3.4 Regulation

| Country | Rank |
|-------------------|------|
| UK | 1 |
| Mexico | 2 |
| Republic of Korea | 3 |
| Canada | 4 |
| New Zealand | 5 |

This indicator has 3 themes relating to regulation policies and management: the use of appraisal and evaluation; the extent of stakeholder engagement; and the nature of impact assessment.

There are 9 metrics (an increase of 3 since 2017) and 2 data sources: the OECD's Indicators of Regulatory Policy and Governance; and the Bertelsmann Stiftung's Sustainable Governance Indicators (SGI).

Amongst the top 5 countries for this indicator, the UK achieves the maximum score for 4 metrics. These are spread across all themes, suggesting a strong performance across the board for this core function. New Zealand achieves the maximum score for 2 metrics: the use of impact assessments in regulatory work; and the quality of impact assessments.

As in 2017, Mexico's strong performance may reflect the country's long-standing focus on regulatory policy reforms. It achieves the maximum score for one of the two stakeholder engagement metrics and scores very highly against the other one. Mexico achieves very high scores for two of the appraisal and evaluation metrics as well.

3.5 Crisis and risk management

| Country | Rank | |
|-------------|------|--|
| Netherlands | 1 | |
| Sweden | 2 | |
| Finland | 3 | |
| USA | 4 | |
| Australia | 5 | |

This indicator has 4 themes regarding disaster risk reduction and management issues most relevant for the civil service: the degree of strategic approach to risk; the degree of preparedness; communications; and evaluation. This indicator has been significantly restructured to take account of feedback following the pilot report.

There are now 13 metrics (an increase of 4 since 2017) and 2 data sources (one more than in 2017): the UN Hyogo Framework for Action monitoring reports; and the OECD's Survey on the Governance of Critical Risk.

The top 3 country scores for this indicator are all very close while the other 2 countries are not far behind. Scores for the metrics in this indicator are close for many countries, which is largely because of the nature of the source data. This also means that for some metrics a large number of countries score highly. Conversely, for a few metrics only a small number of countries score well.

The Netherlands' top position reflects consistently strong scores across almost all metrics, ranking joint top for 10 metrics. Sweden ranks joint top for 9 metrics, while Finland ranks joint top for 11 metrics.

3.6 Human resource management

| Country | Rank |
|-------------------|------|
| Canada | 1 |
| Ireland | 2 |
| Republic of Korea | 3 |
| Japan | 4 |
| UK | 5 |

This indicator now measures 4 themes, an increase of 2 since 2017: the extent to which civil service recruitment systems are meritocratic; attracting and retaining talent; performance management systems and practices; and the extent of human resources (HR) data collection.

There are now 9 metrics, an increase of 4 since 2017, and 2 data sources (previously just one): the Quality of Government expert survey by the University of Gothenburg's Quality of Government survey; and the OECD's survey on Strategic Human Resources Management.

The indicator scores for the first 4 countries are all very close, although specific metrics scores vary widely. Canada only just surpasses Ireland for the top position. This is mainly because of its relatively higher score for the metric which assesses the extent to which separate HR management practices are in place for senior civil servants.

The Republic of Korea's score is the highest out of the top 5 countries for the metric which assesses the extent to which databases are used for HR management. Of this group, Japan achieves the top score for the extent to which performance assessments are used in HR decision making.

3.7 Tax administration

| Country | Rank |
|-------------|------|
| Estonia | 1 |
| Ireland | 2 |
| UK | 3 |
| Norway | 4 |
| Netherlands | 5 |

This indicator measures 3 themes: the overall efficiency of tax collection; the extent to which services are user focused; and the extent and the quality of digital provision. The data sources are the OECD's Tax Administration Survey and The World Bank's Doing Business Index (DBI).

This indicator is comprised of 6 metrics and its structure is unchanged from the 2017 Pilot.

Estonia's indicator score is noticeably ahead of the other countries in this table and it consistently achieves very high scores across all 6 metrics.

Within the top 5 countries, Estonia achieves the top score for the metrics assessing the volume of online VAT and personal tax returns, as well as for the metric on the extent to which services are user focused.

Ireland achieves a very high score for one of the efficiency metrics (total tax debt as a proportion of net revenue), as well as metrics measuring the volume of online VAT and corporate tax returns. The UK and the Netherlands achieve very high scores for these three metrics as well, while Norway achieves very high scores for two of them (tax debt proportion and online VAT returns).

3.8 Digital Services

| Country | Rank |
|----------|------|
| Estonia | 1 |
| Denmark | 2 |
| Latvia | 3 |
| Austria | 4 |
| Portugal | 5 |

This indicator measures 3 themes: user experience; cross-border availability of services; and the availability of "key enablers" (the enabling infrastructure for digital service provision, such as electronic ID cards). The sole data source is the European Commission's E-Government Benchmark Report.

Significant changes have been made to the way in which the data for this indicator has been compiled. In addition, it is now composed of 13 metrics – there were only 4 metrics in the 2017 Pilot.

The indicator scores for the top 4 countries are all very close, with Denmark and Latvia achieving the same overall score. Estonia's top position may reflect consistently high scores across most metrics.

Amongst the top 5 countries, Austria achieves the highest scores for the metrics focusing on the availability and accessibility of online small claims procedures, including for non-nationals. Denmark achieves the maximum score for six metrics and these are spread across all themes, while Portugal achieves the maximum score for four metrics. Latvia's results are all above average and it achieves the maximum score for a metric within the "key enabler" theme.

3.9 Procurement

| Country | Rank | |
|-------------------|------|--|
| New Zealand | 1 | |
| Denmark | 2 | |
| UK | 3 | |
| Republic of Korea | 4 | |
| Israel | 5 | |

This indicator is a new addition to the Index. There are two themes: procurement systems and procurement practices.

It is comprised of 6 metrics and 2 data sources: the OECD's Public Procurement Survey; and analysis of European public procurement data from the Opentender project of the Digiwhist collaboration.

The indicator scores for the top 3 countries in this table are significantly ahead of the others. New Zealand's top position is primarily because it achieves the maximum score for three metrics: the extent of e-procurement functions within its overall procurement system; the role of its central purchasing body; and the extent to which policies are in place to enable small and medium-sized enterprises (SME) to take part in central government procurement.

The UK and Israel achieve the maximum score for the SME participation metric as well, while Denmark achieves the maximum score for the metric assessing the role of its central purchasing body. The Republic of Korea's highest score is for the e-procurement metric.

3.10 Integrity

| Country | Rank |
|-------------|------|
| New Zealand | 1 |
| Canada | 2 |
| Denmark | 3 |
| Sweden | 4 |
| Norway | 5 |

This indicator covers 6 themes: corruption level perceptions; adherence to rules and procedures; work ethics; fairness and impartiality; striving to serve citizens and ministers; and processes in place to preserve integrity and prevent conflicts of interest.

There are 17 metrics (one more than in 2017) and 5 data sources: the Bertelsmann Stiftung's SGIs; Transparency International's Global Corruption Barometer; the World Economic Forum's Global Competitiveness Report Executive Opinion Survey; the University of Gothenburg's Quality of Government Expert Survey Data; and results from two OECD surveys published in their Government at a Glance report.

New Zealand's overall score is well ahead of all other countries, reflecting a strong performance against most metrics. It achieves the maximum score for 8 of the metrics.

Canada achieves the maximum score for the metric which assesses public perceptions of impartiality in the civil service. It also achieves the maximum score for having a postemployment cooling off period. Sweden's score for the metric which assesses the degree of whistle-blower protection for employees is noticeably ahead of all other countries in the top 5 table.

3.11 Openness

| Country | Rank | |
|-------------|------|--|
| Norway | 1 | |
| Denmark | 2 | |
| UK | 3 | |
| Finland | 4 | |
| Netherlands | 5 | |

This indicator has 5 themes: the degree and quality of consultation with society; the existence and quality of complaint mechanisms; government data availability and accessibility; government data impact; right to information; and publication of laws.

There are 10 metrics (one more than in 2017) and 6 data sources: the World Justice Project's Rule of Law Index (open government theme); the UN E-Participation Index; the Bertelsmann Stiftung's SGIs; the World Wide Web Foundation's Open Data Barometer; Open Knowledge International's Global Open Data Index; and the OECD's OUR Government Data Index.

It is noteworthy that all 5 countries in this table are in Northern Europe. Norway's top position reflects strong scores for most metrics. It achieves the maximum score for the metric which assesses the extent to which governments consult and negotiate with the public on policy issues. Norway also achieves the maximum score for the metric on citizens' access to official information.

Denmark achieves the maximum score for 2 of the 3 metrics assessing the degree and quality of government consultation with society on policy issues.

3.12 Capabilities

| Country | Rank |
|-------------|------|
| New Zealand | 1 |
| USA | 2 |
| Denmark | 3 |
| Canada | 4 |
| Finland | 5 |

This attribute measures four themes: core capability (eg. problem solving, numeracy and literacy skills); the use of core skills at work; organisational skills (eg influencing and planning skills); and learning and development.

This attribute has 14 metrics – an increase of 10 since 2017. The sole data source is the OECD's Programme for the International Assessment of Adult Competencies (PIAAC) survey.

It is notable that 3 of the top 5 countries for this indicator are also in the top 5 for the entire InCiSE Index.

New Zealand's top position for this indicator reflects very strong scores for many of the relevant metrics. This includes maximum scores for the metrics which assess the use of IT skills and influencing skills in the workplace. The USA's score for influencing skills is very strong as well, and both countries are well ahead of the others in the top 5 for this metric.

It is interesting to note that all the top 5 countries' scores are very high for the readiness to learn metric, most notably the USA, Denmark and Canada. Denmark also achieves the maximum score for the degree of job-related learning metric, while New Zealand performs very strongly.

3.13 Inclusiveness

| Country | Rank | |
|---------|------|--|
| Canada | 1 | |
| Greece | 2 | |
| Poland | 3 | |
| USA | 4 | |
| Romania | 5 | |
| | | |

This indicator has two themes: proportionate gender representation in the civil service; and proportionate ethnic minority representation.

There are 5 metrics and 3 data sources: the OECD's Government at a Glance (GaaG) survey data on the share of women in central government and top management positions; the University of Gothenburg's Quality of Government survey; and figures on women's representation in government which are compared with statistics collected and produced by the International Labour Organisation (ILO) on women's participation in the labour force as a whole. To ensure relevant comparison with the other metrics, ILO statistics and estimates for 2015 are used.

Greece's very strong performance for this indicator reflects high scores for the metric which assesses the proportion of women working in the public sector.

For the representation of ethnic and religious minorities metric Poland has the highest score amongst the top 5 countries, while Romania is only just behind. Poland also achieves the maximum score for the metric on the proportion of women in senior government positions. Canada's score for this metric is very strong as well and both countries' scores stand out from the rest of the top 5 table.

Figure 4 Country rankings across each of the 12 InCiSE indicators

Capabilities (CAP)



Fiscal and financial management (FFM)



Crisis and risk management (CRM)

| 0.632 |
|-------|

Human resources management (HRM)



Digital services (DIG)

| EST DNK LVA AUT PRT NLD LTU FIN ESP SWE DEU ISL MEX AUS | |
|--|-------|
| ITA BEL NZL ISR KOR CAN JPN InCiSE | 0.613 |
| USA FRA CHL IRL GBR CZE TUR POL SVK SVN CHE BGR GRC HUN ROU HBV | |

Inclusiveness (INC)



Integrity (INT)



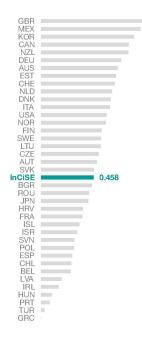
Procurement (PRO)



Openness (OPN)



Regulation (REG)



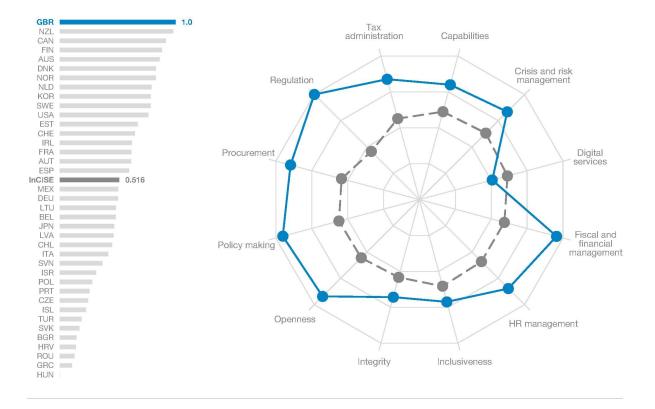
Policy making (POL)

| FIN DNK GBAN NZL SAUS AUS CHIL KVA BELU IRLN JPRA EST CHE EST CHE EST CHE ST USA POL HUNA FRA ST LUA FRA NUDA | 0.560 |
|--|-------|
| HUN ITA PRT ISL | |

Tax administration (TAX)



4.37 United Kingdom



The UK tops the InCiSE Index overall. It is in the top position for regulation.

The UK performs relatively highly for most indicators and is in the top 5 country rankings for 6 core function indicators – policy making (3rd), fiscal and financial management (3rd), HR management (5th), procurement (3rd), tax administration (3rd), and regulation. On attributes, the UK is ranked 3rd for openness.

Within the regulation indicator, the UK achieves the maximum score for 4 out of 9 metrics and all scores are well above average. On fiscal and financial management, the UK achieves high scores for performance budgeting. Within the procurement indicator, it achieves the maximum score for the extent to which policies are in place to help small and medium-sized enterprises participate in central government procurement.

The only indicator below average is digital services where metric scores vary widely. The UK's integrity scores vary as well. It does well on the corruption perception theme but less so on the thematic scores for processes to preserve integrity and prevent conflicts of interest. The UK's inclusiveness score is less strong relative to other countries, most notably on the metric assessing the proportion of women in senior roles in central government.