 12 13 14	A. Q. A. Q. A. Q.	66 during the summer of 2020. And I think that it's right that probably by August again the position the rates were continuing to rise. Yes. Does that accord with your memory? And then by the time we get to September and I know you do deal with this in your statement that in fact the position was reached whereby local restrictions had to be brought in? That's correct. Correct? Yep. And those local restrictions were brought in across a number of very specific areas? Yes.
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1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16	A. Q. A. Q. A. Q.	66 during the summer of 2020. And I think that it's right that probably by August again the position the rates were continuing to rise. Yes. Does that accord with your memory? And then by the time we get to September and I know you do deal with this in your statement that in fact the position was reached whereby local restrictions had to be brought in? That's correct. Correct? Yep. And those local restrictions were brought in across a number of very specific areas? Yes. And as it transpired, that wasn't effective for arresting the rates of transmission; is that right?
1 2 3 4 5 6 7 8 9 10 11 2 3 14 15 16 17	A. Q. A. Q. A. Q. A. Q.	66 during the summer of 2020. And I think that it's right that probably by August again the position the rates were continuing to rise. Yes. Does that accord with your memory? And then by the time we get to September and I know you do deal with this in your statement that in fact the position was reached whereby local restrictions had to be brought in? That's correct. Correct? Yep. And those local restrictions were brought in across a number of very specific areas? Yes. And as it transpired, that wasn't effective for arresting the rates of transmission; is that right? That's correct.
1 2 3 4 5 6 7 8 9 10 11 2 3 4 5 6 7 8 9 10 11 2 3 4 15 16 17 18	A. Q. A. Q. A. Q. A. Q.	66 during the summer of 2020. And I think that it's right that probably by August again the position the rates were continuing to rise. Yes. Does that accord with your memory? And then by the time we get to September and I know you do deal with this in your statement that in fact the position was reached whereby local restrictions had to be brought in? That's correct. Correct? Yep. And those local restrictions were brought in across a number of very specific areas? Yes. And as it transpired, that wasn't effective for arresting the rates of transmission; is that right? That's correct. Do you agree that it is around this point in time that
1 2 3 4 5 6 7 8 9 10 11 2 3 4 4 5 6 7 8 9 10 11 2 3 4 5 6 7 8 9 10 112 3 4 5 6 7 8 9 10 112 3 4 5 6 7 8 9 10 112 112 112 112 112 112 112 112 112	A. Q. A. Q. A. Q. A. Q.	66 during the summer of 2020. And I think that it's right that probably by August again the position the rates were continuing to rise. Yes. Does that accord with your memory? And then by the time we get to September and I know you do deal with this in your statement that in fact the position was reached whereby local restrictions had to be brought in? That's correct. Correct? Yep. And those local restrictions were brought in across a number of very specific areas? Yes. And as it transpired, that wasn't effective for arresting the rates of transmission; is that right? That's correct. Do you agree that it is around this point in time that one begins to see greater tensions then in the Executive Committee about how to manage this acceleration in the rate?
1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20	A. Q. A. Q. A. Q. A. Q.	66 during the summer of 2020. And I think that it's right that probably by August again the position the rates were continuing to rise. Yes. Does that accord with your memory? And then by the time we get to September and I know you do deal with this in your statement that in fact the position was reached whereby local restrictions had to be brought in? That's correct. Correct? Yep. And those local restrictions were brought in across a number of very specific areas? Yes. And as it transpired, that wasn't effective for arresting the rates of transmission; is that right? That's correct. Do you agree that it is around this point in time that one begins to see greater tensions then in the Executive Committee about how to manage this acceleration in the
1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	A. Q. A. Q. A. Q. A. Q.	66 during the summer of 2020. And I think that it's right that probably by August again the position the rates were continuing to rise. Yes. Does that accord with your memory? And then by the time we get to September and I know you do deal with this in your statement that in fact the position was reached whereby local restrictions had to be brought in? That's correct. Correct? Yep. And those local restrictions were brought in across a number of very specific areas? Yes. And as it transpired, that wasn't effective for arresting the rates of transmission; is that right? That's correct. Do you agree that it is around this point in time that one begins to see greater tensions then in the Executive Committee about how to manage this acceleration in the rate?

- 24 come in. By "tensions" I mean different views on the
- 25 best way to deal with things, but the strategic

1		objective was never really subject of tension, and	1		paragraph, so there were rates of around a thousand
2		I say in my statement and it was never as stark as:	2		cases a day which obviously for Northern Ireland was
3		it's all about health or it's all about the economy.	3		really
4		The debate and the compromise had to be about how you	4	Α.	Yeah.
5		bring all those things together in a decision-making	5	Q.	really alarming
6		space and reach a good outcome. But there was increased	6	Α.	Yes.
7		debate, I would describe it as, rather than tension, at	7	Q.	at that stage.
8		that time on what's the best thing to do.	8		Then you set out, just going further down, the email
9	Q.	Yes, so the and I don't wish in any way to be too	9		that contingency arrangements were now essential, that's
10		simplistic about it, but I think there were also splits	10		just at the bottom of the first page, that you were
11		between those who thought who agreed that there	11		putting together a framework for decision-making, and
12		should be greater restrictions around this point in time	12		then the choices that need to be made, and I think
13		and those who were worried about the other costs of	13		closing education was obviously one of the most
14		those restrictions in terms of other health costs, cost	14		significant ones.
15		to the economy.	15		Then setting out the list of issues that were of
16		I wondered if we could just go to a document in	16		most concern.
17		terms of where the position did reach.	17		But I think it's right, Ms Pearson, that once again
18		I'm just going to check which is yes. It's	18		at this stage the concerns were the twofold ones of the
19		INQ000306179. I think if we could go to page 5, please.	19		transmission rates going up at a really alarming rate
20		This is an email from you, I think, sent very early in	20		and also the Northern Ireland health service was within
21		the morning, setting out where the position had reached.	21		a short distance of being overwhelmed as well?
22		I think that you set out, we can see the reference to	22	Α.	Yes.
23		Northern Ireland being days away from being overwhelmed,	23	Q.	Again can you, I mean, your email obviously conveys the
24		significant implications for the economy. Thank you.	24		concern and the urgency about that. Was that something
25		Yes, sorry, I'd missed it, it's in the first	25		that you felt at the time that this was that this was
		69			70
1		critical?	1		Is that right?
2	А.	Yes, and I can't pinpoint it in my head at the moment,	2		That's what it says, yes.
2 3	Α.	Yes, and I can't pinpoint it in my head at the moment, but I don't think I would have sent an email of that	2 3		That's what it says, yes. So that effectively might be thought to illuminate the
2 3 4	A.	Yes, and I can't pinpoint it in my head at the moment, but I don't think I would have sent an email of that sort to senior colleagues without having spoken to	2 3 4	Q.	That's what it says, yes. So that effectively might be thought to illuminate the issues that arose at this particular point?
2 3 4 5	A.	Yes, and I can't pinpoint it in my head at the moment, but I don't think I would have sent an email of that sort to senior colleagues without having spoken to Michael and Ian. I would have got my sense of the	2 3 4 5	Q.	That's what it says, yes. So that effectively might be thought to illuminate the issues that arose at this particular point? I think Mike was being realistic about the debate that
2 3 4 5 6	Α.	Yes, and I can't pinpoint it in my head at the moment, but I don't think I would have sent an email of that sort to senior colleagues without having spoken to Michael and Ian. I would have got my sense of the urgency about this from them. I think this was	2 3 4 5 6	Q.	That's what it says, yes. So that effectively might be thought to illuminate the issues that arose at this particular point? I think Mike was being realistic about the debate that would be had in the Executive. I think if you look at
2 3 4 5 6 7		Yes, and I can't pinpoint it in my head at the moment, but I don't think I would have sent an email of that sort to senior colleagues without having spoken to Michael and Ian. I would have got my sense of the urgency about this from them. I think this was a Saturday and we did indeed meet the next day.	2 3 4 5 6 7	Q.	That's what it says, yes. So that effectively might be thought to illuminate the issues that arose at this particular point? I think Mike was being realistic about the debate that would be had in the Executive. I think if you look at this again, this is some time on, but what's the
2 3 4 5 6 7 8	A. Q.	Yes, and I can't pinpoint it in my head at the moment, but I don't think I would have sent an email of that sort to senior colleagues without having spoken to Michael and Ian. I would have got my sense of the urgency about this from them. I think this was a Saturday and we did indeed meet the next day. I think in fact we might just see that in fact you're	2 3 4 5 6 7 8	Q.	That's what it says, yes. So that effectively might be thought to illuminate the issues that arose at this particular point? I think Mike was being realistic about the debate that would be had in the Executive. I think if you look at this again, this is some time on, but what's the rationale? I don't think that's querying the need for
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	Q. A. Q. A.	Yes, and I can't pinpoint it in my head at the moment, but I don't think I would have sent an email of that sort to senior colleagues without having spoken to Michael and Ian. I would have got my sense of the urgency about this from them. I think this was a Saturday and we did indeed meet the next day. I think in fact we might just see that in fact you're right and I think the Chief Scientific Adviser in fact replies, if we just go up the email. Yes, he says: "The position's worsening rapidly." Yes. And they're working on getting additional data. I think in fact then if we go to page 1, we see the counterview being expressed. Yes, so that's Mr Brennan, who I think, was he from the department of economy? Yes, he was the permanent secretary at the department of economy at the time. Then he sets out, we can see this at his second paragraph, that he appreciates "the rush to impose greater controls" and then puts it in terms of: "Is it to protect the NHS through the winter period,	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	Q. A. Q.	That's what it says, yes. So that effectively might be thought to illuminate the issues that arose at this particular point? I think Mike was being realistic about the debate that would be had in the Executive. I think if you look at this again, this is some time on, but what's the rationale? I don't think that's querying the need for action, because he starts with "I can appreciate the" That can be read as an invitation to be very clear about why we are having to be in this space, because this is going to be a difficult Executive meeting. Yes, and it's going to be difficult because there were ministers who were already wedded or committed, perhaps, to the view that the costs needed to be analysed very, very clearly about having a lockdown at this or having any significant restrictions at this point in time? I agree. I think that's right. I think this is a very realistic read-out from Mike. It's a heads-up of what what's going to come. But I don't think anyone was ever

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1	Q.	If we just look at another document that helps put all	1		might be relieved in another.
2		of this in context, and this is INQ000286275. This is	2		In Northern Ireland, those concerns about the health
3 4		a meeting, well, it's a call, rather, that also took	3 4		service being overwhelmed, are they more pressing
4 5		place on 11 October. If we could just go to the next page, please, but again I think we can see certainly	4 5		because there's less ability to share that pressure? I hope that makes sense, but that
6		from the Ministry of Health, the concerns that were now	5	Α.	· · · · · · · · · · · · · · · · · · ·
7		being, or the alarm bells that were being sounded.	7	A.	that.
8		I think we find here the concern that	8	0	Okay.
9		Northern Ireland was ten days away from the health	9		I think that would be for the CMO. Sorry.
9 10		service being overwhelmed?	9 10	Q.	
11	Δ	Yes.	10	α.	obviously again there is a sense, a real sense of
12		I think in fact at around this time you may remember	12		urgency almost coming off the page in respect of this?
13	ч.	this, I don't think I need to take you to it, but in	12	Α.	
14		fact the rates in certain parts of Northern Ireland were	13		we'd also had the SAGE the SAGE
15		also referred to at COBR	15	0	Yes, on 21 September?
16	Α.	Yes.	16		Page 58, I think. So that's I recall this meeting,
17	Q.	because they were amongst the highest in the	10	7.0	this is the next day after the previous document that
18	·	United Kingdom?	18		you just put up, this is a Sunday afternoon, and the
19	Α.	That's correct, particularly in certain geographical	19		Chief Medical Officer is very clear on his advice.
20		areas, that's right.	20	Q.	-
21	Q.	May I just ask you a question also about this.	21	ч.	from the health service being overwhelmed but also very
22		Obviously Northern Ireland, it's not like the rest of	22		significant numbers of deaths as well?
23		the it's not like England whereby capacity is shared	23	A.	Yes.
24		across a large geographical area and many hospitals, so	24	Q.	I think it's right, then, and you deal with this in your
25		that if, you know, there are pressures in one area they	25		statement, that what was being suggested at this point
		73			74
1		in time and the recommendation that was eventually made	1		constituents, so they had to go through a process of
1 2		in time and the recommendation that was eventually made by the CMO was that there be a six-month not	1 2		constituents, so they had to go through a process of balancing that, but it did start to ramp up the tensions
		-			
2		by the CMO was that there be a six-month not	2		balancing that, but it did start to ramp up the tensions
2 3	А.	by the CMO was that there be a six-month not six months, a six-week period of more intensive	2 3	Q.	balancing that, but it did start to ramp up the tensions on quite what the right answer was at that point, yes, that's correct.
2 3 4	A. Q.	by the CMO was that there be a six-month not six months, a six-week period of more intensive restrictions; correct?	2 3 4	Q.	balancing that, but it did start to ramp up the tensions on quite what the right answer was at that point, yes, that's correct.
2 3 4 5		by the CMO was that there be a six-month not six months, a six-week period of more intensive restrictions; correct? That's correct.	2 3 4 5	Q.	balancing that, but it did start to ramp up the tensions on quite what the right answer was at that point, yes, that's correct. And again is it too simplistic to say that in fact those
2 3 4 5 6		by the CMO was that there be a six-month not six months, a six-week period of more intensive restrictions; correct? That's correct. What you've said in your statement was that the prospect	2 3 4 5 6	Q. A.	balancing that, but it did start to ramp up the tensions on quite what the right answer was at that point, yes, that's correct. And again is it too simplistic to say that in fact those tensions did separate along political lines at that point?
2 3 4 5 6 7	Q.	by the CMO was that there be a six-month not six months, a six-week period of more intensive restrictions; correct? That's correct. What you've said in your statement was that the prospect of that became a very difficult one	2 3 4 5 6 7		balancing that, but it did start to ramp up the tensions on quite what the right answer was at that point, yes, that's correct. And again is it too simplistic to say that in fact those tensions did separate along political lines at that point?
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2 3 4 5 6 7 8 9	Q. A. Q.	by the CMO was that there be a six-month not six months, a six-week period of more intensive restrictions; correct? That's correct. What you've said in your statement was that the prospect of that became a very difficult one Yes. for the Executive Committee?	2 3 4 5 6 7 8 9		balancing that, but it did start to ramp up the tensions on quite what the right answer was at that point, yes, that's correct. And again is it too simplistic to say that in fact those tensions did separate along political lines at that point? To a large degree, yes. Yes. They're all individuals as well, but yes, I think that I have to agree with that, yeah.
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- 1 society, and on the other side the Nationalist
- 2 politicians had taken a different agenda, and I'm
- 3 conscious as well that in the mix there was a politician
- 4 who wasn't aligned to either, so again I don't want to
- 5 be too simplistic, but I think in broad terms that's the 6
- way the division went.
- 7 A. The way I would describe it is there's five parties in 8 The coalition and each party I think had a different
- 9 view, I wouldn't go quite as Unionist/Nationalist,
- 10 because you've got two Unionist parties, two Nationalist
- parties and then you've got the Alliance Party, so they 11 12 were bringing their views to that, yes.

13 Q. Yes, but I think the idea that I think people will come

- 14 at this as individuals and have a diverse range of
- 15 opinions, that wasn't right, they effectively separated 16 into two positions?
- 17 A. The two positions were -- and it wasn't about let's do 18 nothing, it was about what's the right thing to do, but 19 yes, I do agree with the way you've described it, yes.
- 20 Q. I think we also see at this point in time another theme 21 that emerges, which is scepticism, and again it's only 22 on the part of some ministers, about the science and
- 23 about the modelling.
- 24 I think I would describe it as an ongoing process of Α.
- 25 robust challenge, whether some ministers sitting in the 77
- 1 A. Yes.
- 2 Q. And again that wasn't an agreed position, but it was 3 ultimately decided that there would be a four-week 4 period --
- 5 A. That's correct.
- Q. -- of restrictions? 6
- 7 A. Yeah.
- 8 Q. And I think we then get to the point where the decision 9 had to be made whether or not to extend the restrictions
- 10 for two weeks. What you've said in your statement was
- 11 you, when this four-week period was imposed, couldn't
- 12 see why it would be lifted in effect unless something
- 13 changed in the interim; is that right?
- 14 A. Yeah, I think restrictions of that sort need an exit 15 strategy, and the best way to exit is because the 16 situation has improved.
- 17 Q. Yes
- A. And I think the tests in the Public Health Act around 18
- 19 necessary and proportionate as well are also important, 20 that if you can lift restrictions you're almost duty
- 21 bound to do that, so something has to change. The
- 22 four-week period was not what they were asking for at 23 the time, but that's what they got.
- 24 Q. So they got the four-week period, it got to the end of
- the four weeks and the scientific advice and the 25

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- Executive simply didn't believe it, I don't think we
- 2 ever got to that point, but CMO and CSA were constantly
- 3 there to be challenged and they were well up for it, as
- 4 was Minister Swann. But, yes, I do agree we were
- 5 starting to see more and more "show me, tell me, prove
 - it" sort of thing.
- 7 Q. Yes.

1

6

- 8 A. But I don't think anyone ever went so far as to say:
- 9 I simply don't believe that.
- 10 Q. Yes. I think it's just a fact, I'm not --
- 11 A. Yeah.
- -- suggesting that there's anything wrong with 12 Q.
- 13 challenge, but I think it's just something that becomes
- 14 more obvious at this point in time, that there's more
- 15 questioning --
- 16 Α. Yes.
- 17 Q. -- of whether or not the science is correct.
- 18 A. That's correct.
- 19 Q. And whether or not the modelling it correct as well; is
- 20 that right?
- 21 A. Yes.
- 22 Q. The recommendation that there be a six-week period was 23 rejected --
- 24 **A**. Yes.
- 25 Q. -- is that right?

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1 position of Minister Swann was that there needed to be 2 a further two weeks --3 A. Correct. 4 Q. -- so we were saying at the end of the four weeks "we 5 were right to think it would require six weeks and now 6 we need the extra two weeks"? 7 A. I think that's a fair summary, yeah. 8 Q. And we know that this then led to, I think it's one meeting that goes on for four, across -- I think it's 9 10 four days, and were you at that meeting? 11 A. Yes. 12 Q. We know that ultimately that meeting went to 13 a cross-community vote in order to decide whether or not 14 there should be this further two-week restrictions. Can 15 you tell us, then, something about the tone of the 16 meeting? 17 A. It -- I think going into it, it was going to be 18 difficult, right from the outset. The tone was difficult, really for the whole period, but there were 19 20 people round the table who were -- I'm just -- I'll call 21 it out, it is Minister Long, she's trying to suggest 22 compromises, she's suggesting adjournments so that they 23 can just go back and get back to that point of trying to 24 reach a compromise. And various ideas were coming 25 forward at the meeting. Officials behind the scenes 80

1		were thinking: what do we do, what advice can we put in?
2		But yes it was incredibly difficult.
3	Q.	And the use of a cross-community vote, I don't think
4		we've yet had an explanation as to what that is, but
5		I think and again I may get this wrong and you must
6		tell me if I do, but effectively it's part of it's
7		a constitutional protection that exists as part of the
8		overall arrangements, and I think it's right that three
9	_	ministers can ask
10	Α.	Yes.
11	Q.	for it?
12	Α.	Yes.
13	Q.	And it effectively acts as a veto on a decision and it's
14		intended to operate for the benefit of minorities in
15		Northern Ireland; is that correct?
16	A.	Yes, that is correct.
17	Q.	And was it ever intended that it should be used in this
18	•	sort of arena, in a public health arena?
19 20	Α.	I doubt if it was envisaged that that's what, how it
20		would be used, when it was designed. But as a civil
21 22	^	servant I've got to be very careful at this point. Yes.
22	Q. A.	Forgive me. I think it's fair to ask: was the correct
23 24	Α.	procedure followed? I can't get into: was this a good
24 25		use of a cross-community vote? Because that's
25		81
1	LAI	DY HALLETT: I think we'll leave it there. I think
2	LAI	I understand Ms Pearson's position, Ms Dobbin. You're
2 3		I understand Ms Pearson's position, Ms Dobbin. You're still a serving civil servant, I think?
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- that in any way. I'm sorry, I am just putting that out
- 3 there.

- 4 Q. Well, let me put it to you this way: the measures that
- 5 were being proposed were health measures?
- 6 A. Correct.
- 7 Q. And they were health measures that were being proposed
- 8 in respect of the entire community in
- 9 Northern Ireland --
- 10 A. Correct.
- 11 Q. -- regardless of their background?
- 12 A. Correct.
- 13 Q. And they were being proposed by a Unionist minister?
- 14 A. Also correct.
- 15 **Q.** And the vote was being invoked by other Unionist
- 16 politicians --
- 17 A. Yes.
- 18 Q. -- in order to defeat the measure that was being
- 19 proposed by another Unionist; yes?
- 20 **A.** Yes.
- 21 $\,$ Q. $\,$ It's quite hard to understand where the protection of
- 22 minority interests comes into it within that context; do23 you agree?
- 24 A. I think you'd have to put that to the people proposing
- 25 the vote, if I may. Sorry, my Lady. 82

1		we see evidence of leaking of what was actually going on
2		in the meetings whilst they were taking place?
3	Α.	Yes.
4	Q.	Again, I think it's understood that you're a serving
5		civil servant, but, just by way of a general
6		observation, it must be very damaging to decision-making
7		if the people round the table can't be assured that they
8		can speak candidly and openly without fearing that it's
9		going to be provided to journalists at much the same
10		time as they're saying it?
11	Α.	That has to be right, yes.
12	Q.	I think you've set out in your statement some of the
13		other challenges, if I may put it in that way, that were
14		posed to the Executive Committee in its decision-making.
15		You've also and this is at paragraph 237 of your
16		statement said that, in addition to leaking, there
17		was also public briefing and commentary, contrary to
18		collective decision-making, and I think we'll see some
19		examples of this when ministers give evidence, but just
20		again I think, generally speaking, that was also
21		correct, wasn't it, that certain ministers at points did
22		come out in public and make statements that were
23		contrary to the positions that had been agreed?
24	Α.	They came out and aired the views that they'd expressed
25		in discussion. And I'm not going to say every single

1		one of them did it
2	Q.	No.
3	Α.	but it wasn't just one or two.
4	Q.	And I think the other point that you make, which is
5		a point made by other witnesses as well, is that those
6		ministers who weren't from the main parties, and this
7		would apply particularly to Ministers Long and Mallon
8	Α.	Yes.
9	Q.	and I think unclear as regards Minister Swann, but
10		certainly as regards the two of them, that they would
11		often receive papers very late in the day or be less
12		involved, I think, perhaps, in the decision-making?
13	Α.	Yeah, I think Sir David dealt with that as well, and
14		They found it difficult to arrive at a meeting with
15		late papers, and Minister Long in particular would
16		regularly ask for that to be recorded in the minutes,
17		and sometimes she would ask for a short adjournment just
18		so she could properly read herself in.
19		I actually noticed when you put up a document for
20		Jenny Pyper yesterday it was a note of, I think, her
21		first meeting with FM and dFM, in December 2020
22		there's a reference in that to it was either
23		Minister Long or DoJ, "late papers", something like
24		that.
25	Q.	Yes.
		85
1		enforcement
1 2	А.	
	A. Q.	enforcement
2		enforcement Yes.
2 3		enforcement Yes. and specifically the use of PSNI in order to enforce
2 3 4		enforcement Yes. and specifically the use of PSNI in order to enforce the Covid regulations. And I think if I can do this
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	Q. A. Q. A. Q.	enforcement Yes. and specifically the use of PSNI in order to enforce the Covid regulations. And I think if I can do this without going to the documents Yeah. but if I do, I will. There are certainly references, particularly in the autumn of 2020, of perhaps concerns about whether or not police were enforcing the regulations as robustly as they could have been. I know that there's another side to that, but I just wanted to ask you, first of all, if that's correct, if you were conscious of concerns that the regulations weren't being Yes. enforced by the police Very much so, that's correct. Was that was there actually a basis for thinking that that was correct, that the police were not being as involved or as proactive in enforcement as they might have been? I think it depends on your starting point, really. If

25 will have an impact, but that ... I come from a justice

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1	Α.	And that was them recognising it wasn't DoJ
2		submitting late papers, that was them recognising that
3		Minister Long had a concern about that. As did
4		Minister Mallon.
5	Q.	Again, I think it was a question perhaps the Chair had
6		asked, whether or not the late provision of paper was
7		also because of fears about leaking as well, so that
8		they were given out as late as they possibly could be;
9		is that
10	Α.	I think possibly, up to a point, but late papers were
11		a feature, my Lady, of the speed at which we were also
12		working. I was guilty of sending in late papers to my
13		ministers for their consideration, so I understand the
14		dynamic around that, if you're dealing with something at
15		pace. But it was of particular concern to Minister Long
16		and Minister Mallon, because they would not have been
17		part of the process that got to the point of issuing the
18	~	papers for collective consideration.
19	Q.	All right.
20		I want to move on to ask you about two topics,
21		because I'm conscious that there are topics we haven't
22		had a chance to ask other people about and it might be
23		that you might well be the person who had some
24		involvement with it.
25		I think the first one is the question of 86
		80
1		background, so maybe I'm bringing a personal view to
2		this. The phrase that we were hearing at the time, and
3		I'm sorry, this might sound a bit callous, but "you
4		cannot arrest your way out of a pandemic". You can't.
5		So that's a phrase that was out and about there.
6		The police had a very, very clear strategy, they
7		called it the four Es, and they would only go to
8		enforcement when they felt that the other three Es had
9		been exhausted and weren't working.
10	Q.	Now, I can remember one E is explain, I may be in
11		difficulties in remembering what the other Es are. Can
12		you remember?
13	Α.	I might be in difficulty as well. Explain, encourage,
14		there's another one, and then enforce.
15	Q.	But I think the idea of that strategy was there would be
16	٩.	a number of steps before you would go to the ultimate
17		
. /		
18	Δ	step of actually enforcing
18 19	A. 0	step of actually enforcing Correct.
19	Q.	step of actually enforcing Correct. for example arresting someone or issuing a fine
19 20	Q. A.	step of actually enforcing Correct. for example arresting someone or issuing a fine Yes.
19 20 21	Q.	step of actually enforcing Correct. for example arresting someone or issuing a fine Yes. is that right?
19 20 21 22	Q. A.	step of actually enforcing Correct. for example arresting someone or issuing a fine Yes. is that right? The specific concern that seemed to exist in
19 20 21 22 23	Q. A.	step of actually enforcing Correct. for example arresting someone or issuing a fine Yes. is that right? The specific concern that seemed to exist in autumn 2020 was that there was actually quite a lot of
19 20 21 22	Q. A.	step of actually enforcing Correct. for example arresting someone or issuing a fine Yes. is that right? The specific concern that seemed to exist in

references to house parties taking place. 88

that at all.

1	Α.	Yes, yes.
2	Q.	And also to the concern that bars, I think in
3		particular, were not abiding by the regulations. So
4		again, just to be clear about that, was there also
5		a concern, then, that there were very specific
6		circumstances or that the police could have been
7		pressing enforcement and a question mark over whether or
8		not that in fact was happening in the autumn?
9	Α.	Yes, I think that's right. House parties some bars,
10		to be fair.
11	Q.	I don't want to say it's every bar.
12	Α.	I think the hospitality sector representatives went to
13		great lengths to try and make sure they were living
14		within the regulations, but you will always have
15		somebody that's going to go and do something contrary to
16		what you want them to do.
17		I think that where you've got a big social
18		gathering, where you've got a business premises that's
19		not complying with the law, that's where I would
20		understand the concerns about enforcement, because
21		enforcement has to be effective, and, here, policing
22		with the community has been so hard won that the police
23		have to be in charge of their policing strategy because
24		they know best what's going to work there.
25		But yes, there were concerns about some premises and 89
1		space, which would be fundamentally a wrong thing to do.
2		It's absolutely fine to express concerns, have the
3		dialogue, and the junior ministers would be sitting with
4		ACC Todd and talking about it, with Robin Swann present,
5		all views expressed, but ultimately enforcement
6		decisions can only sit with the police.
7	Q.	I think we understand that, but, just coming back to the
8	ω.	view within the TEO, I think it and again, if this
9		isn't correct but there certainly was the concern on
10		the part of ministers, particularly in the autumn, and
11		I think on the part of, perhaps, the CMO as well
12	Α.	Yes.
13	Q.	that there needed to be more robust enforcement?
14	а. А.	Yes.
15	Q.	That there was actually a requirement for it?
16	Α.	Yes.
17	Q.	Have I I don't want to get that wrong.
18	Α.	There were some views to the extent that the
19		an enforcement group was set up so that that discussion
20		could be had, yeah.
21	Q.	Yes, I was going to ask about that. Is that in fact the
22		correct position, that the enforcement group was set up
23		because of concerns
24	Α.	Yes.
25	Q.	that policing wasn't as robust as it
		91

4		lhal al all.
5	Q.	I think maybe the point that the Chair may be interested
6		in though is maybe just the differences in
7		Northern Ireland and the point that you make about
8		community support being very hard won. And I suppose
9		the very specific question is, then, whether or not that
10		did whether that did impact the willingness of the
11		police to enforce, or whether or not there was
12		a different approach taken in Northern Ireland because
13		there was the concern that that support might be
14		compromised?
15	Α.	I think it would have been a factor. And I'm sure
16		ACC Todd will help you.
17	Q.	Yes.
18	Α.	I think that would have been a factor, but they had
19		a clear strategy, and enforcement is a heavy thing to
20		do, and where they felt that the other three Es could
21		work and would work, then they needed to be left to
22		devise their strategy on that. That's that's just
23		operational policing. And there has to be a line
24		between what people might think is right and stepping
25		over that boundary into the operational decision-making
		90
	_	
1	Α.	Yes.
2	Q.	might have been?
3	Α.	Yes. And it wasn't the only available enforcement
4		route, local councils, environmental health officers,
5		there were other ways to do enforcement as well. And
6		I think the enforcement group really had to look across
7		those and not look solely to the police.
8	Q.	The final topic that I want to
9	LAI	DY HALLETT: Just before you move on, just to assure
10		Mr Phillips from the National Police Chiefs' Council
11		that I do listen, I think it's engage, explain,
12		encourage, enforce.
13	MS	DOBBIN: Good. I'm sure that won't be forgotten.
14	LAI	DY HALLETT: Because I've heard it in other modules, I'm
15		not showing off.
16	MS	DOBBIN: I'm less familiar with it.
17		The last topic is obviously an incredibly important
18		one that you've dealt with in your statement, and it's
19		the question about the identification of equality
20		considerations
21	Α.	Yes.
22	Q.	within civil contingencies.
23		Perhaps broader than that, if I may, because it
24		doesn't necessarily fit within a strict equalities
25		framework, but the identification of those people in
		92
		(23) Pages 89 - 92

I believe some action was taken in and around that.

is going to get us through this, I could not agree with

But if your starting point is that heavy enforcement

1		society for whom either the pandemic might have	1
2		a disproportionate impact or the effect of restrictions	2
3		might have a disproportionate impact. And I think that	3
4		you've said in your statement, and this is at	4
5		paragraph 340 if you need it, that you didn't think that	5
6		adequate consideration had been given to equality	6
7		considerations during the response, and that ultimately	7
8		that was a question of time pressures more than anything	8
9		else.	9
10		You do and I will take you to this in a moment,	10
11		you do refer to the fact that there was some	11
12		consultation with groups including, for example,	12
13 14		Disability Action in Northern Ireland, but can I please	13 14
14 15		ask you about your overarching observation that there	14
15 16	Α.	wasn't significant consideration. I just think that's factually correct, but I would	15
17	А.	associate myself with what Jenny Pyper said about it	10
18		yesterday: we could have done more, we should have done	17
19		more, should have found a way to make time. And	10
20		I really liked her idea yesterday that having	20
20		an inequalities workstream within the ECT might be	20
22		a model should we ever have to go there again.	22
23		I think we're trying to do more in the civil	23
_0 24		contingencies space and I would love to have the	24
25		opportunity of talking to some equality groups in	25
		93	
1		the way we dealt with the pandemic. Each department	1
2		I think would have been taking the steps that fell to	2
3		them, but I just completely agree with Jenny that	3
4		a workstream within the taskforce would have been the	4
5		way to go.	
		nay to go:	5
6			5 6
6 7		We're doing some steps at the moment in how we're	
			6
7		We're doing some steps at the moment in how we're developing our civil contingencies risk register, that	6 7
7 8		We're doing some steps at the moment in how we're developing our civil contingencies risk register, that does almost force a consideration of vulnerability in	6 7 8
7 8 9		We're doing some steps at the moment in how we're developing our civil contingencies risk register, that does almost force a consideration of vulnerability in section 75, so I think we can make some improvements for	6 7 8 9
7 8 9 10		We're doing some steps at the moment in how we're developing our civil contingencies risk register, that does almost force a consideration of vulnerability in section 75, so I think we can make some improvements for the future, but that's the sort of thing I would like to	6 7 8 9 10
7 8 9 10 11		We're doing some steps at the moment in how we're developing our civil contingencies risk register, that does almost force a consideration of vulnerability in section 75, so I think we can make some improvements for the future, but that's the sort of thing I would like to talk to the equality groups around: how does that work?	6 7 8 9 10 11
7 8 9 10 11 12		We're doing some steps at the moment in how we're developing our civil contingencies risk register, that does almost force a consideration of vulnerability in section 75, so I think we can make some improvements for the future, but that's the sort of thing I would like to talk to the equality groups around: how does that work? Does that capture your concerns? Is this going to make	6 7 8 9 10 11 12
7 8 9 10 11 12 13	Q.	We're doing some steps at the moment in how we're developing our civil contingencies risk register, that does almost force a consideration of vulnerability in section 75, so I think we can make some improvements for the future, but that's the sort of thing I would like to talk to the equality groups around: how does that work? Does that capture your concerns? Is this going to make it better?	6 7 8 9 10 11 12 13
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7 8 9 10 11 12 13 14 15		We're doing some steps at the moment in how we're developing our civil contingencies risk register, that does almost force a consideration of vulnerability in section 75, so I think we can make some improvements for the future, but that's the sort of thing I would like to talk to the equality groups around: how does that work? Does that capture your concerns? Is this going to make it better? So we have to be open to that criticism. Sorry, I didn't mean to cut across you.	6 7 8 9 10 11 12 13 14 15
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7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	Α.	We're doing some steps at the moment in how we're developing our civil contingencies risk register, that does almost force a consideration of vulnerability in section 75, so I think we can make some improvements for the future, but that's the sort of thing I would like to talk to the equality groups around: how does that work? Does that capture your concerns? Is this going to make it better? So we have to be open to that criticism. Sorry, I didn't mean to cut across you. No, no. If we were to take a really obvious group, and again I'm conscious of using the term "disability" as though that's that, again, covers a huge array of people in different circumstances, but, again, given how obvious it was that there would be people within the Northern Irish community who were disabled and who would be profoundly affected by lockdowns, that doesn't take	6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23

		Northern heldrig about that, after the inquiry
2		of course. But yes, that's all I can say. I'm not
3		going to try and explain it away.
4	Q.	Could I ask you, though, what you judge now, at this
5		distance, were in fact the equality considerations which
6		ought to have been given much more focus at the time?
7	Α.	Twofold. Vulnerable people as a I don't know what to
8		say, not a group, because it's so
9	Q.	Yes.
10	Α.	vast. Vulnerable people and then section 75
11		categories.
12	Q.	In terms of just I mean, vulnerability covers
13		obviously a
14	A.	Yes.
15	Q.	huge range of people in society. I mean, that
16	-	encompasses poverty, child protection, elderly people.
17		That's almost every possible group of people. So do you
18		accept, then, that really as regards almost all of those
19		groups of people in society to whom extra consideration
20		needed to be given as part of the planning, that
20		effectively Northern Ireland fell short at all stages?
22	Α.	It fell short. I wouldn't want to suggest that
22	А.	
23 24		absolutely nothing was done and there was no
		consideration, but I think what Jenny was saying
25		yesterday is you can't point to a structure for it in 94
1		disagree, but it mightn't be thought that it takes that
2		much to think about what the vulnerabilities are, and
3		what could be done to help people who might be
4		imprisoned in their homes, I think is a term that's been
5		used, because of disability, or who can't access
6		services?
7	A.	I can only agree. I think that the best thing to do is
8		to accept that we could have done more and to make sure
9		if we have to do this ever again that we will do more.
10		I think that there would have been considerations
11		about various aspects if we stick on disability,
12		there would have been considerations of various aspects,
13		but what we didn't have was an ongoing dialogue with
14		representatives of the sector to say: what's happening?
15		Tell us what's happening out there, give us some advice.
16		It would have been aspects of disability, aspects of
17		different groups, and I think that's where we can make
18		massive improvements.
19	Q.	If we come full circle, it is, though it's a failure
20		of planning, isn't it, that in the lead-up, in the
21		months leading up to March, when it was known that there
22		would be a pandemic, that that time wasn't used to think
23		about the impact that there would almost inevitably be
24		on a number of different vulnerable people in society?
~ -		
25	Α.	It should have been done.
25	Α.	It should have been done. 96

Northern Ireland about that, after the Inquiry

(24) Pages 93 - 96

1	LAI	DY HALLETT: Just before we have any questions from	1
2		Ms Campbell, it's not quite the same point as equality	2
3		considerations, but were you present at meetings when	3
4	_	closure of schools was discussed by the politicians?	4
5	Α.		5
6	LAI	DY HALLETT: So would you know what considerations were	6
7		taken into account? I mean, I've heard a number of	7
8		evidence around the United Kingdom a number of pieces	8
9		of evidence about closure of schools, and although	9
10		politicians seem to have taken into account obviously	10
11		the fact that children wouldn't get their formal	11
12		education, and that it might cause problems for	12
13		key workers who would have children at school, I don't	13
14		seem to have heard a lot about politicians talking about	14
15		the lack of social development. Do you know if that was	15
16		a factor in the decision to close schools?	16
17	Α.	I wouldn't know, but I think it was discussed at the	17
18		Executive after, I think so, I'd have to double check.	18
19		DY HALLETT: So, what, after the first closure of schools?	19
20		Yes.	20
21	LAI	DY HALLETT: In other words, when the first closure takes	21
22		place, all anyone is thinking about is just stop	22
23		transmission.	23
24 25		Yes.	24 25
20		DY HALLETT: And it's only afterwards that people start 97	20
1		Just to put this in context, we can see that it's	1
1 2		Just to put this in context, we can see that it's sent on Thursday 26 March at one minute past 9 at night.	1 2
	А.	•	
2	A. Q.	sent on Thursday 26 March at one minute past 9 at night.	2
2 3		sent on Thursday 26 March at one minute past 9 at night. Yes.	2 3
2 3 4 5 6		sent on Thursday 26 March at one minute past 9 at night. Yes. Now, the issue that Sir David raises, having been	2 3 4
2 3 4 5		sent on Thursday 26 March at one minute past 9 at night. Yes. Now, the issue that Sir David raises, having been expressed both by the First Minister and deputy First Minister, albeit in slightly more nuanced or different terms, is really the issue of how we get Executive level	2 3 4 5
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2 3 4 5 6 7		sent on Thursday 26 March at one minute past 9 at night. Yes. Now, the issue that Sir David raises, having been expressed both by the First Minister and deputy First Minister, albeit in slightly more nuanced or different terms, is really the issue of how we get Executive level	2 3 4 5 6 7
2 3 4 5 6 7 8 9 10		sent on Thursday 26 March at one minute past 9 at night. Yes. Now, the issue that Sir David raises, having been expressed both by the First Minister and deputy First Minister, albeit in slightly more nuanced or different terms, is really the issue of how we get Executive level collective decision-making in relation to the pandemic. Thinking back to the work that you did on 17 March, we know it was St Patrick's Day, it was a Bank Holiday	2 3 4 5 6 7 8
2 3 4 5 6 7 8 9 10 11		sent on Thursday 26 March at one minute past 9 at night. Yes. Now, the issue that Sir David raises, having been expressed both by the First Minister and deputy First Minister, albeit in slightly more nuanced or different terms, is really the issue of how we get Executive level collective decision-making in relation to the pandemic. Thinking back to the work that you did on 17 March, we know it was St Patrick's Day, it was a Bank Holiday and you had just been, if you like, co-opted over the	2 3 4 5 6 7 8 9
2 3 4 5 6 7 8 9 10 11 12		sent on Thursday 26 March at one minute past 9 at night. Yes. Now, the issue that Sir David raises, having been expressed both by the First Minister and deputy First Minister, albeit in slightly more nuanced or different terms, is really the issue of how we get Executive level collective decision-making in relation to the pandemic. Thinking back to the work that you did on 17 March, we know it was St Patrick's Day, it was a Bank Holiday	2 3 4 5 6 7 8 9 10
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2 3 4 5 6 7 8 9 10 11 12 13 13		sent on Thursday 26 March at one minute past 9 at night. Yes. Now, the issue that Sir David raises, having been expressed both by the First Minister and deputy First Minister, albeit in slightly more nuanced or different terms, is really the issue of how we get Executive level collective decision-making in relation to the pandemic. Thinking back to the work that you did on 17 March, we know it was St Patrick's Day, it was a Bank Holiday and you had just been, if you like, co-opted over the course of that weekend, and here you are on a Tuesday writing your draft plan, and one of the things that you include in your draft plan and you discussed it this	2 3 4 5 6 7 8 9 10 11 12 13 13
2 3 4 5 6 7 8 9 10 11 12 13 14 15		sent on Thursday 26 March at one minute past 9 at night. Yes. Now, the issue that Sir David raises, having been expressed both by the First Minister and deputy First Minister, albeit in slightly more nuanced or different terms, is really the issue of how we get Executive level collective decision-making in relation to the pandemic. Thinking back to the work that you did on 17 March, we know it was St Patrick's Day, it was a Bank Holiday and you had just been, if you like, co-opted over the course of that weekend, and here you are on a Tuesday writing your draft plan, and one of the things that you include in your draft plan and you discussed it this morning with Ms Dobbin and I won't put it back up unless	2 3 4 5 6 7 8 9 10 11 12 13 14 15
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1		thinking, "Oh, this could have an even greater impact on
2		people than we thought"?
3	Α.	Yes. It's just a recollection, but I think social
4		development was discussed, and I would be fairly
5		confident that the Chief Medical Officer raised it on at
6		least one occasion, I think
7	LAI	DY HALLETT: Thank you. You may be the wrong person to
8		ask, I'm sorry, it's just one of the things I wanted to
9		explore with someone.
10		Ms Campbell.
11		Questions from MS CAMPBELL KC
12	MS	CAMPBELL: Thank you, my Lady.
13		Ms Pearson, my name is Brenda Campbell and I ask
14		questions on behalf of the Northern Irish Covid
15		Bereaved.
16		I want to revisit, if we may, the email exchange
17		between yourself, Sir David and Hugh Widdis.
18		It's at INQ000287536.
19		Now, you've already been asked a great deal of
20		questions about this, and I'm grateful both to Ms Dobbin
21		and to yourself for dealing with it comprehensively, but
22		if I might approach it from a slightly different angle.
23		Could we go to page 3 sorry, yes, page 2, in
24		fact, where we see the beginning of the email from
25		Sir David.
		98
1		and compromise really at the Executive level, including
2		the First Minister and the deputy First Minister, as
3 4		joint leaders. Correct.
-	A.	•
5	Q.	Yes. That's really why you included it in your draft
6 7		plan on the 17th, and why it went in that form almost entirely unaltered, if not entirely unaltered, to the
8		board two days later?
9	Α.	Correct.
10	Q.	Now, here we are a week on from that having been
11	પ્લ.	presented, and in fact your email comes on the 27th, so
12		ten days on from you drafting your plan on 17 March, and
13		Sir David is saying "the First Minister and the deputy
14		First Minister have raised this with me as a problem".
15		And if we look at the bottom of where he signs
16		off, he's struggling to answer the simple question: how
17		can we get control of this, at an Executive level? And
18		he proposes it from his perspective, he's going to have
19		a sleep on it.
20		Do you see that?
21	Α.	l do.
22	Q.	Now, if we then go to your reply, which is on page 1.

- **Q.** Now, if we then go to your reply, which is on page 1,
- and you point out the things that are in place, stemming
- from the work that you started on 17 March. And "What'snot working?", we've got the first two: a fresh and, to

1		some level, inexperienced ministerial team, because of
2		the Assembly being just back.
3		But then what we have is this crisis that's out of
4		control and, you say, "scaring them", and we know that
5		you wouldn't necessarily use that word now, departments
6		are doing things that are taking them by surprise, they
7		haven't moved into confidence mode, they're not seeing
8		the big picture.
9		Would it be fair to say that in part a contribution
10		to these things that are not working was a failure to
11		think considerably further in advance, of how collective
12		decision-making was going to work? As you say, it's so
13		fundamental that it's stating the obvious?
14	Α.	I think that I can only say that this is arising from
15		the issue around education, and that David said, and
16		I would agree, that things got significantly better
17		after this. So that's the context for why I wrote that.
18		Collective decision-making is a feature of the
19		Executive, so I don't think any pre-planning around
20		that I'm not really sure what could have been done
21		around how the Executive works. Sorry, is that what
22		you're asking me?
23	Q.	It is, yes. And putting aside the issue of education,
24		and obviously accepting that it was a contributing
25		factor at this point, we're here on 27 March
		101
		101
1	Α.	
1 2	Α.	
	Α.	context-specific, and whatever solutions we offer
2	A.	context-specific, and whatever solutions we offer need to address these things, so what else can we do to help them coalesce. But I come back to the fact that
2 3	A.	context-specific, and whatever solutions we offer need to address these things, so what else can we do to help them coalesce. But I come back to the fact that we've got a framework in front of them, that's the main
2 3 4	Α.	context-specific, and whatever solutions we offer need to address these things, so what else can we do to help them coalesce. But I come back to the fact that
2 3 4 5	Α.	context-specific, and whatever solutions we offer need to address these things, so what else can we do to help them coalesce. But I come back to the fact that we've got a framework in front of them, that's the main cohesive point, and "What's not working?" in this note
2 3 4 5 6	Α.	context-specific, and whatever solutions we offer need to address these things, so what else can we do to help them coalesce. But I come back to the fact that we've got a framework in front of them, that's the main cohesive point, and "What's not working?" in this note is around the specific issues that led to the led to
2 3 4 5 6 7	Α.	context-specific, and whatever solutions we offer need to address these things, so what else can we do to help them coalesce. But I come back to the fact that we've got a framework in front of them, that's the main cohesive point, and "What's not working?" in this note is around the specific issues that led to the led to the issues around education.
2 3 4 5 6 7 8	A.	context-specific, and whatever solutions we offer need to address these things, so what else can we do to help them coalesce. But I come back to the fact that we've got a framework in front of them, that's the main cohesive point, and "What's not working?" in this note is around the specific issues that led to the led to the issues around education. But David's also recognising here that they've got
2 3 4 5 6 7 8 9	Α.	context-specific, and whatever solutions we offer need to address these things, so what else can we do to help them coalesce. But I come back to the fact that we've got a framework in front of them, that's the main cohesive point, and "What's not working?" in this note is around the specific issues that led to the led to the issues around education. But David's also recognising here that they've got a concern about information coming from health. That's easily fixed, if they want to get into that space, by
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2 3 4 5 6 7 8 9 10 11	A.	context-specific, and whatever solutions we offer need to address these things, so what else can we do to help them coalesce. But I come back to the fact that we've got a framework in front of them, that's the main cohesive point, and "What's not working?" in this note is around the specific issues that led to the led to the issues around education. But David's also recognising here that they've got a concern about information coming from health. That's easily fixed, if they want to get into that space, by specific requests to the health minister. So I'm not sure that you can pre-plan for Executive
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2 3 4 5 6 7 8 9 10 11 12	A. Q.	context-specific, and whatever solutions we offer need to address these things, so what else can we do to help them coalesce. But I come back to the fact that we've got a framework in front of them, that's the main cohesive point, and "What's not working?" in this note is around the specific issues that led to the led to the issues around education. But David's also recognising here that they've got a concern about information coming from health. That's easily fixed, if they want to get into that space, by specific requests to the health minister. So I'm not sure that you can pre-plan for Executive decision-making because it is what it is. Well, to some extent your draft document was doing just
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16	Q. A.	context-specific, and whatever solutions we offer need to address these things, so what else can we do to help them coalesce. But I come back to the fact that we've got a framework in front of them, that's the main cohesive point, and "What's not working?" in this note is around the specific issues that led to the led to the issues around education. But David's also recognising here that they've got a concern about information coming from health. That's easily fixed, if they want to get into that space, by specific requests to the health minister. So I'm not sure that you can pre-plan for Executive decision-making because it is what it is. Well, to some extent your draft document was doing just that, wasn't it? Yes. Yes. Yes. And indeed, knowing the date of your draft document and
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	Q. A.	context-specific, and whatever solutions we offer need to address these things, so what else can we do to help them coalesce. But I come back to the fact that we've got a framework in front of them, that's the main cohesive point, and "What's not working?" in this note is around the specific issues that led to the led to the issues around education. But David's also recognising here that they've got a concern about information coming from health. That's easily fixed, if they want to get into that space, by specific requests to the health minister. So I'm not sure that you can pre-plan for Executive decision-making because it is what it is. Well, to some extent your draft document was doing just that, wasn't it? Yes. Yes. Yes. And indeed, knowing the date of your draft document and knowing the date then of the lockdown and what followed very quickly thereafter, is it a fair observation to say
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20	Q. A.	context-specific, and whatever solutions we offer need to address these things, so what else can we do to help them coalesce. But I come back to the fact that we've got a framework in front of them, that's the main cohesive point, and "What's not working?" in this note is around the specific issues that led to the led to the issues around education. But David's also recognising here that they've got a concern about information coming from health. That's easily fixed, if they want to get into that space, by specific requests to the health minister. So I'm not sure that you can pre-plan for Executive decision-making because it is what it is. Well, to some extent your draft document was doing just that, wasn't it? Yes. Yes. Yes. And indeed, knowing the date of your draft document and knowing the date then of the lockdown and what followed very quickly thereafter, is it a fair observation to say that there was very little time to absorb your draft
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	Q. A.	context-specific, and whatever solutions we offer need to address these things, so what else can we do to help them coalesce. But I come back to the fact that we've got a framework in front of them, that's the main cohesive point, and "What's not working?" in this note is around the specific issues that led to the led to the issues around education. But David's also recognising here that they've got a concern about information coming from health. That's easily fixed, if they want to get into that space, by specific requests to the health minister. So I'm not sure that you can pre-plan for Executive decision-making because it is what it is. Well, to some extent your draft document was doing just that, wasn't it? Yes. Yes. Yes. And indeed, knowing the date of your draft document and knowing the date then of the lockdown and what followed very quickly thereafter, is it a fair observation to say
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	Q. A.	context-specific, and whatever solutions we offer need to address these things, so what else can we do to help them coalesce. But I come back to the fact that we've got a framework in front of them, that's the main cohesive point, and "What's not working?" in this note is around the specific issues that led to the led to the issues around education. But David's also recognising here that they've got a concern about information coming from health. That's easily fixed, if they want to get into that space, by specific requests to the health minister. So I'm not sure that you can pre-plan for Executive decision-making because it is what it is. Well, to some extent your draft document was doing just that, wasn't it? Yes. Yes. Yes. And indeed, knowing the date of your draft document and knowing the date then of the lockdown and what followed very quickly thereafter, is it a fair observation to say that there was very little time to absorb your draft document, that became the plan, before the lockdown was
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	Q. A. Q.	 context-specific, and whatever solutions we offer need to address these things, so what else can we do to help them coalesce. But I come back to the fact that we've got a framework in front of them, that's the main cohesive point, and "What's not working?" in this note is around the specific issues that led to the led to the issues around education. But David's also recognising here that they've got a concern about information coming from health. That's easily fixed, if they want to get into that space, by specific requests to the health minister. So I'm not sure that you can pre-plan for Executive decision-making because it is what it is. Well, to some extent your draft document was doing just that, wasn't it? Yes. Yes. Yes. And indeed, knowing the date of your draft document and knowing the date then of the lockdown and what followed very quickly thereafter, is it a fair observation to say that there was very little time to absorb your draft document, that became the plan, before the lockdown was announced?

LADY HALLETT: Thank you very much, Ms Campbell. 25 103

- Yes. 1 Δ
- 2 Q. -- and we're in lockdown --
- Yes. 3 Α.

5

- Q. -- and that lockdown in fact hadn't been announced when 4
 - you were writing your draft ten days before, but here
- 6 you are at a point of lockdown, in the eye of the storm,
- 7 trying to figure out a way, with Mr Widdis' suggestions,
- 8 radical and perhaps less so, about how you get -- how 9
 - you meet the concerns of our joint leaders as to how
- they're going to contribute to the pandemic response. 10
- 11 Α. Yes.
- 12 Q. And would you accept that trying to find a solution --
- 13 as you say, whatever solutions you come up with, trying
- 14 to find a solution at that time, at that moment of
- 15 crisis, was always going to be more difficult than
- 16 finding a solution in advance and before the crisis hit?
- 17 A. So I think my email here, if I may, is doing two things.
- I'm referring to the fact that we've already got some 18
- steps in place with the priorities in the framework --19
- 20 Q. Yes.
- 21 A. -- to help them coalesce around an agreed series of
- 22 things. The "What's not working?" section is trying to
- 23 diagnose why that particular issue had been so
- 24 problematic --
- 25 Q. Yes.

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1	Those, I think, are all the questions we have for
2	you, Ms Pearson. Thank you very much indeed for your
3	help. You're now free to go.
4	THE WITNESS: Thank you.
5	(The witness withdrew)
6	LADY HALLETT: Very well. 1.45, please.
7	(12.47 pm)
8	(The short adjournment)
9	(1.45 pm)
10	(Proceedings delayed)
11	(1.50 pm)
12	MS DOBBIN: My Lady, can I apologise for having kept you
13	waiting, it was a document issue rather than a lunch
14	issue.
15	LADY HALLETT: Thank you.
16	MS DOBBIN: Sorry, yes, the witness, please, thank you.
17	MS JAYNE BRADY (sworn)
18	Questions from LEAD COUNSEL TO THE INQUIRY for MODULE
19	MS DOBBIN: Can I ask you to give your full name to
20	the Inquiry, please.
21	A. Jayne Brady.
22	Q. I think it's right, Ms Brady, that you've made three
23	witness statements for the Inquiry, and I think you've
24	got all three of them in front of you. I think the
25	first one which you made on behalf of the
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1		Executive Office, is that right?
2	Α.	Yes.
3	Q.	Ought to be in front of you. Let me just check which
4		date that is. Do you have the date in front of you?
5		Forgive me.
6	Α.	23/02.
7	Q.	Thank you. Can you confirm that the contents of that
8		statement are true to the best of your knowledge and
9		belief?
10	Α.	I can.
11	Q.	Then you made two statements subsequent to that, so the
12		first one, I think, is 21 March 2024?
13	Α.	Yes.
14	Q.	And, again, are you content that that statement is true
15		to the best of your knowledge and belief?
16	Α.	I am, yes.
17	Q.	Then you made a third statement, on 25 March 2024.
18	Α.	Yes.
19	Q.	Do you have that in front of you?
20	Α.	l do.
21	Q.	You do. Can you confirm that the contents of that
22		statement are true to the best of your knowledge and
23		belief as well?
24	Α.	I can, yes.
25	Q.	The Inquiry's grateful to you for having made all of 105
1		2020 and 2021?
2	Α.	That's correct.
3	Q.	All right.
4		Just asking first of all about your role as head of
5		Civil Service, I think in fact your role is somewhat
6		different, is that right, to the role that Sir David had

Executive Office, is that right?

1

7 whenever he was the head of the Civil Service? 8 A. That's correct, I think that's been referenced a number

9 of times through the Inquiry. My role as head of the 10 Northern Ireland Civil Service is different from those

- 11 in the permanent secretaries in Scotland and in Wales
- and, indeed, in Whitehall. Whilst I am the head of the 12
- 13 Civil Service, I am not the accounting officer, so I do
- 14 not have the power to direct permanent secretaries, who 15 are the heads of their division in terms of accounting 16 officer provision.
- 17 There are nine different legal entities, my Lady, in 18 Northern Ireland, and those each -- are each under the 19 direction and control of their minister, and obviously 20 we have, it's been well versed, a mandatory coalition.
- 21 There's perhaps a couple of other aspects I might 22 reflect on which wasn't covered, the power to direct 23 wasn't covered, but there are other elements that are 24 different from the Whitehall model in that --
- 25 I'm just going to stop you, because you've gone a bit Q. 107

- those statements. I know you'll be aware that two of
- them in particular touch on the issue of informal
- 3 communications, and I'm going to ask you some questions 4
 - about that today.
 - If I can start by asking a little bit, first of all,
- 6 about your role. It's right that you're the head of the
 - Civil Service in Northern Ireland; correct?
- 8 A. I am, yes.
- Q. And that you took up that role on 1 September 2021? 9
- 10 A. That's correct.
- Q. And I think that before that you had a background in --11
- 12 well, you had a varied background, but you're
- 13 an engineer by profession and training; is that correct?
- 14 A. That's correct. I am.
- 15 Q. Then you had a number of roles in the private sector?
- 16 Α. Yes, that's correct.
- 17 Q. And I think that, as part of your background, you also had a professional background as part of being advisory 18
- 19 groups as well?
- 20 A. I have, yes.
- 21 Q. And in 2020 you were appointed to the UK government's 22 Innovation Expert Group?
- 23 A. I was, yes.
- 24 And that you were also the Digital Innovation Q.
- 25 Commissioner for Belfast City Council as well between 106
- 1 ahead of me.
- 2 Α. Okay.
- 3 Q. I think what I wanted to check, and forgive me if it
- 4 wasn't clear enough, I think that the role of head of 5
 - Civil Service changed when you took up the role.
- 6 A. Okay.
- 7 Q. Is that correct? So Sir David had a slightly different
- role to you, and I think that what changed was that 8
- a permanent secretary was brought in to the Executive 9
- 10 Office, thus meaning that your role was -- that you were
- divested of some of the responsibilities perhaps that 11 12 Sir David had?
- 13 Α. Yes, my apologies.
- 14 Q. I'm sure it was me.
- 15 No, yes, that's indeed the case. The permanent Α.
- 16 secretary before my appointment, Dr Denis McMahon, was
- 17 appointed in June 2021, just after my appointment was 18 announced, and his role was to be TEO permanent
- 19 secretary and accounting officer, and I'm aware that he
- 20 also gave evidence on behalf of TEO at the first stage
- 21 of the Inquiry process. That was to allow me to focus
- 22 on the overall departmental responsibility, and indeed
- 23 looking towards how we can create structures for the
- 24 transformation of the Northern Ireland Civil Service.
- 25 All right, and just so that we're clear about this in Q. 108

9 process, she was provided on a secondment from the 9 place to deal with that which worked effectively well, 10 strategic investment board through that period and 10 but I would acknowideg that not having the implement 11 I took over from ther interim capacity on 11 but I would acknowideg that not having the implement 12 1 September 2021. 12 into something of this magnitude and challenge. 13 Q. It's obviously that the rates of into something of this magnitude and challenge. 14 was to act as a advisor to the First Minister and the deputy First Minister and the period from. September 16 14 mass to act as advisor to the First Minister and the onwards that there wasn't actually anyone in that role 17 15 words at the end of the summer, right through until 15 deputy First Minister and to mos in stased 16 December, and I know that you've reflected 19 to provide that sort of advice are gritually anyone in that role 12 forgive me if I'm putting this too broadly that that 16 I mean, for reference, I wasn't there in my role, but 24 time. When I came indo the office, the ECT group was in 24 inportant. Im my view, when took up the role I was 25 our more vulnerable co					
3 the start of September 2020, and there was no permanent 3 in a period of significant volatility within the world 4 replacement then until you took up your role in 2021; is 4 and indeed Northern reland, where the structures are perhaps more complex than other constitutions, that 6 A. Yes, during that period Dr Jenny Pyper was appointed as 6 having that uncertaint, that discontinuity of course 7 an interim head of the Coll Service, but she wasm? 7 must have had an impact in terms of that view. My 8 opsarvation having come in that there ware structures piace to deal with thew hold an know the implement 10 totak version of the coll Service, and through that period and 10 but I would acknowledge that not having the implement 11 tok over from her interim capacity on 11 to build it would not having the implement 12 1 September 2021. 12 into something of this megnitude and challenge. 13 C. If the obviously very consplacous that, at a point in time 13 C. One of the roles obviously of the head of CWI Service 14 when the pandemic had again reached a peak, so in other was to act as a advise to the First Minister and the onward the ingury 2021, there was in fact no one in post as head 16 that period, it minght ha there in my role, but or your whose s		terms of chronology, we know that Sir David left his	1		
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		First Minister and the deputy First Minister as to how		Α.	the discussions that were had, and I guess
20 to deal perhaps with divisions that may have developed 20 an awareness that there were going to be more					
21 during that period of time? 21 restrictions imposed and to find ways to navigate 22 And again phylously baying not been part of these 22 through that and working with the ministers to try and					
22 A. And again obviously having not been part of those 22 through that and working with the ministers to try and 23 discussions but if Look towards the role that Look 23 have a briefing and provide frameworks and environments					
					have a briefing and provide frameworks and environments
					where that engagement in advance of an Executive could be made aware so that the Executive meetings could be as
25 some of those challenges in terms of Omicron, not just 25 be made aware so that the Executive meetings could b 111 112	20		20		be made aware so that the Executive meetings could be as 112

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1		effective as possible, and also to allow ministers to
2		have the optimum level of time to consider those options
3		given the magnitude of the decisions that they were
4		making.
5	Q.	I could tell there was frantic activity going on beside
6		me, I'm being asked if you could perhaps speak more
7		slowly.
8	Α.	Okay. Northern Irish, apologies, my Lady.
9	LAI	DY HALLETT: Not at all Irish, I'm afraid, I'm English.
10	MS	DOBBIN: Thank you.
11		If we could move on, then, if we may, just to then
12		ask you then about what happened in terms of the TEO's
13		response to the Inquiry and indeed the
14		cross-departmental response to the Inquiry.
15		I hope I can do this without having to take you
16		through all of the documentation, but if at any time you
17		want to see any of it, please stop me
18	Α.	Okay.
19	Q.	and I will, I'm just going to refer to some of the
20		correspondence and
21	A.	Okay.
22	Q.	if you agree with me.
23		When the Inquiry was announced, I think it's right
24		that on 10 June 2021 the Cabinet Office, through
25		a Mr Tierney, wrote to all permanent secretaries asking 113
4		his superious of tables and in a multiplicity and
1 2		his experience of taking part in a public inquiry and
		the minute level of detail that was required in order to
3 4		respond; is that right?
4 5	A. Q.	That's correct, yes, I've seen that correspondence. And in particular he mentioned about the fact that
6	Q.	communications would be required by any public
0 7		inquiry
, 8	Α.	That's correct, yes.
9	Q.	and specifically drawing his colleagues' attention to
3 10	ч.	that?
11	Α.	Yes.
12	Q.	I think that when you came into office, then, on
13	પ્ય.	16 September 2021, you also then communicated across
14		government in Northern Ireland and provided guidance on
15		the Inquiry and what might be required as well; is that
16		right?
17	Α.	That's correct, yes, I did.
18		And I think in fact we can probably go to that guidance
19	<u>~</u> .	just to make it clear there it goes, it's there
20		and we can see, I think, if we go, please, to
21		paragraph 6 of the sorry, page 6 of the guidance, we
22		can see that the guidance set out now, first of all,
23		I should say this was published by the Departmental
24		Solicitor's Office; is that right?
25	Α.	That's correct, yes.
		115

-	-	
1		that government departments take steps to ensure that
2		their department was ready to meet the requirements of
2		the Inquiry, and to ensure that relevant records were
4		available.
4 5		I think, I'm sure that you will have seen this
6		•
7		correspondence in preparation. And that also included, didn't it, ensuring that no
8		materials of potential relevance were destroyed?
8 9	Α.	That's correct.
9 10	Q.	I know that you weren't quite in post then, but we
10	ω.	understand, and we've seen the communication that was
12		sent internally by Ms Pyper on 14 June 2021 I think
13		you've seen that as well
14	Α.	I have seen that as well, yes, I am aware.
14	д. Q.	And she forwarded that letter, didn't she, to all
16	ω.	permanent secretaries within Northern Ireland?
17	Α.	She did, yeah.
18	-	-
10	Q.	Drawing their attention to it. And she said in her covering email that that applied just as equally,
20		I think, to the Northern Ireland Civil Service as
20 21		well
21	Α.	
22	Q.	
23 24	ω.	Mr Stewart, has already been taken to this, but again,
24 25		I think you're familiar with it, that he reflected on
25		
	•	
1	Q.	
2		within government, and had that been provided or was it
3		provided to each government department?
4	Α.	Yes, I took up post on the 1st and had discussions with
5		the special advisers to First Minister and deputy First
6		Minister to indicate my intent to do that, so my first
7		week in office I commissioned this advice and briefed
8		permanent secretaries I would be providing it. And on
9		the 16th that was sent with a covering letter from
10		myself to all permanent secretaries asking them to
11		assure themselves, in the same language that Mr Tierney
12		had. I think I'd also referenced that there was
13		an indication of relevance and that they should take the
14		broadest interpretation of relevance in terms of
15		documentation, given the Inquiry had not yet stated.
16		Further to that, it was also then through perhaps
17		areas that we will discuss later the Covid Inquiry,
18		the HOCS reference group, which I communicated, training
19		was provided on this to around 580 individuals across
20		the service, and this document was then circulated to
~ 1		
21		around, I think, 74 individuals across eight departments
21 22 23		around, I think, 74 individuals across eight departments in the Civil Service from a period of June 2022 up until November the following year. So it was a not just

- a one position.
- 25 I also then provided this document and briefing note 116

0	ω.	I just want to stop you, because you're going quite last
4		and you've moved a bit ahead, so before we go any
5		further I just wanted to draw your attention to what was
6		said at the bottom of page 5, and that the document, the
7		guidance, the legal guidance identified that hard copy
		documents would be of potential relevance, but also then
8		
9		underneath it the guidance foresaw that not just
10		electronic communications like email would be
11		potentially relevant but in fact it identified that
12		informal communications might be relevant as well;
13		correct?
14	Α.	Absolutely, yes.
15	Q.	You've said that this was drawn to the attention of the
16		First Minister and the deputy First Minister; was that
17		by you?
18	Α.	Yes, it was. I provided, I think it's provided to
19		the Inquiry, a covering letter to appraise them of the
20		situation regarding the Inquiry. Obviously at that
21		point Scotland had made an indication that they were
22		going to perform their own inquiry, so I was providing
23		advice as to the structures that we needed to put in
24		place to give assurance to meet the UK Inquiry's issues,
25		the areas that had been identified, supporting RHI, and 117
1	Q.	So that's what I was going to ask you, so that's the
2	<u> </u>	part of the legal guidance that had been set out, was to
3		ensure that there were proper processes within the
4		departments in order to ensure that ministers and their
5		•
	•	private offices were aware as well; yes?
6	Α.	
7		that the departmentals' information management and
8		IT teams and security officers were aware, so that if
9		there was any difference to destruction of documents
10		that those could be considered as well.
11	Q.	C
12		the deputy First Minister and the First Minister as
13		well, and I think in fact there was also a separate
14		submission to them as well in and around this time also.
15		Maybe we could just bring that up, and that's
16		INQ000409671, and if we could go to paragraph 18 of
17		that, please.
18		So, again, the briefing paper to them, I think,
19		again expressly dealt with the issue of communications;
20		correct?
21	Α.	Yes.
21 22	A. Q.	

to the First Minister and to the deputy First Minister

Q. I just want to stop you, because you're going quite fast

in November, and then I provided --

1 2

3

- 23 particularly about emails, but I think, again, flagging
- 24 up the importance of ensuring that material was kept for
- 25 the purposes of the Inquiry; is that right?

119

- then I also provided this as an attachment to that for 1 2 them, for information at that prospective, yes. 3 Q. Obviously you are -- in your role as head of the Civil 4 Service, you act as adviser to them, and it was one of 5 the questions I was going to ask: did the responsibility 6 then for informing them about their obligations to 7 the Inquiry, did that fall to you or would that have 8 fallen to someone else within the TEO or within their private office perhaps? 9 10 A. That would fall, in terms of their governance, to their 11 accounting officer, who has information governance responsibilities. That would have been the permanent 12 13 secretaries in each of the departments. And that was 14 why the request was to assure themselves. 15 I think if you go to just possibly the -- the -- so 16 the assurance was set, just: 17 "This process should include contacting key individuals and teams (including Ministers and their 18 19 Private Office) within the department and instructing 20 them to preserve documents and records that may be 21 relevant to the Inquiry's Terms of Reference." 22 Q. I'm going to stop you, just to be clear in terms of what 23 you're referring to. So that's just under paragraph 4 24 and the second paragraph that you're reading from?
 - Yes, that's correct.

25 **A**.

1	Α.	Yes.
2	Q.	All right. And I think then subsequent to that there
3		were thank you, that can be taken down further
4		communications then from the Cabinet Office to
5		government in Northern Ireland as well. There were
6		further letters, I think, in February and July of 2022
7		as well, again reiterating the message that material
8		needed material of potential relevance needed to be
9		retained, and also there needed to be assurance that
10		material wasn't destroyed as well; correct?
11	Α.	Yes, that's correct.
12	Q.	In fact you circulated the letter that had been sent in
13		July 2022, again to all Northern Ireland permanent
14		secretaries; is that right?
15	Α.	That's correct, yes.
16	Q.	And you referred earlier to training. I think going
17		by looking at your statement I don't think you
18		need to turn to this at paragraph 18, you said that
19		there had been virtual training on eight occasions
20		between 7 June 2022 and 12 June 2023 as well?
21	Α.	That's correct, yes.
22	Q.	Again, the Cabinet Office wrote in October 2022
23		reiterating again the message not to destroy, and again
24		you referred in your letter to Northern Ireland
25		permanent secretaries to the obligation to retain and 120

1		not destroy, and I think you forwarded that letter
2		again; is that right?
3	Α.	Yes, and just to note that was the day the ministers
4		left, the caretaker ministers left Northern Ireland, was
5		the day I ordered that.
6	Q.	Yes, so I think the key point maybe here is that
7		ministers had been in post I know there's
8		an exception for Baroness Foster, which I'll come to,
9		but other ministers had obviously received those
10		communications about the importance of retaining data
11		and not destroying; correct?
12	Α.	Yes, that's correct.
13	Q.	So just turning then, and I think there's a if
14		I could just to ensure I've covered all of your
15		communications with ministers, I think that there was
16		also a meeting on 14 June 2022, and it may assist if
17		I bring that up so that you can see that.
18		I'm grateful.
19		This is the email note of the meeting, but I think,
20		again, we see reference here to informal communications;
21		is that right?
22	Α.	Yes. Perhaps by way of context, these were meetings
23		that I convened after the First Minister and deputy
24		First Minister had stepped down, and there was no longer
25		an Executive. So however, it was it was a period
		121
1	Α.	Yes.
2	Q.	So, in other words, at a very early stage in this
3		process, in addition to all of the communications from
4		Cabinet Office that had been filtered through, there was
5		that specific request for evidence in relation to
6		informal communications?
7	Α.	Yes.
8	Q.	Just pausing there, if I may, are you satisfied that in
9		terms of the communications that had come originally
10		from Cabinet Office to government in Northern Ireland,
11		that first of all those communications were accurately

- 12 conveyed to permanent secretaries, in other words that
- 13 the obligation was made clear to them that they had to 14 retain material and not destroy material?
- 15 A. I'm -- I am clear that the advice provided was explicit 16 and clear. I think, which you haven't drawn attention
- 17 to in my correspondence in the letter, I said that
- 18 I would provide information to all staff. I considered
- 19 that -- that was my first week in post -- and we
- 20 provided different vehicles to communicate to all staff
- 21 of the implications, and that was regarding the training
- 22 and awareness through the oversight framework, the
- 23 580 people, that was providing the legal guidance to 24 those individuals within departments. So, in my view,
- the information provided was clear, it was consistent, 25

- 1 of significant global disruption and I was attending UKG 2 meetings on behalf of Northern Ireland, so, particularly 3 with the war in Ukraine, COBR meetings and briefings and 4 dealing with the refugee and asylum seeker provision. So I had facilitated regular meetings with the existing 5 6 ministers who were still in post in the other eight 7 departments to make sure I was providing factual briefings to them on key issues that I thought their 8 departments should be aware of. So that was the context 9 10 of that meeting. I'm not sure that all -- I think 11 there's -- at the --Q. Yes --12 13 A. -- not all ministers were present at that meeting, but yes, for those who attended. 14 15 Q. Yes, so the message was reiterated again, and that's 16 14 June 2022? 17 A. Yes, correct. 18 Q. I think not very long after this it's right that 19 Module 2C of the Inquiry then sent a Rule 9 letter so 20 requesting information, and specifically requesting 21 information from the TEO about the extent to which 22 informal communication was used within the TEO, and 23 specifically asking, for example, about WhatsApp 24 messages and those other types of information. Do you 25 agree? 122
- 1 it was supported with infrastructure regarding different 2 levels of insight and awareness within those 3 organisations and teams, and it was repeated frequently. 4 Q. And in terms of, obviously this was information that was 5 provided to permanent secretaries. In terms of the 6 translation of that information or the provision of that 7 information to ministers, again were you satisfied that 8 that information had been conveyed to ministers and the 9 obligation made clear to them? A. It's clear from -- when I read the Rule 9 statements 10 11 that have come from department in terms of the informal 12 communications, it's not clear to me that in the 13 transition, when they left office, whether that 14 information was provided to them. I have no evidence to 15 show that that was, in terms of it, other than the 16 communication that I have provided. 17 Q. I'm going to -- I think those are two different things 18 potentially. Okay. 19 Α. 20 Q. So let me try and make that distinction clear. At the 21 time these communications were being provided to 22 departments and permanent secretaries about the need to 23 ensure that material was retained, when you came into 24 office were you satisfied that that information was also
- being conveyed to ministers at the time? 25

UK Covid-19

1	Α.	I I asked for assurances from departments in terms
2		of asking them to assure themselves in terms of what was
3		being done. It was my expectation but I do not have
4		confirmation that permanent secretaries advised their
5		ministers of that effect to that effect, although it
6		was obviously provided in the guidance through that
7		mechanism.
8	Q.	And that obligation would have fallen on the permanent
9		secretaries?
10	Α.	Yes.
11	Q.	That's who
12	Α.	Yes.
13	Q.	effectively would have had charge of ensuring that
14		that information was provided?
15	Α.	Yes.
16	Q.	I'll put that to one side, because that's a separate
17		issue from, I think, the issue that you're going on to,
18		which was what happened when ministers actually left
19		office and that for some of them their devices were
20		wiped by internal the part of NICS that's called
21		IT Assist; correct?
22	Α.	That's correct.
23	Q.	Perhaps if we leave that and we'll come to that in the
24		chronology.
25	Α.	Okay.
		125
1	Α.	That's correct ves
1 2	A. Q.	
2	A. Q.	And then notes the fact that the Inquiry team would need
2 3	Q.	And then notes the fact that the Inquiry team would need to be advised
2 3 4	Q. A.	And then notes the fact that the Inquiry team would need to be advised Yes.
2 3 4 5	Q. A. Q.	And then notes the fact that the Inquiry team would need to be advised Yes. that that had happened; yes?
2 3 4 5 6	Q. A. Q. A.	And then notes the fact that the Inquiry team would need to be advised Yes. that that had happened; yes? Yes.
2 3 4 5 6 7	Q. A. Q.	And then notes the fact that the Inquiry team would need to be advised Yes. that that had happened; yes? Yes. I think if we go over the page, please, to page 2, we
2 3 4 5 6 7 8	Q. A. Q. A.	And then notes the fact that the Inquiry team would need to be advised Yes. that that had happened; yes? Yes. I think if we go over the page, please, to page 2, we certainly have the confirmation from Ms Griffith, and
2 3 4 5 6 7 8 9	Q. A. Q. A.	And then notes the fact that the Inquiry team would need to be advised Yes. that that had happened; yes? Yes. I think if we go over the page, please, to page 2, we certainly have the confirmation from Ms Griffith, and I think that's in respect of the First Minister's
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2 3 4 5 6 7 8 9 10 11	Q. A. Q. A.	And then notes the fact that the Inquiry team would need to be advised Yes. that that had happened; yes? Yes. I think if we go over the page, please, to page 2, we certainly have the confirmation from Ms Griffith, and I think that's in respect of the First Minister's office; is that right? That's the first but that would be, I think it was
2 3 4 5 6 7 8 9 10 11 12	Q. A. Q. Q.	And then notes the fact that the Inquiry team would need to be advised Yes. that that had happened; yes? Yes. I think if we go over the page, please, to page 2, we certainly have the confirmation from Ms Griffith, and I think that's in respect of the First Minister's office; is that right? That's the first but that would be, I think it was referring to not the First Minister being
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16	Q. A. Q. A. Q. A.	And then notes the fact that the Inquiry team would need to be advised Yes. that that had happened; yes? Yes. I think if we go over the page, please, to page 2, we certainly have the confirmation from Ms Griffith, and I think that's in respect of the First Minister's office; is that right? That's the first but that would be, I think it was referring to not the First Minister being Baroness Foster and not Paul Givan. Yes, so this specifically, is that right; so Ms Griffith effectively ran the office Yes.
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24	Q. A. Q. A. Q. A. Q. A.	And then notes the fact that the Inquiry team would need to be advised Yes. that that had happened; yes? Yes. I think if we go over the page, please, to page 2, we certainly have the confirmation from Ms Griffith, and I think that's in respect of the First Minister's office; is that right? That's the first but that would be, I think it was referring to not the First Minister being Baroness Foster and not Paul Givan. Yes, so this specifically, is that right; so Ms Griffith effectively ran the office Yes. is that the right way to put it, for Baroness Foster, and she was confirming on 9 May that the devices were all reset some time ago; yes? Yes. And referring to the fact that that would have been the case when ministers or SpAds left during the mandate, so the same for Baroness Foster, it's because she left during the time when the arrangements were still up and
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	Q. A. Q. A. Q. A. Q. A.	And then notes the fact that the Inquiry team would need to be advised Yes. that that had happened; yes? Yes. I think if we go over the page, please, to page 2, we certainly have the confirmation from Ms Griffith, and I think that's in respect of the First Minister's office; is that right? That's the first but that would be, I think it was referring to not the First Minister being Baroness Foster and not Paul Givan. Yes, so this specifically, is that right; so Ms Griffith effectively ran the office Yes. is that the right way to put it, for Baroness Foster, and she was confirming on 9 May that the devices were all reset some time ago; yes? Yes. And referring to the fact that that would have been the case when ministers or SpAds left during the mandate, so the same for Baroness Foster, it's because she left

Inquir	y	3 May 2024
1	Q.	In terms of how the this all came to light, and the
2		fact that there had been data loss, I think it's correct
3		that certainly that was understood within TEO in and/or
4		around 9 May 2023?
5	Α.	Yes, that was, as I understand, advised to the TEO
6		Inquiry team in May 2023, I was not advised of it until
7		in August of that year.
8	Q.	I'm just going to go, if I may, to the emails about that
9		just so that we can look at those. If we could
10		please there you go. The email is there. So if we
11		look, please, at page 3 of that, we can see that this
12		was an email sent on 9 May 2023. INQ000409608.
13		It's sent from Ms Hannon, and we can see, I think
14		it's right that Ms Morrow and Ms Griffith were the
15		principal private secretaries
16	A.	For the First Minister and deputy First Minister.
17	Q.	On 9 May Ms Hannon appears to understand that those
18 19		devices, and she's referring there to both ministerial
20	Α.	and special adviser devices, had been wiped. Yes? Yes, that's correct.
20	Q.	So there doesn't appear to be a question mark, she
22	α.	appears to understand that that has happened; correct?
23	Α.	That's correct, yes.
24	Q.	And rather she wants some information about how that had
25		happened; yes?
		126
1	Α.	Yes, that's correct, however I would note that the
2		First Minister Paul Givan's phone wasn't reset when they
3		left, yes.
4	Q.	Yes, we'll look at some specifics but that's certainly
5		what she's suggesting at this point in time, and I think
6		then the enquiry was also made in respect of the deputy
7		First Minister as well; yes?
8	A.	Yes. I think if we go up this email chain a little bit.
9 10	Q.	I think we see that on 9 May Ms Griffith is going to
11		confirm whether or not that's the case, on page 1. Yes?
12	Α.	Yes.
13	Q.	It was understood on 9 May that Baroness Foster's
14		devices had been wiped and the position wasn't clear at
15		that stage as regards the deputy First Minister; is that
16		right?
17	Α.	That's correct, yes.
18	Q.	Then we know that on 11 May there was then a meeting;
19		correct?
20	Α.	Yes.
21	Q.	And I think it's fair and right to point out that there
22		is a dispute between people who attended that meeting
23		about precisely what was discussed about the wiping; is
24		that right?
25	Α.	That is correct, yes.

1	Q.	And that may not be something we can resolve here, but
2		right to mark that
3	Α.	Absolutely, yes.
4	Q.	it's not necessarily accepted by all people who
5		attended precisely what was discussed, but there is
6		a note about the meeting on 11 May; is that right?
7	Α.	That's correct, yes.
8	Q.	In fact, there's a series of minutes about the meeting
9		of 11 May
10	Α.	That's correct, yes.
11	Q.	If we perhaps look at the first draft of the meeting,
12		and, yes, so the first draft of the minute that was
13		produced, so I think if we call this version 1,
14		reflected that:
15		" Former Ministers phones have been wiped as the
16		phones have been returned to a factory reset position.
17		Maria is discussing this issue so that we can inform
18		the Inquiry that all reasonable steps have been taken in
19		relation to this information."
20		Yes?
21	Α.	Yes.
22	Q.	We know that that note was amended, I think there are
23		13 different amendments to it, but the final version
24		that we have of it, and it appears on the right-hand
25		side of the screen, is the meeting note of the position
		129
1		And then there is a departmental preparedness group
2		which would be a slightly more junior level within that
3		but also I guess getting that prepared just and
4		cross-cutting. Then it would be a departmental a TEO
5		departmental group as well. So this was the structures
6		put in place to ensure the co-ordination of this, this
7		would have been one of the groups that worked in terms
8		of the training and advisory which DSO led on.
9	Q.	Who would these notes have been circulated to outside of
10		the attendees of the meeting?
11	Α.	They to my awareness they're not, they're within
12		those attendees of the meeting.
13	Q.	Would you expect then that these meetings would be
14		circulated to these individuals and then stored?
15	Α.	Yes, I would, yes.
16	Q.	And the final the version of the note that we looked
17		at, the abbreviated reference to phones, that's what

- 17 at, the abbreviated reference to phones, that's what 18 would constitute the formal record of the meeting and
- 19 would be the record that was stored in your internal 20 systems?
- 21 Yes, subject to it being agreed at the subsequent Α.
- 22 meeting and of course you have reference that there is
- 23 a dispute regarding that. So whether that -- that would
- 24 put in question whether that was an official record of
- 25 the meeting, but I note it was agreed at the subsequent 131

- of TEO former ministers and SpAd phones; correct?
- 2 Α. Yeah

- Q. Now, just going back to this meeting, this was a meeting 3 of civil servants who were dealing with the response on 4
 - the part of the TEO to the Inquiry --
- 6 Α. Yes.
- 7 Q. -- is that correct?
- 8 A. That's correct, yes.
- 9 Q. So it was only a meeting, thank you, we can see from the 10 top of the page, of civil servants who were involved in
- 11 the response, correct, and a legal adviser?
- 12 A. Yes.
- 13 Q. Can you help us with who these minutes were intended 14 for? For whose benefit are they made?
- A. They would be minutes as part of those, a three-tiered 15
- 16 structure in terms of the Inquiry oversight and
- 17 assurance group that we had, the HOCS, the HOCS
- 18 oversight group, which actually the meeting that I was
- 19 on 9 May and that wasn't this issue wasn't raised during
- 20 that oversight group on 9 May. Then the next level is
- 21 the compliance and assurance group which this is the
- 22 meeting that's referring to and that would have
- 23 representation from different -- different leads across
- 24 the area and also representation from DSO and it is for
 - compliance and insurance.
- 25 130 1 meeting. 2 Q. Insofar as there's a disagreement about what was 3 discussed, obviously from the final version of that 4 note, one would have no idea that in fact what had been 5 discussed was the potential factory reset --6 Α. Yes. 7 Q. -- of phones? 8 Δ. Yes. So in terms of the rationale for amending the minute so 9 Q. that it omits reference to the factory reset, I think 10 11 you're aware that the Inquiry has taken witness 12 statements from the three individuals who were involved 13 in that, I think one of them is quite a junior 14 official --15 A. Yes. 16 Q. -- and effectively what she and Ms Homes, who was the more senior official, appear to suggest was that it was 17 18 Ms Homes, the senior official, who decided that the 19 minute should be in a final form that omitted any 20 reference to the factory reset --21 Α. Yes 22 Q. -- and omitted any reference to telling the Inquiry 23 about it. 24 Can you help me as to your understanding as to why 25 that was decided or why that was regarded as the right 132

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A. Yes.

1 thing to do?

- 2 Α. In their submissions which they have provided they have 3 indicated that they changed the reference or reduced the
- 4 reference because, to quote, that they were not aware of
- 5 the full information and they were seeking to get
- 6 further information. In my view, it materially changes
- 7 the substance of the meeting because not just was the
- 8 information regarding the actual reset not covered but
- 9 also the point -- the action point to inform the Inquiry
- 10 so in my view didn't reflect if the metadata was correct
- 11 the actual content that was discussed during that
- 12 meeting. So I would not concur with their view that in
- 13 abridging it, it should cover that, not much was known
- 14 about the meeting, my view would be that it should
- 15 qualify, not much was known about it, but this was the 16 initial view that we have of those issues.
- 17 Q. I mean, I think the reality is to anyone reading the
- 18 note that the fact that the factory reset had been
- 19 discovered and was known about would be concealed from 20 them; is that right?
- 21 A. I would concur with that view, yes.
- 22 Q. In terms of trying to understand why, why that would 23 happen, the fact that the full facts weren't known,
- 24 that's not a reason not to minute something, is it?
- 25 Α. No, as I mentioned, Ms Dobbin, I would suggest that if 133
- 1 the 9th of -- an oversight meeting, where issues should
- 2 be flagged to the oversight group, so -- for us to deal
- 3 with those. I would have expected that issue to be
- 4 flagged at that point, at the earliest possible
- 5 instance, and for us to take action in terms of
- 6 informing the Inquiry. I've made that clear to the
- 7 individuals concerned of that approach, and they've 8 accepted that.
- 9 Q. I think it's right, we don't need to spend too much time
- 10 on this, but the TEO had a series of meetings with
- 11 the Inquiry after that date as well and the fact that
- 12 there had been a factory reset wasn't referred to, was 13 it?
- 14 A. Yes, that's correct, that is it.
- 15 Q. I think, as you've said, it's right that you then, you 16 were informed about it on 4 August, and I think you then
- 17 put in train an investigation into what the extent was
- 18 of the data loss and in order to ascertain further 19 information about it; is that right?
- A. That's correct, yes, I did. 20
- 21 Q. Can we just check, then, obviously at this stage,
- 22 I think there are two things to point out, obviously
- 23 the Inquiry's request for evidence about the use of
- 24 WhatsApps which had been made in September 2022, that
- 25 had never actually been answered by a witness statement,

aren't known, "It needs to be treated with sensitivity",

that was the case, my view would be that that should be

minuted, that this has been informed, the factual

position, and that we're taking further action to

Q. Yes, that's really what I wanted to check with you.

Q. There's obviously nothing to preclude a minute from

but that it's something about which the full facts

referring to the fact that something has been discussed,

clarify that at that point, I --

- 11 for example, "and we're going to look into this"?
- 12 **A**. I would concur with that. I believe it was a mistake to
- 13 edit the meeting to that reductive. And indeed, our
- 14 code of ethics talks about the open and -- transparency
- 15 of record-keeping, and I think that indicates that
- 16 wasn't as open and transparent, if that was indeed what 17 was discussed during the meeting, which is contested as
- 18 well.
- 19 Q. In terms of any concern on the part of those involved in
- 20 the minutes about who would read it, do you have any
- 21 understanding of why there might have been concern on
- 22 their part of not revealing the full picture of what was
- 23 discussed at the meeting?
- 24 Α. I don't have a view, and I guess I raised it wasn't
- 25 raised to me until 4 August, and I had a meeting on 134
- 1 had it?
- 2 Α. No, it hadn't, yes.
- 3 Q. And in fact it appears what perhaps what had prompted 4 this information to come to light was because 5 the Inquiry then sent a further Rule 9 request to the
- 6 TEO on 31 July setting out very specific questions that
- 7 it wanted answered about the use of informal
- 8 communications?
- 9 A. Yes, that's correct, yes.
- 10 Q. Just in that intervening period then, between May and
- 11 August, is it correct then that in fact nothing had been
- 12 done within TEO to try to investigate or find out what
- 13 had happened in terms of the data loss during that
- 14 period of time?
- 15 **A**. As I referenced, I wasn't aware of that. I understand the permanent secretary was made aware of it when he was 16
- giving evidence during the Inquiry. But as far as 17
- 18 I could see from the investigation that we commenced in 19 August, no further investigation taken place.
- 20 Q. All right. I think we know, then, and perhaps we can
- 21 certainly pick this up from your witness statement --

22 that might be the easiest way to pick up what actually

23 happened.

24

- If we could go, please, to INQ000422292, and if we
- 25 could go to paragraph 127, please.

1		So I think you have helpfully set out, and I think
2		we can look at it via your statement rather than going
3		to the investigation report, but you set out there the
4		information that was provided, first of all, by the
5		First Minister's office, and perhaps if we can we go
6		to the next page, thank you what's set out in your
7		statement was is what in effect happened to each of
8		the devices; is that right?
9	Α.	That's correct, yes.
10	Q.	That had been in the possession or which were being used
11		by a number of ministers
12	Α.	Yeah.
13	Q.	at that time.
14		Now, we don't have time to go into the precise
15		circumstances of each individual. I'll come back to the
16		general picture, if I may, in a second, and I think if
17		we could just go over the page to the deputy First
18		Minister, that's dealt with at paragraph 129, isn't it?
19	Α.	Yeah.
20	Q.	And I think thank you.
21		And I think that it sets out and I think this is
22		at page 38, please, of the statement, it may just be
23		over the page, thank you.
24		I think that it sets out that in fact her device,
25		and I'll come to the specifics, that it had been wiped
		137
1		private office they were stored with the exception of
1		private office, they were stored, with the exception of Baroness Foster's
2	Q.	Baroness Foster's
2 3	Q.	Baroness Foster's Oh, sorry, I understand.
2 3 4	Q. A.	Baroness Foster's Oh, sorry, I understand. when she stood down. So they were retained so we
2 3 4 5		Baroness Foster's Oh, sorry, I understand. when she stood down. So they were retained so we were able to take those for retention.
2 3 4 5 6		Baroness Foster's Oh, sorry, I understand. when she stood down. So they were retained so we were able to take those for retention. In the case of the First Minister's phone, the PIN
2 3 4 5 6 7		Baroness Foster's Oh, sorry, I understand. when she stood down. So they were retained so we were able to take those for retention. In the case of the First Minister's phone, the PIN was not available and the PINs we were given weren't
2 3 4 5 6 7 8		Baroness Foster's Oh, sorry, I understand. when she stood down. So they were retained so we were able to take those for retention. In the case of the First Minister's phone, the PIN was not available and the PINs we were given weren't able to be interrogated when we provided the search.
2 3 4 5 6 7 8 9		Baroness Foster's Oh, sorry, I understand. when she stood down. So they were retained so we were able to take those for retention. In the case of the First Minister's phone, the PIN was not available and the PINs we were given weren't able to be interrogated when we provided the search. And in the case of the deputy First Minister's office,
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2 3 4 5 6 7 8 9 10 11 12 13	Α.	Baroness Foster's Oh, sorry, I understand. when she stood down. So they were retained so we were able to take those for retention. In the case of the First Minister's phone, the PIN was not available and the PINs we were given weren't able to be interrogated when we provided the search. And in the case of the deputy First Minister's office, in that case at that time was Michelle O'Neill, the devices had been reset prior to it being returned. But they were retained and they are still available in their current state, they haven't been reset by IT Assist.
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1		from Cabinet Office, and given the formal internal legal
2		guidance that had been provided, and given the efforts
3		that had been gone to to ensure that different
4		departments understood what their obligations were. And
5		I put that in a compound way, but I think that the
6		overarching question remains how, despite all of that,
7		did it happen that TEO or that the processes within
8		government in Northern Ireland meant that when devices
9		were returned they were a number of them were simply
10		sent to internal IT department and wiped?
11	Α.	Yeah, and for me that is an area of, I guess,
12		significant concern, and issues that there wasn't
13		a consistency of approach, but also that the
14		expectations that were set in the correspondence weren't
15		delivered, notwithstanding the efforts that had been
16		made.
17		I think there's in the report analyses overall
18		the kind of various different points that there were
19		from that perspective. If you take the overall, the
20		governance perspective sits with the permanent secretary
21		through the information, kind of, owner and asset owner,
22		and the obligation to inform, then, private office staff
23		and the various checks and balances that were made.
24		I think overall, from the awareness of the Rule 9
25		responses that came from departments, there seemed to be
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1		Had private offices been advised of the implications
2		in terms of those areas, had sufficient kind of
3		assurances been got in terms of retention policies?
		5

3		assurances been got in terms of retention policies?
4		What was given effect, broadly, across departments was
5		that there was a hold on deletion in terms of Content
6		Manager, which is the official record, but, however, it
7		is my understanding that, rightly, that the Inquiry
8		would want all official information, in whatever channel
9		that was provided.
10		And I guess also the obligations, there was
11		requirements on both ministers and SpAds, and indeed
12		officials, that notwithstanding whatever channels they
13		used, that it should be kept and be available for FOI,
14		which in turn makes it available. So there was
15		an obligation on all actors in this to provide that in
16		terms of the system and to disclose that which is
17		included in the Ministerial Code and the guidance of
18		their office and the special advisers' code and handling
19		information.
20	Q.	I think there's probably bound up in that a number of
21		different issues. As I understand what you're saying,
22		you're referring to official information. I think
23		the Inquiry just looks at information. But I think what
24		you're suggesting is that there was a fundamental

- 25 misunderstanding as to whether or not informal
 - 143

1	a gap between my understanding of official information
2	and the understanding of official information and
3	official records, and official records being the
4	official record that was held in the system in terms of
5	Content Manager, but my understanding, which I think is
6	correct under the Inquiries Act, that official
7	information is all information, and, in the same sense
8	as a FOI, is all information with the caveats of those
9	which don't have to be disclosed for particular reasons.
10	And I think that that was a disconnect in terms of their
11	perception of what met the requirements of disclosure,
12	that it wasn't correctly all official information. And
13	I think that's been characteristic of the engagement
14	that we would have had, very regrettably, with
15	the Inquiry in terms of the fulsomeness of the
16	information that has been provided, which to me was very
17	clear and coming into post, was very clear, in the
18	information provided, but did not appear to be clear in
19	terms of how that was given effect to within the
20	departments. And I guess if we look towards the report
21	that was done, it analyses kind of policies in one
22	context and another context. So if a device is returned
23	to IT Assist, it should be reset, but there should have
24	been a decision made not to reset that because we were

- been a decision made not to reset that because we were
 - in a special environment. 142

1		communications would fall within the definition of that
2		which was required by the Inquiry?
3	Α.	I think there was, it was possibly not the informal
4		communications, it was the nature of the information
5		within that. And I think there was a view that that was
6		regarding decision-making and the formal record versus
7		everything that is pertinent to the work of governance,
8		I guess the context for a decision, and certainly some
9		of the information which has been disclosed here.
10		I would say that vast swathes we I think we have
11		provided 270 strings of information from the Executive
12		Office. We provided all my messaging, all the senior
13		civil servants have provided that information, and
14		indeed some ministers have provided that information at
15		all. I think what this the system failed to look at
16		the collective responsibility as part of that to give
17		effect to the legal guidance.
18	Q.	I think that that probably trespasses, as it were, into
19		a distinct issue as to whether or not what's contained
20		in informal communications is relevant, but I think
21		what's clear is that it wasn't as though there was any
22		analysis or consideration of what might be relevant or
23		not, the devices were being wiped wholesale without
24		anyone addressing whether or not there might be any
25		relevant material.
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1	•	I have even no evidence provided within departments that	4
1 2	Α.	I have seen no evidence provided within departments that there was a question to confirm that all information on	1 2
2		those devices was in the was provided on to Content	2
4		Manager on the public record. Perhaps that's my	4
5		understanding.	5
6	Q.	· · · · · · · · · · · · · · · · · · ·	6
7	٩.	need to make sure that we are clear on our understanding	7
8		of that?	8
9	LA	DY HALLETT: Can I just follow your answer there,	9
10	_, .	Ms Brady. No evidence that anybody did check that they	10
11		were deleting what might be relevant information, is	11
12		that what you said, or do you say the opposite?	12
13	Α.	I haven't seen any evidence in terms of the Rule 9	13
14		statements that have been provided that there was	14
15		confirmatory from ministers when they handed back their	15
16		phone that all information that was on the system.	16
17	LA	DY HALLETT: Had it been recorded elsewhere?	17
18	Α.	No, that would be their requirement to do that under	18
19		their ministerial code of ethics in terms of providing	19
20		information and also the their guidance which they	20
21		took place in May in March 2020 when they took up	21
22		office as well as special advisers.	22
23	LA	DY HALLETT: So they were wiped without anyone checking	23
24		that the material had been recorded elsewhere?	24
25	Α.	That's my understanding, yes.	25
1 2 3		should be provided and trimmed. We have a system called Content Manager which keeps the records, the official records and then we have a system called	1 2 3
4		KnowledgeNetwork which records decisions in the Office	4
5		of the First Minister and deputy First Ministers. All	5
6		decisions must be made jointly so there is a significant	6
7		process in terms of capturing those in decision-making	7
8		so that would be the responsibility of the	8
9		private office to facilitate and put all those systems	9
10	~	within that official record.	10
11	Q.	So it's a conscious and deliberate decision	11
12 13	A. Q.	Yes.	12 13
13	Q.	I'm going to make sure this email is committed to our central systems?	13
14	Α.	Yes.	14
16	Q.	In terms of then the position about informal	16
17	ч.	communications, I think it's right that guidance within	10
18		government in Northern Ireland did recognise that	18
19		informal communications could in fact constitute	19
20		information that should be retained as well; is that	20
21		right?	21
22	Α.	Yes	22
23	Q.	Sorry, just there's two parts to this question, and that	23
24		that was generally that was the position before 2020,	24
25		it's not a new position?	25
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1	Y HA	FTT	: т	hanl	k you

- 2 **MS DOBBIN:** Yes, and to be clear, these aren't just phones,
- are they, I think they're iPads as well, so -- and
- I wanted to ask you about that. I think some of these
- devices must have had emails on them as well, it's not
- just text messages or informal communications; is thatcorrect?
- 8 A. I'm not aware of -- I mean, if it was an email, that
- 9 would be on the system as well.
- 10 Q. That's what to --
- 1 A. There would be tensions --
- 12 Q. We can see whenever we look at emails from senior civil
- 13 servants and ministers that some of them have trim at
- 4 the top of them?
- 15 **A.** Yes.
- 6 Q. And that's what indicates that that has been retained
- 7 within your centralised --
- 18 A. Yes, yes.
- 19 **Q. --** system?
- 20 A. That's correct.
- 21 Q. So not every email, just to be clear about this,
- automatically gets committed, does it, to a central
- record, it has to be, is it forwarded on or --
- **A.** That would be for the private office so the information
- 25 asset owners would make a decision on what information 146
- 1 A. Yes.

2	Q.	I think if we, and I wouldn't I would quite like to
3		just check that I have the document correct that conveys
4		that. That's INQ000398064.
5		(Pause)
6		So although this is a Department of Finance
7		document, I think the Inquiry's been provided with it by
8		a number of departments.
9	Α.	Okay.
10	Q.	I think we understand that it was in circulation in
11		2019, but you may know
12	Α.	Yeah.
13	Q.	You may be able to confirm that.
14		It foresees, or the premise of it is it understands
15		that official information may nonetheless be obtained
16		within informal communications
17	Α.	Yes.
18	Q.	And it expressly refers to
19	Α.	Yes.
20	Q.	WhatsApps.
21		Certainly, and this is a very simple guide, isn't
22		it, it's not complicated?
23	Α.	No, absolutely, no, yes.
24	Q.	That must be right, that it's understood that it

5 doesn't -- the medium through which you communicate 148

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1		doesn't really matter, it's the content of the, of what		
2		you're communicating that ultimately makes it official		
3		information which should be subject to proper recording?		
4	Α.	Absolutely, yes.		
5	Q.	I think as well again if we can just look at some of		
6		your the policies that existed at the time, there's		
7		another policy, the NICS records management policy, and		
8		that's INQ000409746, and I think if we could look at		
9		page 4, thank you, and I think the scope of it is		
10		helpful, because it says that it applies to the		
11		management of all documents and records in all formats		
12		or media created or received by NICS departments in the		
13		conduct of their business activities?		
14	Α.	Absolutely, yes.		
15	Q.	So I don't think there's any suggestion that		
16		Northern Ireland's behind the curve in any way of		
17		understanding the potential importance of informal		
18		communications prior to the pandemic?		
19	Α.	Yes.		
20	Q.	Then also if I may take you to another piece of guidance		
21		and that's INQ000409674. Now, this is later, this is		
22		June 2022. But again if we look at section 8 of that,		
23		please, so again setting out that officials in fact		
24		you might be able to help me on this. Is this intended		
25		to apply to ministers?		
		149		
1		between conversation, informal communication about		
2		social events on the one hand and something that is to		
3		do with business, and that is sufficient to move it into		
4		the official business categorisation; correct?		
5	Α.	And indeed and we're all under the FOI obligations which		
6		meet the same test, yes.		
7	Q.	I don't know if you've looked at any of the WhatsApp		
8		communications that the Inquiry has from		
9		Northern Ireland, but in large part they do appear to		
10		discuss official business, don't they?		
11	Α.	Yes		
12	Q.	There's a little bit of social chat in them, but in fact		
13		in large part they're not discussing those sorts of		
1/		things they're very firmly on the husiness side aren't		

things, they're very firmly on the business side, aren'tthey?

16 Some individuals have suggested that they just used

- 17 WhatsApps for administrative matters, but again when one
- 18 looks at some of the discussion, it's quite clear again
- 19 that if administrative means fixing up meetings or that
- kind of thing, they go well beyond that as well, don'tthey?
- 22 **A.** Yes, they do, that's correct.
- 23 Q. And I think we've seen ministerial discussions also by
- 24 WhatsApp and again it's right to say, isn't it, they're
- 25 very firmly on the business side of discussions rather

151

1 A. Yes.

2

3

6

- **Q.** And this was to everyone, to special advisers and
- ministers?
- 4 **A.** Yes. 5 **Q.** That y
- **Q.** That you should try and ensure that all official
- information is saved on to corporate systems; yes?
- 7 **A.** Yes.
- 8 **Q.** And then I think it's probably important the recognition
- 9 that conversations that are held informally can quite
- 10 easily drift into official matters, and the specific
- example that's given there is talking about a social
 event that then becomes a discussion about official
- 2 event that then becomes a discussion about official
- 13 business; yes?
- 14 A. That's correct, yes.
- 15 $\,$ Q. And then making sure -- and again it's very clear, isn't
- 16 it, at that point in time it becomes a matter of
- 17 official business, so therefore you should be treating
- 18 it as such; yes?
- 19 A. That's correct, yes.
- 20 Q. Thank you, that can come down.
- 21 If I can just ask you about that, that doesn't
- 22 set -- it doesn't really set any sort of threshold for
- 23 what is official business as such?
- 24 **A.** No.
- 25 Q. It recognises a very clear delineation, doesn't it, 150
- 1 than on any sort of social side?
- 2 A. The ones I'm aware of, yes.
- 3 **Q.** And they're not administrative either, they're well
- 4 beyond fixing up meetings as well; do you agree?
- 5 A. From the ones I've observed, yes.
- 6 Q. We haven't seen any evidence, but perhaps you can assist7 us, that those kind of communications were being
- 8 trimmed, I think is the term that you use whenever9 they're committed to your official record, is that your
- 10 understanding?
- 11 A. I haven't seen the specific information, I think
- 12 contemporaneously with that at the same time there was
- 13 guidance placed on our intranet to provide vehicles for
- 14 downloading WhatsApps to be placed in terms of the
- 15 formal record in terms of Content Manager, I'm aware of
- individuals talking that they are referring to havingdone that but I don't know at what scale it is within
- 18 the organisation.
- 19 Q. I mean, in terms of how official business is conducted,
- 20 I mean, as a generality it's obviously important, isn't
- 21 it, that these kind of discussions are captured and
- 22 committed somewhere because even just setting to one
- 23 side that they fall on the official side of things, they
- 24 may be discussing matters of import as well; do you
- 25 agree?

 A. Yes I – yes, I agree. Q. I think when one looks at the communications about Covid and the response to the pandemic that we can see in some of the WhatsApps, it would be difficult to characterise them as irrelevant or meaningless; they are substantive discussion in some parts, aren't they? A. Yes, I concur it's a significant issue that we have failed to address adequately through this liquiry providing this but also more broadly under the obligations under the FOI. Q. I think it's just focusing – it's just moving beyond the issue of the Inquiry I think there's the broader principled issue about ensuring that government business is conducted in an appropriate forum first, and there must be issues as to whether or not WhatsApp is always an appropriate forum, but if those discussions take place that there is proper recording and commitment of them to memory as well? A. Yeah and my view in terms of those areas that we provide I guess much flexibility in terms of the scale of doing that we have since provided technology solutions that actually have our messaging system within them (inaudible) that client which does not require users to manually upload those systems to provide a framework where you don't have to look towards assurance of 153 we need in terms of the openness, these issues were issued in RHI. The guidance, as you stated, although complex is also clear and we have still the same issues that we're dealing with now which is a matter of regret. I welcome that we're hearing it now because it shows the journey that we still nearing it now because it shows the journey that we still nearing it now because it shows the journey that we still nearing it now because it shows the journey that we still nearing it now because it shows the journey that we still nearing it now because it shows the journey that we still nearing it now because it shows the journey that we still near of openness and transparency were those two big factors. MS DOBBIN: I think		
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25 it's not it doesn't appear to be always the same	23	conspicuous is that departments seemed to have their own
	24	guidance as well, so there's a profusion of guidance and
	25	it's not it doesn't appear to be always the same

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1		individuals to make sure they're providing those
2		solutions online and part of that was the I guess we
3		also were explicit in excluding the use of personal
4		devices and I think those are a significant
5		vulnerability and we define exceptional circumstances
6		you can use for non-official communications channels.
7		And I think that's an issue as well so I think what this
8		has shone a light in is all the vulnerabilities within
9		the system. You've identified many different reports
10		and policies but actually all of them have shown to have
11		failed in this scenario to get the information and
12		I think that's of deep regret for the service for
13		Northern Ireland, but also to get the information for
14		the bereaved families and the information that they
15		deserve for the learnings of that and it is a matter of
16		deep regret. I think some of it is technology, or
17		technology and service has been very slow, Content
18		Manager is a 20-year old platform built for paper
19		records. We have no document management system, so
20		everyone trims into that document and actually we have
21		we had no secure client in terms of 365 or areas to
22		provide cloud storage. We have moved on from
23		a technology perspective. We have now a secure client
24		where all information is within that but I think there's
25		a bigger journey in terms of the cultural aspects that 154
1		between different departments as well.
2		Is that something that's been addressed in terms of
3		having unified guidance that applies across the board?
4	Α.	I think it was covered previously in the Inquiry, the
5		different constitutional matter of the Northern Ireland
6		Civil Service, my inability to direct
7		permanent secretaries. But also the Department of

Finance is not just the Department of Finance, it's also

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the department of personnel and the department for

10 shared IT services. So the code of ethics is defined in 11

a different department by the finance minister. So

12 whilst they provide some of those framework documents, 13 called the NICS frameworks, and that's within the

14 finance minister's purview. Each department, because it

15 is a separate legal entity, has developed its own

16 policies which, in my view, (inaudible) out to be

fragmented and inconsistent, and requires a level of --

an inability to have a co-ordinated approach where there are coo-ordinated aspects of those areas.

One area that we have looked to address that in the

last number of years is to provide a consistent

22 technology platform. We talked about -- I talked about

23 Microsoft Office 365 which has now been rolled out to

24 27,000 civil servants across the service, and it's

25 within a secure client-based -- it's a cloud-based 156

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1		network, so provides a consistency, because those	1	
2		applications are managed centrally, so our video	2	
3		sharing, our messaging system is included with those	3	
4		areas, so with tools we can do that.	4	
5		However, the practice that we talk about the	5	
6		information governance at the moment, that resides	6	
7		constitutionally with each department, so the permanent	7	
8		secretary is the accountable for information governance.	8	Q.
9		What we're trying to do to make the system work more	9	
10		appropriately is to have an information governance forum	10	
11		which would have those senior information officers	11	
12		collectively, and indeed we're going to bring	12	
13		a recommendation to our Civil Service board that we can	13	
14		provide some overlay and insights into that.	14	
15		However, as civil servants, we cannot impact the	15	
16		constitutional nature, which has the different legal	16	
17		accountability which is rightly in place as part of the	17	
18	-	Belfast/Good Friday Agreement.	18	
19	Q.	It might be seen as surprising that that should be	19	
20		an obstruction to just having clear universal policies	20	
21 22		that apply across each department.	21	
22	Α.	Well, in some senses it's a framework of which there is	22 23	
23 24		compliance. There will be specific different	23	
24 25		requirements within each department. For example, the retention policies in a very large organisation perhaps	24	
20		157	20	
1 2		Do you have any view on that and whether there is	1	
2		a wider issue potentially within TEO around those sorts of issues?	2	
4	۸	I can see how the pattern of events compounds to draw	4	
5		those characteristics, on that specific issue. For me	5	
6		there are three particular areas of concern. First is	6	
7		when the disclosure happened, why were not all items	7	
8		disclosed, not just the formal minutes, but actually the	8	
9		handwritten minutes as well, which is that first aspect,	9	
10		which is a concern about what was the understanding of	10	
11		the areas to be disclosed.	11	
12		The second piece, which is, I guess, inexplicable is	12	
13		why was the full set of available notes not provided and	13	
14		why were some lost as part of those areas?	14	MSI
15		And then the third aspect is, when that was found	15	
16		and identified, why did it take so long for each of	16	
17		those steps, as you outlined this morning, for that to	17	LAD
18		become aware, and when it was provided why was it not	18	
19		correctly handled.	19	
20		And each of those are very significant issues and	20	
21		concerns for me. They're both inexplicable, I heard of	21	
22		those dates in correspondence today. I was unaware of	22	
23		the lack of disclosure of that item until you addressed	23	
24		that on Tuesday and I heard again of those dates today.	24	
25		So the lateness of information coming even within the 159	25	

1	,	which has people who are providing road maintenance or
2		other more manual services different from a different
3	t	type of office. So in the retention policies and the
4	:	schedules that are kept there is an ability for nuance,
5	I	but I do agree that in the overall framework there is
6	;	a significant opportunity for consistency in
7	;	application.
8	Q.	I'm moving on now to the final topic, if I may.
9		The Inquiry heard this morning about the fact that
10	t	there were specific minutes that had been sought from
11	t	the Executive Office, and that repeated requests were
12	I	made for them, and that those requests went unanswered,
13	;	and that it wasn't until the fact that that minute
14	l	hadn't been provided was opened at the start of the
15		Inquiry that the minute was provided.
16		If that's looked at, for example, in relation to the
17	t	fact that the wiping of the devices or the reset of the
18		devices was excised from the minutes of the meeting on
19	9	9 May, that might give the impression that there is
20	i	an issue that if there is something difficult or
21	:	something that's potentially embarrassing, that that
22		is that, rather than being transparent about it or
23		open, that steps are taken perhaps not to reveal, in the
24		hope that maybe the issue will go away. That's
25		certainly the impression that might be given. 158
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1		service, notwithstanding the lack of lack of issues
2		addressed, I've asked following this correspondence,
3		I have DSO to undertake an investigation in terms of
4		what has happened in that specific case.
5 6		But I think overlaying of this there will be many
7		questions which will have to be asked about the late providing of information, the rationale why that was
8		provided late, and how this builds into the overall
9		perspective of what we're trying to achieve in
10		fulfilling our objectives, our commitments in the code
11		of ethics in terms of openness and transparency, and
12		there are many areas of concern that have been raised
13		through this process as well.
14		OBBIN: I'm grateful.
15		My Lady, those were my questions.
16		Questions from THE CHAIR
17	LAD	Y HALLETT: Can I ask, Ms Brady, that any report of the
18	i	investigation that you respond to me with the answers to
19	t	the questions you've raised, because, as I said this
20	I	morning, I find it of quite considerable concern, as you
21		can imagine. It's not just this module, it's other
22	I	modules to come, and I need to ensure that I get all the
23	I	material that I need, and when the team need it and when
24	t	the core participants need it. Everyone needs it in
05		na a a male la tima

reasonable time.

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1	So would you mind giving me an undertaking that you
2	will keep me informed as to what happens to your
3	investigation?
4	A. My Lady, I apologise. I, in the briefing, have advised
5	that that would be for your purposes as well, to assist
6	that inquiry as well, so of course we will keep you
7	engaged. And perhaps even, if you were so minded, in
8	terms of the terms of reference, for that assessment as
9	well.
10	LADY HALLETT: Thank you very much.
11	Can I ask you a question, and I've got to be careful
12	here I don't tread on sensitive toes, and it's
13	a question of having the separate departments for the
14	Civil Service and not having, as I'm used to in London,
15	the overall and indeed they have in Wales and
16	Scotland.
17	You mention in your statement that the
18	Northern Ireland Assembly's Public Accounts Committee
19	recommended that you had a similar system to Scotland
20	and Wales and, not stepping on toes, I hope, but I can
21	see the sense of that. Given that I probably shouldn't
22	be going down what should happen in Northern Ireland in
23 24	ordinary times, what about in a national emergency? Can you see any scope for saying that to ensure that in
24 25	a national emergency, when people are suffering and
20	a halional emergency, when people are suffering and 161
1	of that. Because notwithstanding the meetings and the
2	minutes and the WhatsApps, there's a handwritten
3	notes of things as you operate with civil contingencies,
4	and that should be designed in upfront and it should be
5	able to be directed.
6	So I think there is a real clear area. Not in
7	everything, because departments have their own primacy,
8	but in areas where there needs to be significant
9	cross-cutting I think it's inherent vulnerability
10	command and control works, you need that stability in
11	a civil contingencies environment, and it is
12	a vulnerability in our system.
13	LADY HALLETT: You need somebody who, I don't know, can
14	co-ordinate and has the power to control as the
15	First Minister and the deputy First Minister were
16	complaining, they didn't there they were, faced with
17	a national emergency, and there they were at the top of
18	government but they don't have the power to control
19	departments.
20	A. They have no power to control departments. The that
21	is vested. It's only those significant controversial
22	and cross-cutting. And I guess the very valid point, in
23	that the public expect the Civil Service to deliver on
24	those core aspects to support those executives.
25	However, without the power to mandate them to do areas,
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1	dying, all the departments work together, and you as
2	head of the Civil Service could ensure that all the
3	information coming from them, everything is properly
4	synthesised and properly presented to ministers? Do you
5	think there's any scope for that kind of recommendation?
6	A. Yeah, I think there is an inherent vulnerability in our
7	system in the lack of that accountability. We talked
8	about the Executive with ministers and the areas to come
9	to cross which are significant and cross-cutting, or via
10	the Executive Committee. There is no corollary in the
11	Civil Service. So Ms Dobbin made the very clear point:
12	why is there no consistency? That is an absolutely
13	clear position. And whilst I live within my
14	constitutional confines, what I can do because it is
15	not okay for me to say to citizens "This is just too
16	hard, this is the constituency that we're in" I and
17	Civil Service board provided independent,
18	non-Executive create those structures. And also,
19	through the reflections, particularly when we have had
20	no government on how we would run and operate
21	Northern Ireland, we're working through the civil
22	contingencies framework. And I believe, and it's part
23	of my evidence, that we can actually provide
24	a framework. When we go into a civil contingencies,
25	information governance should be a critical core aspect
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1	you know, there is areas of conflict, so even in
2	procurement of the report we had to get assurances
2	within that and convince it's all soft power, and soft
4	power often doesn't work in very difficult environments.
5	LADY HALLETT: Thank you very much.
6	Those are all the questions I had. I don't think
7	anybody else had any questions, did they?
8	Thank you very much, Ms Brady, I'm grateful to you.
9	THE WITNESS: Thank you.
10	(The witness withdrew)
11	LADY HALLETT: Right. Well, I think that completes
12	whereas I thought we were going to have a long day
13	today, I think that completes it somewhat early, but I'm
14	very grateful to everybody for the timing.
15	It's 10 o'clock Tuesday morning?
16	MS DOBBIN: You're right, it is. Thank you, my Lady.
17	LADY HALLETT: I hope everyone gets as good a weekend as
18	they can. Thank you.
19	(3.30 pm)
20	(5.50 pm) (The hearing adjourned until 10 am
20	on Tuesday, 7 May 2024)
22	5 100000g, 1 may 2027/
23	
23	
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