1		Thursday, 23 November 2023	1	Q.	That's a position you have held since 3 April of this			
2	•				year; you succeeded Professor Sir Patrick Vallance?			
3 LADY HALLETT: Ms Cecil.					Yes.			
4	MS	CECIL: Indeed, good morning, my Lady, may I call	4	Q.	Previously you've occupied a number of roles both within			
5		Professor Dame Angela McLean, please.	5		government but also within academia?			
6		PROFESSOR DAME ANGELA McLEAN (affirmed)	6	A.	Yes.			
7		Questions from COUNSEL TO THE INQUIRY	7	Q.	You were formerly the Chief Scientific Adviser for the			
8	MS	CECIL: Indeed, Professor, thank you for your assistance	8		Ministry of Defence?			
9		this morning, and indeed you have provided a witness	9	A.	Yes.			
10		statement to the Inquiry as well. That's dated	10	Q.	And indeed you were at the time and the period with			
11		19 October 2023, so earlier this year. It runs to some	11		which the Inquiry is concerned with regard to the			
12		51 pages, at the conclusion of which is there's	12		Covid-19 response?			
13		a statement of truth and your signature. Can I just ask	13	A.	Yes, that's right.			
14		you to confirm that the contents are true?	14	Q.	At that point you also acted as a Deputy Chief			
15	A.	Yes, the contents are true.	15		Scientific Adviser from spring of 2020?			
16	Q.	Now, if you can keep your voice up, not least because we	16	A.	That's correct, yes.			
17		have a transcript being made at the same time, and so it	17	Q.	Thank you.			
18		may be that at points we need to take things more	18		In terms of your professional background, you were			
19		slowly, so we will try to maintain a reasonable speed	19		also a professor of mathematical biology and that's			
20		and pace. If I ask you to slow down it will be my	20		where your expertise lies; is that right?			
21		fault. Similarly we'll take a break at some point this	21	A.	Yes.			
22		morning.	22	Q.	At Oxford University, and you hold a number of			
23		So, Professor McLean, you are currently the UK's	23		fellowships in that regard?			
24		Government Chief Scientific Adviser; is that right?	24	A.	Yes.			
25	A.	Yes.	25	Q.	If I may now turn to the pandemic response itself and 2			
1		your involvement in the various scientific advisory	1	A.	Yeah.			
2		bodies at that stage, you were a participant in SAGE?	2	Q.	task and finish group in due course, but you cover			
3	A.	I was.	3		the other two within your statement so I don't propose			
4	Q.	That run from 11 February 2020 all the way through to	4		to take you to those today.			
5		10 February 2022?	5		With regard to providing scientific advice to			
6	A.	Yes.	6		government, did you at any point attend COBR, Cabinet,			
7	Q.	In conjunction with that, were you also the co-chair of	7		Covid-O or Covid-S?			
8		SPI-M-O?	8	A.	I think I went to a small number of Covid-O meetings,			
9	A.	That's right, I was the co-chair from 27 March 2020.	9		none of the others.			
10	Q.	And that, again, ran throughout that period until the	10	Q.	With regard to the later stages of the pandemic, was			
11		conclusion of the committee in spring of 2022?	11		your involvement with the Covid-19 Taskforce?			
12	A.	Yes.	12	A.	So later on in the pandemic, towards the end of that			
13	Q.	You were also involved in some task and finish groups.	13		first year, Covid-19 Taskforce Analytics became a really			
14		Can you just give a very brief outline as to what those	14		important part of our lives as advisers, because it			
15		were?	15		formed a really fantastic sort of network for			
16	A.	Yes, there were three of those. So the first one was on	16		collaborators. So that was headed up by a man called			
17		mass testing, we did that in August of 2020. The second	17		Rob Harrison, and so I think sort of from about			
18		one we always called the "four nations task and finish	18		December, maybe, 2020, I started going to their morning			
19		group"; that was looking at what happened before and	19		meeting every day.			
20		after the tiers were put in. And then there was one	20	Q.	Again, we will turn to that in a little more detail when			
21		on about the science of vaccination, although	21		we come to discuss the roadmap out of lockdown in 2021.			

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24 25 of course most of the advice, the great majority of the

Q. Indeed, and we will return to the second of those, the

impact of interventions, the four nations --

advice about vaccination was handled separately by JCVI.

the chief scientific adviser for the Ministry of Defence $\ensuremath{\mathbf{4}}$

So just very briefly with respect to SAGE, you

attended a significant number, some 89 of those SAGE

meetings, throughout that period. Initially that was as

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1 but subsequently as a consequence of your role as 2 co-chair, is that right, of SPI-M-O?

3 A. That's correct.

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Q. What was your role within SAGE at that time?

4 5 A. So my role in SAGE at that time was like other people, 6 it was to listen to other people's evidence, so evidence 7 that was being brought to SAGE by attendees that day, 8 and challenge it like any scientist would challenge 9 other scientists. Once I was the co-chair of SPI-M-O, 10 it was also to support my co-chair, Graham Medley; 11 sometimes if he wasn't there I would present the SPI-M-O 12 consensus. And then an important part of the work would be to listen very carefully because straight after SAGE we co-chairs and the SPI-M-O secretariat would sit down

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15 and think through: right, what's the new commissions

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that come out of that? What questions arose in SAGE

this week that we think SPI-M-O should be -- start

18 working on and thinking about?

19 Q. Do you consider that to be a strength of the SAGE 20 system, that the co-chairs of the various committees sat 21 on it and then had these follow-up sessions?

22 A. I would say that was a strength, yes.

23 Q. In terms of SPI-M-O, again a large number of meetings,

24 81 during that period as the co-chair, alongside

25 Professor Graham Medley, who we've also heard from, as

1 they would say "Oh, but that's obvious" about something 2 that they felt was very obvious, just from what they 3 knew about epidemiology, and I would have to say, "Well, 4 that's obvious to you but actually it's not obvious to 5 lots of extremely well-important -- well-informed people

6 who are absolutely involved in managing this pandemic".

7 Q. With regard -- sorry, if I may just pause you there, 8 just to pick up on that -- with regard to your input 9 from that perspective, was that very much based upon 10 your role and interaction within government as a chief

11 scientific adviser?

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12 A. Very much. Very much so. I mean, I'd been in the civil 13 service since that September, but I had also done quite 14 a lot of work over the years as an academic advising 15 into government, because there are lots of mechanisms 16 where government reaches out for academic advice, so it 17 wasn't just those six months, I had also done quite 18 a lot of advisory work beforehand. So I think 19 I probably had more experience, particularly perhaps 20 with civil servants who weren't completely steeped in

21 public health, than some of my colleagues on SPI-M-O 22 23 Q. And with that role in terms of policy development and 24 having that understanding, did you find that you were

> predominantly therefore involved in commissioning 7

1 you will know, earlier in the Inquiry.

What was your -- as co-chair of SPI-M-O, what was your role as distinct from that of Professor Medley?

4 **A.** So I think my main role was really to be the go-between. 5 I was the person who was at SPI-M-O meetings, very 6 involved in the work they had done and were going to do, 7 but also with lots of access to the relevant civil 8 servants, so particularly in CCS -- what does CCS stand 9 for? -- Civil Contingencies Secretariat to start with, 10 and then later on in Covid-19 Taskforce and then 11 particularly in Covid-19 Taskforce Analytics. So lots 12 of that. But then also supporting Graham in devising 13 workflow, like I just described. I was often there --14 well, I was always there, when I was available, for the 15 main meeting -- so SPI-M-O had a main meeting, ended up 16 being on a Wednesday -- to support Graham to be

listening very carefully to make sure our consensus

statement did reflect the discussion.

Yeah, guite often I would be the one who said. "Listen guys, you think that's interesting but I can tell you that is not interesting with respect to this particular policy question". They're academics, my --I mean, friends of mine, they would get very interested in some particular detail and I would sometimes say, "Sorry, but move on". And on the other side guite often

1 exercises and being that bridge between government and 2 SPI-M-O?

3 A. I did have a lot to do with commissioning exercises, 4 particularly early on. I mean, I think -- well,

5 actually, I thought Graham put it very well when he said

6 that I was able to talk about things that modelling

7 could do and things that modelling could not do. So

8 quite -- I remember many discussions where I said,

9 "That's just not a good question to bring to SPI-M-O,

10 don't ask them that". And then quite often -- you can

11 imagine we would have a conversation where I would say,

12 "Well, why are you asking that question? What is the

13 policy decision you've got to make here? Is there

14 another way we can frame that question into something

15 that epidemiology analysis and modelling can help with?"

16 Q. Can I ask you just to slow down slightly --

17 A. Yes.

18 Q. -- Professor McLean.

LADY HALLETT: I think we had three minds all the same. 19

20 MS CECIL: Indeed. Just because, as I say, there is a note

21 being taken and, as I say, it will be my fault rather

22 than yours.

23 So just picking up on that, what were the initial 24 problems that you saw with commissioning?

25 A. So early on with commissioning, so remember this would

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- 1 be -- when I say early on, this is from 27 March
- 2 onwards, so actually after the first lockdown was in
- 3 place, commissions arrived from all over the place. So
- 4 particularly we would get commissions from Civil
- 5 Contingencies Secretariat and Number 10 and we didn't
- 6 know how -- and we wouldn't know how to prioritise
- 7 those. And sometimes we would get commissions that
- 8 would string several sort of, "Please tell us about
- 9 option A and/or option B, that's three possibilities,
- 10 and then string those together for sort of three or four
- 11 different things", and quite soon we'd get to sort of
- 12 100 or so possibilities. And it was my job to say, "I'm
- 13 really sorry, but in a week we can't do that. What do
- 14 you really care about? How will you prioritise these
- 15 questions? Let's turn this into something where we can
- 16 actually help you."
- 17 Q. Did that process, firstly, improve as the pandemic
- 18 progressed?
- 19 A. It got much better. So SAGE secretariat basically built
- 20 a commissioning system, which -- one of the things it
- 21 did was to stop just sort of commissions just arriving
- 22 just sort of from left field, from department X or
- 23 ministry Y, so they really helped us a lot by
- 24 effectively making some rules of the road about how
- 25 commissioning would work. And then, yes, I think --
- 1 A. That's right.
- 2 Q. Did you see the fruit of that effectively?
- 3 A. I think we did, yes, I think the discourse became much
- 4 better informed on both sides.
- 5 Q. In addition to that, and I appreciate some of us are not
- 6 so familiar with graphs and graphical representations,
- 7 but one of the other main developments throughout that
- 8 period, in terms of communications of the scientific
- 9 advice and the outputs of SAGE and SPI-M-O, was the
- 10 production of something that became known as "ready
- reckoners"? 11
- 12 A. (Witness nods)
- 13 **Q.** Again, that was something initially pulled together by
- 14 a Professor Brooks-Pollock --
- 15 A. That's right.
- 16 Q. -- within SPI-M-O --
- A. Yes. 17
- Q. -- and taken forward by you, as co-chair? 18
- 19 A. Yes, I mean, I think that's a good shorthand way of
- 20 putting it. I think generally in SPI-M-O and then in
- 21 SAGE it was felt, yes, this is a useful way of making
- 22 lots of comparisons all on one page without having to
- 23 draw thousands of squiggly lines.
- 24 Q. Indeed. And perhaps we could have a very quick look at
- 25 perhaps one of the earliest iterations of that, and

- 1 well, I felt that my growing relationship with CCS meant
- 2 that we got better at shared language and me
- 3 understanding more why they were asking their questions,
 - them understanding more what kind of thing we in SPI-M-O
- 5 could and could not do.
- 6 Q. Thank you. If I may just pick up, then, in terms of
 - those difficulties that were initially experienced with
- 8 a lack of understanding, you undertook a number of
- 9 initiatives, would that be fair to say, to try to assist
- 10 civil servants and policy-makers and indeed
- 11 decision-makers in their understanding of both
- 12 scientific consents but also the outputs of SPI-M-O and
- 13 SAGE?
- 14 A. Yes, we put lots of work into that.
- 15 Just to give a few examples of those very briefly, you
- 16 were responsible for producing explainer documents?
- 17 A. We did.

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- 18 **Q.** Very short, pithy documents explaining things such as
- 19 the reproduction number, the R, the R number as it's
- 20 been referred to, general principles and assumptions on
- 21 transmission, introduction to epidemiological modelling,
- 22 which we've all had sight of, and indeed an FAQ on
- 23 epi modelling to try to imbue some further understanding
- 24 effectively within the civil service and indeed those
- 25 decision-makers?

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- that's INQ000216286.
- If we can go over the page, please, what we see here are a sequence of graphs that deal with issues in terms of schools and the mixing outside of home, degrees of school opening, the efficacy or otherwise of contact
- Perhaps if you could, just because -- very quickly or very gen -- I say in high level through the first of those graphs.
- A. So, my Lady, I've heard you don't like graphs, but this 10 is a beautiful --11

tracing, and then NPIs that are in place.

- LADY HALLETT: Well, it depends on the graph. 12
- 13 A. A very beautiful graph.
- 14 I think the way to look at this graph is to think 15 about what can this remind us about how things felt on 16 three different dates.
- 17 So before, let's say, mid-March, in 2020, we would 18 have been on the right-hand end of -- I'm looking at the 19 left-hand graph, okay? So the bottom axis says how much 20 active work and leisure contact are people having. So 21 100 is normal everyday life and the Y axis, the up-down axis, is -- what is this -- here is our R number, and we
- 22 23 would like --
- 24 MS CECIL: If I could just pause you just very briefly with 25 the R number for the moment.

- 1 A. Yes. Yes.
- 2 Q. Based on the R number, was that because decision-makers
- 3 had become familiar with that concept?
- 4 A. Yes. Yes.
- 5 Q. It was a shorthand, effectively?
- 6 A. Exactly, it had turned into a shorthand for: have we got
- 7 this infection under control, is R at 1 or below 1? Or
- 8 have we not got this infection under control? Which was
- 9 the case when R was above 1.
- So when R is a long way above 1, you really are in trouble.
- 12 **Q.** And that's why we have the R number along the one
- 13 axis --
- 14 A. That's right.
- 15 $\,$ **Q**. -- and the active work and leisure contacts on the
- 16 other.

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- 17 A. That's right.
- 18 Q. And I interrupted you, so please go ahead.
- 19 A. Fine, thank you.
- 20 So remember this is very early on, nobody
- 21 vaccinated, the entire population susceptible to
- 22 infection with bad consequences, particularly for
- 23 elderly people and also for lots of other people too.
 24 So there we are living our normal lives in late.
 - So, there we are, living our normal lives in late February, early March 2020, so we're at -- we're far

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- 1 these graphs -- I don't know if it's possible to zoom
- 2 out a little bit? -- is that -- well, if you compare the
- 3 left-hand graph -- on the top row, if you compare the
- 4 left-hand graph and the right-hand graph, you can see
- 5 that a little bit of contact tracing -- so the
- 6 right-hand graph is if contact tracing could prevent one
- 7 in five onward transmissions, 20%, well, that doesn't --
- 8 there's not a huge difference, is there? Whereas, if
- 9 you go to the bottom graph, if contact tracing could
- prevent four out of five onward transmissions, then we
- start to have quite a lot more room to sort of roam up
- 12 and down this bottom axis.
- So the bottom axis is sort of how hard you have to intervene, the different bands are how much the schools are open, and the different pictures in this particular
- representation is how much contact tracing might we be
- 17 able to build.
- 18 Q. Indeed. And on each of the graphs it sets out what the19 parameters are?
- 20 **A.** Yes.
- 21 $\,$ Q. So, taking the top one, we see that the grey band is
- 22 "Schools closed".
- 23 **A.** Yes.
- 24 $\,$ **Q.** Then the blue one, "50% of 5-11 year olds at school" as
- 25 an alternate.

- 1 over on the right-hand side of the X axis, all the
- 2 schools are open, so the R number is somewhere between
- 3 2.5 and 3, we're in the middle of that -- we're
 - somewhere in that red band up there on the right-hand
- 5 side. And that was what was driving the rapid
- 6 exponential growth that we eventually saw in the very
- 7 rapid rise in hospitalisations that we saw a few weeks8 later.
- 9 I'm going to come on later -- I hope we'll come
- 10 later to where were we in the middle of the week just
- 11 after the Prime Minister stood up on 16 March and said
- 12 "Please stay home".
- 13 Q. We will be dealing with that in due course.
- 14 A. So under voluntary restrictions, we'll talk about --
- obviously it's very important where we were, but from
- the data we had at the time we were probably at about 60
- on the X axis, most children still in school, still R
- way above 1. Then on March 23, when lockdown came in,
- 19 we were more like at about -- and all schools were
- 20 closed, we were more like round about 20 on that X axis.
- 21 All schools closed, so in the middle of the grey band,
- the R number round about 0.7.
 - So this was, this was a very quick and easy way to see, roughly speaking, if we do the following things
 - what will happen. And one of the things you see from
 - 1
- 1 **A.** Yes.

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- 2 **Q.** Yellow, the 5 to 11-year-old category at school.
- 3 A. Yes
- 4 Q. And then "Schools open".
- 5 A. Yes.
- 6 Q. Then, as you say, you see, if we go to the right one, we
- 7 then see "Schools closed + 20% [contact tracing]"
- 8 reduction --
- 9 **A.** Yes.
- 10 Q. -- and so on and so forth?
- 11 A. And if I could add one further thing: this is actually
- 12 based on data from I think it -- well, I think it is
- 13 tens of thousands of people in something that -- a study
- that the BBC ran, long before the pandemic, on what kind
- of contacts people have with other people of what age in
- 16 what context.
- 17 Q. And this was a graph, as we will come to later, that was
- being dealt with at a very early point in time, in fact,
- in the pandemic, pre-lockdown, but post voluntary
- 20 measures being announced?
- 21 A. I'm not sure we had this particular graph, because
- 22 actually if you look at the date that these emails were
- written, I think this is -- no, I think we actually got
- 24 this representation in more like May. This idea that we
- 25 needed to be down round about 75% of contacts outside

- 1 the home, so the idea that we needed to be way, way down
- 2 on -- back to the top left graph -- only requires
- 3 a pretty straightforward calculation.
- 4 Q. We're going to move to exactly what that required, as
- 5 I say, when we start to look perhaps slightly more
- 6 chronologically --
- 7 A. Yeah.

- 8 Q. -- at the response, as opposed to these topics.
 - And perhaps we can take that down now.
- 10 LADY HALLETT: Just before you do, and now I'm going to show
- just how bad I am with graphs. Could we have it back up
- 12 again? Sorry.
- 13 The left-hand graph, you've got -- bottom axis
- 14 you've got up to 100% contact --
- 15 A. Yeah.
- 16 LADY HALLETT: -- yet we're looking at the grey line, if
- 17 schools are closed.
- 18 A. Yes.
- 19 LADY HALLETT: Well, if schools are closed you haven't got
- 20 100% contact, have you?
- 21 A. So this is active work and leisure contacts beyond -- so
- work, adults; leisure, everybody (apart from school).
- So, yes, you're quite right, this is apart from school.
- What's everybody doing apart from the children's school
- 25 contacts. Thank you for reminding me to clarify that.
 - 17
- 1 A. Yeah.
- 2 LADY HALLETT: -- with schools closed, you're still up at
- 3 an R number of over 2.
- 4 A. Yeah, correct.
- 5 LADY HALLETT: And with schools open, you've got an R number
- 6 of 3. Well, you said earlier, anything over 1 we're in
- 7 trouble. So is that as great a distinction as laypeople
- 8 like me might have expected?
- 9 A. I would look at that and say only closing of schools
- 10 would have been -- wouldn't have helped us -- well,
- 11 would have helped us very little, I agree.
- 12 LADY HALLETT: Thank you.
- 13 MS CECIL: Perhaps that's the advantage of the ready
- reckoners and the visual comparators on the page, in fact.
- 15 1801.
- 16 But these were incorporated, as a consequence, into
- 17 both consensus statements and SAGE minutes?
- 18 A. They were.
- 19 Q. Yes. Just to deal, perhaps, and picking up my Lady's
- 20 comment in relation to the gaps on graphs, certainly
- 21 that is one of your primary issues with how politicians
- 22 and -- not just politicians and decision-makers but
- 23 policy-makers, individuals that are not acquainted with
- 24 graphs, potentially utilise them and certainly when it
- comes to getting a ruler out, for example, that's a very

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- 1 LADY HALLETT: I was just thinking that obviously when
- 2 schools are open --
- 3 A. Yeah.
- 4 LADY HALLETT: -- or schools are closed, there's an awful
- 5 lot of contact --
- 6 A. Yes.
- 7 LADY HALLETT: -- that is reduced --
- 8 A. When schools are --
- 9 LADY HALLETT: -- when they're closed.
- 10 A. Absolutely. And I don't think that's in here. So the
- 11 fact that when schools are closed parents can't go to
- 12 work, I don't think that was captured in here.
- 13 LADY HALLETT: The other thing, can I just ask before -- I'm
- 14 sorry to interrupt, Ms Cecil.
- 15 MS CECIL: No, not at all.
- 16 LADY HALLETT: Looking again at the left-hand graph, and
- 17 remembering that one of the problems I have with graphs
- is it all depends on how big a gap you give between
- 19 different measurements --
- 20 A. Yeah.
- 21 LADY HALLETT: -- when we look at school closures and school
- openings, the difference isn't perhaps as great as some
- of us might have expected. So if you've got -- well,
- let's go for the 100%, just because it's easier -- 100%
- 25 of other contacts, but you close schools --
 - 1
- 1 big no-no to mark --
- 2 A. Yes.

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- 3 Q. -- the gap between them or indeed to take a specific
- 4 point on many of the graphs that were produced by SAGE
 - or SPI-M-O as being a critical accurate point?
- 6 A. I think that was reflected in Graham's comment about
- 7 knowing what you can't do with these things. I mean,
- 8 my -- I was showing you earlier, my version of these
- graphs, that I'm extremely fond of and often carry
- 10 around with me, actually has little -- I drew myself
- a little picture of a ruler with a "Don't go there" sign
- on it. You know, we shouldn't use these things to say,
- "Oh, well, if we had, you know, 45% active work and
- 14 leisure rather than 40% and -- we could do precisely
- 15 this or precisely that". That's not the point. The
- 16 kind of lesson to learn from graphs like this is: look
- just how much -- look how good your contact tracing
- 18 needs to be before it gives you lots and lots of space
- 19 to have fewer interventions in terms of how much people
- can be out and about.
- 21 Q. Indeed. And was that one of the challenges you and your
- 22 colleagues faced during the pandemic in relation to the
- 23 understanding of graphs, and indeed numbers, statistics
- 24 and other scientific data and outputs?
- 25 A. Yes, I think -- I think I would say it's an issue all

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your life, as a sort of rather technical person, is that -- to find people as interlocutors who will listen, but also challenge in a really constructive way, is really important. Because it's just as bad if they believe everything you say, because that's not very helpful, if you need somebody -- I mean, most of my academic life was done in collaboration with people who were not mathematicians, not modellers, and by far the best collaborators are -- will listen and criticise and say, "Well, why did you do that? And should I really believe, you know, that confidence level? How did you draw the confidence interval?"

So I think one of the things I would always say to any colleague is if a scientist comes and tells you something and you don't understand what they say, you must say to them, "Say it again, I didn't understand". It's their job -- I think it's scientists' job to explain what it is that they've done.

Q. With regard to that point and understanding, perhaps if I can pick that up here, perhaps if I can take you to paragraph 57 of your witness statement on page 17, we're going to turn to decision-making in respect of NPIs, as I say, in due course, but one of the factors that you identified as being a difficulty during the pandemic was the understanding of two key concepts, the first being

until the thing you're worried about is really, really bad, and growth is exponential and fast, you could very easily end up with things twice as bad at the hospital door, even if you put in a brilliant intervention. So this idea that things where whatever your control measure is doesn't fix your problem until ten days later is a really important part of why this was such a difficult problem, and really, as I point out in this paragraph, needed to be understood because it made "watch and wait" tactics very damaging.

11 Q. Indeed, the failure to take a decision or to wait is
 12 a positive decision in itself when it comes to issues of
 13 exponential growth?

14 A. Indeed.

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Q. And from your perspective, that is perhaps one of the
 most important lessons for the future in terms of
 developing that understanding --

18 **A.** Yes.

19 **Q**. -- is that right?

20 A. I think so.

Q. And as we will see as we move chronologically through
 that period, that informs what you consider to be one of
 the most significant shortcomings in relation to
 decision-making in both -- well, to some extent in the
 early part of the pandemic but certainly in the autumn

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the implications of fast exponential growth and the second being lagged controls.

Can you just explain exponential growth and its importance in this context for us, please.

5 So exponential growth arises when you have a process 6 where what's fixed is not the slope of line but the time 7 that it takes to double whatever the quantity you see. 8 So exponential growth and fixed doubling times go 9 together. So when we say the doubling time is a week, 10 we instantly know: oh, we're talking about exponential 11 growth. And I think as you heard very eloquently from 12 Chris Whitty, you know, exponential growth, once it gets 13 going, grows really shockingly fast.

14 Q. Exponentially.

15 A. Exponentially.

16 Q. Indeed.

17 A. And lagged controls, well, that was particularly 18 pertinent here because what we were trying to do, we 19 were trying to stop too many people ending up being 20 admitted to hospital, and admission to hospital was 21 something that we expected to happen sort of ten or 22 eleven days after you got infected, so if we have -- so 23 the place where you could make an intervention was 24 people getting infected, whereas the thing that you were 25 worried about happens ten days later. So if you wait

1 period of 2020?

2 $\,$ A. I agree, I think we made the same mistake three times.

3 Q. Thank you.

Now, with regard to that understanding, do you consider that that requires a scientific mindset?

A. No, I don't think it requires a scientific mindset.
I think if you -- you can draw it out in a picture in
a way that anybody who's prepared to listen and think
about it ought to be able to grasp.

10 Q. Indeed, you give some examples within your witness
 statement of the director general for analysis in the
 Covid-19 Taskforce.

13 **A.** Yes.

14 Q. Somebody with an entirely non-scientific background, but15 able to pick up those concepts and work with those.

16 **A.** Yeah.

17 Q. Now, just picking up on the scientific mindset aspects, there are also differences that you identify in culture and approach between civil servants and indeed scientists. Perhaps if I can just break it down a little bit further into three categories. You have your scientific advisers, you then have your civil servants and policy-makers, operational and taking

24 a lead from the decision-makers in government?

25 **A.** Yes.

- Q. So those three categories. And in respect to that can 1 2 I please bring up paragraph 22 on page 7 of your witness 3 statement, because you describe there two very different 4 cultures. So perhaps turning firstly to academics and 5 scientists, and that culture, you explain that academics 6 tend to focus on points of disagreement, speak 7 pointedly, directly about their views. What was the 8 difference, as you saw it, in terms of your interactions 9 during this period? A. So really the nicest thing that an academic can do for
- 10 11 a colleague is point out why they're wrong before it 12 goes out into the world and somebody unfriendly points 13 out why they're wrong. So that was why under Graham's 14 leadership on SPI-M-O our mantra became "Tell me why I'm 15 wrong", and -- whereas it is very frequent in a civil 16 service meeting that as somebody stands up the very 17 first thing they will say is "I agree with everything 18 that's been said", and you're sat there thinking, "Well, 19 you can't have been listening then". And it's --20 I don't think they really mean it, actually, I think 21 it's a sort of a saying that means "I'm here to work 22 with you, we've got things we've got to deliver, I might 23 disagree with some of the details of what you've said, 24 but let's work together". And, I mean, I always found 25 it -- do I still? It's always quite difficult for

1 they -- what they were talking about was your work", you 2

> If I could just call up INQ000215900, it's an email with Professor Medley and it relates to perhaps one of those scenarios that arose during the pandemic with a member of -- a civil servant.

Within this, what we see is in the top part it's an email from you. Second paragraph down, you explain that a gentleman was unhappy about SPI-M-O on Wednesday so you sent him an email, which you copied in to Professor Medley just so that he was also in the loop, and you explain:

"I think Civil Servants have different ways of being robust with each other from academics. Perhaps he found our ways of expressing ourselves more direct than he is used to. No action required."

Then you explain you'll try to find out why he's uncomfortable and report back.

So is that an example of what you have been --

21 A. Indeed.

22 Q. -- referring to?

know.

Q. Indeed.

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23 Indeed. And, I mean, what you can see here is the Α. 24 wonderful Clare Gardiner was able to ring me up and say 25 "Angela, that went a bit far on Wednesday, can you try

2 why people are saying this sort of weirdly emollient 3 thing, when actually our values are so similar: we are 4 trying to get at the truth. I think it's a difference 5 between: are you really trying to get at every detail of 6 the truth, or are you trying to make something workable 7 that you can deliver? So I think it's summed up quite 8 well by "Tell me why I'm wrong" (academics) versus 9 "I agree with everything that's been said" (civil 10 servants). I think the values are actually very close, 11 but they're different ways of approaching working 12 together.

an academic who becomes a civil servant to understand

13 Q. And, indeed, different ways of communicating.

14 A. Absolutely.

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15 Did that cause any difficulties during the pandemic? Q.

16 Yes, there were several occasions when I had to paper 17 over the cracks, I would say, because it was usually -was it usually this way? -- yes, I think it was mostly 18 19 that an academic on SPI-M-O had told a civil servant why 20 they were wrong in some way that the civil servant felt 21 was rude. And so, yeah, there were occasions when --22 when I -- and I felt it was my job, I was very happy to 23 do it -- was in contact with people to say, "I'm sorry 24 that was upsetting for you, that was -- they didn't mean 25 to be rude to you personally, what they -- you know,

1 to cheer [Name Redacted] up a bit". 2 Q. And the underlying issue there was a meeting in SPI-M-O

3 where members were expressing some level of frustration 4 with the testing and tracing situation?

5 A. I believe that -- I believe so. Elsewhere there's 6 a response from [Name Redacted] saying he's not 7 surprised people are frustrated with the progress of the 8 test and trace system.

Q. Now, if I may turn to separate issue and that's the one 9 10 in relation to further attempts to assist policy-makers 11 and government decision-makers in a slightly different 12 way, and that was the creation of a toy model. And 13 we've heard a little bit about that already, but if you 14 could just explain very shortly in a sentence what a toy 15 model is, please.

A. So a toy model -- I think the phrase really comes from physicists -- is an absolute caricature. It is something where you keep things as simple as possible, either perhaps so you can do some analytical calculations, you know, on a piece of paper, or in this case we wanted to make something that could be freely available both to policy-makers and, if they wanted it, decision-takers, to build their understanding and intuition about how infectious disease systems work. We

25 knew that we couldn't build for them a full sort of well

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- 1 parameterised model with lots of the complexities about 2 how different parts of society mixed together. So we
- 3 were very clear that this was a pedagogical tool.
- Q. Indeed, within the toy model documentation itself, it
 makes clear that it's a teaching tool.
- 6 A. Yeah.

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- Q. It's not designed to give accurate forecasts; it is so
 that people can play around with it to see what the
 potential impacts of different interventions could be,
 but in a very general way.
- 11 **A.** That's right. So going back to this issue that we
 12 talked about right at the moment, right early on, that
 13 if something is growing very fast and the control lever
 14 that you have only acts with a bit of delay, you're
 15 going to get this big overshoot after you've made things
 16 better, that sort of thing. And when I say "we built",
 17 it was built by a team in JBC, a very able team led by
- 18 Fergus Cumming.
 19 Q. And then quality assured and then sent out to various
 20 government departments.
- A. That's right. So my role -- so I was incredibly keen that they should have something that was internally correct. I mean, it wasn't a great model but it didn't have mistakes in it, so that it could be relied on in that sense. So yes, sent it out to be basically peer

change it", because once you've changed it, it is no longer quality assured. You know, we had gone to a lot of trouble to make sure it was correct, and so we said to people, "Please don't change it, please feel free to use it however you like". It had been made into this beautiful, rather easy-to-use thing, I believe, although I never got to play with it myself.

And, I mean, that is a source of some regret to me, because if Treasury had come to us and said, "Oh, this is quite interesting, it doesn't quite do what we need, would you -- if we make some changes to it, properly document and explain to you what we've changed, would you re-quality assure it for us?" And I think I would have sighed because it was a lot of work, but I would have done it. And actually that could have formed the basis for quite an interesting -- a strong interaction.

- 17 Q. Indeed. And one of the themes that you do refer to
 18 within your witness statement more generally in relation
 19 to HMT and Treasury is a lack of transparency over the
 20 economic modelling or advice?
- A. Yes. I mean, I think there was an issue that the
 scientific advice that came through SAGE was completely
 transparent, everything was in the public domain, on the
 day that a decision was announced, and whatever
 modelling Treasury was doing to consider the economic

reviewed by some academics and it was also very carefully reviewed by some modellers at the Defence Science and Technology Laboratory.

Q. We've heard a little bit of evidence already in respect
 of Clare Lombardelli from the Treasury with regard to
 an email chain that you were involved in, along with
 Philip Duffy and Ben Warner, relating to Treasury
 playing around with and changing that toy model, and
 what you say there, in relation to that, is:

"Given their inability to spot egregious errors in other things they were sent I do not have any confidence in their ability to hack a simple, sensible model."

As a consequence, anything they have to say about infectious modelling is very much on them, as opposed to quality assured or endorsed by you or SPI-M-O.

16 A. It was me, this was -- SPI-M-O were actually quite clear 17 that they felt it was not their job to quality assure 18 government work, and I think that was right, they were 19 already doing enough, so it was me as -- really, it was 20 me as CSA MoD, I would say, who took this, found some --21 actually, some of them were SPI-M-O members. But -- so 22 that was done outside SPI-M-O, and I think rightly. 23 But, yeah.

But to go back to the -- in the documentation, I think you'll -- there are things saying, "Please don't

1 case, I've still never seen.

- Q. And with regard to, you may have heard that there wassome attempt at epi-macro modelling by HMT?
- 4 A. Yeah.
- Q. By Treasury. And what you do refer to in your email are
 "egregious errors in other things". Did they have
 adequate or sufficient epidemiological modelling
 experience in your view to do that?
- 9 A. I think they could have used some more. I mean, in the 10 same way that DHSC looks for outside help via SPI-M, 11 even in peacetime, and there's plenty of good modellers 12 in DHSC, but they have the, I think, very good sense to 13 go to academics who, you know, who are world class 14 leaders in the subject. So I think it would be sensible 15 for Treasury if they wanted epidemic models to have 16 reached out that way.
- 17 Q. Thank you.

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Then one final topic before we turn to the governmental response and the response to Covid-19, please, and it's that of data and data flows, and we can deal with this relatively briefly.

We've heard a lot of evidence so far in relation to data and the absence of data, but that's also something that you experienced, certainly at the very beginning of the pandemic but also throughout the pandemic, albeit

- getting better as the pandemic progressed. Would thatbe a fair summary?
- 3 A. Yes, that's a fair summary.
- Q. If I can just pull up, please, INQ000213194 and go to
 page 2, what we have here -- it's the bottom of an email
 from you that I'm interested in. It's halfway down the
 page:

"That does bring me to the elephant in the room Mike."

This is an email exchange that's taking place towards the end of March --

12 A. Yeah.

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13 Q. -- of 2020, 28 March:

"You are going to be horrified when you find out what the data flows coming out of the NHS are like. I just want to warn you. I actually choked when Peter Bruce said SPI-M must be drowning in data."

So we are obviously at this point in lockdown.

We're there. We still have, on your view, significant issues with the data flows coming out of the NHS. Is that right?

- 22 A. Yes, that's right.
- 23 Q. How did that impact upon your work at that stage?
- 24 **A.** There was -- there was real trouble with doing the sorts of analysis that we needed because the data weren't
- A. Yes. And, actually, I mean, I would like to sing their praises, because I think that act of looking after data, cleaning data, making sure that only people who should access it do access it, is often -- they are unsung heroes, those people. They weren't the modellers; the modellers could not have done their work without them.
 Q. And just picking up on two other aspects, if I may, of
 - Q. And just picking up on two other aspects, if I may, of data. If I can call up, please, an email at INQ000061765. It's an email, again around the same time period, 30 March of 2020 through to the 31st, between you, Sir Patrick Vallance and Professor Medley.

Here, what's being flagged again is, we see from the second email down from Graham Medley:

"... the lack of data from devolved administrations should ... be highlighted."

If we go over the page, please, what we have at the end of that email, the penultimate line before the sign-off:

"A key political issue is that we still have no real-time data from outside England."

So what we're seeing here are significant issues in relation potentially to Scotland, Wales and Northern Ireland.

- Northern IrelanA. That is correct.
- 25 Q. Again, what impact did that have on the early stages and

1 available. It's that straightforward.

2 Q. Thank you.

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- 3 A. It did get much better later, so I ... I can't remember
 - the exact dates, but around this time -- I think it --
- 5 I never really knew what happened, it was a bit -- it
- 6 was quite sort of elves and the shoemaker. I came down
- 7 one day and DSTL had sorted it all. I think what
- 8 happened was that my very able private secretary in the
- 9 Ministry of Defence, who came from the defence science
- 10 labs, what they did was they set themselves up as what
- 11 I would call a data haven. Anybody would look at them
- 12 and say: well, surely these people know how to keep very
- 13 sensitive data secret. So they could be trusted by the
- 14 NHS to take the data, clean it, make sure that nothing
- was identifiable to an individual, on the one hand; and
- on the other hand, they could handle all the
- 17 non-disclosure agreements that were absolutely necessary
- from people who were going to access that data.
- So they set themselves up that way and they became the people -- a main conduit -- not the only conduit,
- but the main conduit -- for data, particularly out of
- 22 the NHS, into idea -- into the modelling groups.
- 23 Q. So, essentially, a trusted broker?
- 24 A. Exactly.
- 25 Q. Something of that nature?

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- 1 did that position improve?
- 2 A. That was a -- that was a difficult issue for quite some
- 3 time and it made it very difficult for SPI-M-O to do
- 4 work that was specifically relevant to other -- to the
- 5 devolved administrations. It did get much better over
- 6 time, and in time particularly Scotland and Wales
- 7 actually created very good analytic and modelling
- capability of their own and data flows from other partsof the UK did get better.
- 10 Q. And with respect to that sharing of data and the data
- 11 flows, at what point in the pandemic did you see
- 12 a significant improvement? Obviously not necessarily
- 13 the date, but just broadly.
- 14 A. My sense is that by mid-May it was much better. You
- 15 have to understand that modellers are a bit like farmers
- and the weather, you know, there's never enough data.
- 17 Q. Of course. Did you or SAGE or SPI-M-O ever experience
- 18 any difficulties in obtaining data from Scotland,
- 19 Northern Ireland or Wales, effectively were there any
- 20 blocks put in the way by any of those devolved nations
- or was there a free flow of data, once the capacity was
- 22 there?
- 23 A. I don't think I can answer that question because that
- 24 was -- that would have been handled by my co-chair and
- 25 the secretariat.

- Of course. No, thank you, Professor. 1
- 2 Another area of data, just briefly on ethnicity, if
- 3 I may, you make it plain within your witness statement
- 4 that with regard to ethnicity there was insufficient
- 5 data to account for intersectional disparities, so
- 6 ethnicity and indeed other aspects; is that right?
- 7 A. That -- particularly in these main flows of data that
- 8 were driving parameter estimation for the big models,
- 9 yes. There were sometimes other particular questions
- 10 that we could address with particular datasets but yes
- I would say in the main data streams there wasn't enough 11
- 12 data, and for the kinds of policy questions we were
- 13 addressing I don't really -- I can't think of policy
- 14 questions that really would have driven that kind of
- 15 modelling. Because there's no point in us making
- 16 a model more complicated if it isn't necessary to
- 17 address a particular policy question.
- 18 Q. Indeed. And similarly there was an absence of data on
- 19 wider societal outcomes which feeds into those
- 20 intersectional issues also?
- A. Yes. 21
- 22 Q. We --
- 23 A. Sorry, can I just --
- 24 Q. Of course.
- 25 A. I don't think we're going to visit it here, but there
- 1 Indeed, and really I'm just dealing with the data
- 2 aspect --
- 3 A. Yes.
- 4 Q. -- at this moment with you. Perhaps just to round off
- 5 that topic in terms of your evidence, because we've
- 6 heard evidence obviously from other individuals too,
- 7 Professor, but from the outset did you expect that there
- 8 would be problems arising in relation to care homes and
- 9 outbreaks?
- A. Yes. I think that was -- you only have to look at 10
- 11 historical big epidemics, particularly of respiratory
- infections, that you would have expected that. 12
- 13 Q. Just again briefly, because there will be a module
- 14 dealing with this in greater detail in due course, was
- 15 outbreaks, the incidence of outbreaks and problems
- 16 within care homes, was that something that was
- 17 a foreseeable issue from the outset of the pandemic?
- 18 A. Yes.
- 19 Q. Indeed, within both SAGE and the subgroup, we see that
- 20 testing is --
- 21 A. Yes
- Q. -- one of the --22
- 23 Α. That's true.
- 24 Q. -- tools that is repeatedly referred to respect to
- 25 care homes?

- Yes.

- 4 Of course, at that very early stage we did not have many
- 5 tools in our pockets for helping, and testing would have
- been one of the few things we could do.
- 8 done in relation to care homes?
- 11 attention would need to be paid to care homes.
- 13 a separate subgroup, a working group, was set up
- 14 specifically to deal with that issue?
- Q. Thank you.
- 17 Now, if I may move now to start with the actual
- Covid-19 response, and so taking you back, if I may, all
- 19 the way to your first interactions in terms of SAGE,
- 20 which was on 11 February, I understand that you were
- 21 aware of Covid-19 prior to that as a consequence of
- 22 interaction with Professor Edmunds and -- were you
- concerned at that stage? 23
- 24 A. I think I mention in my statement that on 25 January
- 25 I remember being at an infectious disease public

1 was work done by a different group, not by SPI-M-O, on 2

what Chris Whitty refers to as -- what we always thought

- 3 of as the CMO's four harms. So because -- so that was
- 4 handled by Ian Diamond and John Aston.
- 5 Q. Yes.
- 6 A. So that the -- ways of accounting for the harms wider
- 7 than Covid hospitalisations and deaths.
- Q. And we have heard some evidence in relation to that at 8
- 9 the outset of this module as well from Professor Sir
- 10 lan Diamond.
- A. Good. 11
- Q. Similarly, if I may, data and care homes, just touch on 12
- 13 that briefly here. With respect to care homes and data,
- 14 were you also experiencing problems in accessing data
- 15 flows and data streams?
- 16 A. We had very little data about outbreaks inside
- 17 care homes, so for a long time the data we tended to see
- was: yes, this care home is affected, no, this care home 18
- 19 is not affected. But that made it very difficult to say
- 20 anything helpful about what was happening inside
- 21 care homes.
- 22 We did set up a separate care homes group and had modellers specifically on there, so in a sense the
- 23
- 24 care home modelling was -- was delegated to a different 25 aroup.
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- 2 Q. And that concerns were being raised within SPI-M-O?
- 3 A. Yes, that's right. It was clearly going to be an issue.
- 6
- 7 Q. Were you and SPI-M-O concerned that not enough was being
- A. Yes, and I think SAGE too, I think there were SAGE 9
- 10 comments from quite early on about how particular
- Q. And as you've already referred to, we see that 12
- 15 A. Yes.
- 16
- 18

I	understanding of science event actually in Oxford, so
2	there were sort of lots of us together, and somebody
3	asking me about it, and I remember saying, "Well, John's
4	worried, and that makes me worry". Because, I mean,
5	you know, we've lived through all of you know,
6	umpteen times that there has been a pandemic and so w
7	know how different people react; the fact that John
8	found it worrying was a pretty strong signal, I would

understanding of esiance event estually in Oxford, as

- say, even mid-January.
 Q. Is he normally somebody that is cautious then, and so
 a concern in that respect would be a very significant
 concern?
- 13 **A.** Yes.

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- 14 Q. Now, if I may take you then to the first few weeks of
 15 March, and if I can take you to paragraph 116 of your
 16 statement, you explain that you:
 - "... began to feel that there did not seem to be a plan within government, or a clear sense of how many people were going to die."

Why was that?

A. Where are we ... I'm just trying to look -- "first few weeks" ... it seemed incredible, and from what I've heard now it was incredible, that there could possibly be a strategy of -- of a -- even a slightly mitigated epidemic, that the kinds -- I mean, you've talked quite

5 epidemic, that the kinds -- I mean, you've talked quite 41

And, I mean, I actually hadn't heard about

2 Exercise Nimbus until I heard Ben Warner giving evidence 3 to you, and, I mean, actually -- so if I'd known about 4 Exercise Nimbus on that day I would have thought, "Oh, 5 well, they've had an exercise on it". It was a flu 6 pandemic exercise, but it's still a very, very large 7 number of deaths. So if I'd just known that 8 Exercise Nimbus had happened, I might have been 9 encouraged; actually, if I had known who went to 10 Exercise Nimbus, I might have been a bit discouraged.

11 **Q.** If I can just pick up on that, please, with
12 Exercise Nimbus, and certainly it was not the case that
13 all of the CSAs from the various departments were
14 present?

15 **A.** No.

16 Q. Certainly you weren't aware of it?

17 **A.** No.

18 Q. So there was no opportunity to feed in from thatscientific perspective?

20 A. I think GCSA was there. I'm not -- I think at least one21 of the DCMOs were there.

Q. I mean from the CSA -- I mean from the broader CSAcommunity within government.

24 A. Yes, absolutely. Yes, that's true. I would also -- the
 25 people who were present at Exercise Nimbus were not the
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1 a lot about other people's calculations. We might look

2 at some I have made. If everybody could catch it and it

3 spreads quite well, so that you might expect something

4 like three-quarters of the population to get it, even if

5 the infection fatality rate is only 1%, that's just

6 an unbelievably large number of people. And that was

what led me to say to Ben on that -- one of those days,
 the 10 March day, have decision-takers really understood

9 what they're confronting here.

10 Q. And 10 March that you're referring to is a SAGE meeting?

11 A. Yeah.

12 Q. And Ben is Ben Warner --

13 A. Yes.

Q. -- who was also in attendance. We also know that
 Professor Riley was there, Professor Ferguson.

16 **A.** I think Professor Riley was not there but we spoke about17 an important paper of Professor Riley's.

18 Q. Yes, and it was his paper that was being discussed in19 any event at that meeting?

20 A. The point about that paper was, remember,

21 Professor Riley had lived and worked in Hong Kong, so he

had experience that was lacking for many of us. And,

you know, he expressed this very strong view: we're

going to have to go into lockdown and stay there. And

so that was 10 March.

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secretaries of state who would be sat round the Cabinet table making these decisions.

Q. So at that point did you -- were you concerned thatgovernment had not got a grip on the situation?

5 **A.** I was concerned that the people who were being asked to make these very consequential decisions that were coming

7 our way very fast may not have got their heads round

8 what it would feel like to have three-quarters of the

9 population infected and 1% of them die. I mean,

10 that's -- it's clearly unconscionable -- whatever the

11 word is -- not something any politician can conceivably

12 agree to. So I wasn't clear what it was they'd agreed

to on that -- on that day, on the 10th.

14 Q. Indeed. And as you say, that's what prompted you to15 speak to Ben Warner --

16 **A.** Yes.

17 Q. -- about whether or not those decision-makers in18 government --

19 **A.** Yes.

20 Q. -- ie the politicians --

21 A. Yes

22 Q. -- the Prime Minister and members of the Cabinet --

23 **A.** Yeah.

24 Q. -- secretaries of state had understood the consequences?

25 **A.** Yes.

- The overwhelming of the NHS --1 Q.
- 2 A. Yes.
- 3 Q. -- and the tsunami of deaths that would potentially be 4 coming --
- 5 Α. Yes
- 6 Q. -- the -- in the way?

7 Your involvement then continued, and indeed you 8 attended SAGE 15, meeting 15, then on 13 March of 2020, 9 and at that point ICU hospital capacity was being 10 discussed by Professor Edmunds. What was your view in relation to hospital capacity and what you knew about 11

- 12 the potential pandemic?
- 13 A. So this was the first time I saw a picture that compared
- 14 potential pandemic and ICU capacity, and it was really
- 15 shocking. Because -- I mean, you've all seen it now,
- 16 but it is this line right down at the bottom, not so
- 17 many at the top. And I did also -- a relative of mine
- 18 was working in a London ICU then, and from what she
- 19 described and from what I knew about exponential
- 20 growth -- you know, they didn't have many people but if
- 21 the doubling time was less than a week, it was just very
- 22 obvious that they would be in big, big trouble in a few
- 23 weeks' time.
- Indeed. And that prompted you to send Professor Sir 24 Q. 25 Patrick Vallance an email.

- 1 Α. No.
- 2 Q. You just need to apply basic facts and, to some extent, 3 that word common sense --
- 4
- A. I think what modelling might -- would help you with is
- 5 this sense of how fast is it growing, how long have
- 6 I got.
- 7 Q. Indeed. And this document, you explain that you know
- 8 there were many uncertainties, you're looking at
- 9 realistic current capacity versus reasonable worst case
- 10 epidemiology as well within there, but really focusing
- in here on just NHS capacity and it being overwhelmed? 11
- 12 A. Yeah
- 13 LADY HALLETT: Sorry just to interrupt again. What made you
- 14 pose the question: but what if it's here? To lower the
- 15
- A. Yes, the meeting that we'd had on that Friday, where 16
- 17 John Edmunds had shown a picture where -- I think he'd
- 18 had some real data -- I think it was just from one
- 19 county, actually -- and had drawn, effectively, that
- 20 picture.
- LADY HALLETT: So who had given you the higher dotted line? 21
- 22 A. That was my interpretation of what we seemed to be
- 23 saying, that the situation was going to be something
- 24 where we make it a little bit better and then we'd stay
- 25 within capacity --

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- And if I can just pull that up, please, that's 1
- 2 INQ000195889.
- 3 And this is your own calculation --
- 4 A. It's not a calculation, it's just a drawing.
- 5 A drawing. I mean, "calculation" places it too high? 6 It's probably --
- A. On purpose actually, because it's -- see, I was worried 7
- 8 that people were looking at models and saying, "Oh, it's
- 9 just modelling", and so I wanted to say this isn't
- 10 really about modelling, this is about have we somehow
- 11 been making the wrong assumption about where capacity
- 12 lies relative to what we're going to do.
- 13 Because on Friday we'd been shown the picture where
- 14 the dotted line is way down the bottom, and if the
- 15 dotted line is way, way down there, all this discussion
- 16 about are we going to mitigate or are we going to
- 17 suppress, well, if you're going to stay below the dotted
- 18 line, to mitigate is to suppress. It was -- it was --
- 19 once you -- once -- once you see where that lies it's
- 20 not a complicated question --
- 21 Q. No.
- 22 Α. -- if you are not going to exceed capacity.
- 23 You don't need a model?
- 24 A. No.
- 25 O. You don't need modellers?

- 1 MS CECIL: And the NHS would cope, effectively?
- 2 Yeah.
- Q. But actually capacity seemed to be at quite a distance 3
- 4 from that?
- 5 A. Yeah
- 6 Q. And as a consequence would be overwhelmed and swamped 7 very quickly?
- But other people who were having conversations --8 Δ
- 9 I mean, this is me at home in Oxford, you know, doing
- 10 little drawings. Other people who were in London that
- 11 week were in the process of finding out how far along --
- 12 or, in real life, how far along those lines we were,
- 13 which was actually, as you heard earlier this week, the
- 14 much more compelling piece of evidence.
- 15 Indeed. If I can just then take you down slightly
- 16 further on your page, this is where you set out what you
- 17 consider to be the combinations of options:
- 18 "If capacity is nearer the low line ..."
- 19 A. Yeah.
- 20 Q. "... what other combinations of options are there?"
- 21
- 22 Q. This is on 15 March. And we see, at the
- 23 top: "Lockdown"?
- 24 A. Yeah.
- 25 Q. "Intermittent lockdown"?

A. 1 Yeah.

- 2 Q. Presumably coming into lockdown, coming out, going back 3 into lockdown?
- 4 Δ Yeah

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5 "Spread out [over] time to achieve immunity".

What does that mean?

- 7 A. Other ways of -- intermittent lockdown is one way to 8 spread out when your infections happen. We could have 9 thought -- I'm sure if we'd applied brain we could have 10 thought of others.
- Q. And "Continue contact tracing". We'll come to that 11 12 a little bit later.
- 13 And then:
- 14 "Others?"
- 15 Α. Yeah.
- 16 So we see that you are flagging here to Professor Sir
- 17 Patrick Vallance those options, if this is where we are?
- 18 A. Yeah.

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- 19 You raise herd immunity effectively to demonstrate the
- 20 numbers that it would take to reach a level of
- 21 population immunity?
- 22 A. Well, actually, if you look at what is happening here,
- 23 I'm not actually doing any kind of herd immunity
- 24 calculation. There's no 1 minus 1 over R here, it's
- 25 just if you wanted to end up with half the population
 - occurred. So, I mean, that -- I think that's a sort of polite way of saying: why on earth have we not had a discussion in which we look at some of these potential waves we're thinking about and plot them against our known capacity?
 - I think there's con -- I mean, from other things I've seen you look at, perhaps those conversations were happening somewhere else.
- 9 Q. If I can just also just pick up on one further point 10 before leaving the document, you also raised nosocomial
- 11 infections, so infections take place when an individual
- 12 is admitted to hospital, and certainly that was also
- 13 a concern of yours at this stage; is that fair to say?
- 14 A. Yes
- 15 Q. We then move through the pandemic in terms of -- in
- 16 terms of announcements. On 16 March, just so that
- 17 you're aware, that's when the Prime Minister says "Now
- 18 is the time for everyone to stop non-essential contact
- 19 and travel", and by 18 March you, within SAGE, and
- 20 indeed SPI-M-O as a consequence, had some data in
- 21 respect to what -- how people's behaviours had changed;
- 22 is that right?
- 23 A. That's right, there was, this was paper -- sorry, this
- 24 was data that we looked at at SAGE on 18 March. So this
- 25 is two days after voluntary stay at home.

- immune what would happen. And so that's that first row. 1
- 2 And that's the sort of numbers that would have been
- 3 looked at in Exercise Nimbus. It's not exactly those
- 4 ones but it would have been something like that.
- And, I mean, you just get to these numbers that 5 6 clearly no one's going to tolerate. No one is going to
- 7 tolerate 2,000 deaths each week.
- 8 Q. Indeed.

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- A. So a sense that -- I guess what I'm saying at this stage 9
 - is what Chris said two days ago: nobody was ever
- 11 considering this. And I guess I was getting up to speed
 - with this was not something that could be considered.
- 13 Indeed. And then you send this document the same day to 14 Professor Medley?
- 15 A. Yes, because that, I did not want to be -- remember I'm
- 16 not on SPI-M at this stage, so I didn't want to be
- 17 treading on his toes, just making sure he sees it.
- Indeed. 18 Q.
- 19 Now, just going back to the NHS data point that we 20 discussed earlier, would you have expected better 21 quality data at this stage to inform these sorts of
- 22 calculations in terms of ICU capacity bed space?
- 23 A. Yes, I think it would have been very useful if, in one 24 of the SAGE -- as I say at the beginning, there's
- 25
 - a discussion that I missed that SAGE -- if it has
 - Q. What we see from that is that they had achieved around a sort of 40% reduction --
- 3 Α.

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- 4 Q. -- in social contacts, but was that sufficient?
- 5 A. No, we knew that we needed about 75% reduction in
- 6 contact. So I think -- look, this is something I think
- 7 is quite important to remember, that we did actually
- 8 data that very day that said that voluntary reductions
- 9 were not enough.
- Q. We see quite significant -- in fairness, we see quite 10
- 11 significant voluntary behavioural change in reality. We
- 12 see that "16% of those with school age children have
- 13 already stopped their children going to school". We see
- 14 the public reacting to that announcement?
- 15 A. Alreadv.
- Q. But as you say, it simply was not enough in terms of --16
- 17 A.
- 18 Q. -- what you considered was necessary to prevent the
- 19 tsunami of potential infection?
- 20 A. Indeed.
- LADY HALLETT: Did you have enough data? You said you had 21
- 22 some data, but I think I have heard other people say
- 23 that it would take more than just two days to get
- 24 sufficient data as to --
- 25 A. Yes.

LADY HALLETT: -- whether or not it was working. So what 1 2 conclusions can we get after two days?

A. What conclusions we get after two days is that after two days we haven't got enough. I think there are other -- I mean, that's all we had and a decision had to be made.

So I think there are other data streams that tell us that the voluntary measures weren't enough, and in particular the fact that hospital admissions peaked on April 2nd, and that's ten days after March 23rd. If 16 January had been enough -- sorry, if 16 March had been enough to get R below 1, we would have expected hospital admissions to peak ten days after that.

MS CECIL: And that's your lag point as well? 14

That's the ten-day line. 15 Α.

16 Q. Indeed.

17 A. Yeah.

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18 Q. So broadly accurate but insufficient?

19 A big -- I mean, I think all of us who were there --20 I mean, actually I have a photograph on my phone of 21 an empty train as I went into London that week. It felt 22 enormous. But actually, I think, if we remember, it was 23 not as enormous as the next week. I mean, the next week 24 it was really sort of wind down an empty street, wasn't

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1 enough information on that date to say "We need to stop 2 all non-essential contact".

3 MS CECIL: My Lady, I'm going to turn to another topic. 4 It's slightly earlier than would ordinarily be the break 5 but it may be that now is an appropriate moment.

6 LADY HALLETT: Thank you, I shall return at 10.50.

7 (10.37 am)

8 (A short break)

9 (10.50 am)

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LADY HALLETT: Ms Cecil. 10

MS CECIL: My Lady. 11

> Professor, if I may just pick up very briefly on lockdown. We'd just got to the point of the first lockdown and the stay at home guidance. You were expressing your views on the timeliness of that lockdown.

Can I just be clear, is that from a public health perspective?

19 A. Yes. I'm not entirely sure it's sensible to completely 20 separate out the public health perspective and all the 21 other costs. I think, actually, you had strong evidence 22 from my colleague Tom Hale in Oxford that the countries 23 that did best were countries that kept incidence low, 24 and they had both better health outcomes and better 25 economic outcomes. So the idea that it was this pure

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Q. Indeed. And that's when, of course, lockdown was 1 2 announced?

3 A. Yes.

4 Q. Now, with respect to the announcement of lockdown in terms of its timeliness --5

6 A. Yeah

7 Q. -- what is your view on that?

You've already heard from colleagues that it was too 8

9 late. So if we're doing a with benefit of hindsight

10 exercise here, I would say it should have been two weeks

11 earlier, you know, that that would have made a really

12 huge difference. Now, we didn't have the data two weeks

13 earlier, so ... by the 16th we had -- we had enough

14 data. In my opinion we should have gone into lockdown

15 on that Monday the 16th.

16 Q. And that's the critical period from your perspective,

17 the 16th? The 16th is that critical timing, in terms of

the datasets that you had at the time --18

19 A. Yes.

20 Q. -- and the knowledge base that you had at the time?

21 A. I think on the 16th, given what we knew about how fast

22 this epidemic was spreading, given what we knew and

23 could surmise about the fact that there seemed -- that

24 probably everybody could catch it, I mean, probably

25 everybody was susceptible to catch it, I think there was

1 trade-off, one thing or the other, I don't think is 2 a helpful mindset.

3 Q. Indeed. But, of course, when considering lockdown --

4 A. Yeah.

5 Q. -- there are other factors and there are other broader

6 considerations alongside the public health

7 considerations, as you have identified, economics, which

may go one way or the other. 8

A. Yes. 9

10 Q. Indeed.

11 Then just dealing with your point about lagged 12 delays. So we have the announcement of the lockdown on 13 23 March and stay at home, and we see -- and you've set 14 it out within your witness statement -- that, again,

15 peak hospital admissions then subsequently fell ten days

16 later on 2 April.

17 A. Yes

18 Q. What does that mean in terms of the R number, from your perspective? 19

From my perspective, that tells us that the R number 20 A. 21 fell below 1 for the first time about ten days earlier,

22 namely on 23 March.

23 Q. So we're seeing those correlations, and you've given two 24

examples now --

25 **A.** Yes.

Q. -- in your evidence so far. 1

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In terms of support for that view, what you also set out is the alternative, if the R number had been higher during that second half of March.

What implications would that have had in relation to the first wave?

7 A. Well, let's -- there are various possibilities. If it 8 had been higher and above 1, hospital admissions would 9 have continued rising, I think. I mean, that would have 10 been intolerable. We were -- everybody was very, very worried those days in early April. Was it going to 11 12 peak? Would the stay at home law -- so would the 13 imposed lockdown be enough to bring R below 1? That was 14 the first thing. So that's -- a terrible outcome would

> But even if it had fallen a little bit below 1, say -- we think now it fell to about 0.7. If it had fallen but not that much, that first wave would have been bigger. It would have -- so we'd have had a peak, but it wouldn't have come down so fast.

have been if hospital admissions had carried on rising.

I think most people feel that the first wave was bad enough.

- 23 Q. I suspect that's a view that's broadly shared --
- 24 A. Yeah
- 25 -- and uncontroversial.

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don't know when we might have either drugs that are so great that we can treat infections really well, or a vaccine. So we don't know how long this is going to go on for, let's start to have a think through what we can do about it.

and the high incidence comparison that we ended up making was an attempt to start a conversation, both with policy-makers and decision-takers, along the lines of: well, what is your strategy? What's the plan?

12 Indeed, and a lack of strategy or clear plan or 13 strategic aim is one of the primary themes that's 14

- 15 A. Yes.
- Q. If I can deal with that briefly with you now. 16

17 18 or the closest thing you got to was the focus on the 19

- 20 A. That's right.
- 21 22 and your ability within SAGE and indeed SPI-M-O --
- 23 A. Yeah.
- 24 -- to model interventions and to provide, effectively, 25 options that could be developed to policy?

1 If I can ask you questions then about your 2 involvement in the exit from lockdown. You've already 3 touched upon one aspect of that by reference to your 4 colleague, Professor Thomas Hale --

- 5 Α.
- 6 Q. -- in relation to low incidence --
- 7 Α. Yes

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Q. -- and the role that that may have played in relation to 8 9 pandemic response and outcomes.

> Now, in April, on 10 April, you attended a working group on the science of exit from lockdown, and at that meeting, that working group, there were two scenarios that were being discussed, weren't there: a low incidence scenario and a high incidence scenario.

Can you just explain for us in simple terms what a low incidence scenario is, and then we'll move in a moment to the high incidence.

18 So I think a good way of labelling those -- we ended up 19 labelling them "hospitals empty" or "hospitals full". 20 So high incidence actually wasn't all that full. And 21 the question -- actually, what we wanted to do was start 22 to have strategic think-through about: what are we going 23 to do? You know, we're in a deep hole here. We've got 24 a nasty infectious disease circulating that is -- makes 25 many people very ill, and sadly kills quite a lot too,

and most of our population is susceptible to it, and we

And so in some ways I would say the low incidence

contained within your witness statement.

You explain that the primary strategy that evolved R number.

Q. What impact did that have in terms of strategic thinking

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- 1 So I think if we were to be very straightforward, we 2 could say the ends were: you will not collapse the NHS. 3 So that was the strategic aim. The ways were: well,
- 4 we'll control mixing so the R number is slightly less
- 5 than 1. And my feeling was that was only really half of
- 6 an explanation of what we were trying to do, because R
- 7 round about 1 just means that the number of infections 8 each day is flat. So you can have R round about 1 with
- hardly any infections each day, or R round about 1 with 9
- 10 lots and lots of infections each day. So it didn't
- 11 express an opinion about what those in power thought was
- 12 the right way to work our way through this very
- 13 difficult situation, I felt.
- 14 Q. For example, by otherwise considering other targets, 15 such as the number of infections each day, or even, as 16 unpalatable as it sounds, the maximum tolerable limit in 17 terms of deaths.
- A. Yes, or we could perhaps have had -- I think it would 18 19 have been helpful if we had had an expression from the NHS of how many people could they manage in hospital at 20 21 any one time with Covid, whilst also doing all the other 22 things that we need the NHS to do. But there was no 23 appetite ever to express with such clarity what the plan 24
- 25 Q. And the consequence of that is that there's no target

1	that's	breached	
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- 2 **A.** No
- 3 Q. -- potentially, and effectively a trigger -- or for use
- 4 of a shorthand, to trigger other interventions or to
- 5 bring in further aspects of a plan.
- 6 A. There were some levels, weren't there? There were those
- 7 five levels I expect other people have talked to you
- 8 about. But I don't think we ever had from central
- 9 government: we want R slightly less than 1 and the
- 10 number of new infections per day less than, let's say,
- 11 some thousands of numbers.
- 12 Q. And did you request further guidance or a clear
- 13 strategic aim?
- 14 A. I certainly requested them of my civil service contacts,
- and, I mean, they got to a stage where they knew what
- 16 I was going to say, you know, because the plans would
- 17 come back that it was, you know, sort of a tolerable
- number or something, and they knew I was going to say,
- 19 "Well, what is a tolerable number? What number is
- 20 that?" But, I mean, I think it's very clear that that
- 21 was a choice, not to articulate a number on what was
- 22 tolerable.
- 23 Q. Indeed. So that in itself was a positive decision.
- 24 A. Indeed.

- 25 **Q.** The consequence from your perspective -- and
 - you could imagine a world where we had said -- well,
- where decision-takers had said, "Oh, gosh, we've
- 3 breached the target, let's get a grip", and that is not
- 4 what happened.
- 5 Q. We're going to move to that in due course.
- 6 But coming back, then, to 10 April and this working
- 7 group, this was an effort in terms of low and high
- 8 incidence --
- 9 A. Yeah.
- 10 Q. -- running a pandemic, also you've put it, in hot or
- 11 cold terms --
- 12 A. Yes.
- 13 Q. -- to engage or get decision-makers and policy-makers to
- 14 engage with what it was that they saw as a clear
- 15 strategy.
- 16 A. Yes, and the point of that document was to lay out how
- 17 different things would be with respect to a whole lot of
- 18 different factors. For example, contact tracing is only
- 19 really going to be able to make a huge difference if
- 20 you're running a cold epidemic, if you've got low
- 21 incidence, because once incidence is really high, it
- 22 becomes very difficult to do contact tracing well enough
- 23 to find everybody who's got infected and get them into
- 24 isolation. So there is quite a long table in that
- 25 document of sort of careful thinking about what would be 63

- 1 I appreciate this is from a public health or science
- 2 advisory perspective that I'm asking you to speak about
- 3 here -- was that it was very difficult -- well, was it
- 4 very difficult to therefore model and plan and provide
- 5 a route through?

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- 6 A. So if you're not told what's the objective, it becomes
 - very difficult to say, "Watch out, you're three weeks
- 8 from breaching your objective", say. So we always had
- 9 to impose an objective of our own. So somewhere else in
- these documents you will see a document where we say,
- 11 "Well, you're a few weeks from having more admissions
- every day than you had in the peak of the first wave".
- 13 That wasn't because somebody else had said, "Please do
- 14 that calculation"; it was because we felt
- decision-takers needed to see that calculation because
- 16 things were getting so bad.
- 17 Q. Indeed. So with a clearer goal or level or strategic
- 18 aim in mind --
- 19 A. Yeah.
- 20 Q. -- would it have been possible, looking back, to have
- 21 managed the pandemic more effectively?
- 22 **A.** Looking back, let us imagine that in September 2020 we
- 23 had breached some then specified level -- actually we
- did, we breached the reasonable worst-case scenario,
- 25 which had been specified by Covid-S, not by us -- we --
 - 6
- 1 the difference between these two.
- 2 Q. Thank you.

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- 3 A. If I may, there is -- I think really the closing
- 4 paragraph of that document finished the question for us,
 - in which somebody makes the point or the point is made:
- 6 well, let's just imagine that low incidence is about
- 7 one-fifth of high incidence, that means we're accruing
- 8 immunity five times more slowly, that means -- if we
- 9 think roughly speaking -- we did think roughly speaking
- we'd get to a useful amount of immunity in one year with
- 11 high incidence.
- 12 Q. With high incidence?
- 13 A. With high incidence. That means if we think we might
- 14 get a vaccine or a really good pharmaceutical, a really
- good drug, in anything less than five years, then we
- 16 should go for low.
- 17 LADY HALLETT: Can I --
- 18 A. And that was sort of the killer for us. That was the
- 19 end of the question for us.
- 20 Yes.
- 21 LADY HALLETT: Sorry to interrupt.
- 22 Can I just go back to the point about not having
- 23 a plan.
- 24 A. Yeah.
- 25 LADY HALLETT: I appreciate having a plan or a strategy

1	would make your life and the lives of your colleagues
2	a great deal easier to provide what you considered to be
3	more accurate and sensible advice, but provided the
1	experts advising decision-makers were getting the
5	message across that this was going to be a nightmare,
3	the NHS would be overwhelmed, do you have to have
7	a target that gets breached?
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8 A. No. No, we don't. We might come to that at the end,
 9 because there was never any target expressed in the 2021
 10 spring documents. There's no numerical target.

I would say it's probably more to do with politics and values and acting fast. I mean, I think the fact that fast action was required, I think that is a scientific issue, because it's to do with the system science of -- it's basically to do with fast exponential growth and fast talking. Fast exponential growth and lag delays, those are scientific issues. I think articulated targets might have driven faster action, and in that case would have been good from the point of view of pandemic control.

21 LADY HALLETT: Thank you.

MS CECIL: We'll touch upon that as we come into the autumn period in due course, and then indeed we will turn to the roadmap and the targets and objectives that were outlined there and the policy objectives.

As a simple way of explaining to those decision-makers what the consequences of either hot or cold, low or high incidence, would be.

Now, in terms of SAGE and your colleagues, the general consensus was that the low incidence approach was the preferable one.

- 7 A. Correct.
- 8 Q. And that was communicated upwards.
- A. I assume so. Yes, I think -- so I'm pretty sure that
 what happened is that Chris and Patrick wrote their own
 version of this document, which they, I assume, then
 took to Cabinet.
- 13 Q. We touched upon that already in terms of some of theevidence that we've heard.

Now, just if I may now turn to another aspect, that alongside all of this, while you're running through those scenarios, you're also considering the possibility, at least, of elimination.

- 19 A. Discussing, yeah.
- 20 Q. Indeed, and you raised that --
- 21 A. Yeah
- Q. -- on 18 April and indeed on 19 April, firstly in
 an email to Professor Medley on the 18th, and then
 secondly in a conversation with Professor Sir
 Patrick Vallance. In respect of that, you're

Patrick Vallance. In respect of that, you're 67

Perhaps just to round off this, if I can just bring
up INQ000212100. This is an email from you. It's the
one that attaches your paper that we've just been
discussing. But you boil it down to
Sir Patrick Vallance within this email -- if I can just
go down slightly further -- into a very simply
dichotomy, in many respects --

A. Yeah.

Q. -- for politicians, so decision-makers:

"Do you want to keep COVID deaths as low as possible until pharmaceuticals produce a solution [that's your vaccine or your medicine]

"Or

"Are you prepared to define a tolerable level of COVID deaths that would allow us to start moving towards an immune population whilst we wait."

And of course, as you say:

"The devil then is how small can 'tolerable' be and still move us towards an immune population at some meaningful rate."

And then you explain further, as you've just touched upon:

"... if you want to be at population immunity within a year, we cannot imagine getting there with any fewer than N deaths ..."

essentially saying: have we properly considered it?

Have we ruled this out as a potential option?

You also address within that the potential for the

You also address within that the potential for the health and social care infections to be driving the community epidemic as opposed to the other way round, with the community epidemic driving the health and social care infections.

We've not seen any further material in relation to pursuing elimination as a strategy, but what were your views at that time, and was it a possible strategy to be pursued?

So quite quickly, because the infection was so widely seeded across the population, and as we were finding out more about asymptomatic infections, it was very clear that it was not feasible. At that point, I -- we probably had a discussion in which we said: well, we could do a bunch of calculations, we could make a model, we could, you know, do some squiggly Greek letters, but at the end of the day we're just going to say exactly those words. So this was a place where doing some modelling simply doesn't add anything, so let's not do it. So that was elimination.

I think I did feel then: let's challenge that a bit, let's make absolutely clear, because Australia and New Zealand were aiming for elimination, but -- so let's

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1	be clear in our heads why we think it's not going to be
2	possible. And for people like us, the way to be clear
3	in your heads is to think it through with a little
4	model. But it clearly wasn't a useful way to spend
5	a lot of time for SPI-M.

6 Q. Indeed.

A. Was the -- were the hospital and care home epidemics 7 8 driving the -- did we need a three -- basically, 9 a three-part model, so a model that had community and 10 care homes and hospitals? I think we probably put that to modellers and they said, "No, we don't think so". 11 12 One of the big models did end up with care homes in it, 13 but fundamentally I think we ended up with a decision 14 that: no, they weren't driving. They were important in 15 terms of places where large numbers of very vulnerable 16 people gathered together, but they weren't important in 17 terms of driving transmission back into the wider 18 population.

19 Q. Just touching, if I may, on elimination more generally,
 20 this was obviously in April, but looking back now, would
 21 elimination have ever been possible on what is known?

A. Certainly not after we'd seeded the epidemic the way wedid after half term in February.

24 Q. Thank you.

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Now, as we emerged from lockdown 1, and moving then

1 observed at that period in the pandemic?

A. I do not remember exactly what happened with the
 unlocking of the lockdown through the summer of 2020.
 I'm sorry, I can't exactly remember.

5 Q. Not at all.

6 A. Numbers were low then.

7 Q. Thank you.

In terms of any feedback loop that you had, what did you consider the main drivers within government would have been in relation to exit strategies from lockdown and, more generally, the loosening of restrictions?

A. There were very clear indications that, quite 12 13 reasonably, recovery of economic activity was a very 14 strong driver. We can tell -- we can remember now that 15 getting children back to school for sure for September 16 was a very strong driver, and I can remember debate that 17 if we wanted children back at school -- and clearly we 18 did -- we might well have to put a lot of restrictions 19 on other kinds of activities.

20 Q. Thank you.

A. Especially given that contact tracing was not ramping upas fast as we had hoped.

23 Q. Indeed.

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Now, if I may take you, please, to paragraph 120 of your statement at page 36. This is dealing with the 71

into the summer months of then May 2020, you took part in -- well, over the course of the pandemic -- a number of Number 10 Downing Street press conferences.

In May 2020, in reference to coming out of lockdown and any changes in relation to lockdown, you explained that the scientific evidence was clear, and that any changes to lockdown were also dependent the track and trace system being in place.

Now, that was obviously your comments then in May 2020. Was that track and trace system ever in place to an adequate level to enable that to actually take place?

13 A. I think our estimates in the summer of 2020 was that it
14 was probably blocking about one in five, so 20%, of
15 onward infections, and that in order to have a really -16 in order to have so substantial an impact that big
17 changes could be made to how much mixing we could have
18 without driving R above 1, that needed to be more like
19 four out of five.

20 Q. Thank you.

Further in that press conference, you also said that any lifting of restrictions should be based on observed levels of infection and not on fixed dates.

24 A. Yeah.

25 **Q.** So effectively data not dates, in shorthand. Was that

position coming out of that first initial lockdown and, indeed, in April of 2020 through to May. You explain there that -- it's about halfway down:

"I do not know what people in government understood the characteristics of Covid-19 to be, but we were worried that for whatever reasons, decision-makers had not taken on board quite how serious it was."

You explain then by reference to an early meeting that you had in the Ministry of Defence, where your comment that it would take at least 18 months was met with disbelief.

Was that a view that you saw more widely across government?

A. I think if we'd been in the room together -- of course, it was all a Zoom meeting then -- I would have used the phrase "you could have heard a pin drop". Perhaps "disbelief" is a little bit hard. But I think people -- I think -- I mean, it was quite shocking, wasn't it, I think, for all of us, the thought that -- that was quite a hard idea to get your head round, that we were in so much trouble that it might take us more than a year to get out of that trouble. And, as I say, there were midway reviews in April which -- we were certainly not midway in April, were we?

But I think in retrospect, one of the things that

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1	I wish we had done is this sort of what I think of as
2	the missing commission. So in that summer of 2020 when
3	you know, things were under control, I really wish there
4	had been a cross-government commission that recognised
5	all this, that said, "Well, we're in this for the
6	long term, it's going to take until we get a vaccine,
7	and then another year, so what are we going to do?
3	There aren't really good options; are there any less
9	worse options?" And I would have in retrospect,
0	I think we should have used the expertise that we had,

- 1
- both inside government and had already gathered from 11
- 12 outside government, to pause and think really carefully 13 about a long-term plan.
- 14 Thank you. Would you describe that as a missed
- opportunity? 15 16 A. I do think that was a missed opportunity. Again, with 17 the benefit of hindsight. I didn't ever ask for it at 18 the time.
- 19 Q. That's the next -- indeed, you've already foreseen my 20

But perhaps then therefore going to the summer of 2020, and you've explained that infections were low, the R rate was around 0.7 or so at that stage in the summer, we then move into the June, July, August period, and of course in July, the Eat Out to Help Out policy was

22 June 2020, and it's INQ000074930, it's page 1, and if I can go to paragraph 4.

Here, what we see within SPI-M-O is effectively it's looking at how one goes forward and takes NPIs forwards and relaxation, and what's set out here is that:

"Rather than focusing on re-introduction or relaxation of individual measures in isolation, it is necessary to consider a package of interventions as a whole and what implications one measure may have for the choices in [another]."

It's trade-offs, in short; is that right?

12 A. Yeah. Absolutely.

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13 You explain you could use the ready reckoners that we've already discussed and touched upon to explore the impact on transmission from one intervention to be weighted 16 against other potential relaxations. None of that work, as far as you are aware, was conducted in relation to the Eat Out to Help Out scheme by SPI-M-O or SAGE?

Certainly not by SPI-M-O or SAGE, I don't know if by 19 A. 20 other people.

Then if we go to paragraph 5, you explain here that --21 22 this is SPI-M-O's view.

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- 23 Α. Yeah.
- 24 Q. So the committee:
- 25 "... do not believe it is possible to return to

1 announced.

2 We know that SAGE were not consulted on that policy;

3 is that right?

4 A. That is right.

Was SPI-M-O consulted? 5

6 We were not consulted.

7 Q. Indeed, you've been through both the SAGE and the SPI-M-O consensus statements and there's no references 8

to it there. 9

10 A. Correct.

Q. Do you have any knowledge at all about what, if any, 11 scientific advice informed that scheme? 12

13 No, I don't know anything about any scientific advice 14 that went into that.

15 Q. In terms of your view on that scheme, and the advice 16 that you would have given at the time, what would that

17 have been?

18 A. It would have been along the lines of advice that we 19 were giving routinely, which is that there wasn't much 20 room for increasing mixing, and the kind of mixing that 21

should be avoided is between households indoors. So we 22 would have said, "Could you not find some other way to

23 stimulate the economy?"

24 Q. If I can just call up, please, a SPI-M-O paper which 25 deals with social distancing measures. It's dated 74

1 a 'pre-COVID' normality, without levels of contact 2 tracing and COVID security effectiveness that would be 3 difficult to achieve without some sort of additional 4 increase in immunity ..."

Or vaccines, and so on.

Just to be clear, Covid security; effectively, NPIs? Covid security is more things like everybody washing

8 their hands very carefully, maybe wearing masks, do you remember there were all those screens that went 9 10 everywhere, restrictions on how many people were in

11 a room. So it was more the -- yes, those sorts of NPIs,

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13 **Q.** If we continue at paragraph 5, it states:

14 "In order to be able to re-open schools in September 15 without causing a second wave, it [is] therefore 16 critical that some measures remain in place."

17 So that the reproduction number (R) remains below 1 18 at the start of September when they all return to

19 school.

20 A. Yes.

21 Q. That's the position in June; would that have still been 22 the position in July?

23 A. And August.

24 Q. And August.

25 A. And September.

1 Q. Indeed.

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Now, at that point of the advice in June and through July, the R number was 0.7 to 0.9, and it began increasing in August. Indeed, upon school return in September, it was between 0.9 and 1.1, and then increased to an R rate of 1 to 1.2 by 11 September, and then we saw an increase upwards.

So in respect of that second wave, was that second wave foreseeable?

- 10 A. Yes, that second wave was foreseeable. I mean -- but
 11 because -- for the simple reason that virtually nobody
 12 had had it.
- 13 Q. And, indeed, winter was also coming.
- 14 A. Yes.
- 15 Q. And we've heard from Professor Sir Chris Whitty that
 16 history has taught us that, in pandemics, second winter
 17 waves often far exceed the first wave.
- 18 A. They do indeed. Actually, I think most parents know
 19 that September is a time of year when respiratory
 20 infections are often rife.
- Q. Indeed, September to December is constant germ aftergerm.
- 23 A. Yeah.

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Q. So if I can then move into the September period, so
 schools returning, and if I could just take you, please,

So it would have been in our minds all along that if we wanted this time to keep infections flat whilst they were still low, so not get ourselves into a panicky situation where it's all running away from us, this was when we needed to do it, and, you know, this idea that the interventions that keep an epidemic flat are not as bad, not as damaging, as the ones that you have to impose if you've got to get cases down really fast.

So that was the time to act, we felt, and we kept saying so, and I suppose we couldn't understand: why weren't we explaining clearly enough that this was what we needed to do?

13 Q. Indeed.

14 If I could just call up the SAGE minutes from15 17 September of 2020.

- 16 A. Yeah.
- 17 **Q.** -- it's INQ000061565, and it is paragraph 3 on page 2.
- 18 **A.** Yeah.
- 19 Q. What we see here is advice in relation to20 a circuit breaker, and it explains:
 - "... where more stringent restrictions are put in place for a shorter period could have a significant impact on transmission. Modelling indicates that a 2-week period of restrictions similar to those in force in late May could delay the epidemic by

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to paragraph 153 of your statement. It is on page 47.

You describe this quite acutely as the "worst moment
of the pandemic". Why do you say that? Why do you
describe it in those terms?

- 5 A. It was very frustrating for us to have been asked to 6 advise the government, and to advise the government that 7 the autumn would be difficult and that that difficulty 8 would manifest as rising numbers of infections, and then 9 we had this astonishingly good ability to watch that 10 happening with the ONS Covid infection study, and it 11 proceeded to happen, so we said, "You should do 12 something now", but nothing happened.
- 13 Q. Do you recall the earliest point at which you said, "Youshould do something"?
- 15 A. Let's have a think. I ... we had made the -- in the 16 summer, we had made the new reasonable worst case, so 17 that was the one that sort of ran from, I should think, 18 about August into late autumn, and in the reasonable 19 worst case -- which, as I say, was based on actions 20 specified by Covid-S -- cases start to tick up in early 21 September, as we would always have expected it to have 22 done, and I can't remember where the words came from, 23 but in the reasonable worst case, somebody gets a grip, 24 so actually what happens is that cases are then flat 25 through September.

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- 1 approximately 4 weeks."
- 2 **A.** Yes.
- Q. So was a circuit breaker the advice that was being givenat that point?
- A. Yes, that was the SAGE advice. So a circuit breaker is
 an intense intervention where what you do is you bring
 cases down, say for a fortnight, and then you let go
 again.
- Q. So something short of, effectively, a full lockdown, or
 if you were looking at it in a slightly different way
 and using your earlier terminology, an intermittent
 lockdown of a shorter period.
- 13 **A.** Yes.
- 14 LADY HALLETT: What's the point of doing that if it's just
 15 going to come back, if you don't know when you're going
 16 to have a vaccine?
- A. That eventually you're going to have to do something.

 So keeping infections low may be with intermittent

 lockdowns, so you do circuit breakers for two weeks, you

 go back to normal life for four weeks, but that is not

 as damaging as the -- what Tom Hale described as the

 rollercoaster, where you let infections grow until
- you're just about to break the NHS, and then you bring in a massive, massive lockdown. So it's a way of
- 25 keeping infections low whilst not being in lockdown all

2	LAI	DY HALLETT: Why aren't intermittent lockdowns
3		a rollercoaster?
4	A.	Because it's a very boring, little rollercoaster. So,
5		I mean, you're just going like this (indicated).
6		So, I mean, for example, your contact tracing works
7		better because you've never got very high incidence.
8		Your hospitals work better because they're not
9		completely full. I mean, one of the things that we
10		often well, that we saw was that fatality rates in
11		hospital were higher when the hospitals were fuller.
12		There were plenty of good reasons why intermittent,
13		short lockdowns could well have been better than the
14		long, harsh lockdowns that we had to live because we put
15		them off to the last possible moment.
16	мс	CECIL: And that's pulling it back to the low
17	A.	Yes.
		incidence rate, as opposed to the high incidence
18	Q.	
19		rate, running an epidemic hot or cold?
20	Α.	Yeah.
21	Q.	Trying to keep a lower level of infections, albeit they
22		go up and down and rise up and down. They don't meet
23		that peak, effectively.
24	Α.	That's right.
25	Q.	Now, you were subsequently invited to a meeting with the 81
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1		explanation of I think the title of the meeting was
2		something like, "Should the government act now?"
3	Q.	Indeed, I'm going to move to that in just a moment
4	A.	Okay, fine.
5	Q.	and we'll discuss the meeting and what views were put
6		across within that meeting and, indeed, your view at
7		that point.
8	A.	Yeah.
9	Q.	And then what we see here, just to round this off so we
10		can take it away, from Patrick Vallance back is:
11		"This is a meeting where the [Prime Minister] wants
12		to hear from a range of scientists (specifically the
13		Heneghan and Gupta let it rip variety). We have got
14		a rather balanced group to make sure he hears all sides.
15		Message re getting a grip - yes please."
16		Indeed, I'm going to maybe just deal with that now:
17		do you consider that you got across that message within
18		that meeting?
19	Α.	I said those words. I don't know I mean, nothing
20	Λ.	
21	Q.	happened, so inadequately at best. If I can turn to that meeting now, and you were just
22	ч.	speaking about speaking with one voice. So
23		
		Sir Patrick Vallance was on the same page as you, is
24	Λ	that fair to say, at that point?
25	Α.	I think so, yes. 83

the time.

Prime Minister on 20 September of 2020, and I just want 1 2 to deal with something very shortly before that and 3 prior to the meeting on 18 September. It is some messages with Sir Patrick Vallance. It's 4 on INQ000229601. It's the bit at the bottom that I'm 5 6 interested in. It says: 7 "I have an invite to a zoom with the 8 [Prime Minister] at 1730 on Sunday. I'd be honoured to 9 accept that invitation. However I assume this is the 10 meeting you and Stu were organising yesterday [presumably a reference to Stuart Wainwright at 11 GO-Science]. Does it fit your plan if I rock up and say 12 13 'RWCS [reasonable worst-case scenario] assumes someone 14 gets a grip at this stage of things and it would be great if that happened'." 15 16 Can you explain in your own words what you were 17 trying to impress upon certainly Sir Patrick at that 18 point and your view? 19 A. I wanted to -- I'm quite a believer in the single voice 20 of consensus science. So what I was checking here 21 was -- basically running past Patrick what I'm planning 22 to say so that he knows in advance of the meeting. And 23 "[Reasonable worst-case scenario] assumes someone gets 24 a grip at this stage", we just talked about that. 25 We were all invited to write a single-page SAGE and SPI-M-O? 2 Yes. 3 Q. So all unanimous going into that meeting in terms of 4 that perspective. 5 Now, if I can just call up the meeting, please. 6 Thank you. This is the "Covid-19 small group scientific 7 discussion", that was the formal name given to it. We 8 see that, indeed, you're right about the question, the 9 essay question, as it may have been posed, or exam 10 question: "Should government intervene now and if so ..." 11 We see a list there of attendees. We have the names 12

redacted, but the reality is it's all in the public domain in any event and, indeed, we have a statement.

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The first of those is Professor Gupta and the second of those is Anders Tegnell, the Chief Scientist in Sweden. But we see the Prime Minister, the Cabinet Secretary, who is chairing it, Sir Patrick Vallance, Professor Sir Chris Whitty, Professor John Edmunds -- and we'll move to him in a moment -- Professor Carl Heneghan, who we've also heard from, and then you.

For the purposes of this meeting, as you've just explained, you were asked to set out your views in a one-page document, a very short document.

If I can just deal with the position in relation to

the varying views around the table, or on Zoom, online at that time, but just deal with points of similarity.

Was it the case that at any point anyone in that meeting was expressing a view that the government ought not to do anything at that time?

- Α. My memory is there were some people who felt more studies were necessary, which was pretty close to nothing, in my view.
- 9 Q. Indeed.

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Then we have Anders Tegnell. Just pull up his document for one moment. That's INQ000137281, page 11, please. Chief Scientist in Sweden. He set out a short note, as requested, for the benefit of the meeting. He explains in the second paragraph down that his answer to the question, "Should government intervene now and if so ...":

"... in my opinion yes. The myth that Sweden did nothing during the pandemic is false."

He talks about a wide range of activities that were initiated there. He speaks about the public health community. He continues to go down and says:

"I believe there is a strong consensus that with a pandemic a government needs to be active even if we know that most of the non-medical measures have comparatively little effect and the evidence for how and

1 interventions. At the bottom of that page, to the left, 2 you explain that both scenarios assume that decisive 3 action is taken now.

- 4 A. Yes.
- 5 Q. And it says -- expands a little bit:

6 "... assume decisive action in mid-September brings 7 R back to 1 so that new infections remain flat for 8 6 weeks "

- 9 A. Yeah.
- Q. You then go on to set out in the middle, "How do numbers 10 11 compare today?"
- 12 Α.

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- Q. Can you just run us through that very briefly?
- 13 14 A. Very good. So this is sort of the second bit of the 15 argument: where are we? The reasonable worst-case 16 scenario says, roughly speaking -- prevalence is how 17 many people out there are infected. Reasonable 18 worst-case scenario thinks about 78,000, now it happened 19 to be 71,000. The fact that these are pretty close in 20 agreement is kind of irrelevant; the point is that we 21 had made this plan, the first column, that things would 22 get a little bit worse in September and then we'd do 23 something about it, and this is me saying: that has

happened, things have got a bit worse, they are about where we were afraid they would be, and then -- and 87

1 when they work is limited. But even so there is 2 a possibility to make a difference."

So that's Professor Tegnell's view.

4 If I can now take you to your view, that's at 5 page 13 of this document. I don't know if we can rotate 6 it. Is this the paper that you prepared?

7 A.

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- 8 Q. We've heard reference already to the reasonable 9 worst-case scenario.
- 10 A. Yes.
- 11 Q. And you explain that's a Covid-S --
- 12 A. That's right. So that little picture at the top left 13 was given to SPI-M-O by Covid-S saying, "Do something
- 14 a bit like the top -- the red line here", so a difficult
- 15 autumn followed by a large winter peak. So that red
- 16 arrow, I think, that I've put on there says: this is
- 17 roughly where we are towards the end of September.
- 18 Things were okay in July, they've been getting a bit
- 19 worse. There it is, with the -- so can you see the
- 20 dotted red line being flat for a while through October.
- 21 So that wasn't going to happen without some substantial
- 22 intervention.

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- 23 Q. So this is your, as you say, reasonable worst-case 24 scenario
- 25 You then set out a position where with

1 they're getting worse.

> I think the point I was trying to make with these numbers was: you are about to exceed your own reasonable worst-case scenario, and that means all the plans that you have made are going to fail because they were made against the reasonable worst-case scenario. If it has any purpose, the purpose for reasonable worst-case scenario is that it lets government make plans in which the -- and assume that -- on the assumption that the situation here, the disease, the level of infection,

- 10 11 won't be so bad as to break those plans. So we're about
- 12 to break -- things are getting so bad that they're worse
- than the RWCS. When you specified what you were going 13
- 14 to do, you said you would do something now.
- 15 Q. This was an internal target, effectively, set by 16 Covid-S, or objective.
- 17 A. Up to a point, except -- well, except that there's no 18 numbers on the Y axis in the Covid-S graph.
- 19 And you set out below it a short graph which effectively Q. 20 illustrates the fact that hospital admissions --
- 21 **A**.
- 22 **Q.** -- are really following the first wave pattern in terms 23 of regions.
- 24 A.
- 25 Q. So those that were most badly hit in the first wave are

1 also being most badly hit in the second wave.

2 Then finally, in part 3 of your paper --

- 3 A. Yeah.
- 4 $\,$ **Q.** -- you explain what happens next, where does this end
- 5 up --
- 6 A. Yeah.
- 7 Q. -- the trajectory.
- 8 A. Yeah.
- 9 Q. And what you explain here is that under the current
- 10 trajectory, hospitalisations will increase
- 11 exponentially, surpassing the first wave by early
- 12 November.
- 13 A. That's right.
- 14 Q. Then you go on to explain about the governmental
- 15 planning, you explain that epidemiology is in line with
- 16 the reasonable worst-case scenario but infections are
- 17 still rising, and you explain that you expect that
- 18 reasonable worst-case scenario to be breached.
- 19 **A.** In days.
- 20 Q. In days.

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- 21 A. And I think that closing sentence is worth noticing:
- 22 "[Test and trace] will not function effectively in 23 a large second wave."
- 24 Q. And, of course, that's one of the tools in the pandemictoolbox.

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- 1 because he has explained his views earlier in the
- 2 evidence, but I certainly can. If I can just call it
- 3 back, they're in the pages that precede this one.
 - I believe they're on pages 10 and 11, from recollection,
- 5 I'm afraid, if I can just go to those, but we can
- 6 certainly have a look at them. (Pause)

Back one further page, in that case. Start on page 9.

This is Professor Heneghan's views. We see here that, halfway down the first paragraph, he takes the view that:

"Recent responses are out of proportion to the threat. They are underpinned by a lack of understanding of the data, the role of community pathogens and an overreliance on predictive modelling."

He very strongly bases that on the fact that it has effectively been influenza preparedness, and that that has had a significant impact upon the advice that's been provided, and effectively that advice is wrong.

What are your views in relation to that?

A. I think that we were expecting a large autumn wave and that something needed to be done to prevent it. Sadly, that large autumn wave did happen. I don't really think that's to do with was this influenza or was it

a different respiratory infection; it was a respiratory

1 A. Yeah.

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Q. Now, Professors Gupta and Heneghan put forward
 an alternative view within that meeting. A large part
 of that was effectively advanced on segmentation or

protecting and isolating vulnerable groups, and

protecting and isolating vulnerable groups,establishing a degree of herd immunity.

What were your views in relation to those

8 alternative views?9 A. I think our experience had already been that it was

extremely difficult to protect vulnerable groups; that
 first of all it was practically very hard to put in

12 enough protections so that infection never reached the

13 known vulnerable, that's thing 1; and, secondly, there

were lots of people who ended up very ill, either with

15 acute Covid or with Long Covid, who were not amongst the

16 known vulnerable. So we didn't think -- I didn't think

17 it was a practical approach at all.

18 LADY HALLETT: Ms Cecil, I don't know if you're going to

19 come to it, but you've referred us to the Swedish

20 expert's views on the screen, and Professor McLean's

21 views. Are Professor Gupta and Professor Heneghan's

views going to be displayed, or are they not in this

23 document?

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24 **MS CECIL:** They are in this document. I wasn't going to take you to them in relation to Professor Heneghan

1 infection to which there was very, very little immunity

in the population at that time because, dreadful though

3 the first wave felt, it actually infected rather a small

4 proportion of the population.

5 Q. If we can just look a little bit further down this page,

6 please. I touched upon it earlier in relation to the

7 control strategies that they were -- collectively, there

8 are differences between their papers, but one aspect of

9 it was about those targeted measures and segmentation,

10 and there has been a criticism levelled that

segmentation was never considered properly by SPI-M-O or SAGE.

13 What do you have to say about that?

14 **A.** It was quite carefully considered. Segmentation can be

used in several different ways. One way of thinkingabout it would be simply on age. Should we have let the

over 40s -- sorry, the under 40s or the under 45s live

a normal life whilst everybody else was in lockdown?

19 I would say it's very clear. You don't need modelling.

20 You can just look at the data that we have about

21 household composition, so what age of people live with

other people, or we have beautiful data about who mixes

with whom. I talked a bit about that with the BBC data.

24 It was very, very clear that there was far too much

25 mixing between different age groups for that sort of

segmentation plan to work, because even a little bit of leakage from -- if you had half the population leading a normal life, back into the segmented, is enough to be very, very damaging at this point in time, when immunity in the population was so very low. So that's age segmentation.

Then other ideas about protecting the vulnerable by making sure the people who come into contact with them are doing, say, lots of testing, always seemed to me intuitively appealing, but probably needed to work in a context of very low infection in the community, because they weren't going to be perfect, so if -- they would work best if there was not much infection in the wider community. And, of course, there are the points that have been made to you earlier this week by Professor Whitty and Professor Vallance that those put a huge, huge burden on more vulnerable people. Just dealing, if I may, then with segmentation. Indeed, there's various different ways of referring to it; we have heard about shielding, we've heard about super-shielding and we've heard some evidence on cocooning as well. But in terms of SAGE, SAGE had indeed sought earlier advice and notes on segmentation --

a whole lot of new immunity between May and September that would have made our situation better, and contact tracing was revving up, but was more like 20% efficacy than anything higher.

Q. Thank you.

Yeah.

A.

Q.

Then if I can just take you, please, to Professor Gupta, and she is a professor of theoretical epidemiology at Oxford University.

9 LADY HALLETT: The same department you have been in.

MS CECIL: Indeed, at the department of zoology. She has been a fairly high-profile critic of the approach undertaken by, indeed, SAGE, SPI-M-O and the government during the pandemic.

In summary, her views, as espoused within this document, are that restrictive measures that have been imposed have been outweighed by the cost, particularly for those poorest or most vulnerable, firstly. That is a broader issue, rather than simply the epidemiological position, or the public health position, because obviously that takes -- that's looking at socioeconomic considerations and other considerations. But also refers to herd immunity, if I can just ... It's at page 8 of the document, please.

The starting premise here in terms of the key issue from her perspective in terms of her note was:

Q. -- all the way back in the summer, effectively,

June/July, and indeed shielding conversations had taken
 place much, much earlier than that.

4 A. Yes.

5 Q. Thank you.

One aspect that you may have found some level of agreement with Professor Heneghan in relation to was that relating to care homes that he flags.

9 A. Yeah.

Q. There is an aspect in relation to nosocomial infections. He's advancing something that is effectively a much less restrictive set of NPIs, with a 50% work at home strategy; on young people, simplify messaging; and then also, in his view, seeking to increase the personal threat perception should also be reconsidered, and that, alongside a couple of others, was considered to be sufficient.

In your view, as at 20 September 2020, would those measures have been adequate or sufficient to have prevented the second wave?

A. I think not. Particularly -- I mean, if you look at what's happening here, the only community-level intervention here is 50% work at home strategy. If you think about those pictures I showed you earlier of ready reckoners, 50% wouldn't be enough. We hadn't acquired

"... whether or not it is justified to take extraordinary measures in response to Covid-19 - given that no extraordinary measures are in place for other infectious diseases such as influenza, pneumococcal pneumonia, and ... other coronaviruses."

And that's because:

"We have reached an accommodation with [those] and accept that they cause a level of disease, suffering and death, but not sufficient to change our way of life."

She accepts it's not possible or realistic to attempt to eliminate Covid-19, but that the goal should be to achieve levels of herd immunity.

She then goes on to speak about herd immunity not being a policy and gives a little bit more of a description there.

What is your view in relation to that?

A. I think these issues about: could we -- I think it says here we can't tell -- we don't know how close we are to the herd immunity threshold. I would disagree with that statement. I think we could tell from quite straightforward antibody tests that were available at that time that could be applied in closed populations with big outbreaks, where we could see how extensive an epidemic would be before it naturally came to an end. But we did have reasonable measures of how close we were

1	to herd immunity and that we were a very long way from
2	it, and could therefore expect a large autumn wave,
3	which indeed did then happen.

Q. At the very end of her document she posits two scenarios, ultimately. The first is to bring in population-wide restrictions to keep infection levels down until the vaccine becomes available. The downside to that for her is that it comes at huge social and economic cost. Not clear that such a policy is sustainable until that development of that vaccine.

The second solution, which is -- and I'm assuming it's the one that was posited within the meeting -- is

"... take steps to protect the vulnerable ... while allowing those that are at low risk to accumulate immunity [which is going back to that herd immunity point that you've already addressed] such that the risk to the former is reduced as rapidly as possible to levels that [are acceptable] for other respiratory pathogens."

21 Α. Yeah.

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22 Q. She speaks about:

> "... the very low death rates of Covid-19 in much of the population, while permitting and supporting the rest to adopt social distancing measures commensurate with

didn't do what we said, did they?

So I think -- so, for me, pictures are better than text. I think you're right; one has to remember that there are many people that -- that might be a cultural thing, and there might be people -- there are lots of people for whom text is better than pictures.

MS CECIL: Indeed, in your witness statement, just picking up on that point, one of the lessons to be learned, as you identify it, is that science advisers -- SAGE, 10 whoever it may be -- need to be more unequivocal in their advice; more forceful, effectively, in their 11 12 advice; is that right?

13 Α. Yes.

14 Q. And what you say in that is that, as advisers, you 15 should have thought more critically about the state of 16 mind of those who you were advising and -- and this is 17 in the context of delay -- the reasons for that delay, 18 not least because you needed to assume that elected 19 officials do not want to make unpopular decisions, 20 extremely difficult for them to do so.

21 Α.

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22 **Q.** And you're talking here about lockdown, and:

> "If we had a better sense of how unpalatable lockdown was to decision-makers, if anything this would have expedited strong advice to lock down, rather than 99

their risk." 1

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And in fairness, Professor, I think you've already dealt with those issues, because really it's talking about, again, segmentation or cocooning or shielding in some way, and then herd immunity.

So in terms of the meeting or the group and how it progressed, were each of you given an opportunity to present your papers and present your views?

9 A. That's right, that's how it went.

10 LADY HALLETT: Looking at your paper, Professor, I heard the 11 expression this week that the advice had to be 12 electrifying if you wanted to trigger the action. Could 13 I confess that I don't find your paper electrifying?

14 A. Yeah, but you don't like graphs.

LADY HALLETT: No, but your audience may not have liked 15 16 graphs either.

17 A. No, that's a very good point. I think it's better than 18 all this text, myself.

> Actually, you know, I think you raise a really important issue, which is: how are scientific advisers going to get a whole lot better at communicating what we think? Because, I agree with you. I thought that was a pretty electrifying page, and it's very interesting for me to hear that you don't find it electrifying, and I certainly -- I mean, I ran the experiment and they

1 give us cause to delay providing the advice or to weaken 2 its terms."

And you make it very plain that, looking to the future, advisers should err on the side of giving unequivocal advice earlier in the context of advising on time-sensitive matters --

7 Yes, and --

8 Q. Here specifically about pandemics.

Yes, I agree, and clearly more electrifyingly. 9

LADY HALLETT: I do apologise. 10

A. No, it's fine. 11

12 **MS CECIL:** In terms of how those views were expressed, 13 obviously that's how they were expressed on paper; 14 you've already explained that you did say to those in 15 the meeting, which of course included the Prime Minister 16 and other senior members of the government, that they

needed to get -- that somebody needed to get a grip.

18 A. Yes.

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19 Q. How was that received?

In silence. I mean, they just sat there in silence and 20 21 listened to us. I don't remember there being many 22 questions, except -- I mean, a few -- except towards the 23 end, where I think somebody said, "What do you think we 24 should do?" They just went round the four of us and 25 said, "What do you" -- well, five of us, sorry, "What do

2		No, there wasn't a lot of discussion, that's what	2		that with
3		I remember.	3	Q.	Indeed,
4	Q.	When asked, "What do you think we should do?", what was	4		taken yo
5		your response?	5		on page
6	A.	So I actually gave my own personal response, which	6		the curre
7		I realise perhaps I shouldn't have, but anyway, I said	7	A.	Yeah.
8		I think we should go into lockdown with schools open.	8	Q.	In dealir
9	Q.	With schools open?	9		point 4 i
10	A.	Yeah. And I think that was an honest reflection that	10		of the po
11		I didn't really think two weeks was going to be enough.	11		with you
12	Q.	And in terms of the others in the meeting at that point,	12	A.	Yes, tha
13		so Professor Gupta, do you recall what she said?	13		that 7%
14	A.	I don't recall.	14		knew fro
15	Q.	No. Professor Heneghan?	15		vessel tl
16	A.	I'm sorry, I don't remember.	16		infected
17	Q.	Not at all.	17	Q.	It's expla
18		You may not recall in relation to Dr Tegnell,	18		"Ye
19		Anders Tegnell?	19		run in th
20	A.	No.	20		a million
21	Q.	Or indeed	21		Exp
22	A.	John I do remember, because John said we need to be in	22		incidenc
23		lockdown now, and it was unusual and I remember	23		seven to
24		thinking: oh, well, gosh, is he right and I'm wrong?	24		a packa
25		Can we sort of afford you know, have we got enough 101	25		facilities
1		combined reduced the R number to about 0.7. Indeed,	1		It go
2		that's what we were looking at, if you recall,	2		"Imi
3		earlier	3		are incre
4	Α.	Yeah.	4		He
5	Q.	when we looked at what the R number was in the	5		which sh
6		summer, June, when SAGE and SPI-M-O met.	6		"An
7		So what he then says is:	7		see the]
8		" to meet [Her Majesty's Government's] aim [as it	8		hospital
9		was then] of keeping R below 1 a large package of	9		The
10		interventions will have to be implemented, not just one	10		"No
11		or two."	11		In te
12		It talks about educational institutions; if they are	12		did they
13		to remain open, a very wide package of other	13	A.	I think p
14		interventions will be essential. And then what he talks	14		want \
15		about is the imperative for speed of action. Response	15		would be
16		"needs to be fast and large. The harder the measures,	16		where h
17		the less time they need to be in place for."	17		institutio
18	A.	Yeah.	18		measure
19	Q.	It then continues to explain that the UK responded	19		different
20		slowly in March and paid a heavy price for this in terms	20		schools
21		of deaths.	21	Q.	He spea
22		"This shows - unambiguously - that the key factor	22		again in
23		for the large number of deaths in the UK was the	23	A.	Yes.
24		lateness of our response. We should not make the same	24	Q.	Did you
25		mistake again."	25		the sam
		103			

you think we should do?"

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if we just need to keep incidence flat, can we manage 1 2 that with schools open? we can bring up -- I've just realised we've not ou to Professor John Edmunds' paper, but that's 7 of INQ000137261, and we see that he sets out ent picture within the UK epidemiologically. ng with the herd immunity point, perhaps bullet is relevant. His view was that only around 7% opulation had been infected. Did that accord r view? at's right. No, we had epidemiology. So we knew of the population had antibodies, but we also om a big outbreak that had happened on a fishing hat antibodies appear after you have been et, around 50,000 have died. Letting the epidemic e remaining 93% would result in around half lains large incidence, including increase of ce in care homes. Epidemic is doubling every o eight days. It then deals with a need for ge of measures, lockdown and closure of all , et cetera, and all of those in March 102 oes on: mediate action has an enormous impact when cases easing exponentially, which they now are." sets out his graphs -- more graphs, I'm afraid -how the effect of an immediate circuit breaker. ny delay will result in far more cases ([and you dotted line on Figure 1b) and therefore isations and deaths."

en unequivocally he ends with:

intervention would be disastrous ..."

erms of your views and Professor John Edmunds',

align?

retty much, in that the bit where he says if we well, I certainly agree that no interventions e disastrous, that's for sure. I think the bit e says if we want to keep educational

ons open, we will have to have very substantial

es pretty much everywhere else, I think that was a

way of saying the same thing: lockdown,

aks in here about not making the same mistake relation to delay.

consider that government was potentially making e mistake again at that point in September?

- 1 A. I felt we were at that stage at risk of making the same
- 2 mistake again. If we had acted decisively then, we
- 3 would have learnt from March, but we didn't;
- 4 implication: we had not learnt from March.
- Q. We know that there was no circuit breaker in Septemberat this stage.
- 7 A. No.
- 8 Q. Was that in your view, from your public health
- 9 perspective and epidemiological perspective, a mistake?
- 10 A. Yes, I believe that was a mistake. I think if we'd had
- 11 a circuit breaker in September, we could have kept
- 12 cases -- cases would have dropped a little bit and then
- 13 we would have bought some time.
- 14 Q. In the absence of a circuit breaker at that stage,
- again, what were the consequences, from your
- 16 perspective?
- 17 A. The number of infections kept rising through September
- and October with attendant hospitalisations and, sadly,
- 19 deaths.
- 20 Q. Had there been a circuit breaker, therefore, again, in
- your view, would the number of deaths have been lower?
- 22 A. Yes
- 23 Q. Now, in terms of the remainder of that meeting, were any
- 24 views expressed by the Prime Minister that you can
- 25 recall?

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- 1 "A package of interventions will need to be adopted2 to reverse this exponential rise in cases."
 - And it then goes on to talk about single interventions, coming back to the single or package of interventions that we've discussed:
 - "Single interventions by themselves are unlikely to be able to bring R below 1 ..."
 - And we see the confidence intervals. We've discussed those previously in evidence.
- 10 A. Yeah
- 11 Q. High confidence in that assertion.
- 12 "The shortlist of ... (NPIs) that should be
- 13 considered for immediate introduction includes ..."
 - And that's where we see:
- 15 "• a circuit-breaker ... to return incidence to low16 levels.
 - "• advice to work from home ...
- 18 "• banning all contact within the home with members19 of other households ...
 - "• closure of all [retail and hospitality, and so on] ...
- 21 on].
- 22 "• all university and college teaching to be 23 online ..."
- So at this point, these are recommendations with schools staying open.

107

- 1 A. I don't remember the Prime Minister saying anything.
- 2 Q. The Cabinet Secretary?
- 3 A. No, I remember one person, but I don't remember who it
 - was, asking this question about: what should we --
- 5 meaning they, the government -- do, which we've just
- 6 talked about.
- 7 **Q.** Did either of Sir Patrick Vallance or Professor
- 8 Chris Whitty express a view in the meeting?
- 9 A. I'm sorry, I can't remember.
- 10 Q. Then we also understand that the Chancellor was present.
- 11 A. Well, I think perhaps he called in. I'm not certain.
- 12 I'm sure he was there.
- 13 Q. Did he make any contribution?
- 14 A. Well, you see, I think it was him who asked, "What
- should we do?", but perhaps that's just an incorrect
- 16 memory.
- 17 $\,$ **Q.** Now, after that meeting, the following day, there was
- 18 a further SAGE meeting on 21 September, and if I can
- just pull that up, it's INQ000061566, page 2, please.
- 20 It's paragraph 2. It starts by setting out that
- 21 Covid-19 instances increasing across the country; talks
- about the effect on schools, colleges and universities;
- doubling time could currently be as short as seven days.
- Then it goes on to make recommendations at this point:

106

- A. Yeah.
- 2 Q. So that's the recommendation of the 21st.
- We then see, on 24 September, a further SAGE
- 4 meeting, and that's at INQ000061567. If we can go to page 3, please, at paragraph 16. If we look at
- 6 paragraph 16, it talks about SAGE's previous advice, the
- 7 one that we've just seen:
 - "... a 2-week 'circuit-breaker', where more
- 9 stringent restrictions are put in place for a shorter
- 10 period, could have additional impact. A shorter break
- 11 of a week or less is likely to be less effective in
- 12 reducing the number of infections and slowing the growth
- 13 of the epidemic."
- 14 So at this stage the advice is still
- 15 consistent: circuit breaker.
- 16 A. Yeah.

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- 17 Q. At any point was there any alternative advice in
- September, aside from the need to have a circuit breaker
- 19 to deal with the rise in cases?
- 20 **A**. No
- 21 Q. So looking and turning to the issue about delay and
- delay in decision-making, at this stage, no decision had
- been taken.
- 24 A. That's correct.
- 25 Q. And that, as we've discussed, is a positive choice in

- 1 itself with consequences.
- 2 A. Yes.
- 3 Q. You've described a period of frustration; how were you 4 feeling at this stage?
- 5 Very worried, because having lived through the first Α.
- 6 wave with its horrible consequences, I couldn't
- 7 understand why we weren't doing things to try hard to
- 8 avoid a second autumn wave. We'd been telling them
- 9 since we started that there will be an autumn wave, and
- 10 I suppose I'd thought in the summer, with the Covid-S
- 11 scenario, that that had been brought on board, that we
- 12 would try to do things, inasmuch as we could, to prevent
- 13 an autumn wave, and nothing was happening.
- 14 We subsequently had the tier system that was introduced
- 15 in October of 2020, 14 October. You described that as
- 16 being suggestive of a lack of caution on the part of
- 17 decision-makers. Why is that?
- 18 Because of the way it was introduced. So if what you do Α.
- 19 is you introduce a set of tiers where in places where
- 20 incidence is low, you put in controls that are
- 21 insufficient to stop incidence from growing, all that
- 22 will happen is that in all those places, incidence will
- 23 grow. We sometimes referred to it as the levelling-up
- 24 scheme. It was a scheme that would allow incidence of
- 25 infection to rise in many, many parts of the country. 109
 - A. Yeah.

- 2 Q. -- at the moment, just so that we know where we were at
- 3 the time --
- 4 A. Very good.
- 5 Q. -- or you were there at the time --
- 6 A. Okay, but we would have said -- if we had been asked, we 7 would have said: no, this is the wrong way round.
- 8 Q. Now, on 23 October of 2020, you emailed Sir Chris Whitty
- 9 and Professor Sir Patrick Vallance. It's at
- 10 INQ000062800. What you ask here -- it is the SPI-M-O
- chairs and the secretariat that are talking, and you 11
- 12 explain here:
- 13 "After Sage on Thursday SPI-M Chairs and secretariat 14 asked themselves, how long have we got?"
- 15 And then you go on to set out some
- 16 back-of-an-envelope calculations that you made.
- 17 Presumably those are the ones that you made out of that
- 18 meeting.
- 19 Α. So at --
- 20 Q. These are the calculations that you made between you at
- 21 that meeting, the SPI-M-O -- the --
- 22 **A**. We will have made these by correspondence, yes.
- 23 Q. By correspondence?
- 24 Α. Between the four of us.
- 25 Q. Thank you.

- Q. Now, just to be clear, neither SAGE nor SPI-M-O were 1
- 2 asked to advise on that policy.
- 3 A. That's correct.
- 4 **Q.** In your view, at that stage in October, moving through
- into November, were the tiers working or were they 5
- 6 failing?
- A. In October? Well, we did a big study on that 7
- 8 afterwards. They were failing in the sense that
- 9 incidence across the country -- in gross measures,
- 10 incidence in the country was rising, which was not what
- 11 we wanted. In the parts of the country that were in
- 12 Tier 3, or Tier 3 and a bit more, which is what some
- 13 places did, you can actually see that incidence did fall
- 14 in those places.
- 15 Q. Now --
- 16 A. Can I just add, I didn't -- there's nothing wrong with
- 17 geographically targeted measures, it's just this
- business of starting off with something very low, 18
- 19 letting it grow, putting in something that barely keeps
- 20 it stable, so that it grows in most places.
- 21 Q. Thank you.
- 22 I'm going to deal with the report --
- 23 A. Yeah.
- 24 Q. -- that was subsequently written in due course, but if
- 25 I can just keep to the chronology --

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- 1 What you explain here, as we go on down, is that you
- 2 were projected to hit the level of admissions -- and
- 3 let's just deal with this, this is about the assumption
- 4 of avoiding the first wave of peak hospital admissions.
- 5 At that point, that was around the 3,100 mark, just
- 6 below.
- 7 A. That's right.
- 8 Q. And you've done some calculations and you explain that
- 9 you will exceed that on 21 November.
- 10 **A**.
- 11 Q. To stop that, you need to reduce the R to less than 1 by
- 12 10 November.
- A. Yeah. 13
- 14 Q. And if you want to do better than the first wave peak,
- 15 for example 2,000 hospital admissions a day, you have to
- 16 get the R below 1 by 28 October, so five days' time.
- 17 A. Yes. I think this was an attempt for us to arm Chris
- 18 and Patrick with some numerical reasoning to say: we are running out of time. 19
- 20 Q. So was this an intervention, effectively, by SPI-M, the 21 secretariat?
- 22 A. The four of us, yeah.
- 23 Q. The four of you.
- 24 A. I mean, I don't think we thought Chris and Patrick
- 25 didn't agree, we were just trying to -- we were

- searching for evidence that we could give to them to take to decision-takers.
- Q. Were you trying to prompt some level of action at thisstage?
- 5 A. Oh, yes.

- Q. Now, on 24 October, the following day, Wales instigated
 their firebreaker. Do you consider that that was
 a success, first of all?
- A. It was probably better than nothing. It didn't bring -if I remember rightly, we might be able to look at it,
 actually, because there will be a graph of it. I don't
 think it had a huge -- it didn't cause a great decrease
 in cases, is my memory. On the other hand, what's the
 counterfactual? What would have happened if they hadn't
- 16 Q. I've been asked to ask: do you consider that that was
 17 early enough, the firebreaker in Wales, or should that
 18 have been earlier?
- 19 A. I think all of these things should have been done in
 20 September, so that whilst incidence was low, we could
 21 have kept it low and given ourselves time to come to
 22 terms with the fact that: yes, we are having an autumn
 23 wave, we don't know when we're getting vaccines, what
 24 are we going to do about it?
- 25 **Q.** Indeed, you're subsequently tasked with the task and 113
- introduce measures (such as Tier 2) that can be hoped to
 retard the growth everywhere and maintain low
 prevalence."
- 4 A. Yes.
- Q. And that's a reference back to what you've discussed in terms of how to implement it.
 - "As soon as rising prevalence is detected, measures should escalate to interventions that are associated with negative growth rates (such as Tier 3)."
- 10 A. Yes.

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- Q. So looking back in terms of an evaluation of those
 autumn interventions, were they successful, in your
 mind?
- 14 A. They were successful in some places.
- 15 Q. And what places were they?
- A. Tier 3 -- if you look at the places that were put into
 Tier 3 and look at the epidemic growth rate the week
 before they were put in Tier 3 and the week after, what
 you see is that the growth rate is always lower, and
 usually negative, in places that were under Tier 3.

We do have to be really, really careful because these weren't experiments. Places that were in Tier 3, there was probably something pretty special about them that they needed to go into Tier 3, but this is what we had. So we need to be very careful to say: this was the

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1 finish group on the impact of recent NPIs across the UK,

2 and that involves an evaluation of the tier system,

- 3 firebreaks and other NPIs that were put in place across
- 4 the four nations.
- 5 A. Yeah.
- Q. And you present a subsequent paper that is amended or
 updated slightly later with some new material, but in
 short, it's broadly the same paper.
- 9 **A.** Yes.

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- Q. And that's presented to SAGE initially on 19 November of
 2020, it's the UK's four nations autumn interventions,
- the updated version presented to SAGE on
- 13 26 November 2020.

In terms of England, what it states in there, if I can just pull it up, please, it's -- thank you very much ... I believe it's towards the end. I'm afraid I've missed off the paragraph reference. But what it says is:

"Throughout the autumn England waited until after prevalence had increased to impose measures just about able to slow or stop epidemic growth. The inexorable outcome was high prevalence in many places and the need for four weeks of national restrictions."

- 24 **A.** Yes.
- 25 **Q.** "For the future a more logical procedure might be to 114

1 pattern we saw, and not -- try really hard not to use

2 words that implies causality. But you can look at

- 3 the -- you can look at the patterns of growth rate
- 4 before and after a tier was introduced.
- 5 Q. Then we come to the second national lockdown.
- 6 A. Yeah.
- 7 Q. You described that in your witness statement as8 a "terrible moment". Why was that?
- 9 A. Well, because the thing that we had been trying to avoid
 10 by having smaller interventions at lower prevalence had
- 11 had to be done, and in the same -- it felt like March
- 12 all over again: we wait till the last possible moment,
- we delay and delay a decision, and then we have to slam
- the brakes on as hard as possible with the attendant
- 15 social costs and economic costs.
- 16 **Q.** At that stage schools were also closed.
- 17 A. Is that right? I thought schools were open already.
- 18 **Q.** Have I got that wrong? It may be that I have got that 19 wrong and it's schools were closed in -- schools were
- 20 certainly closed in the third lockdown. It may be me.
- 21 A. Yes, I think that's right.
- 22 Q. I'm turning to that now, if I may.
- 23 Before we get to the third lockdown after that, we 24 then have the Alpha wave that comes through in 25 December --

1	Α.	Yes.
	м.	100.

Q. -- of 2020 through to January -- well, indeed, thewinter of 2020 into 2021.

You describe some successes there in relation to surveillance and genomics.

6 A. Yes.

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- 7 Q. Can you just expand a little bit in terms of8 surveillance, firstly?
- 9 A. So I think we're all really, really proud of what the
 10 Office for National Statistics did with their Covid
 11 infections survey, so we knew what prevalence of
- infection was across the country every week, and with
- 13 actually quite a lot more detailed local investigation
- 14 of what was happening with infection, much of which came
- 15 actually from test and trace, we could see -- right as
- we came out of the November lockdown, we could see
 - straightaway that there was a problem in the south east
- and that infections were rising very fast in the south
- 19 east, and that was the first observation, so just there
- 20 were lots more positive tests in this part of the
- country, what's happening? And then because of COG-UK,
- 22 because of the amazing genetic studies that we had, we
- 23 could see straightaway that the virus that was spreading
- 24 there was different.
- 25 So in a -- you know, it was a triumph of science.

MS CECIL: Thank you.

Picking it up in May to December of 2020, again SAGE meets, 17 December, says additional interventions may need to be considered, and that's two and a half weeks before the eventual lockdown that takes place on 5 January. Then on 6 January, that's when we enter the third national lockdown, that's when schools are also closed.

Now, in respect of that, there is a communication between you and I believe it's Professor John Medley(sic) on 17 December in which he

expresses the view that you had missed the timing of

lockdown with half term -- this is, as I say,

17 December:

"... and today the politicians just went ahead and made policy anyway, without any guidance from SAGE, so it is finally a victory for common sense but actually we have failed to inform policy over the last few months."

Was that a view that you shared?

- 20 **A.** Yes.
- Q. Now, as we come out of the third national lockdown,that's when you begin working, certainly just before
- 23 that, with the Covid Taskforce.
- 24 A. Much more closely from then on, that's right.
- 25 **Q.** And there's quite a lot of thinking that is done then in 119

We not only observed right from the early days the Alphawave, we also knew why we had it.

Q. Indeed, throughout this period you had been armed withmuch, much greater levels of data.

5 A. Yes

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- 6 Q. Synthesisation of data, all of those things,
- 7 surveillance. Testing now had a 24-hour turnaround.
- 8 **A.** Yes. We had a good picture of what was happening with this infection in our four countries at this stage.
- LADY HALLETT: Could I interrupt? I'm being asked to take
 a break, which is obviously going to be apparent.
 - I'm getting very concerned about time, Ms Cecil.
- 13 MS CECIL: Of course.
- LADY HALLETT: As you know, but others may not, I have to
 finish at the very latest at 3.45 this afternoon, and
 we've got another witness. So I'm going to break now
 for five minutes to give the stenographer a rest, and
- please can people discuss how we ensure that I leave here at the very latest by 3.45.
- 20 MS CECIL: Of course.
- 21 LADY HALLETT: Thank you.
- 22 (12.09 pm)
- 23 (A short break)
- 24 (12.14 pm)
- 25 **LADY HALLETT:** Ms Cecil.

118

- 1 January and February of 2021, is that fair to say?
- 2 A. That's right, I mean, I think the work that was led by
- Rob Harrison and turned into those plans to unlock from
- 4 the third lockdown are a wonderful example of using
- 5 scientific evidence in order to make a long-term plan,
- 6 and I don't -- I think the reason, if you could take
- 7 a tiny bit of time to look at them, is I think they make
- 8 a useful contrast to events in 2020.
- 9 Q. Of course. I'll just call that up briefly now. It's10 INQ000238597.

We have this document, Professor, so please don't think that we won't be looking at it. And indeed you make various of these points in your witness statement, and so even if we don't go into detail now, please be

assured that that evidence will be taken into

16 consideration in due course.

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17 If I can go to page 4, please, and for the first
18 time we see, in terms of the roadmap, that coming out
19 of -- I say coming out of lockdown or easing
20 restrictions, there is a roadmap with a test. And
21 that's about a third of the way down, it says:

"This assessment will be based on four tests."

And we see (a) to (d): vaccine deployment, that the vaccine separately sufficiently effective in reducing hospitalisations and deaths, and that those infection

rates do not risk a surge in hospitalisations placing unsustainable pressure on the NHS, and that the assessment is not changed by variants.

To be clear, in relation to that, one of the priorities that is then set out later in the document, on page 10, there are various objectives that also inform this roadmap out. Again, we see (a), (b), (c) and (d). We see again the issue in terms of pressure on the NHS, deployment, protection of the public. But one of the aspects that is a priority is the resumption of face-to-face teaching in schools?

- 12 It's not just a priority, it's the priority. A.
- 13 Q. The priority.

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Firstly, it's a more transparent document, but secondly when we were talking earlier about the lack of strategy and aims in terms of -- from a SPI-M-O/SAGE perspective, with respect to modelling and providing that advice, does this accord with something that you were really looking for, something more like this, with objectives and policy aims?

- 20 21 A. Objectives, things we're going to measure, things that 22 we are actually able to measure, and actually we haven't 23 found it, but the bit that says "and we're going to 24 space these things out, we're going to space these
- 25 decisions out in a way that is consistent with being 1
 - possible was that Rob Harrison, through taskforce, put together a team from across government, bringing in advice from all sorts of different people, working together at the officials level, to absorb advice into government at the level of official working. Q. Indeed. And it's not just epidemiology that we see
 - within the document, we also see analysis of socioeconomic impacts, mental health impacts, all -other forms of inputs and issues that need to be taken into consideration. As I say, it's a substantial document in that sense.

If I may now just move very briefly to just ask one very quick question in relation to children --

- 14 A. Yeah.
- 15 Q. -- and transmission. You and John Edmunds wrote a paper 16 on that back in October of 2020 suggesting that children 17 indeed can be infected and do contribute to 18 transmission. Does that remain your view?
- 19 Α. Yes.
- 20 Q. Thank you.

Then finally, if I may just take you to the issue of testing, we know that the will of the World Health Organisation at the time was to test, test, and test, and you set out within your statement that testing plays both a role at the initial stages of the pandemic but

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able to measure how much difference did the last set of 1 2 measures that we lifted make".

- 3 Q. Indeed.
- 4 A. So I view that as a document that's paid proper attention, and I mean that quite carefully, actually, 5 6 not too much but proper attention to what can be done 7 with all kinds of scientific evidence, including 8 modelling.
- 9 Q. It's a fairly lengthy document, and as you say at the 10 outset it does say that it's based on data not dates. 11 Dates are wholly contingent on data and are subject to 12 change if the four tests are not met.

13 So what we then have is the deployment of this 14 roadmap, and indeed later, I think it's stage 4, it gets 15 delayed because of concerns about a new variant?

16 A. That's right.

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17 Q. And so you have those triggers.

> As I say, we've heard some evidence already in relation to the roadmap out, but I just wanted to use this as an example of how you say policy objectives and advice can be aligned in a much better way in terms of --

- 23 A. That's right.
- 24 Q. Deliverability?
- 25 Yes. Can I just say, so I think some of why this was

also subsequently, both in relation to surveillance but also in relation to it being a tool in the arsenal.

In terms of testing in the early stages in March of 2020, and the decision to stop community testing at that stage, SAGE was not -- am I correct that SAGE and SPI-M-O did not advise in relation to that?

- 7 A. That is correct.
- **Q.** And your understanding is that it was not a clinical 8 9 decision, it was a resource-based decision?
- A. That is my understanding. 10
- 11 Q. Limited tests and therefore a need to prioritise to 12 those areas which were most in need, effectively?
- 13 A. Yes.
- 14 Q. Thank you.

15 Just in relation to that World Health Organisation 16 advice and developing and less developed -- more 17 developed and less developed countries, is it as 18 applicable to a state such as -- a western country such 19 as the UK as it is to a developing country?

- 20 A. Yes, I would say at least as applicable, in that with 21 our age distribution, where we had many, many more
- 22 elderly people than many less developed countries would 23 have, testing was even more important for us.
- 24 Q. In fact within your statement, I don't need to take you

25 through it now in detail because you set it out there,

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		UK
1		you refer to the experiences of both South Korea and
2		Germany as inspiring and examples that the UK should
3 4	Α.	look to? Yes.
5	Q.	
6	ų.	learnt there in terms of their experiences and the use
7		of that technology and testing, surveillance and
8		tracing?
9	Α.	That's still my view, yes.
10	MS	CECIL: Thank you very much.
11		Thank you, Professor, I've no further questions for
12		you.
13		Does my Lady have any questions?
14	LAI	DY HALLETT: No, I don't, thank you very much indeed. And
15		again, I apologise for the your evidence was
16		certainly very illuminating.
17	THI	E WITNESS: Thank you.
18	LAI	DY HALLETT: Even if I didn't find the graphs
19		electrifying. Thank you very much.
20	THI	E WITNESS: Thank you.
21		(The witness withdrew)
22	MR	KEATING: Yes, please, thank you.
23		MS KEMI BADENOCH (sworn)
24		Questions from COUNSEL TO THE INQUIRY
25	MR	KEATING: Do sit down, please. Thank you. 125
		120
1		So you are elected as Member of Parliament for
2		Saffron Walden since June 2017?
3	Α.	Yes.
4	Q.	You were Parliamentary Undersecretary of State (Minister
5		for Children and Families) July 2019 to February 2020,
6		and from February 2020 to September 2021 you were
7		Exchequer Secretary to the Treasury and Minister for
8 9	A.	Equalities? Yes.
10	Q.	And you were on maternity leave for a short period at
11	⋖.	the beginning of that time period, so it's from
12		February 2020 to April 2020
13	Α.	Yes.
14	Q.	you were on maternity leave, which is relevant

q. You were Parliamentary Undersecretary of State (Minister for Children and Families) July 2019 to February 2020, and from February 2020 to September 2021 you were Exchequer Secretary to the Treasury and Minister for Equalities?
A. Yes.
Q. And you were on maternity leave for a short period at the beginning of that time period, so it's from February 2020 to April 2020 -A. Yes.
Q. -- you were on maternity leave, which is relevant because that's at the outset of the Inquiry.

Later on, in 2021, you became minister of state at the Department for Levelling Up, and you held that role until July 2022, and you currently -- outside the Inquiry's time periods, but you currently are Secretary of State for International Trade and president of the Board of Trade, and also in October 2022 you were appointed as Minister for Women and Equalities?
A. Yes, business and trade, not international trade.
Q. Business and trade?
A. More has been added to the role, yes.

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THE WITNESS: Thank you. 1 2 LADY HALLETT: I hope we haven't kept you waiting for too 3 long. THE WITNESS: That's fine. 4 MR KEATING: Could you give us your full name, please. 5 6 A. Kemi Badenoch. 7 Q. Ms Badenoch, thank you so much for attending today. Thank you for assisting the Inquiry with its 8 investigations. You have provided a statement dated 9 10 26 June of this year to the Inquiry, and it runs to over 11 38 pages, and you have had the opportunity to check that, you've signed it, and it's true to the best of 12 13 your knowledge and belief, and you've also provided, as 14 we can see in the top right-hand corner, 87 exhibits. 15 Thank you so much in relation to that. 16 Before going into your evidence, let's briefly set 17 out your professional background. You're here really in 18 your capacity at the time as Minister for Equalities, 19 which you held that post between February 2020 and 20 February 2022, which is the time -- forgive me. 21 With -- it's not quite. July 2022. 22 Q. Yes, which is after February 2022. 23 A. Oh, I see, yes, yes. 24 Q. Let me run through the timetable with you, and it's my 25 fault if I am confusing. 126 1 Q. Yes. 2 So thank you so much for attending. And in terms of 3 the role of Minister for Equalities, and that's what 4 we're dealing with, so back during the pandemic, how 5 would you describe the responsibilities for the Minister 6 for Equalities? 7 So I would say that until I took on the role, returning 8 in April 2020 and I'd say in particular June 2020, the role for a Minister for Equalities was actually quite 9 different. It was very much limited to looking at 10 11 LGBT-related issues and women's issues, in particular 12 around women's economic issues, gender pay gap and so 13 14 Q. Okay, so your previous responsibilities changed 15 significantly, and you set out what those workstreams 16 are in your statement at paragraph 9. And as 17 an overview of the work you did, we can see that at 18 paragraph 9 of your statement, one of the main areas was 19 quarterly reports on progress to address Covid-19 health inequalities? 20 21 A. 22 **Q.** And we will go through those reports, not each report in

detail, but we'll touch upon key features of those.

in your capacity as Minister for Equalities, and that

128

You refer to ad hoc attendance at Covid-O meetings

(32) Pages 125 - 128

2 that would have been a specific phrase term?

3 **A.** Yes.

Q. Public health communications, a key feature also. And
 lastly, one of the significant features, it appears from
 your evidence, written evidence, is increasing vaccine
 uptake within ethnic minority groups.

In relation to that, there is a module later on in this Inquiry which is going to deal with vaccines.

10 A. Right.

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11 Q. So we will touch upon your work but perhaps not in thedetail that would be done otherwise.

In terms of the ministry, in terms of the Minister for Equalities, at that stage would it be fair to describe it as a junior ministerial role?

16 A. My role was.

17 **Q.** Yes.

18 A. It is not -- strictly speaking, it's an odd department

19 because it's not really a department, it's

20 a directorate, currently within the Cabinet Office, but

21 it would move with the department of whoever the

22 Secretary of State, who was also Minister for Women and

23 Equalities.

24 Q. Which was Liz Truss at that time?

25 **A.** At the time, yes. So strictly speaking we were in DIT,

principles research primarily in the LGBT and women's space. We did not cover equality across the board. What we looked at was the Equality Act specifications, which is around protected characteristics, and preventing discrimination, rather than inequality that might arise from other areas.

So this -- this work that we started to do was basically expanding what we would normally do in order to provide support to departments like DHSC who were completely swamped at the time. So the Marmot report is not something that would naturally have come to me anyway, but I did become aware of it, at some point, I can't remember exactly when.

14 **Q.** The last bit, just by way of context, so *The Marmot Review 10 Years On,* the message around February 2020 was that life expectancy in England had stalled since 2010, and this had not happened since at least the 1900s, and there was concern regarding the health of the nation, and that was one of the features which was touched upon in that review. *Ten Years On.*

Let's move on to the next report which is of relevance, direct relevance, to your involvement. So as you were about to take up your post, you became aware that there had been requests made of the Secretary of State, Matt Hancock, in relation to

1 as it was, the Department for International Trade, but

2 there were recommendations made by the Commission

on Race and Ethnic Disparities that the unit should stay
in the Cabinet Office for consistency and continuity.

5 $\,$ **Q.** So the Equality Hub was formed later in 2020, that was

based in the Cabinet Office, we've heard about that, and

7 in terms of the senior minister in this directorate, to

8 use your phrase, that would have been Liz Truss, who was

9 the Secretary of State at that time?

10 A. Yes.

11 **Q.** The quarterly reports on inequalities and how that work

12 was brought about, just a little bit of context. In

13 terms of when you returned -- when you were appointed as

14 minister in February 2020, joining effectively in

15 April 2020, it was around the time of the Marmot Review.

16 Is that something that -- The Marmot Review 10 Years On,

17 was that a review that you had been aware of in your

18 capacity as Minister for Equalities?

19 A. Not initially. I did become aware of it later on

20 in 2020, but I think it's probably worthwhile giving

21 some context as to why that would be the case. The

22 Equality Hub operates a hub and spoke model, even before

23 it was the Equality Hub, it became a bit more broad

24 based, but every department is responsible for managing

25 its own equality work. So we would do perhaps first

1 a review into factors affecting the health outcomes for 2 ethnic minority groups, especially those who were

3 working on the frontline?

4 A. Yeah.

 ${\bf 5} \quad {\bf Q}. \quad {\bf And \ they \ commissioned \ a \ report \ of \ Public \ Health}$

6 England --

7 A. Yes.

8 Q. -- PHE on 4 May 2020, and you set that out in your

9 statement, and that report was published on

10 2 June 2020 --

11 A. Correct.

12 Q. -- the PHE report, which we've touched upon already,

13 Disparities in the risk and outcomes of Covid-19?

14 A. Yes.

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Q. Published June 2020. There was an update in relation to
 that in August 2020 and some updated or corrected data,
 which perhaps we don't need to worry about too much at
 the moment.

In relation to the concerns that you note in your statement about that report, if we look at paragraph 17, so we just have the context of how you express it, I just want to seek your clarification. So paragraph 17 of your statement, please, thank you.

24 The first line:

"The PHE report highlights some apparently

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significant disparities in both risk and outcomes from COVID-19."

Can you assist me just with the first part, the word "apparently", which on one basis may be seen as -- may be a caveat. What did you mean by that?

- 6 Α. What do I mean by "apparently"?
- 7 Q. In that context.

as well.

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8 A. It was -- this was something that had not been known or 9 verified, and there was anecdotal information, which was 10 the reason why DHSC commissioned the report. But 11 whether the disparities were real or not we didn't know, 12 what was causing them we certainly didn't know, and that 13 first PHE report did not explain why, but also it's 14 about the significance of the disparities, that you can 15 have disparities and they're not significant, so the 16 "apparently" is referring to both the fact that there 17 were disparities not just -- but that they were 18 significant, and it wasn't just in outcomes but in risk

> So the outcome you might be aware of, but also the fact that it was a risk situation was something that became apparent because of the PHE report.

23 Q. As a result of the report, you were tasked by the 24 Prime Minister at the time to investigate this, and 25 carry out work which we'll touch upon.

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1 the different disparities. Age being one. 2 Significantly, the older one is the greater the 3 likelihood, sadly, of mortality. It talks about gender, 4 male higher risk than female. And it talks about those 5 in -- to use their terminology -- black, Asian and 6 minority ethnic (BAME) groups, having a higher risk than 7 those in white ethnic groups.

> It's really -- this also touches upon those who are in a range of caring occupations, which is later on in this document, those who drive passengers and road vehicles, and security guards, and so forth.

> So from your perspective, this report had been -had highlighted significant concerns regarding a disproportionate impact --

- 15 **A**.
- 16 Q. -- particularly in relation to ethnic groups?
- 17 A. Yes.
- Q. I've emphasised groups as in plural. Shall we deal with 18 19 terminology now because it's a feature of your work, 20 your concern regarding the terminology?
- 21 A. Yes
- 22 Q. What was the feedback that you gleaned from your work 23 over report 1 and report 2 about the use of the term
- 24 "BAME" to -- as an umbrella term for ethnic groups?
- 25 A. It's -- using the term "BAME" masked what was actually 135

Let's perhaps just briefly touch upon the report itself, the PHE report, and that's at INQ000089740. We see that's the front page. Page 4, please, thank you, paragraph 1. Thank you.

The third line down, it says:

"It confirms that the impact of COVID-19 has replicated existing health inequalities and, in some cases, has increased them. These results [which were carried out by the report] improve our understanding of the pandemic and will help in formulating the future public health response to it."

Again, just in the context, if we go to page 6, please, thank you, the first paragraph, deprivation is a feature which is touched upon, an important feature, and it says:

"People who live in deprived areas have higher diagnosis rates and death rates than those living in less deprived areas. The mortality rates from COVID-19 in the most deprived areas were more than double the least deprived areas, for both males and females. This is greater than the inequality seen in mortality rates in previous years ..."

Perhaps we could go back to page 4, please, and paragraph 2, then we can move on from this report. I'm going to summarise, if I may. It talks about 134

happening within different ethnicities. By lumping people who are black with people who are Asian, very, very different -- very, very different groups of people, it was -- it made it harder to actually look at the underlying factors. So what the PHE report did was tell us what was -- what we're seeing, it didn't tell us why, and lumping people into one group completely obscures different bits of information, which we were then able to single out once we started splitting -- once we started splitting groups apart.

What BAME basically does is summarise anyone who is not white from a health perspective or even just from any sort of analysis perspective. That's not particularly helpful. It is a phrase that is used if you're starting from the perspective of there is some discrimination taking place, and that is not the perspective that I wanted us to start from if we were to understand exactly what was going on.

- 19 Q. So we recognise the terminology and it being 20 inappropriate in that context, and that is a feature of 21 your work. I think we will see the "BAME" term being 22 used throughout.
- 23 A. Yes.
- 24 Q. With that context very much in mind, I want to deal with 25 one other matter regarding this publication, this

report. Within a couple of days it was announced in Parliament that you were going to lead this review. Were you also aware that there was concerns expressed by a number of organisations, including FEHMO and British Medical Association, regarding features of the PHE report which were missing, such as recommendations and also the input from a number of groups who had exchanged and discussed their experiences? A. Yes, very much so, because I also experienced quite a lot of personal abuse around the non-publication of

that, despite -- despite people not understanding exactly why there was a slight delay in publishing. And the reason for that was because there were actually two reports written, and participants assumed that there was only one. One of them was the report which we commissioned, which we published, and the second was a sort of stakeholder report, which was less what is happening but how people feel. And because we hadn't commissioned that, we didn't publish it straightaway, it was something that went into the system for a review understanding what was going on. And the assumption from people who didn't know that there were two separate reports was that the government was hiding something, which was very unfortunate, because it immediately created some distrust, which should not have been the

responses had been captured, I felt was not how it should have been done.

If you go out and ask people who are unhappy "Why are you unhappy?" you can get a totally skewed view, rather than asking everyone how they feel. And as I read that report I could see that the people who already believed that the system was set against them were the ones that were more likely to reply. I recognised many tropes in the documents and in the responses which were coming from a place -- not from a clinical or medical analysis, but more general social commentary, probably even more political, and I felt that we needed to make sure that we separated the two things.

I'm very keen that we have as much rigour as possible when we analyse data, and we should separate quantitative from qualitative, and the way that it had been published meant that it wasn't easy to do so. Publishing them in stages meant that we could look at what was happening and deal with that and then talk about the stakeholder responses after.

22 Q. Thank you for that answer.

Let's look at the report very briefly, and I'm just going to follow up on your answer in a moment. So if we could turn to INQ000176354, and that's *Beyond the data*:

case, because the assumption was that this was being hidden from people for deliberate reasons rather than additional information which we hadn't commissioned which we were taking longer to respond to.

Q. So in relation to the messaging, using the sort of

reports, is it fair to say that the PHE report doesn't
message that there's going to be another document which
is going to run --

political terminology, perhaps, the messaging of the two

10 A. No.

11 Q. -- thereafter?

12 A. No, it did not.

Q. Was it the case that the second report, which we're
 going to touch upon in a moment, the *Beyond the Data* report --

16 A. Yes.

17 Q. -- was that something which was really disclosed18 thereafter because of this outcry regarding --

A. No, no, that's not true. First of all, this was
a report that was written by an independent body, so,
irrespective of whether we published it or not, it could
always have been published. So it wasn't because of
an outcry. It was more the fact that it was being
presented as missing data when actually stakeholder
analysis and responses, especially the way that those

Understanding the impact of COVID-19 on BAME groups, and that was published on 16 June, two weeks after the first report.

Perhaps if we could go to page 6, please, I'm just going to do two passages in relation to this. The second paragraph. Stakeholders -- there was a significant amount of input in relation to this, which -- under the umbrella of stakeholders. It states this:

"Stakeholders acknowledged that while actions are already being undertaken, the results of the PHE review and other studies should be used to strengthen and accelerate efforts moving forward. Clear, visible and tangible actions, provided at scale were called for now with a commitment to address the underlying factors."

Lastly in relation to this report, if we could go to page 9, please, and the final paragraph, halfway down we

"The engagement sessions highlighted the BAME groups deep concern and anxiety that if lessons are not learnt from this initial phase of the epidemic, future waves of the disease could again have severe and disproportionate impacts. All were united in the commitment that urgent, collaborative and decisive action is required to avoid a repeat of this in the future."

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I have extracted two parts to it, not controversial, you would agree --

- A. Well, I don't disagree with some of the explanations and
 assessments, and certainly in terms of what stakeholder
 groups would have been feeling. That's something that
 I very much recognise.
- Q. Were you listening to these concerns which were being
 expressed about how certain groups felt that they were
 being treated and felt that they were exposed to
 Covid-19?
- 11 A. Absolutely. So if you -- if we go back to the first
 12 clip, I think you said it was page 6 or paragraph 6,
 13 I can't remember.
- 14 Q. That's correct, it was, I believe --
- A. Many of the recommendations in that report were what 15 16 informed our decision to publish the four quarterly 17 reports. It was quite clear to me that the 18 recommendations were things that would be needed in 19 order to provide assurance the government was taking 20 this seriously. But one of the reasons why I was quite 21 keen to do the work -- so I wasn't just asked to do it, 22 I did speak to other ministers and let it be known that 23 I would be happy to take on some of this work to help 24 with capacity, because these were concerns that I was 25 having as well. I am a black woman. I was reading that
- 1 **A.** Yes.

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- 2 Q. And that there was accountability; is that fair?
- 3 A. Yes.

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Q. We see, I'm not going to read them all, there was
a number of terms of reference, eight in total, the
first one, to:

"Review the effectiveness and impact of current actions being undertaken by relevant government departments and their agencies to directly lessen disparities in infection and death rates of COVID-19. Factors to be considered -- but aren't limited to -- should include age and sex, occupation ... "

And so forth, and includes ethnicity at the end.

So in terms of the first term of reference, a wide

15 term of reference?

- 16 **A.** Yes.
- 17 Q. Summarising the rest of them, it's to consider whether 18 there's going to be modifications to new policy. Data 19 key feature, at terms of reference 3, to "commission 20 further data" and to examine the collection of existing 21 data and its quality. And engagement with other 22 departmental ministers, further stakeholder engagement, 23 and to improve public communications, at the bottom of 24 the page.
- 25 **A.** Yes.

That's my family, that's my friends, and so on. And
I felt that having that personal interest would mean
that I would be not just focused, but also be able to

this was something that was impacting black people.

provide reassurance to many of those groups who feel
 that people who don't look like them can never represent

them, that they were having a minister who was black,
 who was of the community, if you're speaking strictly on

9 race, looking at this work and leading on it.

And I was also quite keen that Professor
Kevin Fenton, who at the time -- also a black man -head of PHE in London, worked closely and certainly was
involved in how we were pulling together the terms of
reference for the report and how we ended up making sure
that we could communicate it in a way that would provide
reassurance to people who, for whatever reason, had
genuine concerns which I felt needed to be addressed.
So with that in mind, that you were listening, you were

Q. So with that in mind, that you were listening, you were personally invested in this, you touched upon the terms of reference we can see these in your statements at paragraph 18(a) to (g), if we could bring that up in a moment, please. A number of terms of reference but the first point I suppose is the terms of reference there are important to scope the work that you're dealing with?

142

- Q. And at that stage, so we're June 2020, we're in thestage that we're still in lockdown number 1?
- 3 A. Very much so.
- 4 Q. In your view, it was an area that still required work to5 improve public communications?
- 6 Yes. And in coming up with these terms of reference 7 I was very keen -- this is probably my engineering, 8 project management, et cetera, background -- that we don't try to duplicate work that other people are 9 10 already doing, that we provide support and assistance, 11 we don't -- we are ambitious but not so ambitious that 12 it is not feasible for us to deliver, and look for where 13 there are gaps that we can add -- we can add value.

And by the time this report -- pardon me, this terms of reference was being drafted, I could already see the comments about people not understanding -- if you remember, we had those sort of daily 5 pm announcements and who could shield and who didn't need to, and so on, and I knew that communications was an area where there was lot of talk about people not necessarily understanding or not watching the same channels. And making sure that we were able to be as inclusive as possible, I wanted to review how we were communicating, not just what we were doing on the BBC, and that's why I added 7 to it. What I did not want to do was try and

- 1 be the Department of Health. That's not what the 2 Equality Hub does.
- 3 Q. No. At paragraph 76 of your statement, you touch upon 4 that your work on Covid-19 disparities was "primarily
- 5 limited to England only", and you referenced that, as we
- 6 know, health is a devolved matter in Northern Ireland,
- 7 Scotland and Wales.
- 8 A. Yes.

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- 9 Q. It's a question I have been asked to clarify, is the use 10 of the word "primarily". Was any of your work not 11 exclusive to England, in other words that it would have 12 involved the devolved administrations?
- 13 A. Well, the -- if you think about the agencies that we 14 work with, it's NHS England, at that time it's Public 15 Health England, that's -- that was where we had levers. 16 What we did was communicate to the devolved 17 administrations, "Here's what we're doing if you would 18 like to replicate".

As much as I would have liked to get into every corner, actually there is often resistance to that from devolved administrations, they don't want UK Government telling them exactly what to do or sticking their fingers into every pie. So it was about providing the transparency and, where we could get data from devolved administrations, doing so and sharing, sharing the 145

1 devolved administrations were doing?

- 2 A. Yes, but I don't think that -- I don't think that this 3 was work that was being done in isolation. A lot of the 4 government communications work was spread out, it was 5 shared, they were attending the Covid-Os, they were 6 getting updates at the same time. And I think that 7 those fora were probably sufficient for the kind of 8 thing that we were doing. 9
- Q. Still on scope, we've dealt with devolved 10 administrations, we've dealt with your terms of reference, two important groups, children, number one. 12 How did work on children, how was that weaved into the 13 work on disparities over those four quarterly reports?
- 14 A. So if we take a step back and look at the framework of 15 the Equality Act, it's in terms of protected 16 characteristics, age is one of them. But our purpose is 17 primarily to prevent discrimination and, in some cases, 18 disproportionate impact if we think we have a lever into 19 that

The disproportionate impact was very much on the elderly, not on children. That's something that came across very quickly and very early. So there was limited -- there was a limited need to look at how the disease itself was impacting children. There would have been indirect impacts such as schooling, for example,

information. 1

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2 Q. You used the word "communication" and "sharing 3 information". The statement paragraph, which is open, 4 suggests that was really having material which was 5 available online, transparency as you describe it. Was 6 there any more active communication with the devolved 7 administrations?

8 A. Certainly when reports were published we informed them. 9 But given -- I think certainly given capacity there 10 would not have been anything to do beyond letting them 11 know what we were doing.

> Communication is two-way, it's what lessons are being learned, it's how were things going. A lot of that we knew already, so that wasn't any need to provide additional activity in that space. We were at capacity. So I'm not sure what more we could have done with devolved administrations, given that they were also quite overwhelmed dealing with the pandemic in the various nations.

19 20 Q. And one has to be realistic. You say you were at 21 capacity twice in that answer, and it was during the 22 heart of the pandemic. In hindsight, was this not 23 an area, especially something like public 24 communications, where there would have been synergies

25 between the work you were doing and perhaps the work the 146

1 and later on, as we discovered, children living in 2 multigenerational households we knew were actually

3 passing the disease on to their elderly relatives, and 4 as we discovered that sort of information we made sure

5 that it was taken into account whether in risk

6 assessments, in communication that we were passing on.

7 We tried to get into channels looked at by young people,

8 letting them know that they might be at risk or they

9 might be creating a risk for their family members. But

10 beyond that I would not have expected -- unless you have 11 some examples -- I would not have expected children to

12 be much further in scope for the work that I was doing.

13 Q. Well, it's your answer. The focus, for the reasons you 14 set out, were on other groups, and when one considers

15 age, the focus was on those who were older, rather than 16 children.

17 A. Yes.

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18 Q. That's your evidence.

> Disabled is the second group I wanted to ask you about, and really what part, if any, your remit included consideration of the impact on those who would fall into the category of disabled persons, disabled people?

23 A. It was limited because there was already a minister of, 24 Minister for Disabled People, Justin Tomlinson, who I believe has already given evidence.

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Q.	163.			
A.	As I said earlier, making sure that we weren't			
	duplicating efforts was quite important, and he already			
	covered that part of the brief quite well. Where I did			
	look into disability was where disability interacts more			
	on the health much more on the health side. So where			
	we discovered that diabetes, for example, was			
	a significant risk factor in terms of whether people			
	died from the disease or not, those sorts of things we			

looked at. But disability generally, across the board,

- no, that would not have been within my remit. 12 What sort of interaction would you have with Q. 13 Justin Tomlinson, the Minister for Disabled Persons 14 around that time?
- A. Update meetings and we had Equalities ministers meetings 15 16 where we gave updates on our work across the board. The 17 senior Minister for Women and Equalities as well as myself, Minister for Women, and the Minister for 18 19 Disabled People would have been present in those 20
- 21 Q. Yes.

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- 22 A. And at official level all of that information of course 23 would have been shared.
- 24 Yes, and in relation to those meetings which were --Q. 25 were they regular or irregular, these meetings, Equality 149

1 about to move on to a large topic. 2 LADY HALLETT: Certainly, of course, I was just thinking 3 about the comment about how meetings don't necessarily 4 prove productive. 5 I shall return at 1.50. 6 MR KEATING: Thank you, my Lady. 7 (12.57 pm)

9 (1.50 pm)

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LADY HALLETT: Mr Keating. 10

MR KEATING: Thank you, my Lady. 11

Welcome back. We were, before luncheon, dealing with the PHE reports, the terms of reference for the work you were doing investigating the apparent -- to use your phrase -- inequalities in relation to certain groups, and we'd dealt with the terms of reference and the scope of your work, which was from June 2020 onwards.

(The short adjournment)

So the next part of our timeline really moves on to September 2020, and it's a Covid-O meeting which you were present at -- and I'm very grateful, it's right in front of us there -- chaired by Michael Gove, and we see Justin Tomlinson, who is the Minister of State for Disabled People, and overleaf, top of page 2, you're there in your capacity as Minister for Equalities.

Ministry meetings?

A. I think we had regular meetings and then anything else that was needed in between would have been often enough. But also I was guite keen that we didn't have meetings for the sake of them. If we didn't have anything to 6 say, we wouldn't have the meeting just because it was in the diary, and -- this is me trying to recollect.

I know that when we did speak there was an agenda item that needed communication between us, but quite 10 often a lot of that would have been done at official 11 level as well. I'm also very conscious of other 12 ministers' time and it's not that easy to schedule 13 things into the diary, so as and when necessary.

- 14 Q. So there's no mystery, you have been asked to obtain 15 that information, you've kindly agreed that your team 16 will go away and obtain the documentation in relation to 17 the agendas for those meetings --
- 18 A. Yes.

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- 19 Q. -- anv read-outs?
- 20 A. But I would like to stress the frequency of meetings 21 does not necessarily indicate the level of action that's 22 taking place. You can have lots of meetings and nothing 23 happens.
- 24 MR KEATING: Well, actions is something we're going to talk 25 about, but that may be a suitable moment, my Lady. I'm 150

But if we could go to page 5, please. In terms of context at page 5 of this document, we see that the first and second paragraphs, it's discussing that the first wave of the pandemic, BAME communities had seen higher case rates than their white counterparts. This was being repeated in the second wave of the Covid pandemic. There's reference to data being perhaps skewed as a result of testing, but reference still that a BAME person -- that's their terminology -- was still more likely to die from coronavirus, even once the socioeconomic factors had been removed, with black men twice as likely to die as their white counterparts. It

linked to the fact that these ethnicities were over-represented in eight of the twelve most high-risk coronavirus occupations."

third paragraph.

refers to disabled persons, and it says this:

accounting for other risk factors, disabled people were 1.6 times more likely to die from coronavirus."

152

talks about: "The increased death rate of BAME communities was If we could just scroll out, please, and look at the So against that context, this Covid-O meeting then "... 60 per cent of those who had died from coronavirus identified as disabled and, even once

And that spikes considerably when one considers age, and it talks about the difference in relation to gender.

So, Ms Badenoch, just ploughing on from your work in terms of looking at the disproportionate impact on ethnic minority groups and disabled people, that huge group as well, was this not an opportunity for your work to align with the work which was going on in relation to disabled persons, bearing in mind the high death rate which has just been highlighted there?

- A. I don't think so. I mean, if I understand your
 question, what you're asking -- correct me if I'm
 wrong -- is that given that there were bad statistics on
 the disabled side as well as on the equalities side, why
 didn't we encapsulate it all together.
- 15 Q. Correct.

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- 16 A. There was no need to. They were doing work, certainly 17 from my perspective, that would just have been 18 a duplication. Simply adding it to our workstream would 19 not have provided any additional insights. They were 20 still part of the broader equalities directorate, and 21 they were focusing on a particular area, and given that 22 the work that my -- by which time the quarterly reports 23 that I was doing were focusing on comorbidities and 24 multivariant analysis, it was taking into account other 25 things like age, like sex, like geography, for instance.
- 1 **A.** Yes.

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Q. What we're going to do is we're going to draw out some
 aspects of this. It's a significant report in terms of
 length.

Perhaps if we could turn to page 5, please. That's the introduction there, and the third paragraph sets out the work which was ongoing, and:

"Given the stark findings in relation to ethnicity, the RDU ..."

Perhaps you could explain to everybody what that is.

- 11 A. It's the Race Disparity Unit.
- 12 Q. Yes, thank you:

"... main focus has been to consider why this virus has had such a disproportionate impact on people from ethnic minority groups, and in particular men from within those groups."

It talks about a separate strand of work when government is considering other disproportionately impacted groups, that being disabled persons.

- 20 **A.** Yes.
- Q. The report makes 13 recommendations. If we could see those at page 6. We see the first two at page 6, and perhaps we could just focus on some of those, not them all. But we see there the recommendations which you made to the Prime Minister at the time, which had been

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So we were looking at this in its entirety. Whether or not it was in a particular workstream or another I don't think would have made much of a difference.

Q. What would you say to the concern that the interests of
 disabled people was actually secondary and wasn't given
 sufficient prominence at that stage in September 2020?

7 I would disagree with that. I think just because you're 8 looking at things separately doesn't mean that there is 9 a hierarchy of need. The evidence showed that disabled 10 people were more impacted, and we were keen to ensure 11 that it was the people who were most impacted that got 12 the most attention, and that was roughly in order of 13 priority: the elderly, and then disabled people. This 14 was something that was a factor, and in terms of 15 interventions being made, those would have been

17 **Q.** It's an obvious point, but of course disabled persons18 will fall within ethnic minority groups as well.

19 A. Yes. Yes.

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Q. Let's move on to your first quarterly report, which is
 dated 22 October 2020, and that's INQ000089742 - thank you, right in front of us.

So published on 22 October, and you touch upon in your statement how you wrote a letter to the Prime Minister setting out the work you were doing.

considerations that DHSC would have taken into account.

accepted in full.

The first two -- and I'm going to summarise them, if I may -- is that:

"NHS England must ensure that Trusts implement plans for the next stage of the pandemic, and that these plans continue to reflect the latest evidence about ethnic disparities and risk factors."

And recommendation 2:

"Departments must put in place arrangements for the effective monitoring of the impacts [of] their policies ..."

So October 2020, these are quite broad recommendations, would you agree, in terms of: you must have plans and you must be monitoring the impact of your policies?

A. Yes, they are broad, but I don't think we should assume that they would have been done if it hadn't been explicitly set out. But also, what these recommendations are doing are letting it be known that there is someone else marking the homework. It's very easy for people to say, "Oh, don't worry, we've got some

22 plans in place", but by formalising these

recommendations, they were things which we were going to be going back to check up on later, and it's almost that evidence-checking process that is being validated with

1 recommendations

- 2 **Q.** So you describe this as an evidence-checking process, 3 because one would expect that these are matters which 4 would be in place in any event.
- 5 A. Yeah

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6 **Q.** Or should be in place in any event.

7 Let's turn overleaf, please, and look at 8 recommendation 4:

> "Departments should continue to work at pace to develop new policy interventions to mitigate COVID-19 disparities, informed by the latest evidence."

You touch upon that in your statement, that this was -- paraphrasing -- a personal issue to you, and your view -- and perhaps you could paraphrase it or say it yourself -- was that you considered more needed to be done; is that correct?

- 17 A. Yes, certainly so.
- 18 Q. So in relation to work to mitigate Covid-19 disparities, 19 as of October 2020, your view was that more needed to be
- 20 done and government needed to work at pace?
- 21 A. Yes, and I think that what's also -- it may not be 22 obvious, but what's also being emphasised there is that 23 departments should continue to work at pace, and I think
- 24 that sometimes in government there is an assumption
- 25 that, "Well, somebody else is looking after this, so we

"For some policies [this being October 2020, as we're in the tier system], departments have yet to establish effective metrics and monitoring arrangements. While this is understandable with more recent initiatives, this must be a priority for departments over the coming months. This will enable the [Race Disparity Unit] to monitor and assess short and longer term impacts and to assess which interventions are most effective."

So the work you had done had revealed that there was an absence in certain quarters of effective metrics and monitoring; is that correct?

Yes. We -- and -- but this was part of what we were Α. looking to identify. Where there were gaps, where some departments weren't doing as well as they could or should we wanted to highlight that. And I imagine the way we write these documents might seem odd, but there is a lot of reading between the lines. We don't want to demoralise or overcriticise the people that we're working with, but effectively what this paragraph is saying is that some people haven't yet done what they should be doing, and in the context of everything that was going on at the time -- many departments overwhelmed, lots of officials being pulled from their day-to-day work to support in the pandemic -- I don't

159

- 1 don't need to", and it was reinforcing that principle
- 2 that equalities work is done by every department, not
- 3 just the Equality Hub.
- 4 Q. And it may be civil service or political phraseology,
- 5 but "work at pace", what does that mean?
- 6 A. Quickly.
- 7 Q. Yes, that's pretty much common usage.
- 8 A. Yes.
- 9 Q. In terms of metrics and using your project management 10 background, if we look -- was there metrics to measure
- 11 these recommendations? Was there something in place to
- 12 make sure that, as you say, the homework was being
- 13 marked?
- 14 A. Yes, the actual process of the quarterly reports was the
- 15 metric checking, effectively. That was how we were
- 16 measuring. So, routinely, my team within the Race
- 17 Disparity Unit would ask departments to report back:
- 18 "What have you done? We made these recommendations.
- 19 Can you send us a list of actions that show how you are
- 20 meeting this", which is all part of the homework
- 21 marking.
- 22 Q. Yes.

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- 23 A. I would say part of the process.
- 24 Q. Let's go to page 10, please, in relation to this theme
- 25 as to metrics and monitoring. We see at paragraph 8: 158

think it was surprising, which is why we said: well,

- this is understandable. But there was more that we
- 3 wanted to see and we knew that people weren't moving as
- 4 quickly as we would have liked.
- 5 Q. That last part of your sentence, "We knew that people
- 6 weren't moving as quickly as we would have liked", just
- 7 keeping that in mind for a moment, because the question
- 8 was going to be this: bearing in mind the concerns
- 9 regarding certain groups being disproportionately
- 10 impacted, May 2020, it raised -- and a report ordered by
- 11 the Department of Health and Social Care, PHE reporting
- 12 back in June 2020, we're in October 2020, in the grip of
- 13 a moving second wave, and you're concerned here that
- 14 people weren't doing -- moving as quickly as we would
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- 16 A. Yes, there would have been more detail -- I can't recall
- 17 off the top of my head, but there would have been more
- 18 detail in the report about what specifically -- there
- 19 would have been specifics. So this -- I can't remember,
- 20 I'd need to read the report again to remember exactly,
- 21 but we commissioned this in June. I think we took about
- 22 a month just to get everything together, get the right
- 23 people into the RDU to carry out the work, put the terms
- 24 of reference, so first report comes out in October.
- This is after there has been a lull. If you remember, 25

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we had a summer where there was a lull in terms of infections and there was some easing generally.

So this was just the assessment that was being made in terms of: if there's a new spike, have we got everybody ready, I think perhaps to do risk assessments and so on. And departments need to answer for themselves specifically why that was the case, but we had looked at what they were doing and we felt that, by the metrics we were measuring, not everything was being

- Q. Was there, in your view, sufficient capacity to deal 11 12 with these matters during summer 2020 so that we were 13 prepared for the second wave?
- 14 A. I don't think so. I think that -- it's -- a pandemic 15 like that, and the amount that we were doing, I'm not 16 sure there would ever have been enough capacity. 17 Because on the one hand, government is doing everything 18 it can to support those who were dealing with the 19 disease. But even we, whether it's the officials, the 20 civil servants, we're also being impacted ourselves. 21 Within the cohort of people working in the civil service 22 were people who were shielding or who had family members 23 who were dying and so on.

So I don't think that this was a case of people slacking off or people not doing as much as they could

1 Thank you.

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This relates to this point, is that:

"There is a significant amount of work being carried out at the local authority level and by Directors of Public Health which is not currently being captured centrally. Capturing this will be a focus in the coming months."

So, again, drawing that together, your work revealed that there was a lack of visibility in central government about what local government colleagues were doing in relation to these areas?

A. I'm not sure whether that was about the central government visibility. I think it might have been the RDU's visibility into -- being a separate department, into what was going on. I suspect that DHSC would have known what directors of local -- local directors of public health were doing, and probably in MHCLG, as it was at the time, there would have been some insight. But making sure that we had that information and we were able to capture that in our work I suspect is part of what we were looking at.

I can't remember exactly, but that -- reading that now, I think that that is what we were saying. I don't think what we were saying was that nobody knows what anyone is doing.

do. Sometimes it would be people just not having in themselves their own personal capacity, literally no other person to join or perhaps those with the right skillset. And you can't skill up quickly for a pandemic and you can't have pandemic-sized response capacity just sitting there waiting all the time.

Q. I won't repeat the chronology points again, but the last point on metrics is that one of the recommendations -we don't need to look at it -- recommendation 10, was that you were going to work with other departmental colleagues to establish metrics going forward.

We're going to move on to one last point, which is recommendation 3. So if we could go back, please, to page 5, or page 6 it's probably on, so you have it in front of you. And overleaf, thank you.

"There should be a rapid, light-touch review of action taken by local authorities and Directors of Public Health to support people from ethnic minority backgrounds, in order to understand what works at a local level."

If we fast forward to page 9, please, just to help you, because I'm conscious these are long documents which you have seen recently, but some of them are more in the past.

Page 9, please, thank you. The last paragraph.

1 2 other people's work, but one of your recommendations is: 3

4 A. Yes.

5 Q. Dealing with the last themes very briefly, but they're 6 important points, data, data remained an issue, which --

A. And -- sorry to interrupt -- if I was to refer to -that's point 5. If I was to refer to point 3 on that page, we document what we did, asking for a set of returns, and it could also have been that departments sent us some but not all of what they had, and making sure that we're reviewing what information they had as 13 opposed to what they were sending was also part of it. Sometimes they just didn't send us everything. They might have thought it wasn't relevant. Sometimes a particular team may not even know what another department -- what another part of the department has. Government is very big, and the bigger it is, the harder it is to find information.

20 **Q**. That goes back to the question before lunch about your 21 role as Minister for Equalities and whether that had 22 a sufficient seniority and importance to get a response 23 from other departments. Was that an issue?

24 A. I think that was not an issue because this was a report 25 commissioned by the Prime Minister, so it had his

164

163

(41) Pages 161 - 164

Q. We'll read in context, but you don't like duplicating we need to do a review, we need to know more.

authority behind it, and remember I was not the only
 Equalities Minister; there was a more senior Equalities
 Minister as well.

I never felt that they did not take this work seriously, but I did feel that they were very much at capacity.

Q. Okay.

Data, and I'm going to summarise. Data was a real issue. There was:

"... no single dataset [which held] all the variables needed to gain a full understanding, different organisations have been linking datasets over the last 4 months ..."

We don't need to turn to that, it's page 14.

It says later on at page 20:

"... the emerging picture points to areas of general concern about data quality ..."

So a real feature was: we don't have one dataset, we're merging a number of datasets; secondly is that there's issues regarding data quality, data collection, and the need for harmonisation of data standards.

- 22 A. Absolutely.
- Q. And that's a feature of the work through the quarterlyreports thereafter; is that right?
- 25 A. Yes, I remember that.

- Q. We touched upon already that the 13 recommendations in this first quarterly report in October 2020 were
 accepted in full by the Prime Minister, and that this formed the framework going forward for your work, is how you said in your statement.
 - I want to fast forward now to the second quarterly report --
- 8 A. Right.

Q. -- which is in February 2021, so we're now in the third
lockdown in terms of the narrative, and if we could open
up, please, INQ000089744. We have it there, thank you
so much.

This was published on 26 February and, as you summarise in your statements, looked at causes of higher infection and mortality rates for ethnic minority groups in greater detail, and the work undertaken to mitigate risks. You explain that the impact on ethnic groups had changed between the first and second waves.

Did you want to, rather than me summarising everything, explain your assessment at that stage? That was quite a significant part of your work.

A. Yes. I don't have the exact page in front of me, but
 I do recall that there had been a change between what we
 saw for black males and -- or at least the black cohort
 and whites, there was an equalisation in terms of risk,

Q. Communications. We discussed it already, it was in your terms of reference. Another one of your recommendations

3 for this first quarterly report was to continue to

improve public communications. It was an ongoing issue.

- 5 Is that fair?
- 6 A. Yes.

7 Q. And risk. Perhaps we could turn to look at this.

Page 16, please, second paragraph, thank you, risk
factors, and how some occupations carry a higher risk of
getting infected. We touched upon this when looking at
the Covid-O report:

"... as the job cannot be undertaken at home; people still need to commute to work in order to provide essential services for the community."

These figures are significant:

"1.4 million key workers were from ethnic minorities, making up to 14% of all key workers (5% of the total workforce) and 20% of those in high risk occupations compared to their 11% involvement in the total workforce."

So there is a recognition there of risk, risk being a factor, and risk being a factor linked to the occupations where certain ethnic groups were more prevalent in; is that correct?

25 A. Yes

whereas on the side of Pakistani and Bangladeshi groups,
I think -- I can't remember whether it stayed the same
or it had got worse, but suddenly black African and
white British men, there was no disparity, but it
continued within the Asian groups.

Q. Yes. That's a fair summary. We have it in front of usthere.

Perhaps, in fact, if we pull out for a moment, I think page 6, paragraph 3 is probably a better reference. I may be wrong. Okay. Let's go with this reference.

It says overall the direct impacts of Covid-19 improved for ethnic minorities as a whole during the early second wave, and it describes the difference in the first wave, and how in the early part of the second wave the risk of death was the same for black African and white British men, what you've just said to us.

A. Yes

19 Q. To underline the second part:

"At the same time, however, the second wave has had a much greater impact on some South Asian groups. Work is underway to consider why the second wave to date has had such a disproportionate impact on Pakistani and Bangladeshi groups. Relevant considerations include regional patterns in first and second waves ...

household occupancy and multigenerational households, deprivation, and occupational exposure."

It says later on in the report, at page 23, that the continued higher rate of mortality in people from Bangladeshi and Pakistani backgrounds was alarming and required focused public health campaigns and policy response. Can you help us in relation to that?

So we see an increase in mortality in relation to the British Pakistani/British Bangladeshi groups. What was done to require focused public health campaigns?

A. The -- if we go back to what the information was telling us, up until really that second quarterly report, the why of -- why people were disproportionately impacted was not clear, and if you look at the PHE report, especially the stakeholder analysis, much of the belief was that this was due to prejudice, discrimination, racism. What we were finding there was that something different is going on because we're seeing improvements in some groups and worsening in other groups.

So a lot of focus was around compliance with social distancing, whether people understood a lot of the advice that government was giving, and at that point we were spotting the correlation, which we think was quite a huge causation, about multigenerational families. The big difference between those two racial groups which

impacted, we can see certain areas in the country having a higher rate of incidence, and we decided to target the problem and be as focused as possible. Given the terms of reference that I had, a lot of that was to do with communications.

The community champions programme was one which identified that, even with the best will in the world, government can't get everywhere. There are some places that you need other people to do the communicating. So the community champions programme was to find people who were trusted in their communities who could help seed information around looking after yourself and, in particular, your family.

- 14 Q. So in relation to that --
- 15 A. Yes.

- 16 Q. -- the community champions was something which was
 17 an important part of your work. You mentioned in your
 18 reports that funding was granted in January 2021, so
 19 just at the beginning of the third lockdown.
- **A**. Yes
- Q. This is your report in February 2021. Community
 champions and that trusted voice for different
 communities, was that something which perhaps should
 have been done at an earlier stage?
- **A.** I don't see how we would have done that. If you 171

were previously roughly equally impacted was how many of them had grandparents effectively living with the family and exposure to young children.

So we put out lots of communication around -- to diaspora communities, by this point the community champions programme is starting where we know that clearly with some of these groups, not all of them are going to be going to gov.uk to read through all the long bits of advice --

- 10 Q. Just pausing there, we have a remote stenographer, so11 I'm just --
- **A.** Oh, sorry.
- 13 Q. No, not at all, just to give a pause to your answer.
- 14 A. Yes.
- 15 Q. You were dealing with communication --
- 16 A. Yes.
- 17 Q. -- and community champions, which is one of the topics
 18 I was going to deal with.
- 19 A. Okay.

- 20 Q. Explain to those who are listening what community21 champions were and how that was brought about.
- A. Right. So if I take a step back and provide some morecontext.
- 24 At this point we can see that there are 25 multigenerational households who were particularly

remember, the Equality Hub doesn't have delivery levers. It's almost, effectively, a research ad policy recommendation unit. So community champions was delivered by the communities department. Finding out which communities are impacted -- this is by the second report -- looking for who the right community champions will be, they're not just waiting -- you know, it's not a set of people waiting, getting people to do the work, making sure there's the data on where to go, where the incidence is, looking at places where there's high morbidity data, high incidence of death, low levels of English speaking, all of that needs to be captured as

So you can't just press go and start a project. The departmental co-ordination needs to be there, Treasury funding needs to -- you need to go through the right process. Treasury needs to look at the proposal. You can't --

- 19 Q. Just to pause there, because I'm just going to followup, if that's all right.
- 21 A. Yes, fine, okay.
- 22 Q. I understand the mechanics --
- 23 A. Yes.
- Q. -- that these things -- to use your phrase, "pressing go".

What would you say to the challenge to that, which would be: we're a country rich in diversity, and there always would be a need to have different communication channels to reach different parts and different communities, and that should be something which should be in place already?

A. I think it is something that should always be considered, but I don't think that even government can create a system that would be in place already to deal with that.

If I give you an example, I -- and this is where my own personal experience was feeding into this, and I know this was something that was very common. I'm in a family WhatsApp group with family members across the world, from Africa to the US, all of us experiencing the pandemic, different bits of information being shared, clips of people saying, "This isn't real, it's a government -- this is a government agenda", lots of conspiracy theory, having to rebut very well educated people who are bringing in arguments that they're seeing on the internet.

The government can't get into my family WhatsApp group. It's just -- there are some channels which you cannot break into in the information age that we live in. What we can do is try and make sure that as many

address that.

I'm going to move on, if I may.

In terms of areas in which positive measures were implemented as a result of the second quarterly report, we mentioned community champions, community testing, which was taking place, piloted at places of worship in ethnically diverse areas, and trying to enable more identification of higher numbers of cases.

I want to turn to page 11, if I may, of this report. I've highlighted the progress which has been made and the efforts which were being implemented, and we see at paragraph 7 -- thank you again for the references, which are correct -- it says this:

"While good progress has been made to address COVID-19 disparities, government departments must redouble their efforts, taking account of the latest available data and evidence. In particular, departments must consider measures that will benefit those most affected by the second wave of the virus, and in particular those from the Bangladeshi and Pakistani ethnic groups."

So, again, this report recognises and identifies that more needs to be done; is that right?

A. Yes

25 Q. We talked about metrics, and one of those, if you fast

people as possible have access to the right amount of information ad hopefully that eventually transmits, but there are some things that you cannot rebut.

Community champions was a way of reaching those people, perhaps, who might not be -- might be digitally excluded, might have low levels of English, hopefully are slightly distanced from a lot of the conspiracy theory spread and misinformation spread, but who would perhaps go to a church or a mosque or a religious institution, perhaps a clinic, people who were coming face-to-face with those who might be suspicious of intervention from government.

And remember, within ethnic minority populations, there is a very high level of first generation immigrants who come from countries where people don't trust the government, and there is no reason to assume that just because the government is saying something, that they will take it as verifiable information that they have to act on, especially with the backdrop of conspiracy theory.

Q. The lack of trust and the need for trust is somethingyou have mentioned a number of times.

23 A. Yes.

Q. And it's a feature of your work how this community
 engagement, community champions, was one vehicle to
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forward to page 49, was your recommendation 10 from the first report. There was an update in relation to recommendation 10 which you wrote to colleagues in December 2020, encouraging departments to establish metrics for assessing the impact of their policies, accompanied by a technical annex, and you also met the minister for Covid-19 vaccinations.

There isn't anything exciting, I don't believe, overleaf. Yes, as I recollected, nothing really more to add in relation to that.

Where were we with metrics? You sent the information out. Were metrics established to gauge what different departments were doing to address these issues?

I believe they were, although I can't recall exactly what they would have been, certainly not off the top of my head. By this point, metrics -- a lot of intra-departmental metrics were not necessarily things that we would have been looking at, it was making sure that they were monitoring and checking that what they were doing would have been effective, and a lot of what we were focused on by this point was: what is the data telling us about who is impacted? What can we do in order to make sure that they're protecting themselves?

I seem to recall by this point we were heading into 176

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periods where religious festivals were taking place. We knew that large gatherings of people were occurring, especially in certain minority communities; how to make sure that people protected themselves and did not -you know, did not end up in a situation where large non-compliance activities were taking place.

But in terms of metrics, not off the top of my head, no. I'm sure they are there, but I can't recall at the moment.

Q. Let's hope that confidence is borne out, but in relation to the time period where we are, we are in lockdown 3, it's February 2021, and next steps -- I can outline them rather than invite you to turn to it -- was: more recommendations on data and evidence, more recommendations on engagement, more recommendations on tailored communications.

Let's move to the third quarterly report. It's 25 May 2021. We're into summer 2021. Things are improving relatively, INQ -- it's right in front of us, thank you so much. Page 3, please.

We see there in the last paragraph:

"This report summarises work across government and through national and local partnerships, to improve vaccine uptake among ethnic minorities."

It describes:

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been contributing to ethnic minority disproportionate impact, fear -- not participating in clinical trials would mean that: what if people were getting the vaccine and then it wasn't working because of genetics or something else? So increasing vaccine uptake, but also encouraging people to understand what vaccines are about, that they're safe, taking part in clinical trials was really important to me. I took part in clinical trials myself. I trialled -- I went on the Novavax vaccine trial, publicised that, to let people know that this wasn't something that they should be afraid of.

There was a lot of fear by this point that the government wants to -- or the -- how can I put this? There was a fear that a lot of the communication about disproportionate impact was actually a secret conspiracy to scare ethnic minorities into taking vaccines, which was a way of the government culling the population. So even the things which we are doing in order to identify risk were being manipulated into conspiracy theories to deter people from doing what would have helped them mitigate that risk, and that was something that I was particularly concerned about.

23 Q. You recognised that there was mistrust in the 24 communities, not one community, and there were efforts 25 which are set out in this report to address that and

"A data-informed approach, targeted communications and engagement and flexible deployment models are the cornerstones of vaccine equalities delivery."

It refers to supporting it during Ramadan, extending the use of places of worship, and out of completeness, at the bottom of the paragraph, refers to further funding, £4.2 million:

"... to local sustainability and transformation partnerships to enable targeted engagement in areas with health inequalities and with communities ..."

By this stage, your work -- is this a fair summary -- in May 2021, the huge focus of it was in enabling vaccine uptake?

14 **A**. Yes.

15 Q. Why was that?

16 Because many of the non-pharmaceutical interventions --17 I think that's what NPI stood for.

18 Q. Yes, it does.

19 Yes. Many of the NPIs were things that we were trying 20 to do to help prevent, but in terms of efficacy, we 21 couldn't control a lot of it. You can't control how 22 people behave. In some cases, it's impossible for them 23 to shield, depending on their living circumstances.

> Vaccines, on the other hand, were proven, and looking at some of the things that I felt would have

178

improve communication and improve vaccine uptake.

What do you say to the question regarding whether the balance was right? Was too much of the effort at this stage into addressing ethnic minority disproportionate impacts on vaccines? Should more have been also done in relation to other areas, such as financial support, for instance?

A. I disagree, and I think that we would need to be very careful in this -- at this point about stigmatisation, 10 which is something that I had very much at the back of 11 my mind.

> Vaccines worked. This was a fact, this was proven, and to spend time away from what we knew worked to do things which were less viable, less effective, in order to deal with the emotional feelings of people who didn't -- either didn't like vaccines or wanted other levels of support I think would have been wrong.

But I also think that -- I remember reading lots of recommendations and lots of reports at the time. What people were suggesting was racial segregation: let's treat black people differently because they're disproportionately impacted, let's give them the vaccine first -- something that we didn't do, for example -- or let's target support packages for ethnic minority communities, and I think that a lot of this -- it goes 180

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1 back to the point I was making about use of the word 2 "BAME". Ethnic minorities don't just exist as 3 communities of segregated people. We are part and 4 parcel of this country. We are related to people who 5 don't come from our ethnic background. My husband is 6 white, my children are mixed race; there are families 7 like ours all across the country. Targeting ethnic 8 minorities in this way rather than targeting households 9 and families would have been completely the wrong thing 10 to do, and that applies to both the clinical 11 interventions, as well as things like economic packages 12 and so on.

- 13 Q. Let's pause there for a second, because it's just --we're on points.
- 15 A. Yes, okay.
- 16 Q. And you're talking about support packages for ethnic17 minority groups.

One of the features from your work is that recognition of the higher rate of mortality in relation to --

21 A. Yeah.

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- Q. -- British Pakistani, British Bangladeshi, and in the
 type of occupations they work more in, and we talked
 about key workers as well.
 So, against that background, was it not the case
 - So, against that background, was it not the case

drivers, who are particularly exposed, who are from that background. But they are no more exposed than taxi drivers of another background. You could say: well, let's give extra money to all taxi drivers. But then there are other groups of people, not least of all health workers, who are also similarly exposed. There is no perfect way of finding a particular group to give extra cash to, and extra cash in and of itself would not have solved the problem which we were trying to resolve of making sure people were protected and away from the virus.

12 Q. Just --

13 A. If I may, there is one extra point. What this
 14 highlights is the trade-off, that is the trade-off that
 15 we, as government ministers, have to balance.

Deprivation is one of the reasons why people say that there is inequality. Making sure that people can stay economically active -- it's not just about the earning of the money but also the things that come with it. If you reduce that, you also create factors that can lead to inequalities later. So we have to look at all of those things in the round and find the right balance and --

Q. Can I ask you a question in relation to this, because
 I'm conscious that there was a long answer and I don't

3 people in areas, such as in the north of England? 4 A. So I would say no, and I say this -- at the time I was 5 a Treasury minister as well as an equalities minister, 6 so it was quite a useful intersection, and I would say 7 one of the advantages of having equalities ministers sit 8 in other departments. What the evidence has shown is 9 that being an ethnic minority was not the cause of being 10 disproportionately impacted; it correlated with what the 11 causes were, the comorbidities. So you have to tackle 12 the actual cause, not the thing that comes in common

that earlier in the pandemic, perhaps before vaccines,

there should have been greater financial support to help

with it. If you provided support packages to particular
minority groups, you would have left quite a lot of
people out who desperately needed similar support,
rather than targeting the people who were most affected.
So, for instance, you could argue that: let's give

extra money to all Pakistani men, they're
disproportionately impacted. I think that would have
been a terrible waste of money. There would have been
a lot of --

- 22 Q. I don't think that was the suggestion, in fairness.
- 23 A. I'm giving examples, I'm not saying that's what you are24 suggesting.

You could have said: let's give money to the taxi
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1 want to lose it all.

2 A. Right.

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3 So one of the areas which is an alternative was whether 4 there should have been more financial support in 5 relation to those occupations, or people generally --6 putting ethnicity to one side -- who may have been 7 self-employed or working in low paid areas which, if 8 they were sick, they would get sufficient financial 9 support so they could self-isolate. That's the vehicle 10 for this sort of support, which I'm sure you're familiar 11 with. Was that not something that your work should have 12 included or at least explored?

13 A. That was work that was taking place in the Treasury. 14 I wasn't the minister responsible for that, but that was 15 looked at in the Treasury. I'm afraid I only have the 16 Equality Hub notes for this module, but that definitely 17 was done, and I remember standing at the despatch box 18 and explaining how we came to devise the packages which 19 we did. There always has to be a cut-off, and there is 20 a cost to everything.

So the package -- the furlough and a lot of the quantitative easing and money printing which we did then is directly related to some of the issues that we're seeing now with high interest rates, with inflation. So more interventions are not without cost or consequences,

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1 and there needs to be at some point a line that was 2 drawn.

3 Q. Yes.

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- 4 A. Even where we drew the line, people asked that it should 5 have been for people earning slightly more. They felt 6 that the £50,000 cut-off which we had was too low. 7 There's always -- no matter where you draw the boundary, 8 there will always be people who feel that they're on the 9 wrong side of the boundary and should be included, and 10 if you take that to its logical conclusion, we should do 11 it for everybody.
- 12 **Q**. Well, let's draw a metaphorical line under this for the moment. We're still on your third report, and I want to move on to page 5, if I may, which is the third line, and just draw out what we're discussing. We're discussing British Pakistani/British Bangladeshi and what the data was showing here, and by this stage --I think it's the third line:

"This third report summarises the data for deaths in the second wave up to 31 January ... which was not available ... The latest data confirms the finding from the second report that people from South Asian ethnic groups, particularly the Pakistani and Bangladeshi groups, were at the greatest risk of death from COVID-19 during the second wave."

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relation to your work -- this was the third report -was there any work done to explore Long Covid in terms of its impacts on ethnic minority groups? A. No, I think for several reasons. One, it would have been outside our immediate terms of reference. This is very much health work, and by that I would say sort of frontline research analysis, whereas the analysis that's done within my unit is more statistical. But it was something that we thought was worth highlighting, 10 especially -- certainly in my personal opinion, the health and social care workers was emphasising that this 12 is something to do with exposure, you know, being 13 exposed is likely to -- or the frequency of exposure is 14 likely to trigger Long Covid. But we would not have 15 been the right place for that kind of work to have taken 16 place, but it was something that we thought was worth referencing in this report.

Q. Thank you.

We fast forward to the final report, which is the fourth quarterly report, which is dated 3 December 2021. So just as we are -- Omicron is around at that time, December 2021. This was a long report, even for the report you undertook on our behalf, 133 pages.

We see, if we could turn to page 5, please, the understanding is much clearer, in your view, and the 187

In terms of those numbers, I would summarise it, at page 22, is that compared to white British men and women, Bangladeshi men and women were 6.1 and 6.3 times more likely to die from Covid, Pakistani men and women were 4.4 and 3.8 times as likely to die from Covid, and that they adjusted -- they reduce, but not significantly, for other factors as well.

In relation to one other feature which arises in this report for the first time -- and it's an interest to groups which are core participants -- is at page 29, Long Covid. That's something which is raised for the first time in this third report. I'm just going to touch upon it briefly, if I may, and ask you what work, if any, was done.

It describes Long Covid as "an emerging phenomenon that is not yet fully understood", and describes the impact of that, which I can summarise. The prevalence rates for self-reported Long Covid were highest for (a) people with a pre-existing activity-limiting health condition and (b) health and social care workers, and we see that in the middle of the page. In very simple terms, those in the white ethnic groups had the highest prevalence rates of Long Covid compared to the Asian ethnic groups.

Quick question on an important topic, but in 186

infection for ethnic minority groups include occupation, (particularly for those in frontline roles, such as NHS workers), living with children in multigenerational households, and living in densely-populated urban areas

significant factors:

summary was, in the statement, that vaccination was the most significant measure, in your view, to protect ethnic minorities.

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24 **A**. Sorry, vaccination was taken in a sufficient enough ...?

25 Q. No, I'll say it again, it's my fault if it's lost.

188

1 work that was undertaken on your behalf. 2 "The main factors behind the higher risk of COVID-19 3 4 5 6 7 with poor air quality and higher levels of deprivation." 8 So occupation, deprivation, and household make-up, 9 10 "Once a person is infected, factors such as older 11 age, male sex, having a disability ..." 12 And we touched a lot on disability: 13 "... or a pre-existing health condition (such as 14 diabetes) ..." 15 And you've touched upon that: 16 "... are likely to increase the risk of dying from 17 COVID-19." 18 And in relation to the work you've done, your 19 20 21 22 A. (Witness nods). Q. Was that the position?

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1 What you say in your statement is that vaccination 2 was the most significant measure to protect ethnic 3 minorities.

- 4 A. Yes, yes.
- 5 Q. And your point, if we perhaps could turn to page 6, 6 please, is that the conclusions in this report really 7 form your recommendations going forward.
- 8 A. Yes.
- 9 Q. And you describe how there's a number of wider public 10 health lessons to be learned in relation to ethnic 11 minorities, including: talking about vaccination 12 deployments in other public health programmes -- in 13 other words, the lessons we've learned in relation to 14 Covid vaccinations can be used for other work --15 reference to using community champions or respected 16 local voices to build trust and tackle misinformation; 17 a point you've made, and we recognise at the outset: not 18 to treat ethnic minority groups as a homogeneous group,
- 20 A. Yes.

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in the future.

- 21 Q. Not controversial.
- 22 A. No. I hope not.
- 23 Q. No, not that aspect. Recognising that there is more 24 than one community.

and there is not a one-size-fits-all approach.

25 In relation to -- thank you for turning overleaf --

> everyone being treated regardless of their ethnicity. And the Equality Act states very explicitly that positive discrimination is illegal.

And many people don't understand that lots of these ideas, well intentioned as they are, are positive discrimination and they don't help in the long run. And certainly, given the way that we were lumping together lots of groups, they didn't help in the short run as well. When you mixed all the different ethnicities you lost the insight about multigenerational households and you ended up spending time looking at problems like who was being racist or if there was a racist that was causing those problems. We would not have fixed the problem by focusing on the wrong issue.

So stigmatising is something that I am very concerned about. I believe that it is my job to make sure that people treat ethnic minorities in a colour blind way, you look at the individual, you look at their circumstances, not start off with their skin colour and start to make deductions based on that. Q. And a final point here is in relation to a feature which is about data, improving the quality of health ethnicity data so that patterns and trends can be spotted quicker

25 A. Yes, and one of the recommendations -- I think that

191

avoiding stigmatising ethnic minority groups by singling 2 them out for special treatment.

We've mentioned it a couple of times. Perhaps this is the opportunity to deal with that and stigmatisation. What were the concerns regarding stigmatisation during your work in relation to the impact on ethnic minority groups?

So one of my duties as the guardian of the Equality Act is looking at social cohesion, and it is important that 10 we don't let the good intentions take us to -- down 11 a path that's actually counterproductive to what we're 12 trying to do. And quite often very well meaning people 13 think, "We need to do this for this group so that they 14 can see that we care".

> But that often has other unintended consequences, and one of them, I remember, was -- for a certain period, there was a large belief -- or a significant number of people believing that it was ethnic minorities who were spreading the virus. Because they were talked about so much, they're the ones catching it, and if they're disproportionately impacted, they must be disproportionately spreading it as well.

> Anything that looks like certain groups are being treated better than others does not work because it goes against the principle of equality before the law, and

1 would have come in an earlier report -- was even about 2 recording ethnicity on death certificates, which was

3 something that we discovered was not being done, and was

4 a big issue. So --

- 5 **Q.** Just in relation to that, because that's one matter that 6 I would like your assistance on --
- 7 A. All right.
- 8 Q. -- because this was something which was around -- just 9 to help you in timings, it was one of your 10 recommendations in the first quarterly report.
- 11 A. Yes, I think so, first or second, yes.
- 12 Q. I believe it was the first, but we can --
- 13 A. Okay.
- 14 Q. If we're wrong, it's my fault but it's the first 15 quarterly report. Why was that something which you were 16 of the view needed to be recognised and ethnicity would
- 17 be noted on death certificates?
- A. Because I felt that if we had had that -- and I don't 18 19 know why it wasn't recorded, I don't know if there was

20 ever a reason, it was just something that wasn't

- 21 recorded -- if we had had that, we might, not certainly,
- 22 but we might have been able to spot the disproportionate
- 23 impact a little bit earlier. But this -- this was
- 24 certainly speculation, reasonable speculation on that
- 25 basis for that problem. But it seemed an odd place to

not capture it, given that we capture it in all sorts of datasets.

- Q. Two final questions. First one, your view of the
 greatest success of the work that your team achieved in
 relation to this area?
 - A. Yes, I think we had a -- I think we had a lot of successes actually. I'm very proud of the work that my team -- my team did. I think under a lot of pressure and with very high expectations, I think that they delivered. I think the work that they did was rigorous, it was very carefully done, it was very sensitive. They won an award, in fact. They won an ONS award in research excellence for the analysis that they did, and that was in competition -- the other shortlisted people were universities, so the quality of the work they produced was very high.

And it was -- and it was a very painful process because I went through all of these reports line by line making sure that they were written in a way that people would understand. You quite often get a lot of documents in what I call officialese, where the information is obscured, and I hope that that has been helpful, actually, to the Inquiry, the way that the documents were presented.

I think we saw things like greater vaccine uptake 193

information travels at lightning speed across the world. I don't know how we solve it. But in terms of gaps, I think that that is -- there was a lesson in the pandemic that this is an area that needs more addressing. And I hear of a lot of work being done in departments, whether it's Cabinet Office or DSIT I'm not sure, but I don't see -- I don't see it. So maybe there is lots of being work being done and it's covert, but it's hard, if that is the case, to know what is being done. So I think that's an area.

I think another area that we should have done more on was on the economic impact. We were looking very much at the health side, and I think that we should have had an economic impact of lockdown, I think that now we are seeing many -- many outcomes which are related to, you know, the missing children in schools, for example, what happened to them. No one's quite got to the bottom of that. The economic impact of lockdown, how that might have triggered even more inequality further down even if people had furlough or a safety net immediately. I'm not sure that that work was done because we were very, very, very focused on the health side. And I think when we have these sort of grand problems, we need to have multiple lenses through which we're looking at them.

because of the work that we did, and some of the recommendations we made, family jabbing, for example, so that people felt more comfortable doing this because they had -- taking the vaccine because they had people who they cared about and who they trusted going along with them. Things like increased participation in clinical trials by ethnic minorities which I think is important if you're going to get vaccines that work and pick up the right data on health issues.

11 Q. Thank you.

And the other side of the coin, with the view to learning lessons, what do you think is the greatest lesson we can learn to improve going forward in relation to this area?

So I think there are a lot of successes.

A. Where does one start? I think for me I am still very, very concerned about the issue of misinformation and just how -- and I say this even as a constituency MP, the number of people who come up to me in the street and tell me that I am part of a grand conspiracy to infect them and so-and-so died because of the material that we were putting out is very disturbing. I don't think government's got a handle on dealing with misinformation. I don't think that we have adapted to this age of social media carrying -- you know, where

And this is hindsight analysis, but the fact that we looked at things purely from a health perspective without the -- without opportunity costs analysis or what was happening looking at it through another lens, I think we should not have done.

Q. And you were a junior Treasury minister during that tim

- Q. And you were a junior Treasury minister during that time
 as well, that was an observation you recognise.
- 8 A. Yes
- 9 Q. I said that was my last question. I will keep my10 promise.

My Lady, there are the questions, all I have. You have granted permission to core participants, but it may be that my Lady wants to have a short break before we move on to that.

LADY HALLETT: No, I'm going to carry on. If the
 stenographer has to take a break, then she'll take
 a break.

18 MR KEATING: I understand.

19 LADY HALLETT: So if Mr Thomas is ready, I am.

20 Thank you.

Questions from PROFESSOR THOMAS KC
 PROFESSOR THOMAS: Ms Badenoch, let me introduce myself,
 I am Leslie Thomas and I act on behalf of FEHMO, the

Federation of Ethnic Minority Healthcare Organisations.

I have a small handful of questions, but can I just

- pick up on something you said to Counsel to the Inquiry
 just a moment ago, you were talking about tackling the
 cause as opposed to just looking at the issue, why
 certain groups were affected disproportionately. One of
 the reasons for that surely would be structural
- 7 A. I'm not sure that I do agree because it depends on what
 8 you mean by structural inequalities --
- 9 Q. Poverty --
- 10 A. Yes.

- 11 Q. -- for example --
- 12 **A.** Yes, but we don't -- we don't have a cure for poverty.
- 13 If we did, we would have done it.

inequalities, would you agree?

- 14 Q. I hadn't finished.
- 15 A. Okay.
- 16 Q. Poverty, for example, discrimination based on race,
- 17 perhaps gender, perhaps other factors such as, you know,
- we know that some people suffer from disability suffer
- 19 from discrimination, so factors such as that, that's
- 20 what I mean by structural inequalities.
- 21 A. Okay, so you mentioned discrimination as an example.
- That was not something that was found in any of the
- 23 evidence that we carried out. And these are things
- 24 which there are processes in place to address, but in
- 25 terms of the issues around deprivation, poverty, health
- 1 So, for example, when we looked at the 2 intersectionality of age and gender, we found that being 3 male was a bigger issue than being female in terms of 4 catching the disease and in fact dying from it. We 5 looked at the intersectionality of things like age and 6 disability. So that was all taken into account. We may 7 not have called it "intersectionality", but there were 8 lots of multivariant analyses that took place, including 9 things like geography which don't always get taken into 10
- 11 Q. Let me cut to the chase. If you took into account the
 12 intersections between certain factors and you've
 13 outlined some of them, did you have, in government,
 14 targeted strategies to address those disparities
- 15 comprehensively and if not why not?
- 16 **A.** The strategies we had would have dealt with
- 17 intersectionality in and of themselves, there was no
- 18 reason to believe that there was something -- that there
- 19 was a gap. Unless you can give me an example of a gap
- 20 that you have identified. I would be quite keen to hear
- 21 it.
- Q. I'm going to ask you this: do you accept that gatheringand understanding the data was important?
- 24 A. Yes
- 25 **Q.** So we can agree on that. Can we also agree that because 199

- 1 comorbidities, a lot of work was done to look at things
- 2 that we could do to tackle that. But we can't cure
- diabetes, we can't remove poverty. So saying that
- 4 structural inequalities had an impact on incidence, yes,
- 5 that is true, but that doesn't mean that there is
- 6 a silver bullet to resolve them.
- 7 $\,$ $\,$ Q. $\,$ Do you remember in your evidence this morning, I think
- $8\,$ we can agree on this, you said that the label "BAME" is
- 9 unhelpful because it is kind of like a one size fits all
- and you need to look at the situation and the impact and
- 11 it's much more nuanced. We can agree on that, yes, that
- 12 "BAME" is an unhelpful term?
- 13 A. That is what I said.
- 14 Q. Yes. So with that in mind, and I'm referring -- I'm not
- going to call up the documents unless you want to go to
- them, but I'm referring to your witness statement, and
- just for the record paragraphs 44, 47, 48, where you
- 18 discuss disparities and they're highlighted. My
- 19 question is this: how were the intersection of ethnicity
- 20 with other factors such as gender, disability,
- 21 socioeconomic status, dealt with?
- 22 A. So if by intersectionality you're talking about
- 23 a coincidence of protected characteristics, that would
- 24 have been taken into account just by looking at the
 - cohort of people that were being sampled.

- 1 of the importance of data, particularly data in how it
- 2 related to disparities, efforts should have been made to
- 3 make sure that data was accessible and transparent to
- 4 the public? Can we agree on that?
- 5 A. Yes.
- 6 Q. How was this done?
- 7 A. Well, the reports that we published provided our
- 8 assessment of the data, but we don't hold data, data is
- 9 held by public authorities by the ONS. Is there
- 10 a specific data that you feel was not published that
- 11 should have been published?
- 12 Q. Given the heightened risks faced by certain groups, and
- you've mentioned in particular men of Pakistani origin,
- 14 and because I represent healthcare workers, I'm just
- 15 interested to know that some of these disparities were
- highlighted very early on. We know that, for example,
- 17 the first ten doctors who died from the virus were
- 18 doctors of colour. We know that and we've heard
- 19 evidence.
- Can you just help the Inquiry with this: if this was
 known fairly early on, what specific initiatives or
- 22 measures were taken and implemented to prevent those
- 23 demographics from that high -- disproportionately high
- 24 risk of exposure and mortality?
- 25 **A.** Well, the first thing we did was the report that we 200

1		commissioned by PHE to check what was going on. That	1	
2		was one of the anecdotal pieces of information that	2	
3		alarmed me. But knowing that the first set of doctors	3	
4		who died were from an ethnic minority background doesn't	4	
5		tell you why they are dying, it just tells you that that	5	
6		is happening. So finding out the "why" is important to	6	A.
7		address the issue. And as we've seen from all the	7	Q.
8		research that has been carried out, if we had simply	8	A.
9		made an assumption that being an ethnic minority in	9	Q.
10		itself was the risk factor it wasn't, this was not	10	
11		a disease that targeted people on that basis then we	11	
12		would have carried out the wrong interventions.	12	
13	Q.	On 4 June 2020, following the publication of the Public	13	A.
14		Health England's review on the Covid-19 disparities, it	14	Q.
15		was put to government by Gill Furniss that the report	15	A.
16		simply confirmed what was already known and failed to	16	Q.
17		make any recommendations. She asked government whether	17	
18		government were listening to the calls for employees to	18	
19		risk assess black, Asian and minority ethnic workforce,	19	
20		and in response you said, on behalf of government, that	20	
21		you needed to wait to ensure that "we do not take action	21	
22		that is not warranted by the evidence", "we must widely	22	
23		disseminate and discuss the report before deciding what	23	
24		needs to be done".	24	
25		Question: in the light of the substantial and severe 201	25	
1		evidence", "we must widely disseminate and discuss the	1	Α.
2		report before deciding what peeds to be depo!	2	

report before deciding what needs to be done".

My question is: in the light of the substantial and severe disparities in the infection and mortality rates evidenced by the publicised and stats since late March, why the need to wait?

7 A. So we didn't wait --

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8 MR KEITH: I'm not at all sure that this is an area on which 9 you have given permission in the Rule 10 process.

LADY HALLETT: I was thinking the same, Mr Thomas. This 10 wasn't a matter that was raised in Parliament, was it? 11 12 Who is Gill Furniss?

13 PROFESSOR THOMAS: My Lady, I'm surprised at the 14 intervention, because we were given permission to ask 15 this question.

LADY HALLETT: It may be that I have the wrong copy, 16 17 Mr Thomas, it may well be.

PROFESSOR THOMAS: So I'm looking at the permission and it 18 clearly says "CP may ask this question, however please 19 20 reformulate the question" --

LADY HALLETT: I remember saying that. 21

22 PROFESSOR THOMAS: Yes.

23 LADY HALLETT: The only other concern was, it's not to do

with proceedings in Parliament, is it?

PROFESSOR THOMAS: No. 25

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disparities in the infection and mortality rates, which was evident from the widely publicised datasets and statistics from late March 2020 onwards, why did the government feel the need to wait before taking any action in response?

I'm sorry, I lost the thread of the question.

Let me break it down.

Thank you, yes.

All right.

So we've got the report in June, 4 June 2020, highlighting the disparities. It was put to the government by Gill Furniss that the report was --

Was that when it was published or at what point?

It was published on 4 June 2020.

And when did Gill Furniss --

Shortly afterwards. I can't give you the exact date, but shortly afterwards what Gill Furniss is putting to you and government is: well, the report is simply confirming what's already known. Okay?

And the response was -- she was suggesting it was important for the government to risk assess, to urge -call on employers to risk assess black, Asian and minority ethnic workforce. And in response you said on behalf of government that you need to wait to "ensure that we do not take action that is not warranted by the

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Gill Furniss I believe is an MP, a Labour MP, am I correct?

PROFESSOR THOMAS: Yeah? 3

4 A. Right. Okay.

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So, first of all, the point of the report which she was referring to was about understanding whether what was suspected was actually the case. So her saying these were things that were already known, they were not known, they were suspected, they were assumptions, there 10 was no data. And so the report had to be done.

> In terms of the point I was making, it wasn't specifically to risk assessments. The risk assessments -- we didn't wait to start the risk assessments, they were already in train and they went on for an extended period of time. So that was not a problem.

17 What I was referring to was not knowing why 18 something is happening means that you don't know how to 19 fix it. And that means looking at a report and getting 20 the data out. And as it happened, I didn't think that

21 the report that PHE published answered the question why,

22 which is why we carried out our piece of work.

23 PROFESSOR THOMAS: My Lady, those are the questions that --24 LADY HALLETT: I'm very -- and I'm sorry to interrupt you,

Mr Thomas, you know the concern about we're not allowed 25 204

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1 to trespass --2 PROFESSOR THOMAS: I understand, I was just surprised at the 3 intervention bearing in mind that it was clearly within 4 the document 5 LADY HALLETT: Indeed. I apologise. 6 **THE WITNESS:** She asked me the question in parliament, 7 I believe. I don't think there would have been any 8 other place that she would have asked it. 9 LADY HALLETT: Right, anyway, it's done now, Mr Thomas. 10 Anyway I apologise for interrupting if you had 11 permission. By the sounds of it, it probably shouldn't 12 have been given permission, and that's my fault. 13 Right, next, I think, it's Mr Stanton. 14 Mr Stanton's over there. Don't worry, all the 15 advocates that sit over there understand they're going 16 to get a back to them every so often, but can you please 17 make sure that we still record -- you still use the 18 microphone. Thank you. 19 Questions from MR STANTON

20 MR STANTON: Thank you, my Lady.

> Ms Badenoch, please don't feel any need to turn to face me, if it's slightly awkward. I think it's more important that you're able to speak into the microphone.

24 A. All right.

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25 Q. I'd like to briefly revisit an issue that Mr Keating 205

> Subsequently, four days after this letter, a 69-page report was indeed published, with seven recommendations.

I appreciate what you've had to say about the circumstances of this publication, but was it not the case that the fact that information had been removed from the original planned report had become an open secret and that forced the government's hand to publish?

A. Absolutely not, and I'm actually grateful for the opportunity to set the record straight, because this was something that caused an immense amount of frustration, and when I referred to personal abuse in the earlier session, this is what it was about.

The health department commissioned a report, but two reports were received, it was not one report. However, people who were contributing were not aware of that, so they assumed that their contributions had been withheld.

What we did was we published the first report immediately, what we'd asked for, and taking away the second one, which had recommendations which were actually not that easy to understand -- things like cultural competency, there's no clear definition of what that means -- meant that it took some time for us to look at what our response to it would need to be, and that was one of the reasons why, in addition to the first report being a "what is going on" rather than how 207

addressed with you earlier in your evidence in connection with the Public Health England report of 2 June, and the concerns that you made reference to, or of a number of organisations, about the possibility that information had been withheld from that report.

I'm asking questions on behalf of the British Medical Association, the BMA, and you may be aware that the BMA was one of the organisations that made representations to you. You may recall -- maybe not the dates -- it was on 5 and 7 June.

11 A. Yes.

12 Q. On 12 June, the matter was escalated to Matt Hancock in the Department of Health, and I'd just like to bring up for you that letter on screen, which is INQ000097872, and just to draw your attention to the first paragraph, which states:

> "I am writing to express our serious concern at reports that 69 pages covering seven recommendations for change were removed from last week's PHE's report on inequalities and disparities in the impact of COVID-19 on certain groups. A clear response is needed as to why these pages and important recommendations were omitted from publication, especially when it is so critical that action is taken to save lives now and reduce race inequalities."

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we fix it. That's one of the reasons why we carried out the second piece of work.

But I would like to state on the record that it is absolutely not the case that anything was withheld and only published because we were concerned about complaints. The fact of the matter is this report was not written by government. It could not have suppressed it anyway. PHE could have released it if it wanted to, it could have been leaked. So it would not have been a sensible thing to even have tried to suppress it in the first place.

Sometimes things don't happen quickly. It doesn't mean that there is a conspiracy to hide information, and that's the response that I gave to the BMA at the time and which I would like to put on record.

16 Q. Thank you.

17 A. Thank you.

> Q. The 69 pages deal exclusively with the issue of disproportionate impact on ethnic minority groups and, as you will know, the BMA became very concerned from an early stage, from April 2020, about this issue, particularly as early data had shown that, among the doctors who had died in the early months from Covid-19, 94% were from a BAME background.

> > Given the seriousness of this issue and the 208

> > > (52) Pages 205 - 208

1	seriousness of the BMA's concerns, what was it about
2	this particular area that necessitated a separate
3	report?

4 A. It was qualitative analysis, not quantitative analysis, 5 and the recommendations, as I said, were actually not 6 very clear, although we did in the end understand, after 7 a lot of engagement with PHE and with 8 Professor Kevin Fenton, who was the London regional 9 director. But when you have recommendations like having 10 cultural competency, that could mean any number of things. Simply publishing that without a clear response 11 12 or a clear idea of how to carry out those things I don't 13 think is a responsible thing for a government to do.

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But also, the BMA was rightly concerned. They are a trade union for doctors. If doctors are dying, they should be concerned. I was concerned. My father, who was alive at the time, was a black doctor. If doctors who were black were impacted, this was something that would impact me. So I did care about this issue. But I think that there is so much suspicion now around the motivation for something being published that aspersions were cast which didn't need to be. We took this very seriously and we worked closely with them in order to get those recommendations acted on, and they were.

One of the, perhaps, areas in which misunderstanding has

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a risk assessment can have on safety for doctors andhealthcare workers?

A. So one of the things that is a limitation for me as equalities minister is that I don't have levers, and this was not a report for me, this was a report for the Department of Health, so I would not have dealt with that recommendation. However, I do recall that areas like PPE and how they might have fitted on people of different ethnicities and, in fact, people of different sexes and so on, a lot of work was done in that space. It was then -- I remember it was discovered at the time that some PPE which was just uniform was unsuitable, and this was for lots of different demographics. That's one example.

So I do know that that work took place, but it wouldn't have been within the remit of my department.

17 MR STANTON: Thank you.

Thank you, my Lady.

19 LADY HALLETT: Thank you very much, Mr Stanton.

Mr Friedman.

Questions from MR FRIEDMAN KC

22 MR FRIEDMAN: Thank you, madam.

Secretary of State, I act for four national disabled people's organisations. We have listened to your evidence carefully today and you've explained that 211

been allowed to creep in is because the original terms
 of reference of the review included a requirement to
 make recommendations --

4 A. Yes.

Q. -- and recommendations only appeared in the secondreport.

7 A. Yes.

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8 Q. Was that part of the problem, do you think?

9 A. No. No, and in fact I did get an apology from PHE fordoing that, because they mixed the two things together.

11 They didn't provide -- and that for me was actually

highlighting the fact that they didn't know what to do

on the substance of the findings which they had. They

14 didn't make recommendations as we had commissioned;

15 instead, they did a separate piece of work that was

16 different and made recommendations there.

17 Q. Thank you very much for clearing those matters up.

18 A. Thank you.

19 **Q.** I just want to move to a separate topic, very quickly.

Recommendation 4 of the second report concerned the need to accelerate the development of culturally competent occupational risk assessments. I'd just like to ask: in the work that you undertook following the report, what progress were you able to make in this regard, particularly having regard to the impact that

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disability as a matter of generality was not in your
remit and you not wanting to duplicate, but you've said
that you were interested in how disabilities, in your
words, interact with health outcomes, and you gave the

5 example of diabetes.

6 A. Yes.

Q. My questions are about how government struck the balance
 between non-duplication and the important matters of
 interaction that, as it may be, needed joined up

10 thinking.

11 A. Right.

12 Q. Firstly, when Justin Tomlinson gave evidence to the

13 Chair, we asked him what he understood the reason at the

14 time was for why disabled people were not included in

15 Minister Badenoch's investigation and the published

reports across 2020 and 2021, and his answer was

17 "I don't know". That's Day 20, pages 223 to 224, for

18 the record.

19 So was he consulted on that matter?

20 A. I don't remember whether he was consulted on that
 21 matter. However, the Minister for Women and Equalities,

I was senior minister, who had overall responsibility

for this area, would have known about it. I can't

24 recall. However, if we look again at the genesis of how

25 this report came to be, and it relates to the previous

1	question on intersectionality which was asked by the
2	previous counsel, you need to be able to disaggregate
3	data before you can look at them in the multivariant
4	analysis, you can look at the way that they interact.
5	So whether or not we took this work into account, the
6	data within our workstream or they looked at it
7	separately, the data would still have been there. So if
8	the question

- 9 Q. Well, I just -- I'm going to come on to it, I'm only 10 interrupting you just because I'll come on to that. But I think the answer you've given is that you don't know 11 12 about Justin Tomlinson but you think maybe Liz Truss, in 13 her position --
- A. I don't recall whether or not Justin Tomlinson was but 14 Liz Truss would have been. 15
- 16 Q. Right, because I'm going to ask a second question 17 following that, if I may, which is that we have seen 18 none but was there a documented decision along those 19 lines around disabled people in relation to your 20 investigation or is it a more informal consultation that 21 you're recalling?
- 22 A. I don't think it is either. If we look at the -- one of 23 the quarterly reports where we talk about a separate 24 workstream --
- 25 Q. It's the final one.

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- 1 A. I would not have needed to have those conversations 2 because that happens anyway. If you are disabled and 3 work is being done around disability, ethnic minorities 4 who are disabled will be captured and vice versa. So we 5 don't need to have a discussion to make sure that this 6 happens. This will simply be the case.
- 7 Q. Well, we don't need to study all your published reports, 8 but you don't deal with it in any way at all in your 9 reports?
- 10 A. We don't reference it because we are speaking 11 specifically -- the report is about ethnic minorities, 12 so we are talking specifically about that.

We didn't -- there are nine protected characteristics. Age is a huge -- was the biggest factor, that is also not mentioned in the report. That didn't mean that older people were neglected during the pandemic

Q. Then lastly this, you have been asked about 18 19 intersectionality and perhaps the difference between how 20 much it was considered in substance as opposed to 21 definitional form, but the Oxford English Dictionary 22 defines intersectionality as:

> "The interconnected nature of social categorisations such as race, class and gender ..."

We would add disability.

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A. Yes.

2 Q. December 2021, in a footnote.

3 A. Yes, where we talk about a separate workstream. This is a directorate that has all these units working together, 4 but the knowledge of disability as having such a severe 5

6 impact meant that it didn't need to be in -- it didn't need to be within my bit of work. 7

Q. Okay. 8

A. If I may? 9

10 Q. Yes.

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11 With the bit of work that I was doing, my workstream, we're trying to understand why ethnicity would've had an 12 13 impact. For disability it's a lot more obvious. There 14 were fewer questions to be asked about why disability is 15 having an impact. It's clear to see. So there was no

need to mix those two workstreams together and in fact

17 I would not -- knowing what I know now, I would not 18 recommend it.

19 Well, I understand that. How much discussion, though, 20 did you have with Minister Tomlinson or indeed the 21 Disability Unit about how disability or disabilities 22 interact with health and, in your work, how the various 23 ethnic minority groups could also be parts of disabled 24 groups and vice versa when it comes to risk and 25 outcomes?

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"... regarded as creating overlapping and 2 interdependent systems of discrimination or 3 disadvantage; a theoretical approach based on such a premise."

Secretary of State, do you recognise intersectionality as a matter that all inequality related ministerial portfolios ought to have taken into account during Covid response decision-making?

9 A. No, I would disagree with that, in fact.

10 Q. And in the short time we have, could you say why to the Chair. 11

Because as -- I think if we go back to the question 12 13 around BAME, the sort of work that we do requires quite 14 a lot of disaggregation. The whole purpose of 15 multivariant analysis is that it's looking at 16 different -- it's looking at different incidence rates 17 and it's -- it then looks at them in terms of how they 18 interact.

> So starting off with the intersectionality is not how you should do it. You start off with the disaggregation. You can layer the data together to come out with what intersectionality may be occurring, but if you do it the other way around you get a mixed picture, so I certainly wouldn't recommend that. And I wouldn't start off by using the term "intersectionality" as a way

resources as effectively as possible. So we start out with the measures that will help the largest number of people, not the measures that will factle the most niche people, not the measures that will factle the most niche people, not the measures that will factle the most niche people, not the measures that will factle the most niche people, not the measures that will factle the most niche people, not the measures that will factle the most niche people, or diass and so on. That is very complicated data that actually - an analysis that actually requires a lot of work. If you are dealing with a pandemic and that each a lot going on, you need to be able to manage there is a lot going on, you need to be able to manage there is a lot going on, you need to be able to manage there is a lot going on, you need to be able to manage there is a lot going on, you need to be able to manage there is a lot going on, you need to be able to manage there is a lot going on, you need to be able to manage there is a lot going on, you need to be able to manage there is a lot going on, you need to be able to manage there is a lot going on, you need to be able to manage there is a lot going on, you need to be able to manage there is a lot going on, you need to be able to manage there is a lot going on, you need to be able to manage there is a lot going on, you need to be able to manage there is a lot going on, you need to be able to manage there is a lot going on, you need to be able to manage there is a lot going on, you need to be able to manage there is a lot going on, you need to be able to manage there is a lot going on, you need to be able legislation. The with the seasons you've just given, but you don't the primary is the term 'intersectionality', epidemiologists would talk about multivariable analysis – multivariant analysis. They are not different things. Q. Yes, but am I right: never first, but a formula of that correct that these issues are spread across various A lod on this that is true. We have a Minister for Safegua	1	to find out exactly what the answer is.	1	kind relevant to all work within the scheme of things?
with the measures that will help the largest number of groups, whose intersectionality of race of gender, of 6 groups, whose intersectionality of race of gender, of 6 LADY HALLETT: Thank you, Mr. 7 sex, of class and so on. That is very complicated data 7 I think there was a math that actually a — an analysis that actually requires 8 Counsel to the Inquiry's que 9 a lot of work. If you are dealing with a pandemic and 9 Ms Sergides, I think you're g Can you see the questic resources effectively, and starting off with work that 11 THE WITNESS: Yes. Questions from number of people. And that is why I would not recommend 13 Ms SERGIDES: Can you see me 14 that. 14 A. Yes, I can. 15 Q. I think you're taken my question as: always do it first. 15 Ms SERGIDES: I'm grateful, my Secretary of State, I app 16 Black States and Solace W question for you, relating to first, 16 A. Right. 16 Right. 17 Gr the reasons you've just given, but you don't 18 Black States and Solace W question for you, relating to for victims of domestic abuse as it were, the more disaggregated work? 20 Can you see me? 21 A. Yes, I can. 21 Gr you use the term "intersectionality", 21 A. Yes, I can. 21 Gr you use the term "intersectionality", 21 A. Yes, I can. 21 Gr you use the term "intersectionality", 22 Gr you use the term "intersectionality", 23 Gr you use the term "intersectionality", 24 A. Yes, but you use the term "intersectionality", 25 Gr you will an analysis - multivariant analysis. They are not 27 Gr you will represent the provision of departments without there being ultimate responsibility and departments without there being ultimate responsibility and departments without there being ultimate responsibility and that would - what you have described would 7 Gr you will represent the what you have described would 7 Gr you will represent the what you have described would 7 Gr you will represent the what you have described would 7 Gr you will represent the what you have described would 9 Jaminister, but there was a Minister for Women and Equalities,	2	What we are doing in government is trying to use our	2	A. I think I think it's probably safe to say that that
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27 November?

219

1	INDEX	
2	F	PAGE
3	PROFESSOR DAME ANGELA McLEAN (affirmed)	1
4		
5	Questions from COUNSEL TO THE INQUIRY	1
6	MO KEMI BARENGOLI ()	405
7	MS KEMI BADENOCH (sworn)	125
8 9	Questions from COUNSEL TO THE INQUIRY	125
9 10	Questions notifications to the inquirt	123
11	Questions from PROFESSOR THOMAS KC	196
12	Questions from Fixer Deservitions to the	100
13	Questions from MR STANTON	205
14		
15	Questions from MR FRIEDMAN KC	211
16		
17	Questions from MS SERGIDES	218
18		
19		
20		
21		
22		
23		
24		
25	221	

LADY HALLETT: [88] 13 8/19 1/21/2 1.1 1.1 1.7 1.5 1.1 1.1 1.7 1.5 1.1 1.1 1.5 1.1 1.5 1.1 1.5 1.		1	17 December [3]	154/21 156/12 157/19	3 December 2021 [1]
1881 138 19 12 12 12 13 17 16 16 16 16 16 16 16	I ADV HALLETT:	1 1 [1] 77/5			
17/10 17/16 17/16 17/16	1				
1881 1884 1887 1899 1.50 [1] 1515 18 [2] 823 142/21 18 [2] 18 [2] 823 142/21 18 [2] 1					
18/13 18/16 18/21 19/2 19/5 19/2 4/13 16/1 15/19/2 19/2 19/5 19/2 4/13 16/1 15/19/2 18/2 19/3 19/3 15/3 15/5 10 11/3 19/7 19/3 15/10 14/17 14/13 15/13 14/13 14/13 15/13 14/13 15/13 14/13 14/13 15/13 14/13 15/13 14/13 14/13 15/13 14/13 15/13 14/13 15/13 14/13 15/13 14/13 15/13 14/13 15/13 14/13 15/13 14/13 15/13 14/13 15/13 14/13 15/13 14/13 15/13 14/13 15/13 14/13 15/13 14/13 15/13 14/13 15/13 16/13 14/13 15/13 16/13 16/13 14/13 16/13 16/13 16/13 14/13 16/13 16/13 14/13 16/13 1	1				
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17.76 17.7	19/2 19/5 19/12 47/13	1.6 [1] 152/25			
12 12 13 13 13 13 13 13					
18/12 90/18 99/19 98/10 19/18 1/2 90/18 99/19 98/11 19/18 1/2 90/18 99/19 98/11 19/18 1/2 118/24 1					
98/15 10/10 118/10 118/14 1132/12 118/14 1132/12 118/14 1132/14					
18/14 118/21 118/25 63/6 151/2 151/10 196/15 151/2 151/10 196/15 151/2 151/10 196/15 151/2 151/10 196/15 151/2 151/10 196/15 151/2 151/10 196/15 151/2 151/10 196/15 151/2 151/10 196/15 151/2 151/10 196/15 151/2 151/10 196/15 151/2 151/10 196/15 151/2 151/10 196/15 151/2 151/10 196/15 151/2 151/10 196/15 151/2 151/10 196/15 151/2 151/10 196/16 151/2 151/2 151/10 151/2 218/5 10 November [1] 151/2 151/2 151/10 151/2 151/2 151/10 151/2 15					
125/14 125/18 126/2 10 February 2022 [1] 125/24 125/21 126/	1				
151/2 151/10 196/15 3/5 10 March [3] 42/8 203/21 203/23 204/24 24/10 42/25 10 November [1] 132/13 133/2 134/6 14/11/0 12/25 10 November [1] 12/12 10.30 am [1] 22/07 10.30 am [1] 22/07 10.30 am [1] 55/7 10.30 am [1] 55/7 10.50 [1] 55/6 10.50 [1] 5	1				1
1996/19 203/10 203/32 204/24					<u> </u>
2005/2 1205/9 211/19 1205/5 205/6 205/9 211/19 121/12 121/	196/19 203/10 203/16	10 March [3] 42/8			
18/19/19/19/19/19/19/19/19/19/19/19/19/19/	203/21 203/23 204/24	42/10 42/25			
2 2 2 2 3 5 2 3 4 2 2 2 4 3 2 2 2 3 3 2 2 2 2	1				
188/17 201/14 206/20 106/18 186/18 196/1	1				
208/23 208/24 208/23 208/24 208/23 208/24 208/23 208/24 208/23 208/24 208/23 208/24 208/23 208/24 208/23 208/24 208/23 208/24 208/23 208/24 208/23 208/24 208/24 208/23 208/24 2	1				
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18 18 18 18 18 18 18 18	1				
150/24 151/6 151/11 100 15 9/12 12/21 17/14 17/20 18/24 18/15 17/14 17/20 18/24 18/25					
196/18 219/17 220/1	1				
220/3 MR KEITH: [1] 203/8 18/24 19/10	1				
MR STANTON: [2] 205/20 211/17 MS CECIL: [20] 1/4 1/8 8/20 12/24 18/15 19/13 48/1 53/14 55/3 55/11 65/22 81/16 90/24 95/10 99/7 100/12 118/13 118/20 119/14 18/20 119/14 18/20 119/14 25/10 MS SERGIDES: [3] 218/13 218/15 219/14 PROFESSOR THOMAS: [8] 196/22 203/13 203/18 203/22 203/13 203/18 203/22 203/13 203/18 203/22 205/2 THE WITNESS: [7] 125/17 125/20 126/1 126/4 205/6 218/11 17/6 18 129/14 19/15 18 March [1] 48/24 19/21 19/21 19/21 10/21 1	1				
205/20 211/17 MS CECIL: [20] 1/4 1/8 8/20 12/24 18/15 19/13 48/1 53/14 55/3 11 February [1] 4/20 11 September [1] 3/4 11 September [1] 3/4 11 September [1] 77/6 12 Signa (1) 18/12 11 September [1] 77/6 12 Signa (1) 18/12 11 September [1] 77/6 12 Signa (1) 18/12 12 Signa (1) 18/12 13 September [1] 14/17 15/17 125/20 126/1 12 September [1] 16/11 18/12 11 18/12 16/11 18/12 1	MR KEITH: [1] 203/8	10th [1] 44/13			
MS CECIL: [20] 1/4 1/8 8/20 12/24 18/15 19/13 48/1 53/14 55/3 19/13 48/1 53/14 55/3 19/13 48/1 53/14 55/3 19/13 48/1 53/14 55/3 19/13 48/1 53/14 55/3 19/13 48/1 53/14 55/3 19/13 48/1 53/14 55/3 19/13 49/1 53/14 55/3 19/13 49/1 53/14 55/3 19/13 18/20 11 September [1] 77/6 11 September [1] 11 September [1] 12/20/3 2.04/29 5/10 99/7 11 September [1] 11 September [1] 11 September [1] 11 September [1] 10/20/22 31 March [2] 56/13 56/22 23 November 2023 11 11/2 30 Cotober [1] 11/6 23 October [1] 11/6 24 October [1] 11/6 25 May 2021 [1] 11/6 24 October [1] 11/6 25 May 2021 [1] 11/6 18/7 07/14 95/3 16/7 10/14 95/3			10[1] 104/1		
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18 18 18 18 18 18 18 18			2 April [1] 56/16		5
Sylid Solid Soli	1				
90/24 95/10 99/7 10/12 118/13 118/20 118/13 118/20 119/12 12/10 119/12 119/12 119/12 119/12 119/12 119/13 119/12					
100/12 118/13 118/20 119/11 125/10 MS SERGIDES: [3] 11-year-old [1] 16/2 12-year-old [1] 18/2 20 [8] 14/20 15/7 16/7 10/14-95/3 16/5/15 166/18 212/17 20 September [1] 108/3 21-year-old [1] 118/2 20 September 2020 11-year-old [1] 118/2 20 September 2020 11-year-old [1] 118/2 20 September [1] 108/3 24-hour [1] 118/7 25 January [1] 40/24 25 May 2021 [1] 167 70/14 95/3 165/15 166/18 212/17 20 September [2] 25 May 2021 [1] 17/7/8 26 February [1] 16/6 6 January [1] 119/6 6 Weeks [1] 87/8 6.1 [1] 186/3 6.3		11 Contombor [1]			
11-yrar-old [1] 16/2 MS SERGIDES: [3] 11-year-old [1] 16/1 41/15		77/6		[1] 1/1	
MS SERGIDES: [3] 218/15 219/14 12 June [1] 206/12 12 June [1] 118/22 12 June [1] 18/22 12 June [1] 15/14 16/14 16/17 13 June [1] 15/14 16/14 16/17 14 June [1] 14/22 June [1] 13/16 15 June [1] 14/12 June [1] 14/14 June [1] 14/15 June [1] 1	1			23 October [1] 111/8	50 [4] 15/24 94/12
218/13 218/15 219/14 PROFESSOR THOMAS: [8] 196/22 203/12 204/23 205/2 THE WITNESS: [7] 125/7 pm [1] 151/7 126/4 205/6 218/11 219/21 'circuit [1] 108/8 'circuit-breaker' [1] 108/8 'circuit-breaker' [1] 166/18 0 10.7 [5] 14/22 57/17 73/23 77/3 103/1 0.9 [2] 77/3 77/5 12 June [1] 206/12 12.0 pm [1] 118/22 12.14 pm [1] 118/24 12.15 pm [1] 118/24 12.15 pm [1] 151/7 120 September [1] 108/3 24 September [1] 108/3 25 May 2021 [1] 17/14 25 May 2021 [1] 127/1 166/11 127/2 2019 [1] 127	1			23rd [1] 53/10	0 ./ = 0 0 ./ = 0
PROFESSOR 12.09 pm [1] 118/22 203/18 203/12 21.24 pm [1] 118/24 203/13 203/18 203/12 21.257 pm [1] 151/7 1205/20 204/23 205/2 1257 pm [1] 151/7 125/17 125/20 126/1 167/1 13 March [1] 45/8 133 pages [1] 187/23 14 [2] 165/14 166/17 140ctober [1] 109/15 15 [2] 45/8 45/8 15 March [1] 48/22 153 [1] 78/1 16 [4] 52/12 108/5 108/6 166/8 16 January [1] 53/11 16 J				24 October [1] 113/6	50,000 [1] 102/10
THOMAS: [8] 196/22 12.14 pm [1] 118/24 203/13 203/18 203/22 12.57 pm [1] 151/7 203/25 204/3 204/3 204/23 205/2 16 7/1 16 7/1 125/17 125/20 126/1 167/1 13 March [1] 45/8 13 pages [1] 187/23 14 [2] 165/14 166/17 14 October [1] 108/8 15 March [1] 48/22 15 3 [1] 78/1 16 [4] 52/12 108/5 16 January [1] 16/1 18 January [1] 53/11 16 January [1] 16 January [1] 17/2 17/2 17/2 18 January [1] 18/7 18 January [1] 118/7 18 January [1] 118/7 19/4 18 January [1] 12/1 18/7 19/4 18 January [1] 12/1 18/7 19/4 18 January [1] 14/13 117/2 117/3 19/4 11/4 18 January [1] 14/14 18 Janua					
203/3 203/18 203/22 204/3 204/23 205/2 205/2 205/2 13 [3] 86/5 155/21 167/1 125/47 125/20 126/1 126/4 205/6 218/11 219/21 14 [2] 165/14 166/17 14 [2] 165/14 166/18 16 166/8 16 166/8 16 166/8 16 166/8 16 166/8 16 166/8 16 166/8 16 166/8 16 166/8 16 166/8 16 166/8 16 166/8 16 166/8 16 166/8 16 16 16 16 16 16 16 16 16 16 16 16 16					
205/2 THE WITNESS: [7] 125/17 125/20 126/1 126/4 205/6 218/11 219/21 **Circuit [1] 108/8 'circuit-breaker' [1] 108/8 'pre [1] 76/1 18WCS [1] 82/13 'tolerable' [1] 66/18 0 0.7 [5] 14/22 57/17 73/23 77/3 103/1 0.9 [2] 77/3 77/5 120 [1] 71/24 13 [3] 86/5 155/21 167/1 13 March [1] 45/8 133 pages [1] 187/23 2020 [70] 2/15 3/4 2020 [70] 2/15 3/4 3/9 3/17 4/18 12/17 13/9/15 82/1 33/13 35/10 45/8 62/22 70/1 70/4 70/10 70/13 71/3 72/2 73/2 73/22 75/2 14/2 108/5 108/6 166/8 16 January [1] 119/6 6 Weeks [1] 110/13 7 Wovember 2020 [1] 11/7/18 7 Well 119/6 6 Weeks [1] 119					
THE WITNESS: [7] 167/1 125/20 126/1 13 March [1] 45/8 133 pages [1] 187/23 14 [2] 165/14 166/17 14 October [1] 109/15 15 [2] 45/8 45/8 15 [2] 45/8 45/8 16 January [1] 18/1 16 [4] 52/12 108/5 108/6 166/8 16 January [1] 53/11 16 January [1] 53/11 16 January [1] 153/11 16 January [1] 140/2 13/2 17/3 77/5 13/2 3 77/3 103/1 0.9 [2] 77/3 77/5 132/2 2 132/2			-		6
125/17 125/20 126/1 126/4 205/6 218/11 219/21 13 March [1] 45/8 133 pages [1] 187/23 14 [2] 165/14 166/17 14 October [1] 109/15 15 [2] 45/8 45/8 15 [2] 45/8 45/8 15 [3] 78/1 16 [4] 52/12 108/5 108/6 166/8 16 January [1] 53/11 16 March [3] 14/12 17 [3/23 77/3 103/1 0.9 [2] 77/3 77/5 13 March [1] 45/8 133 pages [1] 187/23 20 [70] 2/15 3/4 3/9 3/17 4/18 12/17 13/25 24/1 33/13 35/10 45/8 62/22 70/1 70/4 70/10 70/13 71/3 72/2 73/2 73/22 75/1 79/15 82/1 94/18 109/15 111/8 114/11 114/13 27 March [2] 19/1 27 March [2] 206/18 27 November 2020 [1] 126/10 26 June [1] 126/10 26 June [1] 126/10 26 June [1] 126/10 27 March [1] 9/1 27 November [2] 209/18 208/18 7 7 7 June [1] 206/10 71,000 [1] 87/19 75 [2] 16/25 52/5 76 [1] 145/3 78,000 [1] 87/18 8 11 [1] 5/24 17 [3] 21/21 132/20 132/22 17 [3] 21/21 132/20 132/22 18 April [1] 2/1	1 1	167/1	2010 [1] 131/16		
126/4 205/6 218/11 219/21 133 pages [1] 187/23 14 [2] 165/14 166/17 14 October [1] 109/15 15 [2] 45/8 45/8 15 [2] 45/8 45/8 15 [2] 45/8 45/8 15 [3] 78/1 16 [4] 52/12 108/5 108/6 166/8 16 January [1] 53/11 16 January [1] 53/11 16 January [1] 140/2 16 March [3] 14/11 51/16 53/11 16th [5] 54/13 54/15 54/17 54/17 54/21 173/22 173/22 183 pages [1] 187/23 2020 [70] 2/15 3/4 26 November 2020 27 March [1] 126/10 26 November 2020 [1] 11 14/13 27 March [1] 9/1 27 November [2] 27 November [2] 28 [1] 129/25 220/4 27 November 2023 [1] 220/8 28 [1] 112/16 28 March [1] 33/13 29 [1] 186/3 6.3 [1] 186/3 60 [2] 14/16 152/22 69 pages [2] 206/18 208/18 7 7 June [1] 206/10 71,000 [1] 87/19 75 [2] 16/25 52/5 76 [1] 145/3 78,000 [1] 87/18 8 [1] 5/24 87 exhibits [1] 126/14					
219/21 14 [2] 165/14 166/17 14 October [1] 109/15 15 [2] 45/8 45/8 15 [2] 45/8 45/8 15 [2] 45/8 45/8 15 [2] 15/12 108/5 16 [4] 52/12 108/5 17/14/22 57/17 73/23 77/3 103/1 0.9 [2] 77/3 77/5 14 October [1] 108/8 15 March [1] 48/22 153 [1] 78/1 16 [4] 52/12 108/5 16 March [3] 14/11 51/16 53/11 17 [3] 21/21 132/20 132/22 153 [1] 78/1 16 March [3] 14/11 51/16 53/11 16 March [3] 14/11 51/16 53/11 16 March [3] 14/11 51/16 53/11 17 [3] 21/21 132/20 132/22 153 [1] 78/1 16 March [3] 14/11 51/16 53/11 17 [3] 21/21 132/20 132/22 153 [1] 78/1 16 March [3] 14/11 51/16 53/11 17 March 2020 [1] 3/9 27 November [2] 219/25 220/4 27 November [2] 21 16/25 52/5 28 March [1] 33/13 29 [1] 186/10 20/18 20/18 20/18 20/18 20/18 20/18 20/18 20/18 20/18 20/18 20/18 20/18 20/18 20/18 20/18 20/19 21 14/16 152/22 26 Parce 1 1/2/10 21 1/2/10 1/2/10 21 1/2/10 1/2/10 21 1/2/10 1/2/10 22 1/2/11 1/2/10 23 April [1] 1/2/1		422 magas [41 107/22			
14 October [1] 109/15 109/15 15 [2] 45/8 45/8 15 [2] 45/8 45/8 15 March [1] 48/22 153 [1] 78/1 16 [4] 52/12 108/5 108/6 166/8 16 January [1] 53/11 16 March [3] 14/11 17/3 17/3 17/3 103/1 16 March [3] 14/11 17/3 17/3 103/1 16 March [3] 14/11 16 March	1				
109/15					
Tolerault [1] 108/8 15 [2] 45/8 45/8 15 March [1] 48/22 153 [1] 78/1 16 [4] 52/12 108/5 108/6 166/8 16 January [1] 53/11 16 June [1] 140/2 16 March [3] 14/11 51/16 53/11 16th [5] 54/17 54/17 54/17 54/21 132/22 132/22 132/22 132/22 153/12 126/14 151/17 151/20 154/6 154/17 154/6 132/22 16 March [1] 13/12 12/1		109/15		[1] 114/13 27 March [4] 0/4	
108/8 108/8 153 [1] 78/1 16 [4] 52/12 108/5 108/6 166/8 108/6 166/8 16 16 16 16 16 16 16 1			70/4 70/10 70/13 71/3	27 March 2020 [1]	
'pre [1] 76/1 'RWCS [1] 82/13 'tolerable' [1] 66/18 0 0.7 [5] 14/22 57/17 73/23 77/3 103/1 0.9 [2] 77/3 77/5 153 [1] 78/1 16 [4] 52/12 108/5 108/6 166/8 16 January [1] 53/11 16 March [3] 14/11 51/16 53/11 16th [5] 54/13 54/15 54/17 54/17 54/21 17 [3] 21/21 132/20 132/22 17 [3] 21/21 132/20 132/22 79/15 82/1 94/18 109/15 111/8 114/11 114/13 117/2 117/3 119/2 120/8 123/16 124/4 126/19 127/5 127/6 127/12 127/12 128/8 128/8 130/5 130/14 130/15 130/20 132/15 132/16 144/1 151/17 151/20 154/6 79/15 82/1 94/18 109/15 111/8 114/11 114/13 117/2 117/3 119/2 120/8 123/16 12 120/8 27 November [2] 219/25 220/4 27 November 2023 [1] 220/8 28 [1] 112/16 28 March [1] 33/13 29 [1] 186/10 2nd [1] 53/10 8 81 [1] 5/24 87 exhibits [1] 126/14			72/2 73/2 73/22 75/1		7
The content of the	1				<u>-</u>
'tolerable' [1] 66/18 108/6 166/8 108/6 166/8 108/6 166/8 108/6 166/8 108/6 166/8 108/6 166/8 16 January [1] 53/11 16 March [3] 14/11 51/16 53/11 14/13 117/2 117/3 220/8 28 [1] 112/16 28 March [1] 33/13 78,000 [1] 87/19 10 March [3] 14/11 16th [5] 54/13 54/15 130/14 130/15 130/20 29 [1] 186/10 20 [1] 18/18 8 10 March [3] 14/11 16th [5] 54/13 54/15 130/14 130/15 130/20 131/15 132/8 132/10 33/10 8 11 March [3] 14/11 14/13 117/2 117/3 14/13 117/2 117/3 14/13 117/2 117/3 14/13 117/2 117/3 14/13 117/2 117/3 14/13 117/2 117/3 14/13 117/2 117/3 27/10 27/10 8 18/13 10 8 11/10 14/13 117/2 117/3 14/13 117/2 117/3 14/13 117/2 117/3 <td></td> <td></td> <td></td> <td></td> <td></td>					
0 16 June [1] 140/2 140/2 120/8 123/16 14/22 57/17 15 June [1] 140/2 16 March [3] 14/11 14/2 126/19 127/5 124/4 126/19 127/5 127/6 127/12 127/12 128/8 128/8 130/5 128/8 128/8 130/5 128/8 128/8 130/5 128/8 128/8 130/5 130/14 130/15 130/20 131/15 132/8 132/10					
16 March [3] 14/11 51/16 53/11 151/16 53/11 16th [5] 54/13 54/15 54/17 54/17 54/21 17 [3] 21/21 132/20 132/22 16 March [1] 33/13 28 March [1] 33/13 28 March [1] 33/13 29 [1] 186/10 2nd [1] 53/10 29 [1] 186/10 2nd [1] 53/10 31/15 132/8 132/10 132/15 132/16 144/1 151/17 151/20 154/6 21 27/12 127/1					
0.7 [5] 14/22 57/17 51/16 53/11 128/8 128/8 130/5 128/8	U				
73/23 77/3 103/1 0.9 [2] 77/3 77/5 16th [5] 54/13 54/15 54/17 54/21 17 [3] 21/21 132/20 132/22 16th [5] 54/13 54/15 54/17 54/21 131/15 132/8 132/10 132/15 132/16 144/1 151/17 151/20 154/6 126/6 126/6 130/3 130/14 130/15 130/20 131/15 132/8 132/10 132/15 132/16 144/1 151/17 151/20 154/6 126/6 126/6 130/3 2nd [1] 53/10 81 [1] 5/24 87 exhibits [1] 126/14					
17 [3] 21/21 132/20 132/15 132/16 144/1 151/17 151/20 154/6 3 April [1] 2/1 87 exhibits [1] 126/14				29 [1] 186/10	-
17 [3] 21/21 132/20 132/15 132/16 144/1 151/20 154/6 3 April [1] 2/1 87 exhibits [1] 126/14	0.9 [2] 77/3 77/5		131/15 132/8 132/10		
132/22 151/17 151/20 154/6 3 April [1] 2/1				3	
		132/22		3 April [1] 2/1	126/14
(57) LADY HALLETT: - 87 exhibits				· -	
				(57) LAC	 DY HALLETT: - 87 exhibits

89 [1] 4/23 163/10 163/12 164/20 accruing [1] 64/7 136/4 137/13 138/24 91/18 91/19 93/23 9 165/17 169/24 170/21 accumulate [1] 97/15 145/20 148/2 154/5 98/11 99/11 99/12 175/25 176/23 179/7 accurate [4] 20/5 179/15 190/11 193/7 99/25 100/1 100/5 **9.30 am [1]** 1/2 179/14 179/22 180/9 29/7 53/18 65/3 193/23 204/7 207/8 107/17 108/6 108/14 93 [1] 102/19 181/1 181/16 181/24 achieve [3] 49/5 76/3 207/20 209/5 210/11 108/17 121/18 122/21 94 [1] 208/24 183/18 189/11 190/20 123/3 123/4 124/16 96/12 217/8 217/8 219/11 191/10 191/16 191/22 achieved [2] 52/1 219/13 169/22 170/9 192/1 194/5 194/17 193/4 acute [1] 90/15 advise [4] 78/6 78/6 **ability [3]** 30/12 197/2 198/22 204/6 110/2 124/6 acknowledged [1] acutely [1] 78/2 59/22 78/9 204/25 206/4 207/3 140/10 ad [3] 128/24 172/2 adviser [5] 1/24 2/7 able [23] 8/6 15/17 207/12 208/5 208/21 acquainted [1] 19/23 174/2 2/15 4/25 7/11 24/9 24/15 27/24 209/1 209/19 212/7 acquired [1] 94/25 ad hoc [1] 128/24 advisers [6] 4/14 29/17 34/8 63/19 212/23 213/12 213/23 across [21] 65/5 adapted [1] 194/24 24/22 98/20 99/9 76/14 107/7 113/10 214/3 214/14 214/21 68/13 72/12 83/6 add [7] 16/11 68/21 99/14 100/4 114/21 121/22 122/1 215/11 215/12 215/18 110/16 144/13 144/13 advising [4] 7/14 83/17 106/21 110/9 136/8 142/4 144/22 217/22 114/1 114/3 117/12 176/10 215/25 65/4 99/16 100/5 163/20 192/22 205/23 **above [5]** 13/9 13/10 123/2 131/2 147/22 added [2] 127/25 advisory [3] 3/1 7/18 210/24 213/2 217/10 149/10 149/16 173/14 144/25 14/18 57/8 70/18 62/2 about [194] 3/21 3/23 177/22 181/7 195/1 absence [4] 32/23 adding [1] 153/18 advocates [1] 205/15 4/17 5/18 7/1 7/3 8/6 37/18 105/14 159/11 212/16 219/2 **addition [2]** 11/5 affected [5] 38/18 9/8 9/14 9/24 12/15 absolute [1] 28/17 act [10] 35/2 79/9 207/24 38/19 175/19 182/16 12/15 14/14 14/16 **absolutely [11]** 7/6 83/2 131/3 147/15 additional [6] 76/3 197/4 14/19 14/20 14/22 18/10 26/14 34/17 174/19 190/8 191/2 108/10 119/3 138/3 affecting [2] 132/1 16/25 20/6 20/20 43/24 68/24 75/12 196/23 211/23 146/15 153/19 219/1 22/10 22/25 23/1 24/9 141/11 165/22 207/8 acted [3] 2/14 105/2 address [12] 37/10 **affirmed [2]** 1/6 25/7 27/1 27/10 28/13 208/4 209/24 37/17 68/3 128/19 221/3 28/24 29/1 29/12 acting [1] 65/12 absorb [1] 123/4 140/15 175/1 175/14 afford [1] 101/25 30/13 38/16 38/20 40/10 41/3 42/1 42/16 abuse [6] 137/10 176/13 179/25 197/24 afraid [6] 87/25 91/5 action [16] 27/17 207/11 218/19 218/24 65/13 65/18 87/3 87/6 199/14 201/7 104/4 114/16 179/11 42/20 43/1 43/3 44/17 219/1 219/6 98/12 103/15 104/2 addressed [3] 97/17 184/15 45/11 45/19 46/10 113/3 140/24 150/21 academia [1] 2/5 142/17 206/1 Africa [1] 173/15 46/10 46/11 46/16 academic [6] 7/14 162/17 201/21 202/5 addressing [3] 37/13 African [2] 168/3 51/4 52/5 54/21 54/23 7/16 21/7 25/10 26/1 202/25 206/24 180/4 195/5 168/16 56/11 56/21 57/17 26/19 after [32] 3/20 5/13 actions [6] 78/19 adequate [3] 32/7 58/1 58/22 59/6 60/7 academics [7] 6/22 140/10 140/14 143/8 70/11 94/19 9/2 14/11 22/22 29/15 60/8 60/9 60/11 61/8 25/4 25/5 26/8 27/15 35/2 51/25 53/2 53/3 150/24 158/19 adjourned [1] 220/7 62/2 63/25 64/6 64/22 30/1 32/13 active [7] 12/20 53/3 53/10 53/13 adjournment [1] 68/14 70/14 72/3 accelerate [2] 140/13 13/15 17/21 20/13 151/8 69/22 69/23 77/21 73/13 74/11 74/13 210/21 85/23 146/6 183/18 adjusted [1] 186/6 102/15 106/17 111/13 78/18 80/23 82/24 accept [3] 82/9 96/8 activities [3] 71/19 administrations [10] 114/19 115/18 116/4 83/22 84/8 85/19 85/19 177/6 35/14 36/5 145/12 116/23 126/22 139/21 199/22 85/20 87/18 87/23 acceptable [1] 97/19 activity [3] 71/13 145/17 145/21 145/25 140/2 157/25 160/25 87/24 88/3 88/11 accepted [2] 156/1 146/15 186/19 146/7 146/17 147/1 171/12 207/1 209/6 89/14 92/9 92/13 147/10 219/20 167/3 activity-limiting [1] 92/16 92/20 92/22 accepts [1] 96/10 admission [1] 22/20 **afternoon [1]** 118/15 186/19 92/23 93/7 93/20 access [5] 6/7 34/18 acts [1] 29/14 admissions [10] 53/9 afterwards [3] 110/8 93/20 94/24 96/13 35/4 35/4 174/1 actual [3] 40/17 53/13 56/15 57/8 202/16 202/17 96/17 97/22 98/4 accessible [1] 200/3 158/14 182/12 57/15 62/11 88/20 again [36] 3/10 4/20 99/15 99/22 100/8 accessing [1] 38/14 actually [69] 7/4 8/5 112/2 112/4 112/15 5/23 11/13 17/12 103/1 103/12 103/15 9/2 9/16 16/11 16/22 admitted [2] 22/20 18/16 21/16 35/9 accommodation [1] 104/21 106/4 106/6 16/23 20/10 25/20 35/12 35/25 39/13 51/12 96/7 106/22 107/3 108/6 47/13 56/14 73/16 accompanied [1] 26/3 26/10 30/16 adopt [1] 97/25 108/21 112/3 113/24 114/20 115/23 118/12 176/6 30/21 31/15 33/16 adopted [1] 107/1 80/8 98/4 103/25 accord [2] 102/10 35/1 36/7 41/1 43/1 104/22 104/25 105/2 adults [1] 17/22 120/21 121/15 122/15 43/3 43/9 46/7 47/19 121/18 advance [1] 82/22 105/15 105/20 116/12 130/6 130/12 131/23 132/17 132/20 133/14 account [11] 37/5 48/3 48/13 49/22 advanced [1] 90/4 119/2 121/7 121/8 148/5 153/24 154/16 49/23 52/7 53/20 125/15 134/12 140/22 advancing [1] 94/11 134/25 135/3 135/4 175/16 198/24 199/6 53/22 55/21 58/20 advantage [1] 19/13 160/20 162/7 163/8 135/23 139/21 141/8 advantages [1] 182/7 175/12 175/22 188/25 199/10 199/11 213/5 58/21 62/23 70/11 144/16 144/20 145/13 advice [36] 3/22 3/23 216/8 77/18 78/24 92/3 212/24 145/23 148/20 150/25 98/19 101/6 110/13 4/5 7/16 11/9 31/20 accountability [1] against [7] 51/4 151/1 151/3 151/3 143/2 113/11 117/13 117/15 31/22 65/3 74/12 75/16 88/6 139/7

accounting [2] 38/6

152/24

119/17 121/22 121/22

122/5 128/9 135/25

74/13 74/15 74/18

77/2 79/19 80/3 80/5

152/13 153/2 155/17

156/6 160/18 160/21

against... [3] 152/20 181/25 190/25 age [19] 16/15 52/12 92/16 92/21 92/25 93/5 124/21 135/1 143/12 147/16 148/15 153/1 153/25 173/24 188/11 194/25 199/2 199/5 215/14 agencies [2] 143/9 145/13 agenda [2] 150/8 173/18 agendas [1] 150/17 **ago [2]** 50/10 197/2 agree [18] 19/11 24/2 25/17 26/9 44/12 98/22 100/9 104/14 112/25 141/2 156/13 197/6 197/7 198/8 198/11 199/25 199/25 200/4 agreed [2] 44/12 150/15 agreement [2] 87/20 94/7 agreements [1] 34/17 ahead [2] 13/18 119/15 **Aid [1]** 218/17 aim [5] 59/13 60/3 61/13 62/18 103/8 aiming [1] 68/25 aims [2] 121/16 121/20 air [1] 188/7 alarmed [1] 201/3 **alarming [1]** 169/5 albeit [2] 32/25 81/21 align [2] 104/12 153/7 aligned [1] 122/21 alive [1] 209/17 all [103] 3/4 8/19 9/3 10/22 11/22 14/1 14/19 14/21 18/15 18/18 20/25 34/7 34/16 40/18 41/5 43/13 45/15 46/15 53/5 53/19 55/2 55/20 58/20 60/21 67/16 71/5 72/15 72/19 73/5 74/11 76/9 76/18 79/1 79/4 80/25 82/25 83/14 84/3 84/13 88/4 90/11 90/17 94/1 98/18 101/17 102/24 102/25 107/18 107/20 107/22 109/21 109/22 113/8 113/19 116/12

117/9 118/6 122/7

123/3 123/8 138/19 140/23 143/4 149/22 153/14 155/24 158/20 162/6 164/11 165/10 166/17 170/7 170/8 170/13 172/12 172/20 173/15 181/7 182/18 183/4 183/5 183/22 184/1 189/19 191/9 192/7 193/1 193/18 196/11 198/9 199/6 201/7 202/9 203/8 204/5 205/14 205/24 214/4 215/7 215/8 216/6 218/1 219/19 All right [3] 192/7 202/9 205/24 allow [2] 66/15 109/24 allowed [2] 204/25 210/1 allowing [1] 97/15 almost [2] 156/24 172/2 along [9] 13/12 30/6 48/11 48/12 59/10 74/18 79/1 194/5 213/18 alongside [4] 5/24 56/6 67/16 94/16 **Alpha [2]** 116/24 118/1 already [36] 28/13 30/4 30/19 40/12 52/13 52/15 54/8 58/2 67/13 73/11 73/19 75/14 86/8 90/9 97/17 98/2 100/14 116/17 122/18 132/12 139/7 140/11 144/10 144/15 146/14 148/23 148/25 149/3 166/1 167/1 173/6 173/9 201/16 202/19 204/8 204/14 also [83] 2/5 2/14 2/19 3/7 3/13 5/10 5/25 6/7 6/12 7/13 7/17 10/12 13/23 21/3 24/18 27/12 30/1 32/23 32/25 37/20 38/14 42/14 42/14 43/24 45/17 51/9 51/10 51/12 57/2 60/21 63/10 67/17 68/3 70/7 70/21 77/13 84/21 89/1 94/14 94/15 95/21 102/13 106/10 116/16 118/2 119/7 121/6 123/7 124/1 124/2 126/13 127/21 129/4 129/22 133/13 133/20 135/8 137/3 137/7 137/9

142/4 142/10 142/11

146/17 150/4 150/11 announcements [2] 156/18 157/21 157/22 161/20 164/10 164/13 another [15] 8/14 176/6 179/5 180/6 180/18 183/6 183/19 183/20 199/25 209/14 214/23 215/15 alternate [1] 15/25 alternative [5] 57/3 90/3 90/8 108/17 184/3 although [4] 3/21 31/6 176/15 209/6 always [18] 3/18 6/14 answered [1] 204/21 approaching [1] 21/13 25/24 25/25 38/2 62/8 78/21 93/9 115/19 138/22 173/3 173/7 184/19 185/7 185/8 199/9 217/15 am [16] 1/2 17/11 55/7 55/9 124/5 126/25 141/25 191/15 194/16 194/20 196/19 196/23 204/1 206/17 217/25 220/7 amazing [1] 117/22 ambitious [2] 144/11 144/11 amended [1] 114/6 among [2] 177/24 208/22 amongst [1] 90/15 **amount [6]** 64/10 140/7 161/15 163/3 174/1 207/10 analyse [1] 139/16 analyses [1] 199/8 **analysis [21]** 8/15 24/11 33/25 123/7 136/13 138/25 139/11 153/24 169/15 187/7 187/7 193/13 196/1 196/3 209/4 209/4 213/4 216/15 217/8 217/23 217/23 analytic [1] 36/7 analytical [1] 28/19 **Analytics [2]** 4/13 6/11 **Anders [3]** 84/16 85/10 101/19 Anders Tegnell [3] 84/16 85/10 101/19 anecdotal [2] 133/9 201/2 Angela [4] 1/5 1/6 27/25 221/3 Angela McLean [1] 1/5 annex [1] 176/6 announced [5] 16/20 31/24 54/2 74/1 137/1 announcement [3] 52/14 54/4 56/12

51/16 144/17 37/2 55/3 67/15 73/7 75/10 118/16 138/8 154/2 164/16 164/17 166/2 183/3 195/11 196/4 answer [13] 36/23 85/14 139/22 139/24 146/21 148/13 161/6 170/13 183/25 212/16 67/5 90/17 95/11 213/11 217/1 217/17 antibodies [2] 102/13 26/11 102/15 antibody [1] 96/21 anxiety [1] 140/20 any [52] 4/6 5/8 21/14 26/15 30/11 36/18 36/19 36/20 42/19 44/11 49/23 60/9 60/21 65/9 66/24 68/8 70/5 70/6 70/22 104/6 105/23 106/13 108/17 108/17 119/16 are [195] 1/14 1/15 125/13 136/13 145/10 1/23 7/6 7/15 8/12 146/6 146/14 148/20 150/19 153/19 157/4 157/6 186/14 187/2 197/22 201/17 202/4 205/7 205/21 209/10 215/8 anybody [2] 24/8 34/11 anyone [3] 85/3 136/11 163/25 anything [16] 19/6 30/13 38/20 64/15 68/21 74/13 85/5 95/4 99/24 106/1 146/10 150/2 150/5 176/8 190/23 208/4 **anyway [7]** 101/7 119/16 131/12 205/9 205/10 208/8 215/2 apart [4] 17/22 17/23 17/24 136/10 **apologise [4]** 100/10 125/15 205/5 205/10 apology [1] 210/9 apparent [3] 118/11 133/22 151/14 apparently [4] 132/25 133/4 133/6 133/16 appealing [1] 93/10 appear [2] 102/15 218/16 appeared [1] 210/5 **appears [1]** 129/5 appetite [1] 60/23

124/20 applied [2] 49/9 96/22 applies [1] 181/10 apply [1] 47/2 appointed [2] 127/22 130/13 appreciate [4] 11/5 62/1 64/25 207/3 approach [7] 24/19 178/1 189/19 216/3 appropriate [1] 55/5 approximately [1] 80/1 **April [17]** 2/1 53/10 56/16 57/11 58/10 58/10 63/6 67/22 67/22 69/20 72/2 72/23 72/24 127/12 128/8 130/15 208/21 71/8 73/8 74/11 74/11 **April 2020 [4]** 127/12 74/13 84/14 85/3 88/7 128/8 130/15 208/21 **April 2nd [1]** 53/10 11/5 12/3 12/6 12/20 13/10 13/24 14/2 15/14 15/15 15/19 17/17 17/19 18/2 18/4 18/8 18/11 19/23 21/9 24/18 26/2 26/3 26/3 26/5 26/6 26/10 28/7 30/25 32/5 32/13 33/14 33/15 33/18 35/4 35/21 36/15 41/21 46/16 46/16 46/22 48/20 49/16 49/17 53/4 53/7 56/5 56/5 57/7 58/22 59/2 65/17 66/14 73/7 73/8 75/17 77/20 78/24 79/6 79/21 86/17 87/15 87/17 87/19 87/24 88/3 88/5 88/12 88/22 88/25 89/16 90/21 90/22 90/24 91/12 91/13 91/20 92/8 93/9 93/14 95/15 96/3 96/18 97/15 97/19 98/20 99/2 99/4 99/5 103/12 104/3 104/3 107/6 107/24 108/9 109/20 111/11 111/17 111/20 112/18 113/22 113/24 115/8 119/7 120/4 121/6 121/22 122/11 122/11 122/12 125/5 127/1 127/19 128/16 135/8 136/2 136/2 139/3 (59) against... - are

applicable [2] 124/18

are... [73] 139/4 140/10 140/20 142/24 144/9 144/11 144/13 146/12 156/12 156/16 156/19 156/19 157/3 158/19 159/8 162/22 162/23 166/15 170/7 170/20 170/24 171/8 172/5 173/20 173/23 174/3 174/7 175/13 177/8 177/11 177/11 177/18 178/2 179/6 179/18 179/25 181/3 181/4 181/6 181/6 182/23 183/1 183/1 183/2 183/5 183/6 184/25 186/10 187/21 188/16 190/23 191/5 191/5 194/10 195/15 195/15 196/11 197/23 197/24 201/5 204/23 209/14 209/15 212/7 215/2 215/4 215/10 215/12 215/13 217/2 217/9 217/23 219/2 are scientific [1] 98/20 area [13] 37/2 144/4 144/19 146/23 153/21 193/5 194/15 195/4 195/10 195/11 203/8 209/2 212/23 areas [20] 124/12 128/18 131/6 134/16 134/18 134/19 134/20 163/11 165/16 171/1 175/3 175/7 178/9 180/6 182/3 184/3 184/7 188/6 209/25 211/7 aren't [3] 73/8 81/2 143/11 argue [1] 182/17 argument [1] 87/15 arguments [1] 173/20 arise [1] 131/6 arises [2] 22/5 186/8 arising [1] 39/8 arm [1] 112/17 armed [1] 118/3 arose [2] 5/16 27/6 **around [29]** 20/10 29/8 30/8 34/4 35/9 52/1 73/23 85/1 102/9 102/18 102/19 112/5 128/12 130/15 131/4 131/15 137/10 149/14 169/20 170/4 171/12 187/21 192/8 197/25 209/20 213/19 215/3

216/13 216/23

arrangements [2] assumed [2] 137/14 156/9 159/3 207/16 arrived [1] 9/3 arriving [1] 9/21 arrow [1] 86/16 arsenal [1] 124/2 articulate [1] 61/21 articulated [1] 65/18 as [276] **Asian [8]** 135/5 136/2 168/5 168/21 185/22 186/23 201/19 202/22 assurance [1] 141/19 awful [1] 18/4 aside [1] 108/18 ask [22] 1/13 1/20 8/10 8/16 18/13 58/1 73/17 111/10 113/16 123/12 139/3 148/19 158/17 183/24 186/13 astonishingly [1] 199/22 203/14 203/19 210/23 213/16 218/9 219/19 asked [22] 44/5 78/5 84/23 101/4 106/14 110/2 111/6 111/14 113/16 118/10 141/21 145/9 150/14 185/4 201/17 205/6 205/8 207/18 212/13 213/1 214/14 215/18 asking [9] 8/12 10/3 41/3 62/2 106/4 139/5 153/11 164/9 206/6 aspect [7] 39/2 58/3 67/15 92/8 94/6 94/10 attendees [2] 5/7 189/23 aspects [6] 24/17 35/7 37/6 61/5 121/10 155/3 aspersions [1] 209/21 assertion [1] 107/11 assess [5] 159/7 159/8 201/19 202/21 202/22 assessing [1] 176/5 assessment [6] 120/22 121/3 161/3 167/20 200/8 211/1 assessments [7] 141/4 148/6 161/5 204/12 204/13 204/14 210/22 assist [3] 10/9 28/10 133/3 assistance [3] 1/8 144/10 192/6 assisting [1] 126/8 **associated [1]** 115/8 Association [2] 137/5 206/7

assume [9] 67/9

88/9 99/18 156/16

174/16

67/11 82/9 87/2 87/6

assumes [2] 82/13 82/23 assuming [1] 97/11 assumption [7] 46/11 88/9 112/3 137/21 138/1 157/24 201/9 assumptions [2] 10/20 204/9 assure [2] 30/17 31/13 assured [4] 29/19 30/15 31/2 120/15 **Aston [1]** 38/4 78/9 asymptomatic [1] 68/14 at [333] attaches [1] 66/3 attempt [4] 32/3 59/9 96/11 112/17 attempts [1] 28/10 attend [1] 4/6 attendance [2] 42/14 128/24 attendant [2] 105/18 116/14 attended [3] 4/23 45/8 58/10 84/12 attending [3] 126/7 128/2 147/5 attention [5] 40/11 122/5 122/6 154/12 206/15 audience [1] 98/15 August [7] 3/17 73/24 76/23 76/24 77/4 78/18 132/16 August 2020 [1] 132/16 Australia [1] 68/24 authorities [2] 162/17 200/9 authority [2] 163/4 165/1 autumn [15] 23/25 65/22 78/7 78/18 86/15 91/21 91/23 97/2 109/8 109/9 109/13 113/22 114/11 114/19 115/12 available [8] 6/14 28/22 34/1 96/21 97/7 146/5 175/17 185/21 avoid [3] 109/8 116/9 140/24 avoided [1] 74/21 avoiding [2] 112/4

190/1 award [2] 193/12 193/12 aware [12] 40/21 43/16 51/17 75/17 131/23 133/20 137/3 206/7 207/15 away [6] 79/4 83/10 207/18 awkward [1] 205/22 axis [11] 12/19 12/21 12/22 13/13 14/1 14/17 14/20 15/12 15/13 17/13 88/18 В back [49] 17/2 17/11 27/19 29/11 30/24 40/18 49/2 50/19 61/17 62/20 62/22 63/6 64/22 69/17

15/21

bands [1] 15/14

69/20 71/15 71/17 80/15 80/20 81/16 83/10 87/7 91/3 91/7 93/3 94/1 97/16 107/4 12/13 31/6 92/22 111/16 115/5 115/11 123/16 128/4 134/23 141/11 147/14 151/12 170/22 180/10 181/1 205/16 216/12 219/20 backdrop [1] 174/19 background [11] 2/18 24/14 126/17 144/8 158/10 181/5 181/25 183/2 183/3 201/4 208/24 backgrounds [2] 162/19 169/5 bad [11] 13/22 17/11 21/4 23/2 23/3 57/21 62/16 79/7 88/11 88/12 153/12 **BADENOCH** [7] 125/23 126/6 126/7 153/3 196/22 205/21 221/7 Badenoch's [1] 212/15 badly [2] 88/25 89/1 **balance [4]** 180/3 183/15 183/23 212/7 balanced [1] 83/14 **BAME [15]** 135/6 135/24 135/25 136/11 136/21 140/1 140/19 152/4 152/9 152/14

181/2 198/8 198/12

band [3] 14/4 14/21

208/24 216/13

Bangladeshi [9] 168/1 168/24 169/5 169/9 175/20 181/22 130/17 130/19 131/12 185/16 185/23 186/3 banning [1] 107/18 barely [1] 110/19 base [1] 54/20 150/16 180/13 183/10 based [13] 7/9 13/2 16/12 70/22 78/19 120/22 122/10 124/9 130/6 130/24 191/20 197/16 216/3 bases [1] 91/16 basic [1] 47/2 **basically [7]** 9/19 29/25 65/15 69/8 82/21 131/8 136/11 basis [4] 31/16 133/4 192/25 201/11 **BBC [3]** 16/14 92/23 144/24 be [234] bearing [3] 153/8 160/8 205/3 beautiful [4] 12/11 became [10] 4/13 11/3 11/10 25/14 34/19 127/16 130/23 156/24 158/17 160/12 131/23 133/22 208/20 162/13 164/20 169/11 because [118] 1/16 4/14 5/13 7/15 8/20 12/7 13/2 16/21 18/24 21/4 21/5 22/18 23/9 25/3 26/17 31/1 31/9 31/14 33/25 35/2 36/23 37/15 38/3 39/5 39/13 41/4 45/15 46/7 46/13 50/15 60/6 61/16 62/13 62/14 62/15 63/21 65/9 65/14 68/12 68/24 77/11 81/4 81/7 81/8 81/14 88/5 91/1 92/2 93/1 93/12 95/19 96/6 98/3 98/22 99/18 101/22 109/5 109/18 113/11 115/21 116/9 117/21 117/22 122/15 124/25 127/15 129/19 133/22 135/19 137/9 137/13 137/18 137/24 138/1 138/18 138/22 141/24 148/23 150/6 154/7 157/3 160/7 161/17 162/22 164/24 169/18 172/19 174/17 178/16 179/4 180/21 181/13 183/24 190/19 190/24 192/5 192/8 192/18 193/18 194/1 194/3 194/4 194/21

В because... [15] 195/21 197/7 198/9 199/25 200/14 203/14 207/9 208/5 210/1 210/10 213/10 213/16 215/2 215/10 216/12 become [4] 13/3 130/19 131/12 207/6 becomes [4] 26/1 62/6 63/22 97/7 bed [1] 50/22 been [145] 7/12 10/20 12/18 19/10 25/18 25/19 26/9 27/20 31/5 36/24 40/6 41/6 43/8 43/10 46/11 46/13 50/2 50/4 50/23 53/11 53/12 54/10 57/3 57/8 57/10 57/15 57/19 60/19 62/20 62/25 65/19 66/3 69/21 71/10 72/14 73/4 74/7 74/17 74/18 76/21 78/5 79/1 81/13 84/9 86/18 90/9 91/17 91/18 92/10 93/15 94/19 95/9 95/11 95/15 95/16 102/10 102/15 105/20 105/21 108/23 109/8 109/11 111/6 113/16 113/18 113/19 116/9 118/3 127/25 129/2 130/8 130/17 131/24 133/8 135/12 137/25 138/22 139/1 139/2 139/18 141/5 145/9 146/10 146/24 147/25 149/11 149/19 149/23 150/3 150/10 150/14 152/11 153/9 153/17 154/15 155/13 155/25 156/17 156/17 160/16 160/17 160/19 160/25 161/16 163/13 163/18 164/10 165/12 167/23 171/24 175/10 175/14 176/16 176/19 176/21 179/1 180/6 180/17 181/9 182/2 182/20 182/20 184/4 184/6 185/5 187/5 187/15 192/22 193/22 198/24 200/2 200/11 201/8 205/7 205/12 206/5 207/5 207/16 208/9 208/9 210/1 211/16 213/7 213/15 215/18 before [28] 3/19 12/17 16/14 17/10 18/13 20/18 25/11 32/18 35/17 51/10

82/2 96/24 115/18 116/4 116/23 119/5 119/22 126/16 130/22 151/12 164/20 182/1 190/25 196/13 201/23 Ben Warner [4] 30/7 202/4 203/2 213/3 **beforehand** [1] 7/18 began [2] 41/17 77/3 begin [1] 119/22 **beginning [4]** 32/24 50/24 127/11 171/19 behalf [7] 187/23 188/1 196/23 201/20 202/24 206/6 218/16 behave [1] 178/22 behavioural [1] 52/11 **behaviours** [1] 51/21 behind [2] 165/1 188/2 being [85] 1/17 5/7 6/16 8/1 8/21 16/18 16/20 20/5 21/24 21/25 22/2 22/19 27/14 35/12 40/2 40/7 40/25 42/18 44/5 45/9 47/11 58/13 70/8 80/3 80/25 86/20 89/1 96/14 100/21 109/16 118/10 121/25 124/2 135/1 136/19 136/21 138/1 138/23 140/11 141/7 141/9 143/8 144/15 146/13 147/3 152/6 152/7 154/15 155/19 156/25 157/22 158/12 159/1 159/24 160/9 161/3 161/9 161/20 163/3 163/5 163/14 166/21 166/22 biggest [1] 215/14 173/16 175/11 179/19 biology [1] 2/19 182/9 182/9 187/12 190/23 191/1 191/12 192/3 195/5 195/8 195/8 195/9 198/25 199/2 199/3 201/9 207/25 209/21 215/3 219/3 belief [3] 126/13 169/15 190/17 believe [20] 21/5 21/11 28/5 28/5 31/6 75/25 85/22 91/4 105/10 114/16 119/10 141/14 148/25 176/8 176/15 191/16 192/12 bits [3] 136/8 170/9 199/18 204/1 205/7 believed [1] 139/7 believer [1] 82/19 believing [1] 190/18 below [12] 13/7 46/17 53/12 56/21

57/13 57/16 76/17

88/19 103/9 107/7

218/17

112/6 112/16 **blind [1]** 191/18 Ben [6] 30/7 42/7 42/12 42/12 43/2 44/15 42/12 43/2 44/15 benefit [4] 54/9 73/17 85/13 175/18 best [6] 21/9 55/23 83/20 93/13 126/12 171/7 **better [27]** 9/19 10/2 11/4 29/16 33/1 34/3 36/5 36/9 36/14 47/24 boring [1] 81/4 50/20 55/24 55/24 81/7 81/8 81/13 95/2 98/17 98/21 99/2 99/6 11/4 19/17 23/24 99/23 112/14 113/9 122/21 168/9 190/24 between [28] 6/4 8/1 14/2 18/18 20/3 24/19 26/5 35/10 64/1 74/21 77/5 92/8 92/25 95/1 111/20 111/24 119/10 15/9 15/12 15/13 126/19 146/25 150/3 150/9 159/18 167/18 167/23 169/25 199/12 143/23 178/6 195/17 212/8 215/19 beyond [5] 17/21 138/14 139/25 146/10 148/10 big [16] 18/18 20/1 29/15 37/8 39/11 45/22 45/22 53/19 69/12 70/16 96/23 102/14 110/7 164/18 169/25 192/4 **bigger [3]** 57/19 164/18 199/3 bit [40] 15/2 15/5 24/21 27/25 28/1 28/13 29/14 30/4 34/5 36/15 43/10 47/24 49/12 57/16 68/23 72/17 82/5 86/14 86/18 87/5 87/14 87/22 87/24 92/5 92/23 93/1 96/14 104/13 104/15 105/12 110/12 117/7 120/7 121/23 130/12 130/23 10/15 12/24 32/21 131/14 192/23 214/7 214/11 173/16 black [17] 135/5 136/2 141/25 142/1 142/7 142/11 152/11 167/24 167/24 168/3 168/16 180/21 201/19 202/22 209/17 209/18

blocking [1] 70/14 blocks [1] 36/20 blue [1] 15/24 BMA [5] 206/7 206/8 208/14 208/20 209/14 **BMA's [1]** 209/1 board [6] 72/7 109/11 127/21 131/2 149/10 149/16 bodies [1] 3/2 **body [1]** 138/20 **boil** [1] 66/4 **borne [1]** 177/10 **both [19]** 2/4 10/11 28/22 39/19 55/24 59/9 73/11 74/7 87/2 123/25 124/1 125/1 133/1 133/16 134/20 181/10 bottom [13] 12/19 17/13 33/5 45/16 46/14 82/5 87/1 bought [1] 105/13 boundary [2] 185/7 185/9 **box [1]** 184/17 **brain [1]** 49/9 **brakes [1]** 116/14 breached [6] 61/1 62/23 62/24 63/3 65/7 89/18 breaching [1] 62/8 55/4 55/8 80/23 88/11 88/12 108/10 118/11 118/16 118/23 173/24 46/3 46/4 46/5 49/24 196/13 196/16 196/17 202/7 breaker [11] 79/20 80/3 80/5 104/5 105/5 68/17 111/16 111/20 105/11 105/14 105/20 107/15 108/15 108/18 call [12] 1/4 27/4 breaker' [1] 108/8 breakers [1] 80/19 bridge [1] 8/1 brief [2] 3/14 149/4 briefly [18] 4/22 37/2 38/13 39/13 55/12 59/16 87/13 120/9 123/12 126/16 134/1 139/23 164/5 186/13 205/25 **brilliant** [1] 23/4 bring [14] 8/9 25/2 33/8 57/13 61/5 66/1 80/6 80/23 97/5 102/3 107/7 113/9 142/21 206/13

bringing [2] 123/2 173/20 brings [1] 87/6 **British [11]** 137/4 168/4 168/17 169/9 169/9 181/22 181/22 185/16 185/16 186/2 206/6 broad [3] 130/23 156/12 156/16 broader [4] 43/22 56/5 95/18 153/20 **broadly [4]** 36/13 53/18 57/23 114/8 broker [1] 34/23 **Brooks** [1] 11/14 **brought [4]** 5/7 109/11 130/12 170/21 **Bruce [1]** 33/17 build [4] 15/17 28/23 28/25 189/16 built [3] 9/19 29/16 29/17 bullet [2] 102/8 198/6 bunch [1] 68/17 burden [1] 93/17 **business [3]** 110/18 127/23 127/24 but [245] Cabinet [11] 4/6 44/1 44/22 67/12 84/17 84/17 106/2 62/14 62/15 calculations [7] 28/20 42/1 50/22

106/2 129/20 130/4 130/6 195/6 218/23 Cabinet Office [3] 129/20 130/6 195/6 break [16] 1/21 24/20 Cabinet Secretary [2] calculation [7] 17/3 112/8 34/11 35/8 74/24 79/14 84/5 91/2 120/9 193/21 198/15 202/22 called [5] 3/18 4/16 106/11 140/14 199/7 calls [1] 201/18 came [11] 14/18 31/22 34/6 34/9 78/22 96/24 117/14 117/16 147/21 184/18 212/25 campaigns [2] 169/6 169/10 can [143] 1/13 1/16 3/14 6/20 8/10 8/14 8/15 8/16 9/15 12/2 12/15 15/4 17/9 18/13 20/20 21/20 21/20

171/6 171/10 171/16 C 165/6 199/4 circuit breakers [1] capture [3] 163/20 categories [2] 24/21 171/22 172/3 172/6 80/19 can... [126] 22/3 24/7 193/1 193/1 25/1 174/4 174/25 175/5 circulating [1] 58/24 24/20 25/1 25/10 26/7 captured [5] 18/12 categorisations [1] 189/15 circumstances [3] 27/23 27/25 28/19 139/1 163/5 172/12 215/23 Chancellor [1] 178/23 191/19 207/4 29/8 32/20 33/4 35/8 215/4 106/10 civil [20] 6/7 6/9 7/12 category [2] 16/2 36/23 37/23 41/15 change [7] 31/1 31/4 7/20 9/4 10/10 10/24 Capturing [1] 163/6 148/22 43/11 44/11 46/1 24/19 24/22 25/15 52/11 96/9 122/12 care [26] 9/14 38/12 causality [1] 116/2 48/15 51/9 53/2 55/17 causation [1] 169/24 38/13 38/17 38/18 167/23 206/19 26/1 26/9 26/19 26/20 58/1 58/15 59/3 59/6 38/18 38/21 38/22 27/7 27/14 61/14 cause [7] 26/15 96/8 **changed [6]** 31/1 59/16 60/8 64/17 38/24 39/8 39/16 100/1 113/12 182/9 31/12 51/21 121/3 158/4 161/20 161/21 64/22 66/1 66/5 66/18 39/25 40/8 40/11 68/4 182/12 197/3 128/14 167/18 Clare [2] 27/24 30/5 71/14 71/14 71/16 68/7 69/7 69/10 69/12 caused [1] 207/10 changes [4] 31/11 Clare Gardiner [1] 74/24 75/2 77/24 94/8 102/22 160/11 causes [2] 167/14 70/5 70/7 70/17 27/24 82/16 83/10 83/21 186/20 187/11 190/14 182/11 **changing** [1] 30/8 Clare Lombardelli [1] 84/5 84/25 86/4 86/5 209/19 causing [3] 76/15 channels [4] 144/21 30/5 86/19 87/13 91/2 91/2 care home [4] 38/18 148/7 173/4 173/23 133/12 191/13 clarification [1] 91/5 91/5 92/5 92/14 38/18 38/24 69/7 caution [1] 109/16 132/22 characteristics [5] 92/20 95/6 95/22 cautious [1] 41/10 72/5 131/4 147/16 care homes [13] **clarify [2]** 17/25 101/25 102/1 102/3 38/12 38/13 38/17 198/23 215/14 caveat [1] 133/5 145/9 105/24 106/18 108/4 **CCS [3]** 6/8 6/8 10/1 38/21 38/22 39/8 chase [1] 199/11 clarity [1] 60/23 110/13 110/16 110/25 39/16 39/25 40/8 Cecil [6] 1/3 18/14 check [3] 126/11 class [3] 32/13 114/15 115/1 116/2 40/11 69/10 69/12 55/10 90/18 118/12 156/24 201/1 215/24 217/7 116/3 117/7 118/18 94/8 118/25 checking [5] 82/20 clean [1] 34/14 120/17 122/6 122/21 156/25 157/2 158/15 cared [1] 194/5 cent [1] 152/22 cleaning [1] 35/3 122/25 123/17 126/14 central [3] 61/8 163/9 176/20 careful [4] 63/25 clear [31] 29/3 29/5 128/17 133/3 133/14 115/21 115/25 180/9 30/16 41/18 44/12 163/12 **cheer [1]** 28/1 134/24 139/4 142/6 **carefully [9]** 5/13 centrally [1] 163/6 chief [7] 1/24 2/7 55/17 59/12 61/12 142/20 144/13 144/13 6/17 30/2 73/12 76/8 certain [14] 106/11 2/14 4/25 7/10 84/16 61/20 63/14 68/14 150/22 158/19 161/18 92/14 122/5 193/11 141/8 151/15 159/11 68/24 69/1 69/2 70/6 85/12 169/7 170/24 171/1 Chief Scientist [2] 211/25 160/9 166/23 171/1 71/12 76/6 92/19 173/8 173/25 176/23 **caricature** [1] 28/17 177/3 190/16 190/23 84/16 85/12 92/24 97/9 110/1 177/12 179/13 183/17 183/21 183/24 186/17 caring [1] 135/9 197/4 199/12 200/12 121/4 140/13 141/17 children [20] 14/17 Carl [1] 84/20 206/21 52/12 52/13 71/15 169/14 206/21 207/21 189/14 190/14 191/23 carried [8] 57/15 certainly [33] 19/20 71/17 123/13 123/16 209/6 209/11 209/12 192/12 194/14 196/25 134/9 163/3 197/23 19/24 23/25 32/24 127/5 147/11 147/12 214/15 198/8 198/11 199/19 201/8 201/12 204/22 43/12 43/16 51/12 147/21 147/24 148/1 clearer [2] 62/17 199/25 199/25 200/4 208/1 61/14 69/22 72/23 148/11 148/16 170/3 187/25 200/20 205/16 211/1 carry [6] 20/9 133/25 75/19 82/17 91/2 91/6 181/6 188/5 195/16 clearing [1] 210/17 213/3 213/4 216/21 160/23 166/9 196/15 98/25 104/14 116/20 218/25 clearly [10] 40/3 218/10 218/13 218/14 119/22 125/16 133/12 children's [1] 17/24 209/12 44/10 50/6 69/4 71/17 218/20 218/21 carrying [1] 194/25 141/4 142/12 146/8 **choice [2]** 61/21 79/11 100/9 170/7 can't [29] 9/13 18/11 case [35] 13/9 28/21 146/9 151/2 153/16 108/25 203/19 205/3 20/7 25/19 34/3 37/13 32/1 43/12 47/9 62/24 157/17 176/16 187/10 choices [1] 75/10 clinic [1] 174/10 71/4 78/22 96/18 65/19 78/16 78/19 191/7 192/21 192/24 **choked [1]** 33/16 clinical [7] 124/8 106/9 131/13 141/13 139/11 179/2 179/7 78/23 82/13 82/23 216/24 Chris [10] 22/12 38/2 160/16 160/19 162/4 85/3 86/9 86/23 87/15 50/10 67/10 77/15 179/8 181/10 194/7 certificates [2] 192/2 162/5 163/22 168/2 84/19 106/8 111/8 87/18 88/4 88/6 88/7 192/17 clip [1] 141/12 171/8 172/14 172/18 89/16 89/18 91/7 cetera [2] 102/25 112/17 112/24 clips [1] 173/17 173/22 176/15 177/8 130/21 138/1 138/13 144/8 Chris Whitty [5] close [6] 18/25 26/10 178/21 198/2 198/3 152/5 161/7 161/24 **chain [1]** 30/6 22/12 38/2 77/15 85/7 87/19 96/18 202/16 212/23 **chair [11]** 3/7 3/9 5/2 181/25 195/9 204/7 84/19 106/8 96/25 cannot [4] 66/24 207/5 208/4 215/6 5/9 5/10 5/24 6/2 chronologically [2] closed [15] 14/20 166/12 173/24 174/3 11/18 36/24 212/13 14/21 15/22 16/7 cases [15] 78/20 17/6 23/21 capability [1] 36/8 78/24 79/8 80/7 104/2 216/11 chronology [2] 17/17 17/19 18/4 18/9 capacity [26] 36/21 104/6 105/12 105/12 110/25 162/7 18/11 19/2 96/22 chaired [1] 151/22 45/9 45/11 45/14 107/2 108/19 113/13 chairing [1] 84/18 **church [1]** 174/9 116/16 116/19 116/20 46/11 46/22 47/9 circuit [12] 79/20 134/8 147/17 175/8 **chairs [4]** 5/14 5/20 119/8 47/11 47/25 48/3 178/22 80/3 80/5 80/19 104/5 closely [3] 119/24 111/11 111/13 48/18 50/22 51/5 cash [2] 183/8 183/8 challenge [5] 5/8 5/8 105/5 105/11 105/14 142/12 209/23 126/18 128/25 130/18 cast [1] 209/22 105/20 107/15 108/15 closest [1] 59/18 21/3 68/23 173/1 141/24 146/9 146/15 catch [3] 42/2 54/24 closing [3] 19/9 64/3 108/18 challenges [1] 20/21 146/21 151/25 161/11 champions [13] 54/25 circuit breaker [2] 89/21 161/16 162/2 162/5 catching [2] 190/20 170/6 170/17 170/21 104/5 105/5 closure [2] 102/24

C 182/12 214/24 209/16 210/20 157/15 173/8 215/20 142/8 166/14 170/5 comfortable [1] 170/17 170/20 171/6 concerns [12] 40/2 considering [5] closure... [1] 107/20 194/3 171/10 171/16 171/21 122/15 132/19 135/13 50/11 56/3 60/14 closures [1] 18/21 coming [18] 33/15 172/3 172/6 174/4 137/3 141/7 141/24 67/17 155/18 CMO's [1] 38/3 33/20 44/6 45/4 49/2 174/24 174/25 175/5 142/17 160/8 190/5 considers [2] 148/14 **co [12]** 3/7 3/9 5/2 49/2 63/6 70/4 72/1 175/5 179/24 189/15 206/3 209/1 153/1 5/9 5/10 5/14 5/20 77/13 107/4 120/18 189/24 conclusion [3] 1/12 consistency [1] 5/24 6/2 11/18 36/24 120/19 139/10 144/6 community-level [1] 3/11 185/10 130/4 172/15 159/6 163/6 174/10 94/22 conclusions [3] 53/2 consistent [1] co-chair [9] 3/7 3/9 **commute [1]** 166/13 53/3 189/6 commensurate [1] 121/25 5/2 5/9 5/10 5/24 6/2 comorbidities [3] consistent: [1] 97/25 **condition [2]** 186/20 11/18 36/24 108/15 153/23 182/11 198/1 188/13 comment [4] 19/20 co-chairs [2] 5/14 consistent: circuit [1] 20/6 72/10 151/3 comparatively [1] **conducted** [1] 75/17 5/20 85/25 **conduit [3]** 34/20 108/15 commentary [1] co-ordination [1] conspiracy [7] 139/12 comparators [1] 34/20 34/21 172/15 conference [1] 70/21 comments [3] 40/10 19/14 173/19 174/7 174/20 **COBR [1]** 4/6 70/9 144/16 179/15 179/19 194/20 compare [3] 15/2 conferences [1] 70/3 cocooning [2] 93/22 commission [4] 73/2 15/3 87/11 confess [1] 98/13 208/13 98/4 73/4 130/2 143/19 **compared [4]** 45/13 confidence [6] 21/11 constant [1] 77/21 COG [1] 117/21 166/19 186/2 186/23 21/12 30/11 107/8 commissioned [10] constituency [1] COG-UK [1] 117/21 132/5 133/10 137/16 comparison [1] 59/8 107/11 177/10 194/18 cohesion [1] 190/9 137/19 138/3 160/21 comparisons [1] confirm [1] 1/14 constructive [1] 21/3 **cohort [3]** 161/21 164/25 201/1 207/13 11/22 confirmed [1] 201/16 consultation [1] 167/24 198/25 210/14 compelling [1] 48/14 confirming [1] 213/20 coin [1] 194/12 commissioning [6] competency [2] 202/19 consulted [5] 74/2 coincidence [1] 7/25 8/3 8/24 8/25 74/5 74/6 212/19 207/21 209/10 confirms [2] 134/6 198/23 9/20 9/25 185/21 212/20 competent [1] cold [4] 63/11 63/20 commissions [5] 210/22 confronting [1] 42/9 contact [24] 12/5 67/3 81/19 5/15 9/3 9/4 9/7 9/21 competition [1] **confusing [1]** 126/25 12/20 15/5 15/6 15/9 collaboration [1] 193/14 conjunction [1] 3/7 15/16 16/7 17/14 commitment [2] 21/7 140/15 140/23 complaints [1] 208/6 connection [1] 206/2 17/20 18/5 20/17 collaborative [1] committee [2] 3/11 completely [7] 7/20 conscious [3] 150/11 26/23 49/11 51/18 140/24 31/22 55/19 81/9 162/22 183/25 52/6 55/2 63/18 63/22 75/24 collaborators [2] 131/10 136/7 181/9 committees [1] 5/20 **consensus** [7] 5/12 71/21 76/1 81/6 93/8 4/16 21/9 **common [5]** 47/3 completeness [1] 6/17 19/17 67/5 74/8 95/2 107/18 collapse [1] 60/2 119/17 158/7 173/13 178/5 82/20 85/22 contacts [8] 13/15 colleague [4] 21/14 16/15 16/25 17/21 182/12 completes [2] 219/16 consents [1] 10/12 25/11 55/22 58/4 communicate [2] 219/23 consequence [8] 5/1 17/25 18/25 52/4 colleagues [8] 7/21 19/16 30/13 40/21 142/15 145/16 complex [1] 217/12 61/14 20/22 54/8 65/1 67/4 complexities [1] 29/1 48/6 51/20 60/25 communicated [1] contained [1] 59/14 162/11 163/10 176/3 67/8 compliance [2] 61/25 contents [2] 1/14 collection [2] 143/20 communicating [4] 169/20 177/6 consequences [8] 1/15 165/20 26/13 98/21 144/23 13/22 44/24 67/2 context [18] 16/16 complicated [3] collectively [1] 92/7 171/9 105/15 109/1 109/6 37/16 46/20 217/7 22/4 93/11 99/17 college [1] 107/22 communication [11] 184/25 190/15 100/5 130/12 130/21 composition [1] colleges [1] 106/22 119/9 146/2 146/6 131/14 132/21 133/7 92/21 consequential [1] **colour [3]** 191/18 146/12 148/6 150/9 134/12 136/20 136/24 comprehensively [1] 44/6 191/20 200/18 170/4 170/15 173/3 152/2 152/20 159/22 199/15 consider [15] 5/19 column [1] 87/21 con [1] 51/6 179/14 180/1 23/22 24/5 31/25 164/1 170/23 combinations [2] 48/17 71/9 75/8 83/17 communications [12] conceivably [1] **Contingencies [2]** 48/17 48/20 11/8 129/4 143/23 44/11 104/24 113/7 113/16 6/9 9/5 combined [1] 103/1 143/17 155/13 168/22 contingent [1] 144/5 144/19 146/24 concept [1] 13/3 come [27] 4/21 5/16 147/4 166/1 166/4 concepts [2] 21/25 175/18 122/11 14/9 14/9 16/17 31/9 171/5 177/16 178/1 continue [6] 49/11 24/15 considerably [1] 49/11 57/20 61/17 communities [13] concern [11] 41/11 153/1 76/13 156/6 157/9 65/8 65/22 80/15 152/4 152/14 170/5 41/12 51/13 131/18 157/23 166/3 consideration [3] 90/19 93/8 113/21 171/11 171/23 172/4 135/20 140/20 154/4 120/16 123/10 148/21 **continued [4]** 45/7 116/5 119/21 131/11 172/5 173/5 177/3 165/17 203/23 204/25 considerations [6] 57/9 168/5 169/4 174/15 181/5 183/19 56/6 56/7 95/21 95/21 continues [2] 85/21 178/10 179/24 180/25 206/17 192/1 194/19 213/9 181/3 concerned [16] 2/11 154/16 168/24 103/19 213/10 216/21 219/20 40/7 40/23 44/3 44/5 community [29] considered [13] **continuity [1]** 130/4 comes [9] 19/25 50/12 52/18 65/2 68/1 contrast [1] 120/8 43/23 68/5 68/6 69/9 118/12 160/13 179/22 21/14 23/12 28/16 85/21 91/14 93/11 191/16 194/17 208/5 92/11 92/14 94/16 contribute [1] 123/17 97/8 116/24 160/24 93/14 94/22 124/4 208/20 209/14 209/16 107/13 119/4 143/11 contributing [2]

54/18 165/12 165/19 C 49/8 49/9 50/12 54/23 4/7 4/8 4/11 4/13 6/10 43/22 54/24 59/25 60/2 6/11 24/12 32/19 38/7 **CSAs [1]** 43/13 193/2 202/2 contributing... [2] date [5] 16/22 36/13 60/18 60/20 63/1 40/18 40/21 60/21 **culling [1]** 179/17 179/1 207/15 68/17 68/17 68/18 62/25 66/10 66/15 **cultural [3]** 99/4 55/1 168/22 202/16 contribution [1] 70/17 70/17 72/16 72/5 76/2 76/6 76/7 207/21 209/10 dated [5] 1/10 74/25 106/13 74/22 75/13 77/25 78/10 78/20 84/6 **culturally [1]** 210/21 126/9 154/21 187/20 contributions [1] 79/14 79/22 79/25 86/11 86/13 88/16 dates [7] 12/16 34/4 **culture [2]** 24/18 207/16 70/23 70/25 122/10 81/13 96/17 96/20 88/18 90/15 90/15 25/5 control [10] 13/7 96/22 96/23 97/2 96/2 96/11 97/23 122/11 206/10 cultures [1] 25/4 13/8 23/5 29/13 60/4 106/21 109/10 117/10 **Cumming [1]** 29/18 day [23] 4/19 5/7 98/12 105/11 106/23 65/20 73/3 92/7 108/10 109/12 113/1 119/23 128/19 128/24 cure [2] 197/12 198/2 31/24 34/7 42/8 43/4 178/21 178/21 113/20 117/15 117/16 132/13 133/2 134/6 **current [4]** 47/9 89/9 44/13 50/13 52/8 controls [3] 22/2 134/18 140/1 141/10 117/23 118/10 120/6 102/6 143/7 53/15 60/8 60/9 60/10 22/17 109/20 126/5 134/23 138/21 143/10 145/4 147/5 60/15 61/10 62/12 **currently [6]** 1/23 controversial [2] 151/20 152/6 152/20 106/23 127/18 127/19 139/6 139/19 139/25 68/19 106/17 112/15 141/1 189/21 140/4 140/16 140/22 157/10 157/18 166/11 129/20 163/5 113/6 159/25 159/25 conversation [3] 142/15 142/21 144/15 168/12 175/15 176/7 cut [3] 184/19 185/6 212/17 8/11 59/9 67/24 144/18 145/24 146/16 185/24 186/4 186/5 199/11 Day 20 [1] 212/17 conversations [4] 152/1 152/18 155/5 186/11 186/15 186/18 days [23] 22/22 48/8 51/7 94/2 215/1 155/10 155/21 155/23 186/23 187/2 187/14 22/25 23/6 42/7 50/10 cope [1] 48/1 daily [1] 144/17 188/2 188/17 189/14 157/14 159/15 161/25 51/25 52/23 53/2 53/3 copied [1] 27/11 damaging [4] 23/10 201/14 206/20 208/23 162/13 164/10 166/7 53/4 53/10 53/13 copy [1] 203/16 79/7 80/21 93/4 167/10 171/11 182/17 216/8 56/15 56/21 57/11 core [2] 186/10 182/25 183/3 184/9 Covid Taskforce [1] **Dame [3]** 1/5 1/6 89/19 89/20 102/23 196/12 187/24 189/5 198/2 221/3 106/23 118/1 137/1 119/23 core participants [1] data [107] 14/16 COVID' [1] 76/1 208/7 208/8 208/9 207/1 220/2 186/10 209/10 214/23 216/10 Covid-19 [31] 2/12 16/12 20/24 32/20 days' [1] 112/16 corner [2] 126/14 32/20 32/23 32/23 24/12 32/19 40/18 couldn't [4] 28/25 **DCMOs [1]** 43/21 145/20 33/15 33/17 33/20 79/10 109/6 178/21 40/21 72/5 84/6 96/2 deal [24] 12/3 19/19 cornerstones [1] 33/25 34/11 34/13 96/11 97/23 106/21 32/21 40/14 59/16 counsel [7] 1/7 178/3 125/24 197/1 213/2 34/14 34/18 34/21 128/19 132/13 133/2 65/2 82/2 83/16 84/25 coronavirus [4] 35/2 35/3 35/8 35/14 218/8 221/5 221/9 134/6 134/18 140/1 85/2 108/19 110/22 152/10 152/17 152/23 35/20 36/8 36/10 141/10 143/10 145/4 112/3 129/9 135/18 counterfactual [1] 152/25 157/10 157/18 168/12 36/10 36/16 36/18 113/14 136/24 139/20 161/11 coronaviruses [1] 36/21 37/2 37/5 37/7 counterparts [2] 175/15 176/7 185/24 170/18 173/9 180/15 96/5 37/11 37/12 37/18 152/5 152/12 188/2 188/17 201/14 190/4 208/18 215/8 correct [22] 2/16 5/3 38/12 38/13 38/14 counterproductive 206/20 208/23 dealing [16] 14/13 19/4 29/23 31/3 35/24 38/15 38/16 38/17 **[1]** 190/11 Covid-19 Taskforce 39/1 39/14 56/11 67/7 74/10 108/24 39/1 47/18 50/19 **countries [6]** 55/22 **[4]** 4/11 4/13 6/10 71/25 93/18 102/8 110/3 124/5 124/7 50/21 51/20 51/24 55/23 118/9 124/17 6/11 128/4 142/25 146/18 132/11 141/14 153/11 52/8 52/21 52/22 124/22 174/15 Covid-O [5] 4/7 4/8 151/12 161/18 164/5 153/15 157/16 159/12 52/24 53/7 54/12 country [13] 106/21 128/24 152/20 166/11 170/15 194/23 217/9 166/24 175/13 204/2 54/14 70/25 91/14 109/25 110/9 110/10 deals [2] 74/25 Covid-Os [1] 147/5 92/20 92/22 92/23 110/11 117/12 117/21 Covid-S [7] 4/7 62/25 102/23 **corrected** [1] 132/16 118/4 118/6 122/10 124/18 124/19 171/1 78/20 86/13 88/16 dealt [8] 16/18 98/3 correlated [1] 182/10 122/11 132/16 138/14 147/9 147/10 151/16 173/2 181/4 181/7 88/18 109/10 correlation [1] 138/24 139/16 139/25 **county [1]** 47/19 **CP [1]** 203/19 198/21 199/16 211/6 169/23 143/18 143/20 143/21 couple [3] 94/16 cracks [1] 26/17 death [11] 96/9 97/23 correlations [1] 145/24 152/7 164/6 137/1 190/3 create [2] 173/9 134/17 143/10 152/14 56/23 164/6 165/8 165/8 153/8 168/16 172/11 course [28] 3/22 4/2 183/20 correspondence [2] 165/17 165/20 165/20 14/13 21/23 36/17 created [2] 36/7 185/24 192/2 192/17 111/22 111/23 165/21 172/9 172/11 37/1 37/24 39/14 40/4 137/25 deaths [16] 38/7 43/7 cost [4] 95/16 97/9 54/1 56/3 63/5 65/23 175/17 176/22 177/14 45/3 50/7 60/17 66/10 creating [2] 148/9 184/20 184/25 178/1 185/17 185/19 66/17 70/2 72/14 66/15 66/25 102/20 216/1 costs [4] 55/21 185/21 191/22 191/23 73/25 89/24 93/14 creation [1] 28/12 103/21 103/23 104/8 116/15 116/15 196/3 194/9 199/23 200/1 100/15 110/24 118/13 creep [1] 210/1 105/19 105/21 120/25 could [110] 8/7 8/7 200/1 200/3 200/8 118/20 120/9 120/16 185/19 critic [1] 95/11 10/5 10/5 11/24 12/7 critical [5] 20/5 54/16 200/8 200/8 200/10 149/22 151/2 154/17 debate [1] 71/16 12/24 15/6 15/9 16/11 204/10 204/20 208/22 December [11] 4/18 cover [2] 4/2 131/2 54/17 76/16 206/23 17/11 20/14 22/23 213/3 213/6 213/7 **covered [3]** 149/4 critically [1] 99/15 77/21 116/25 119/2 23/2 27/4 28/14 28/21 216/21 217/7 119/3 119/11 119/14 218/7 219/12 **criticise** [1] 21/9 29/9 29/24 31/15 32/9 criticism [1] 92/10 dataset [2] 165/10 covering [1] 206/18 176/4 187/20 187/22 34/13 34/16 35/6 165/18 **covert [1]** 195/8 **cross [1]** 73/4 214/2 37/10 40/6 41/23 42/2 CSA [3] 30/20 43/22 datasets [6] 37/10 Covid [73] 2/12 4/7 December 2020 [1]

122/1 124/6 128/17 D deliver [3] 25/22 26/7 despatch [1] 184/17 26/15 36/18 144/12 despatch box [1] 130/19 131/2 131/12 difficulty [2] 21/24 December 2020... [1] **Deliverability** [1] 184/17 133/5 133/13 136/5 78/7 176/4 desperately [1] 122/24 138/12 141/22 144/25 digitally [1] 174/5 **December 2021 [2]** delivered [2] 172/4 182/15 145/16 147/12 149/4 direct [3] 27/16 187/22 214/2 193/10 despite [2] 137/11 150/8 164/9 165/4 131/22 168/12 decided [1] 171/2 165/5 167/19 177/4 delivery [2] 172/1 directly [3] 25/7 137/11 deciding [2] 201/23 detail [11] 4/20 6/24 177/5 184/19 184/22 178/3 143/9 184/23 203/2 26/5 39/14 120/14 193/8 193/10 193/13 director [2] 24/11 demographics [2] decision [38] 8/13 124/25 128/23 129/12 200/23 211/13 194/1 197/13 199/13 209/9 10/11 10/25 13/2 directorate [4] 160/16 160/18 167/16 200/25 202/3 202/15 demonstrate [1] 19/22 21/22 23/11 49/19 207/17 209/6 209/19 129/20 130/7 153/20 detailed [1] 117/13 23/12 23/24 24/24 demoralise [1] details [1] 25/23 210/9 210/15 214/20 214/4 28/11 28/23 31/24 didn't [44] 9/5 21/16 159/19 detected [1] 115/7 directors [4] 162/17 42/8 44/17 53/5 59/10 densely [1] 188/6 deter [1] 179/20 26/24 29/23 45/20 163/4 163/16 163/16 61/23 62/15 63/2 densely-populated develop [1] 157/10 50/16 54/12 60/10 disabilities [2] 212/3 63/13 65/4 66/9 67/2 **[1]** 188/6 73/17 90/16 90/16 **developed [5]** 59/25 214/21 69/13 72/6 99/24 department [21] 9/22 124/16 124/17 124/17 99/1 101/11 105/3 **disability [16]** 149/5 108/22 108/22 109/17 95/9 95/10 127/17 124/22 110/16 112/25 113/9 149/5 149/10 188/11 113/2 116/13 124/4 129/18 129/19 129/21 developing [3] 23/17 113/12 125/18 133/11 188/12 197/18 198/20 124/9 124/9 141/16 133/12 136/6 137/19 199/6 212/1 214/5 130/1 130/24 145/1 124/16 124/19 213/18 216/8 158/2 160/11 163/14 development [3] 137/22 144/18 150/4 214/13 214/14 214/21 decision-makers [14] 164/17 164/17 172/4 7/23 97/10 210/21 150/5 153/14 164/14 214/21 215/3 215/25 10/11 10/25 13/2 206/13 207/13 211/6 developments [1] 180/16 180/16 180/23 Disability Unit [1] 19/22 24/24 28/11 211/16 219/4 191/8 203/7 204/13 214/21 11/7 44/17 63/13 65/4 66/9 204/20 209/22 210/11 disabled [24] 148/19 departmental [4] devil [1] 66/18 67/2 72/6 99/24 143/22 162/10 172/15 210/12 210/14 214/6 148/22 148/22 148/24 devise [1] 184/18 109/17 176/18 devising [1] 6/12 214/6 215/13 215/16 149/13 149/19 151/24 decision-making [4] departments [22] devolved [12] 35/14 die [7] 41/19 44/9 152/21 152/23 152/24 21/22 23/24 108/22 29/20 43/13 131/9 36/5 36/20 145/6 152/10 152/12 152/25 153/5 153/8 153/13 216/8 143/9 156/9 157/9 145/12 145/16 145/21 186/4 186/5 154/5 154/9 154/13 decision-takers [6] 157/23 158/17 159/2 145/24 146/6 146/17 died [7] 102/18 149/9 154/17 155/19 211/23 28/23 42/8 59/10 159/5 159/15 159/23 147/1 147/9 152/22 194/21 200/17 212/14 213/19 214/23 62/15 63/2 113/2 161/6 164/10 164/23 **DHSC [6]** 32/10 201/4 208/23 215/2 215/4 decisions [4] 44/2 disadvantage [1] 175/15 175/17 176/4 32/12 131/9 133/10 **difference [14]** 15/8 44/6 99/19 121/25 176/13 182/8 195/6 154/16 163/15 18/22 25/8 26/4 54/12 216/3 decisive [3] 87/2 219/3 diabetes [4] 149/7 63/19 64/1 86/2 122/1 disaggregate [1] 87/6 140/24 dependent [1] 70/7 188/14 198/3 212/5 153/2 154/3 168/14 213/2 **decisively [1]** 105/2 depending [1] diagnosis [1] 134/17 169/25 215/19 disaggregated [1] decrease [1] 113/12 178/23 **Diamond [2]** 38/4 differences [2] 24/18 | 217/20 deductions [1] depends [3] 12/12 38/10 92/8 disaggregation [2] 191/20 18/18 197/7 diary [2] 150/7 different [47] 9/11 216/14 216/21 deep [2] 58/23 12/16 15/14 15/15 deployment [4] 150/13 disagree [6] 25/23 140/20 120/23 121/9 122/13 96/19 141/3 154/7 diaspora [1] 170/5 18/19 25/3 26/11 defence [6] 2/8 4/25 178/2 26/13 27/14 28/11 180/8 216/9 dichotomy [1] 66/7 30/2 34/9 34/9 72/9 **Dictionary [1]** 215/21 29/2 29/9 38/1 38/24 deployments [1] disagreement [1] define [1] 66/14 did [98] 3/17 4/6 6/18 41/7 63/17 63/18 189/12 25/6 defines [1] 215/22 deprivation [6] 7/22 7/24 8/3 9/17 80/10 91/25 92/15 disastrous [2] definitely [1] 184/16 134/13 169/2 183/16 9/21 10/17 11/2 11/3 92/25 93/19 104/19 104/10 104/15 definition [1] 207/21 188/7 188/8 197/25 21/10 21/11 26/15 117/24 123/3 128/10 disbelief [2] 72/11 definitional [1] deprived [4] 134/16 32/6 33/23 34/3 34/10 135/1 136/1 136/3 72/17 215/21 134/18 134/19 134/20 35/25 36/1 36/5 36/9 136/3 136/8 165/11 disclosed [1] 138/17 degree [1] 90/6 36/11 36/17 38/22 169/18 171/22 173/3 **Deputy [1]** 2/14 **disclosure** [1] 34/17 degrees [1] 12/4 39/7 40/4 41/17 44/3 173/4 173/4 173/16 describe [10] 25/3 discouraged [1] delay [12] 29/14 73/14 78/2 78/4 117/4 45/17 50/15 52/7 176/13 191/9 210/16 43/10 79/25 99/17 99/17 128/5 129/15 146/5 52/21 55/23 59/21 211/9 211/9 211/13 discourse [1] 11/3 100/1 104/6 104/22 157/2 189/9 61/12 62/24 64/9 216/16 216/16 217/24 discovered [5] 148/1 108/21 108/22 116/13 described [7] 6/13 68/23 69/8 69/12 differently [1] 180/21 148/4 149/7 192/3 116/13 137/12 69/23 71/8 71/18 45/19 80/21 109/3 difficult [15] 23/8 211/11 delayed [1] 122/15 109/15 116/7 219/7 85/17 91/23 96/25 25/25 36/2 36/3 38/19 discrimination [10] delays [2] 56/12 describes [4] 168/14 97/3 99/1 100/14 60/13 62/3 62/4 62/7 131/5 136/16 147/17 65/17177/25 186/15 186/16 102/10 104/12 104/24 63/22 76/3 78/7 86/14 169/16 191/3 191/6 delegated [1] 38/24 106/7 106/13 110/7 90/10 99/20 description [1] 96/15 197/16 197/19 197/21 **deliberate** [1] 138/2 110/13 110/13 117/10 difficulties [3] 10/7 designed [1] 29/7 216/2

14/24 17/10 20/7 10/16 10/18 62/10 197/12 199/9 200/8 185/2 D 20/14 21/10 22/18 65/10 139/9 159/17 204/18 205/7 205/14 dreadful [1] 92/2 discuss [6] 4/21 83/5 24/4 25/10 25/25 162/22 193/21 193/24 205/21 208/12 209/12 drew [2] 20/10 185/4 118/18 198/18 201/23 26/23 28/19 30/11 198/15 211/4 212/17 212/20 drive [1] 135/10 203/1 213/11 213/14 213/22 driven [2] 37/14 31/10 31/17 32/5 32/8 does [17] 6/8 33/8 discussed [11] 42/18 35/4 36/3 40/6 46/12 49/6 56/18 82/12 89/4 215/5 215/7 215/8 65/18 45/10 50/20 58/13 58/21 58/23 59/6 60/6 121/18 122/10 123/18 215/10 217/18 218/3 driver [2] 71/14 75/14 107/5 107/9 60/22 62/13 63/22 125/13 136/11 145/2 219/5 71/16 108/25 115/5 137/8 65/6 65/11 65/14 150/21 158/5 178/18 done [59] 6/6 7/13 drivers [4] 71/9 166/1 65/15 66/10 68/17 190/24 194/16 7/17 21/7 21/18 30/22 183/1 183/3 183/4 discussing [6] 66/4 68/18 68/21 71/2 72/4 doesn't [10] 15/7 31/15 35/6 38/1 40/8 driving [8] 14/5 37/8 67/19 129/1 152/3 73/7 73/16 74/11 23/6 31/10 68/21 73/1 78/22 91/22 68/4 68/6 69/8 69/14 185/15 185/16 75/25 76/8 77/18 78/3 138/7 154/8 172/1 112/8 113/15 113/19 69/17 70/18 discussion [9] 6/18 78/3 78/11 78/13 198/5 201/4 208/12 116/11 119/25 122/6 drop [1] 72/16 46/15 50/25 51/3 78/14 79/5 79/12 80/6 doing [45] 17/24 129/12 139/2 146/16 dropped [1] 105/12 68/16 84/7 101/2 80/17 80/19 83/17 30/19 31/25 33/24 147/3 150/10 156/17 drowning [1] 33/17 214/19 215/5 85/5 86/13 87/10 48/9 49/23 54/9 60/21 157/16 157/20 158/2 drug [1] 64/15 discussions [1] 8/8 87/22 88/14 88/14 68/20 80/14 93/9 158/18 159/10 159/21 drugs [1] 59/2 disease [12] 28/24 91/24 92/13 99/1 109/7 144/10 144/24 169/10 171/24 171/25 **DSIT [1]** 195/6 40/25 58/24 88/10 145/17 145/25 146/11 99/19 99/20 100/10 175/23 180/6 184/17 **DSTL** [1] 34/7 96/8 140/22 147/24 100/23 100/24 100/25 146/25 147/1 147/8 186/14 187/2 187/8 due [9] 4/2 14/13 148/3 149/9 161/19 100/25 101/1 101/4 148/12 151/14 153/16 188/18 192/3 193/11 21/23 39/14 63/5 199/4 201/11 101/4 101/13 101/22 153/23 154/25 156/19 195/5 195/8 195/10 65/23 110/24 120/16 diseases [1] 96/4 106/5 106/15 109/12 159/15 159/22 160/14 195/11 195/21 196/5 169/16 disparities [25] 37/5 109/18 112/14 113/7 161/8 161/15 161/17 197/13 198/1 200/6 **Duffy [1]** 30/7 130/3 132/13 133/1 113/16 113/24 115/21 161/25 163/11 163/17 201/24 203/2 204/10 duplicate [2] 144/9 133/11 133/14 133/15 121/1 123/17 125/25 163/25 176/13 176/21 205/9 211/10 215/3 212/2 133/17 135/1 143/10 130/25 131/7 131/8 179/18 179/20 194/3 217/19 duplicating [2] 149/3 145/4 147/13 156/7 133/6 139/18 140/5 210/10 214/11 217/2 door [1] 23/4 164/1 157/11 157/18 175/15 141/21 141/21 144/25 217/17 dotted [6] 46/14 duplication [2] 198/18 199/14 200/2 145/22 146/10 155/2 46/15 46/17 47/21 domain [2] 31/23 153/18 212/8 200/15 201/14 202/1 161/5 162/1 164/3 84/14 86/20 104/7 during [20] 5/24 202/11 203/4 206/20 167/23 171/4 171/9 domestic [4] 218/19 20/22 21/24 25/9 double [2] 22/7 disparity [4] 155/11 172/8 173/25 176/23 218/24 219/1 219/6 134/19 26/15 27/6 57/4 85/18 158/17 159/7 168/4 178/20 180/2 180/13 don't [114] 4/3 8/10 doubling [5] 22/8 95/13 128/4 146/21 displayed [1] 90/22 180/23 181/10 185/10 12/10 15/1 18/10 22/9 45/21 102/22 161/12 168/13 178/4 disproportionate [12] 187/12 190/12 190/13 18/12 20/11 21/15 106/23 185/25 190/5 196/6 135/14 140/22 194/13 197/7 198/2 24/6 25/20 30/25 31/4 down [40] 1/20 5/14 215/16 216/8 218/24 147/18 147/20 153/4 36/23 37/13 37/25 8/16 12/21 15/12 198/7 199/22 201/21 duties [1] 190/8 155/14 168/23 179/1 16/25 17/1 17/9 24/20 dying [5] 161/23 202/25 203/23 209/13 46/23 46/25 56/1 59/2 179/15 180/5 192/22 210/8 210/12 211/7 59/4 61/8 65/8 69/11 27/9 33/6 34/6 35/13 188/16 199/4 201/5 208/19 211/15 216/5 216/13 74/13 75/19 80/15 45/16 46/14 46/15 209/15 disproportionately 216/20 216/23 217/15 81/22 83/19 86/5 48/15 53/24 57/20 **[11]** 129/1 155/18 90/18 91/23 92/19 66/4 66/6 72/3 79/8 doctor [1] 209/17 160/9 169/13 180/22 each [9] 15/18 27/15 doctors [8] 200/17 96/18 98/13 98/14 80/7 81/22 81/22 182/10 182/19 190/21 50/7 60/8 60/9 60/10 200/18 201/3 208/23 98/24 100/21 101/14 85/14 85/21 91/10 190/22 197/4 200/23 60/15 98/7 128/22 209/15 209/15 209/17 101/16 106/1 106/3 92/5 97/7 99/25 112/1 disseminate [2] earlier [28] 1/11 6/1 211/1 112/24 113/11 113/23 120/21 125/25 134/5 201/23 203/1 19/6 20/8 48/13 50/20 document [30] 31/12 120/6 120/11 120/14 140/17 190/10 195/19 distance [1] 48/3 47/7 50/13 51/10 54/11 54/13 55/4 124/24 125/14 132/17 202/7 distanced [1] 174/7 56/21 80/11 91/1 92/6 62/10 63/16 63/25 141/3 142/6 144/9 **Downing [1]** 70/3 distancing [3] 74/25 93/15 93/23 94/3 64/4 67/11 84/24 144/11 145/21 147/2 **Downing Street [1]** 97/25 169/21 94/24 100/5 103/3 84/24 85/11 86/5 147/2 151/3 153/10 70/3 distinct [1] 6/3 113/18 121/15 149/2 90/23 90/24 95/15 154/2 156/16 156/21 downside [1] 97/7 distinction [1] 19/7 171/24 182/1 192/1 95/23 97/4 120/11 158/1 159/18 159/25 **Dr [1]** 101/18 distribution [1] 192/23 206/1 207/11 121/5 121/14 122/4 161/14 161/24 162/9 **Dr Tegnell [1]** 101/18 124/21 122/9 123/7 123/11 163/23 164/1 165/14 earliest [2] 11/25 drafted [1] 144/15 distrust [1] 137/25 78/13 135/10 138/8 152/2 165/18 167/22 171/25 draw [8] 11/23 21/12 disturbing [1] 194/22 24/7 155/2 185/7 early [26] 8/4 8/25 164/9 205/4 173/8 174/15 176/8 **DIT [1]** 129/25 185/12 185/15 206/15 9/1 13/20 13/25 16/18 181/2 181/5 182/22 documentation [3] diverse [1] 175/7 23/25 29/12 35/25 29/4 30/24 150/16 183/25 190/10 191/4 drawing [3] 46/4 46/5 diversity [1] 173/2 40/4 40/10 57/11 72/8 documented [1] 191/6 192/18 192/19 163/8 do [143] 5/19 6/6 8/3 78/20 89/11 113/17 194/22 194/24 195/2 213/18 drawings [1] 48/10 8/7 8/7 9/13 9/13 10/5 118/1 124/3 147/22 documents [10] 195/7 195/7 197/12 drawn [2] 47/19

143/5 152/16 89/4 96/24 97/4 186/24 187/3 188/3 Ε epidemiology [7] 7/3 eight days [1] 102/23 100/23 114/16 143/13 8/15 47/10 89/15 95/8 188/21 189/2 189/10 early... [7] 168/14 either [8] 28/19 59/2 177/5 209/6 102/12 123/6 189/18 190/1 190/6 168/15 200/16 200/21 67/2 90/14 98/16 ended [7] 6/15 58/18 equalisation [1] 190/18 191/17 194/7 208/21 208/22 208/23 106/7 180/16 213/22 59/8 69/13 90/14 167/25 196/24 201/4 201/9 earning [2] 183/19 elderly [5] 13/23 142/14 191/11 201/19 202/23 208/19 equalities [27] 185/5 124/22 147/21 148/3 126/18 127/8 127/22 214/23 215/3 215/11 ending [1] 22/19 earth [1] 51/2 154/13 endorsed [1] 30/15 128/3 128/6 128/9 ethnically [1] 175/7 easier [2] 18/24 65/2 elected [2] 99/18 ends [2] 60/2 104/9 128/25 129/14 129/23 ethnicities [4] 136/1 easily [1] 23/3 130/18 149/15 149/17 152/15 191/9 211/9 127/1 engage [2] 63/13 easing [3] 120/19 electrifying [5] 98/12 63/14 151/25 153/13 153/20 ethnicity [12] 37/2 161/2 184/22 98/13 98/23 98/24 158/2 164/21 165/2 37/4 37/6 143/13 engagement [8] east [2] 117/17 125/19 140/19 143/21 143/22 165/2 178/3 182/5 155/8 184/6 191/1 117/19 174/25 177/15 178/2 182/7 211/4 212/21 191/22 192/2 192/16 electrifyingly [1] easy [6] 14/23 31/6 100/9 178/9 209/7 218/22 219/10 219/11 198/19 214/12 139/18 150/12 156/21 elephant [1] 33/8 engineering [1] **equality [15]** 130/5 **evaluation** [2] 114/2 207/20 130/22 130/23 130/25 115/11 eleven [1] 22/22 144/7 Eat [2] 73/25 75/18 England [12] 35/20 131/2 131/3 145/2 even [30] 23/4 32/11 eleven days [1] economic [11] 31/20 114/14 114/19 131/16 147/15 149/25 158/3 41/9 41/24 42/4 57/16 22/22 31/25 55/25 71/13 132/6 145/5 145/11 172/1 184/16 190/8 60/15 85/23 86/1 93/1 eliminate [1] 96/11 97/9 116/15 128/12 145/14 145/15 156/4 190/25 191/2 120/14 124/23 125/18 elimination [6] 67/18 181/11 195/12 195/14 130/22 136/12 139/12 68/9 68/22 68/25 182/3 206/2 Equality Act [4] 195/18 69/19 69/21 England's [1] 201/14 131/3 147/15 190/8 152/10 152/23 161/19 economically [1] **eloquently [1]** 22/11 English [3] 172/12 191/2 164/16 171/7 173/8 183/18 else [9] 51/8 62/9 174/6 215/21 179/18 185/4 187/22 **Equality Hub [7]** economics [1] 56/7 192/1 194/18 195/19 62/13 92/18 104/18 130/5 130/22 130/23 enormous [3] 53/22 economy [1] 74/23 150/2 156/20 157/25 195/20 208/10 53/23 104/2 145/2 158/3 172/1 **Edmunds [5]** 40/22 179/5 enough [26] 30/19 184/16 event [5] 41/1 42/19 45/10 47/17 84/19 **Elsewhere [1]** 28/5 36/16 37/11 40/7 52/9 equally [1] 170/1 84/14 157/4 157/6 123/15 52/16 52/21 53/4 53/8 err [1] 100/4 elves [1] 34/6 events [1] 120/8 Edmunds' [2] 102/4 email [15] 27/4 27/9 53/11 53/12 54/13 errors [2] 30/10 32/6 **eventual** [1] 119/5 104/11 27/11 30/6 32/5 33/5 55/1 57/13 57/22 **escalate [1]** 115/8 eventually [3] 14/6 educated [1] 173/19 33/10 35/8 35/9 35/13 63/22 79/11 90/12 **escalated** [1] 206/12 80/17 174/2 educational [2] 35/17 45/25 66/2 66/5 93/3 94/25 101/11 **especially [9]** 71/21 ever [9] 36/17 50/10 103/12 104/16 101/25 113/17 150/3 67/23 132/2 138/25 146/23 60/23 61/8 69/21 effect [3] 85/25 104/5 emailed [1] 111/8 161/16 188/24 169/15 174/19 177/3 70/10 73/17 161/16 106/22 emails [1] 16/22 ensure [5] 118/18 187/10 206/23 192/20 effective [8] 108/11 154/10 156/4 201/21 **espoused** [1] 95/14 every [10] 4/19 26/5 emerged [1] 69/25 120/24 156/10 159/3 **emerging [2]** 165/16 202/24 essay [1] 84/9 62/12 102/22 117/12 159/9 159/11 176/21 130/24 145/19 145/23 186/15 enter [1] 119/6 **essential [4]** 51/18 180/14 **emollient** [1] 26/2 entire [1] 13/21 55/2 103/14 166/14 158/2 205/16 effectively [34] 9/24 **emotional** [1] 180/15 entirely [2] 24/14 **essentially [2]** 34/23 everybody [13] 17/22 10/24 11/2 13/5 36/19 55/19 17/24 42/2 54/24 emphasised [2] 47/19 48/1 49/19 135/18 157/22 entirety [1] 154/1 **establish** [3] 159/3 54/25 57/10 63/23 59/24 61/3 62/21 envelope [1] 111/16 162/11 176/4 76/7 92/18 155/10 emphasising [1] 70/25 75/3 76/6 80/9 161/5 185/11 220/5 epi [2] 10/23 32/3 established [1] 187/11 81/23 88/15 88/19 employed [1] 184/7 epi modelling [1] everyday [1] 12/21 176/12 89/22 90/4 91/17 employees [1] 10/23 establishing [1] 90/6 **everyone [3]** 51/18 91/19 94/1 94/11 **estimates [1]** 70/13 201/18 epi-macro [1] 32/3 139/5 191/1 99/11 112/20 124/12 employers [1] 202/22 epidemic [17] 32/15 estimation [1] 37/8 everything [11] 21/5 130/14 158/15 159/20 empty [3] 53/21 41/25 54/22 63/20 et [2] 102/25 144/8 25/17 26/9 31/23 170/2 172/2 217/3 53/24 58/19 68/5 68/6 69/22 79/6 et cetera [2] 102/25 159/22 160/22 161/9 217/11 79/25 81/19 96/24 161/17 164/14 167/20 enable [4] 70/11 144/8 effectiveness [2] 159/6 175/7 178/9 102/18 102/22 108/13 ethnic [52] 129/7 184/20 76/2 143/7 114/21 115/17 140/21 enabling [1] 178/13 130/3 132/2 135/6 **everywhere [4]** 76/10 efficacy [3] 12/5 95/3 epidemics [2] 39/11 135/7 135/16 135/24 104/18 115/2 171/8 encapsulate [1] 178/20 evidence [45] 5/6 5/6 153/5 154/18 155/15 153/14 69/7 effort [2] 63/7 180/3 encouraged [1] 43/9 156/6 162/18 166/16 30/4 32/22 38/8 39/5 epidemiological [4] efforts [6] 140/13 10/21 32/7 95/18 166/23 167/15 167/17 39/6 43/2 48/14 55/21 encouraging [2] 149/3 175/11 175/16 176/4 179/6 105/9 168/13 174/13 175/21 57/1 67/14 70/6 85/25 179/24 200/2 177/24 179/1 179/16 end [19] 4/12 12/18 epidemiologically [1] 91/2 93/21 107/9 **egregious [2]** 30/10 23/3 33/11 35/17 102/6 180/4 180/24 181/2 113/1 120/5 120/15 32/6 49/25 64/19 65/8 epidemiologists [1] 181/5 181/7 181/16 122/7 122/18 125/15 eight [3] 102/23 68/19 69/12 86/17 217/22 182/9 185/22 186/22 126/16 129/6 129/6

167/9 167/13 171/21 Ε exit [3] 58/2 58/11 23/13 45/19 65/15 fail [1] 88/5 71/10 65/16 107/2 failed [2] 119/18 177/12 evidence... [19] expand [1] 117/7 exponentially [4] 201/16 February 2020 [6] 148/18 148/25 154/9 **expanding** [1] 131/8 22/14 22/15 89/11 failing [2] 110/6 126/19 127/5 127/6 156/6 156/25 157/2 **expands [1]** 87/5 104/3 110/8 127/12 130/14 131/15 157/11 175/17 177/14 **exposed [5]** 141/9 **expect [6]** 39/7 42/3 failure [1] 23/11 February 2021 [3] 182/8 197/23 198/7 61/7 89/17 97/2 157/3 183/1 183/2 183/6 167/9 171/21 177/12 fair [12] 10/9 33/2 200/19 201/22 203/1 expectancy [1] 187/13 33/3 51/13 83/24 February 2022 [2] 206/1 211/25 212/12 **exposure** [5] 169/2 120/1 129/14 138/7 126/20 126/22 131/16 219/24 170/3 187/12 187/13 143/2 166/5 168/6 expectations [1] Federation [1] evidence-checking 193/9 200/24 178/11 196/24 **[1]** 156/25 fairly [3] 95/11 122/9 **expected [9]** 18/23 express [5] 60/11 feed [1] 43/18 **evidenced** [1] 203/5 19/8 22/21 39/12 60/23 106/8 132/21 200/21 feedback [2] 71/8 evident [1] 202/2 50/20 53/12 78/21 206/17 135/22 fairness [3] 52/10 evolved [1] 59/17 148/10 148/11 expressed [7] 42/23 98/2 182/22 feeding [1] 173/12 exact [3] 34/4 167/22 **expecting [1]** 91/21 65/9 100/12 100/13 fall [3] 110/13 148/21 | feeds [1] 37/19 202/16 105/24 137/3 141/8 feel [13] 31/4 41/17 **expedited** [1] 99/25 154/18 **exactly [15]** 13/6 experience [6] 7/19 expresses [1] 119/12 fallen [2] 57/16 57/18 44/8 57/21 68/23 17/4 34/24 50/3 68/19 32/8 36/17 42/22 90/9 expressing [4] 27/16 false [1] 85/18 137/18 139/5 142/5 71/2 71/4 131/13 165/5 185/8 200/10 173/12 28/3 55/15 85/4 familiar [3] 11/6 13/3 136/18 137/12 145/22 experienced [3] 10/7 **expression** [2] 60/19 184/10 202/4 205/21 160/20 163/22 176/15 32/24 137/9 98/11 families [4] 127/5 feeling [3] 60/5 109/4 217/1 experiences [3] extended [1] 204/15 169/24 181/6 181/9 141/5 exam [1] 84/9 125/1 125/6 137/8 **extending** [1] 178/4 family [9] 142/2 feelings [1] 180/15 examine [1] 143/20 148/9 161/22 170/2 **extensive** [1] 96/23 **FEHMO [2]** 137/4 experiencing [2] **example [23]** 19/25 extent [2] 23/24 47/2 38/14 173/15 171/13 173/14 173/14 196/23 27/20 60/14 63/18 **experiment** [1] 98/25 extra [5] 182/18 173/22 194/2 fell [3] 56/15 56/21 81/6 112/15 120/4 experiments [1] 183/4 183/8 183/8 **fantastic** [1] 4/15 57/17 122/20 147/25 149/7 115/22 183/13 **FAQ [1]** 10/22 fellowships [1] 2/23 173/11 180/23 194/2 expert's [1] 90/20 **extracted** [1] 141/1 far [11] 13/25 21/8 felt [27] 7/2 10/1 195/16 197/11 197/16 11/21 12/15 26/20 27/25 32/22 48/11 **expertise** [2] 2/20 extraordinary [2] 197/21 199/1 199/19 73/10 96/2 96/3 48/12 57/1 75/17 26/22 30/17 53/21 200/16 211/14 212/5 experts [1] 65/4 extremely [4] 7/5 77/17 92/24 104/6 60/13 62/14 79/9 85/6 218/25 explain [34] 21/18 20/9 90/10 99/20 farmers [1] 36/15 92/3 105/1 116/11 examples [7] 10/15 139/1 139/12 141/8 22/3 25/5 27/9 27/13 fast [21] 22/1 22/13 24/10 56/24 125/2 27/18 28/14 31/12 23/2 29/13 44/7 47/5 141/9 142/3 142/17 148/11 182/23 219/1 face [5] 121/11 41/16 47/7 58/15 54/21 57/20 65/12 161/8 165/4 178/25 exceed [4] 46/22 121/11 174/11 174/11 59/17 66/21 72/2 72/8 65/13 65/15 65/16 185/5 192/18 194/3 77/17 88/3 112/9 205/22 75/13 75/21 82/16 65/16 71/22 79/8 female [2] 135/4 excellence [1] 86/11 87/2 89/4 89/9 faced [2] 20/22 103/16 117/18 162/21 199/3 193/13 200/12 89/14 89/15 89/17 167/6 175/25 187/19 females [1] 134/20 except [4] 88/17 facilities [1] 102/25 103/19 111/12 112/1 faster [1] 65/18 Fenton [2] 142/11 88/17 100/22 100/22 fact [28] 16/18 18/11 112/8 133/13 155/10 fatality [2] 42/5 81/10 209/8 exchange [1] 33/10 167/17 167/20 170/20 19/15 41/7 53/9 54/23 **father [1]** 209/16 Fergus [1] 29/18 **exchanged** [1] 137/7 65/12 87/19 88/20 fault [6] 1/21 8/21 **explained** [7] 70/5 Fergus Cumming [1] **Exchequer [1]** 127/7 91/16 113/22 124/24 73/22 84/23 91/1 126/25 188/25 192/14 29/18 **exciting [1]** 176/8 133/16 133/21 138/23 205/12 100/14 102/17 211/25 Ferguson [1] 42/15 **excluded** [1] 174/6 152/15 168/8 180/12 **explainer** [1] 10/16 fear [3] 179/2 179/12 | festivals [1] 177/1 **excluding [1]** 217/19 193/12 196/1 199/4 **explaining [4]** 10/18 179/14 few [9] 10/15 14/7 exclusive [1] 145/11 207/5 208/6 210/9 67/1 79/11 184/18 feasible [2] 68/15 40/6 41/14 41/22 exclusively [1] 210/12 211/9 214/16 explains [3] 79/20 144/12 45/22 62/11 100/22 208/18 216/9 85/14 102/21 feature [11] 129/4 119/18 exercise [10] 43/2 factor [7] 103/22 134/14 134/14 135/19 **few weeks [2]** 41/14 explanation [2] 60/6 43/4 43/5 43/6 43/8 149/8 154/14 166/22 136/20 143/19 165/18 41/22 83/1 43/10 43/12 43/25 166/22 201/10 215/15 165/23 174/24 186/8 explanations [1] fewer [3] 20/19 66/24 50/3 54/10 factors [20] 21/23 191/21 214/14 141/3 Exercise Nimbus [7] 56/5 63/18 132/1 **explicitly [2]** 156/18 features [5] 128/23 field [1] 9/22 43/2 43/4 43/8 43/10 136/5 140/15 143/11 191/2 129/5 131/19 137/5 fifth [1] 64/7 43/12 43/25 50/3 152/11 152/24 156/7 **explore [2]** 75/14 181/18 Figure [1] 104/7 exercises [2] 8/1 8/3 166/9 183/20 186/7 February [18] 3/4 3/5 figures [1] 166/15 187/2 **exhibits** [1] 126/14 188/2 188/9 188/10 **explored [1]** 184/12 final [6] 32/18 140/17 13/25 40/20 69/23 exist [1] 181/2 197/17 197/19 198/20 exponential [13] 14/6 120/1 126/19 126/20 187/19 191/21 193/3 existing [4] 134/7 199/12 22/1 22/3 22/5 22/8 126/22 127/5 127/6 213/25 143/20 186/19 188/13 127/12 130/14 131/15| finally [3] 89/2 facts [1] 47/2 22/10 22/12 23/2

(68) evidence... - finally

75/4 90/2 140/13 209/24 210/9 216/23 five minutes [1] 91/7 92/5 106/18 118/17 162/11 162/21 167/4 108/3 125/11 143/20 gets [6] 22/12 65/7 finally... [2] 119/17 five years [1] 64/15 167/6 176/1 187/19 143/22 148/12 178/6 78/23 82/14 82/23 123/21 fix [3] 23/6 204/19 189/7 194/14 195/19 122/14 financial [4] 180/7 208/1 forwards [1] 75/4 future [7] 23/16 getting [19] 19/25 182/2 184/4 184/8 fixed [4] 22/6 22/8 found [8] 25/24 27/15 100/4 114/25 134/10 22/24 33/1 50/11 find [13] 7/24 21/2 30/20 41/8 94/6 140/21 140/25 191/24 62/16 65/4 66/24 70/23 191/13 27/18 33/14 63/23 121/23 197/22 199/2 flagged [1] 35/12 71/15 83/15 86/18 74/22 98/13 98/24 G flagging [1] 49/16 four [21] 3/18 3/25 88/1 88/12 113/23 125/18 164/19 171/10 9/10 15/10 38/3 70/19 gain [1] 165/11 118/12 147/6 166/10 flags [1] 94/8 183/22 217/1 flat [7] 60/8 78/24 gap [5] 18/18 20/3 80/20 100/24 111/24 172/8 179/3 204/19 finding [7] 48/11 128/12 199/19 199/19 79/2 79/6 86/20 87/7 112/22 112/23 114/4 Gill [6] 201/15 202/12 68/13 169/17 172/4 gaps [4] 19/20 102/1 114/11 114/23 118/9 202/15 202/17 203/12 183/7 185/21 201/6 120/22 122/12 141/16 144/13 159/14 195/2 flexible [1] 178/2 204/1 findings [2] 155/8 **Gardiner [1]** 27/24 147/13 207/1 211/23 flow [1] 36/21 Gill Furniss [4] 210/13 gathered [2] 69/16 flows [7] 32/20 33/15 four days [1] 207/1 201/15 202/12 202/17 fine [5] 13/19 83/4 33/20 36/8 36/11 37/7 four nations [4] 3/18 73/11 203/12 100/11 126/4 172/21 gathering [1] 199/22 38/15 3/25 114/4 114/11 give [18] 3/14 10/15 fingers [1] 145/23 **gatherings** [1] 177/2 four weeks [2] 80/20 flu [1] 43/5 18/18 24/10 29/7 finish [5] 3/13 3/18 focus [9] 25/6 59/18 gauge [1] 176/12 100/1 113/1 118/17 114/23 4/2 114/1 118/15 gave [5] 101/6 148/13 148/15 155/13 **fourth [1]** 187/20 126/5 170/13 173/11 finished [2] 64/4 149/16 208/14 212/4 155/23 163/6 169/20 frame [1] 8/14 180/22 182/17 182/25 197/14 212/12 178/12 framework [2] 183/4 183/7 199/19 firebreaker [2] 113/7 focused [6] 142/4 147/14 167/4 GCSA [1] 43/20 202/16 113/17 169/6 169/10 171/3 free [2] 31/4 36/21 gen [1] 12/8 given [31] 30/10 **firebreaks** [1] 114/3 gender [8] 128/12 176/22 195/22 47/21 54/21 54/22 freely [1] 28/21 first [78] 3/16 4/13 135/3 153/2 197/17 56/23 71/21 74/16 frequency [2] 150/20 focusing [5] 47/10 9/2 12/8 21/25 25/17 198/20 199/2 215/24 75/6 153/21 153/23 187/13 80/3 84/7 86/13 96/2 40/19 41/14 41/21 217/6 191/14 frequent [1] 25/15 98/7 113/21 146/9 45/13 50/1 55/13 general [6] 10/20 146/9 146/17 148/25 follow [3] 5/21 **Friday [2]** 46/13 56/21 57/6 57/14 139/24 172/19 24/11 29/10 67/5 47/16 153/12 153/21 154/5 57/18 57/21 62/12 139/11 165/16 follow-up [1] 5/21 Friedman [4] 211/20 155/8 171/3 191/7 72/1 77/17 84/15 followed [1] 86/15 211/21 218/6 221/15 generality [1] 212/1 193/1 200/12 203/9 87/21 88/22 88/25 generally [7] 11/20 following [7] 14/24 friends [2] 6/23 203/14 205/12 208/25 89/11 90/11 91/10 31/18 69/19 71/11 88/22 106/17 113/6 142/2 213/11 217/18 92/3 97/5 109/5 112/4 149/10 161/2 184/5 201/13 210/23 213/17 gives [2] 20/18 96/14 front [7] 134/3 112/14 113/8 117/19 151/22 154/22 162/15 generation [1] fond [1] 20/9 giving [6] 43/2 74/19 120/17 130/25 132/24 174/14 footnote [1] 214/2 167/22 168/6 177/19 100/4 130/20 169/22 133/3 133/13 134/13 genesis [1] 212/24 fora [1] 147/7 frontline [3] 132/3 182/23 138/19 140/2 141/11 genetic [1] 117/22 187/7 188/4 force [1] 79/25 gleaned [1] 135/22 142/23 143/6 143/14 genetics [1] 179/4 forced [1] 207/7 fruit [1] 11/2 go [56] 6/4 12/2 152/3 152/4 154/20 forceful [1] 99/11 frustrated [1] 28/7 **genomics** [1] 117/5 13/18 15/9 16/6 18/11 155/22 156/2 160/24 **gentleman [1]** 27/10 18/24 20/11 22/8 forecasts [1] 29/7 frustrating [1] 78/5 166/3 167/2 167/18 genuine [1] 142/17 30/24 32/13 33/4 foreseeable [3] frustration [3] 28/3 168/15 168/25 174/14 109/3 207/10 geographically [1] 39/17 77/9 77/10 35/16 42/24 56/8 59/5 176/2 180/23 186/9 110/17 64/16 64/22 66/6 75/2 foreseen [1] 73/19 full [9] 28/25 58/19 186/12 192/10 192/11 58/20 80/9 81/9 126/5 geography [2] 75/21 80/7 80/20 forgive [1] 126/20 192/12 192/14 193/3 153/25 199/9 form [2] 189/7 156/1 165/11 167/3 81/22 82/12 85/21 200/17 200/25 201/3 germ [2] 77/21 77/22 215/21 fuller [1] 81/11 87/10 89/14 91/5 204/5 206/15 207/17 **Germany [1]** 125/2 101/8 108/4 111/15 formal [1] 84/7 fully [1] 186/16 207/25 208/11 217/15 get [49] 6/23 9/4 9/7 formalising [1] function [1] 89/22 112/1 115/24 120/14 217/18 217/25 9/11 26/4 26/5 29/15 156/22 fundamentally [1] 120/17 128/22 134/12 firstly [7] 9/17 25/4 34/3 36/5 36/9 42/4 134/23 139/3 140/4 formed [4] 4/15 69/13 67/22 95/17 117/8 50/5 52/23 53/2 53/3 31/15 130/5 167/4 140/16 141/11 150/16 funding [3] 171/18 121/14 212/12 53/12 63/3 63/13 152/1 158/24 162/13 former [1] 97/18 172/16 178/7 fishing [1] 102/14 63/23 64/10 64/14 formerly [1] 2/7 168/10 169/11 172/9 furlough [2] 184/21 fit [1] 82/12 72/20 72/22 73/6 79/3 172/14 172/16 172/25 forms [1] 123/9 195/20 fits [2] 189/19 198/9 79/8 87/22 98/21 formula [1] 217/25 Furniss [6] 201/15 174/9 198/15 216/12 fitted [1] 211/8 100/17 100/17 112/16 go-between [1] 6/4 202/12 202/15 202/17 formulating [1] five [10] 15/7 15/10 116/23 139/4 145/19 203/12 204/1 134/10 GO-Science [1] 61/7 64/8 64/15 70/14 145/24 148/7 160/22 forth [3] 16/10 further [22] 10/23 82/12 70/19 100/25 112/16 160/22 164/22 171/8 goal [2] 62/17 96/11 135/11 143/13 16/11 24/21 28/10 118/17 173/22 184/8 193/20 48/16 51/9 61/5 61/12 fortnight [1] 80/7 goes [9] 25/12 75/4 five days' [1] 112/16 194/8 199/9 205/16 forward [12] 11/18 66/6 66/21 68/8 70/21 96/13 104/1 106/24

G goes... [4] 107/3 164/20 180/25 190/24 going [114] 4/18 6/6 14/9 17/4 17/10 21/22 22/13 29/11 29/15 33/14 34/18 37/25 40/3 41/19 42/24 46/12 46/16 46/16 46/17 46/22 47/23 49/2 50/6 50/6 50/19 52/13 55/3 57/11 58/22 59/4 61/16 61/18 63/5 63/19 65/5 68/19 69/1 73/6 73/7 73/21 80/15 80/15 80/17 81/5 83/3 83/16 84/3 86/21 88/5 88/13 90/18 90/22 90/24 93/12 97/16 98/21 101/11 110/22 113/24 118/11 118/16 121/21 121/23 121/24 126/16 129/9 134/25 136/18 137/2 137/21 138/8 138/9 138/14 139/24 140/5 143/4 143/18 146/13 150/24 153/7 155/2 155/2 156/2 156/23 156/24 159/23 160/8 162/10 162/11 162/12 163/15 165/8 167/4 169/18 170/8 170/8 170/18 172/19 175/2 186/12 189/7 194/5 194/8 194/14 196/15 198/15 199/22 201/1 205/15 207/25 213/9 213/16 217/10 218/9 gone [2] 31/2 54/14 good [22] 1/4 8/9 11/19 20/17 32/11 32/12 36/7 38/11 58/18 64/14 64/15 65/19 73/8 78/9 81/12 87/14 98/17 111/4 118/8 175/14 190/10 220/1 gosh [2] 63/2 101/24 got [42] 8/13 9/19 10/2 13/6 13/8 16/23 17/13 17/14 17/19 18/23 19/5 22/22 25/22 25/22 31/7 44/4 44/7 47/6 53/4 55/13 58/23 59/18 61/15 63/20 63/23 79/8 81/7 83/13 83/17 87/24

101/25 111/14 116/18

116/18 118/16 154/11

194/23 195/17 202/10

82/15 113/12

greater [8] 39/14

156/21 161/4 168/3

gov.uk [1] 170/8 Gove [1] 151/22 government [78] 1/24 2/5 4/6 7/10 7/15 greatest [3] 185/24 7/16 8/1 24/24 28/11 29/20 30/18 41/18 43/23 44/4 44/18 61/9 grey [3] 14/21 15/21 71/9 72/4 72/13 73/4 73/11 73/12 78/6 78/6 83/2 84/10 85/4 85/15 85/23 88/8 95/12 100/16 104/24 106/5 123/2 123/5 137/23 141/19 143/8 145/21 147/4 155/18 157/20 157/24 161/17 163/10 163/10 163/13 164/18 114/1 136/7 148/19 169/22 171/8 173/8 173/18 173/18 173/22 174/12 174/16 174/17 175/15 177/22 179/13 179/17 183/15 199/13 201/15 201/17 201/18 201/20 202/4 202/12 202/18 202/21 202/24 208/7 209/13 212/7 217/2 218/19 government's [3] 103/8 194/23 207/7 governmental [2] 32/19 89/14 **Graham [6]** 5/10 5/25 6/12 6/16 8/5 35/13 Graham Medley [2] 5/10 35/13 Graham's [2] 20/6 25/13 grand [2] 194/20 195/23 grandparents [1] 170/2 granted [2] 171/18 196/12 graph [17] 12/12 12/13 12/14 12/19 15/3 15/4 15/4 15/6 15/9 16/17 16/21 17/2 17/13 18/16 88/18 88/19 113/11 graphical [1] 11/6 graphs [19] 11/6 12/3 12/9 12/10 15/1 15/18 17/11 18/17 19/20 19/24 20/4 20/9 20/16 20/23 98/14 98/16 104/4 104/4 125/18 grasp [1] 24/9 grateful [4] 151/21 207/8 218/15 219/14 great [8] 3/22 18/22 19/7 29/23 59/3 65/2

118/4 134/21 135/2 167/16 168/21 182/2 193/25 193/4 194/13 Greek [1] 68/18 17/16 grip [8] 44/4 63/3 78/23 82/14 82/24 83/15 100/17 160/12 gross [1] 110/9 group [21] 3/19 4/2 38/1 38/22 38/25 40/13 58/11 58/12 63/7 83/14 84/6 98/6 153/6 173/14 173/23 183/7 189/18 190/13 groups [65] 3/13 34/22 90/5 90/10 92/25 129/1 129/7 132/2 135/6 135/7 135/16 135/18 135/24 136/3 136/10 137/7 140/1 140/19 141/5 141/8 142/5 147/11 148/14 151/16 153/5 154/18 155/15 155/16 155/19 160/9 166/23 167/15 167/17 168/1 168/5 168/21 168/24 169/9 169/19 169/19 169/25 170/7 175/21 181/17 182/14 183/5 185/23 185/24 186/10 186/22 186/24 187/3 188/3 189/18 190/1 190/7 190/23 191/8 197/4 200/12 206/21 208/19 214/23 214/24 217/6 grow [3] 80/22 109/23 110/19 growing [4] 10/1 29/13 47/5 109/21 grows [2] 22/13 110/20 growth [19] 14/6 22/1 22/3 22/5 22/8 22/11 22/12 23/2 23/13 45/20 65/16 65/16 108/12 114/21 115/2 115/9 115/17 115/19 116/3 guardian [1] 190/8 guards [1] 135/11 guess [2] 50/9 50/11 guidance [3] 55/14 61/12 119/16 Gupta [6] 83/13 84/15 90/2 90/21 95/7 101/13

guys [1] 6/20

Н hack [1] 30/12 had [184] 5/21 6/6 6/15 7/13 7/17 7/19 8/19 10/22 13/3 13/6 14/16 16/21 20/13 26/16 26/19 31/2 31/5 31/9 34/7 38/16 38/22 42/21 42/22 43/5 43/8 43/9 44/4 44/24 47/16 47/17 47/18 47/19 47/21 51/2 51/20 51/21 52/1 52/21 53/5 53/5 53/11 53/11 54/13 54/13 54/18 54/20 55/21 55/24 57/3 57/5 57/8 57/15 57/16 57/17 57/19 60/18 60/19 60/19 61/8 62/8 62/12 62/13 62/23 62/25 63/1 63/2 68/16 69/9 71/8 71/22 72/6 72/9 73/1 73/4 73/10 73/11 77/12 77/12 78/9 78/15 78/16 81/14 87/21 90/9 91/18 93/2 93/22 94/2 98/11 99/23 102/10 102/12 102/13 102/14 105/2 105/4 105/10 105/20 108/22 109/11 109/14 111/6 113/12 114/20 115/25 116/9 116/10 116/11 117/22 118/2 118/3 118/7 118/8 119/12 124/21 126/11 130/17 131/16 131/17 131/24 133/8 135/12 135/13 137/7 139/1 139/17 142/16 144/17 145/15 149/15 150/2 152/4 152/11 152/22 155/14 155/25 159/10 159/10 161/1 161/8 161/22 164/21 164/25 167/17 167/23 168/3 168/20 168/23 170/2 171/4 180/10 185/6 186/22 192/18 192/18 192/21 192/21 193/6 193/6 194/4 194/4 195/14 195/20 198/4 199/16 201/8 204/10 205/10 206/5 207/3 207/5 207/6 207/16 207/19 208/22 208/23 210/13 210/14 212/22 214/12 219/19 hadn't [7] 43/1 94/25 113/14 137/18 138/3 156/17 197/14

Hale [3] 55/22 58/4 80/21 half [8] 49/25 57/4 60/5 69/23 93/2 102/19 119/4 119/13 half term [2] 69/23 119/13 halfway [4] 33/6 72/3 91/10 140/17 Hancock [2] 131/25 206/12 hand [17] 12/18 12/19 14/1 14/4 15/3 15/4 15/4 15/6 17/13 18/16 34/15 34/16 113/13 126/14 161/17 178/24 207/7 handful [1] 196/25 handle [2] 34/16 194/23 handled [3] 3/23 36/24 38/4 hands [1] 76/8 happen [10] 14/25 22/21 49/8 50/1 78/11 86/21 91/23 97/3 109/22 208/12 happened [16] 3/19 34/5 34/8 43/8 63/4 67/10 71/2 78/12 83/20 87/18 87/24 102/14 113/14 131/17 195/17 204/20 happened' [1] 82/15 happening [15] 38/20 49/22 51/8 78/10 94/22 109/13 117/14 117/21 118/8 136/1 137/18 139/20 196/4 201/6 204/18 happens [6] 22/25 78/24 89/4 150/23 215/2 215/6 happy [2] 26/22 141/23 163/19 164/11 164/12 hard [8] 15/13 72/17 72/20 90/11 109/7 116/1 116/14 195/9 harder [3] 103/16 136/4 164/18 hardly [1] 60/9 harmonisation [1] 165/21 harms [2] 38/3 38/6 Harrison [3] 4/17 120/3 123/1 harsh [1] 81/14 has [35] 20/10 41/6 50/25 77/16 87/23 88/6 91/1 91/16 91/18 92/10 95/10 99/3 104/2 127/25 134/6 134/8 146/20 148/25 153/9 155/13 155/14

Н healthcare [3] 99/22 100/8 102/17 69/10 69/12 94/8 158/15 158/19 166/9 196/24 200/14 211/2 104/21 111/10 111/12 102/22 167/4 168/15 170/1 has... [14] 160/25 hear [4] 83/12 98/24 112/1 118/19 126/17 homework [3] 170/21 171/25 174/24 164/17 168/20 168/22 195/5 199/20 160/13 185/17 191/21 156/20 158/12 158/20 177/3 178/21 179/13 175/10 175/14 182/8 heard [27] 5/25 12/10 Here's [1] 145/17 homogeneous [1] 184/18 189/9 194/18 184/19 190/15 193/22 22/11 28/13 30/4 32/2 heroes [1] 35/5 189/18 195/2 195/18 198/19 196/16 201/8 209/25 32/22 38/8 39/6 41/23 hidden [1] 138/2 200/1 200/6 204/18 honest [1] 101/10 214/4 43/1 43/2 48/13 52/22 hide [1] 208/13 Hong [1] 42/21 207/25 209/12 211/8 have [358] 54/8 67/14 72/16 hiding [1] 137/23 Hong Kong [1] 42/21 212/3 212/7 212/24 haven [1] 34/11 77/15 84/21 86/8 214/19 214/21 214/22 hierarchy [1] 154/9 honoured [1] 82/8 haven't [5] 17/19 93/20 93/20 93/21 high [29] 12/8 46/5 hope [5] 14/9 126/2 215/19 216/17 216/20 53/4 121/22 126/2 58/14 58/17 58/20 98/10 122/18 130/6 177/10 189/22 193/22 however [8] 31/5 159/21 200/18 59/8 63/7 63/21 64/7 hoped [2] 71/22 82/9 168/20 203/19 having [24] 7/24 hearing [1] 220/7 64/11 64/12 64/13 207/14 211/7 212/21 115/1 11/22 12/20 48/8 67/3 81/7 81/18 95/11 hopefully [2] 174/2 hears [1] 83/14 212/24 62/11 64/22 64/25 heart [1] 146/22 107/11 114/22 152/16 174/6 hub [8] 130/5 130/22 109/5 113/22 116/10 heavy [1] 103/20 153/8 166/18 172/10 130/22 130/23 145/2 horrible [1] 109/6 135/6 141/25 142/3 heightened [1] 172/11 174/14 184/24 horrified [1] 33/14 158/3 172/1 184/16 142/7 146/4 162/1 200/12 193/9 193/16 200/23 hospital [17] 22/20 huge [11] 15/8 54/12 171/1 173/19 182/7 200/23 22/20 23/3 45/9 45/11 63/19 93/17 93/17 held [5] 2/1 126/19 188/11 209/9 210/25 127/17 165/10 200/9 51/12 53/9 53/13 high-profile [1] 95/11 97/8 113/12 153/5 214/5 214/15 help [20] 8/15 9/16 high-risk [1] 152/16 56/15 57/8 57/15 169/24 178/12 215/14 he [37] 5/11 8/5 32/10 47/4 73/25 higher [17] 47/21 60/20 69/7 81/11 husband [1] 181/5 27/12 27/15 27/16 75/18 134/10 141/23 57/3 57/8 81/11 95/4 88/20 112/4 112/15 41/10 42/21 42/23 162/21 169/7 171/11 134/16 135/4 135/6 hospitalisations [7] 50/17 82/22 83/14 152/5 166/9 167/14 14/7 38/7 89/10 104/8 I act [2] 196/23 178/20 182/2 191/6 85/12 85/13 85/19 211/23 191/8 192/9 200/20 169/4 171/2 175/8 105/18 120/25 121/1 85/20 85/21 91/1 hospitality [1] 107/20 I actually [3] 33/16 217/4 217/12 219/19 181/19 188/2 188/7 91/10 91/16 94/8 43/1 101/6 helped [4] 9/23 19/10 highest [2] 186/18 hospitals [5] 58/19 101/24 102/5 103/7 I added [1] 144/25 19/11 179/20 186/22 58/19 69/10 81/8 103/14 104/4 104/9 lagree [5] 19/11 24/2 helpful [6] 21/6 38/20 highlight [1] 159/16 81/11 104/13 104/16 104/21 25/17 26/9 98/22 56/2 60/19 136/14 highlighted [7] 35/15 hot [3] 63/10 67/2 106/11 106/12 106/13 193/23 135/13 140/19 153/9 81/19 I also [2] 137/9 119/11 149/3 212/13 180/18 helping [1] 40/5 175/10 198/18 200/16 hour [1] 118/7 212/19 212/20 I always [1] 25/24 Heneghan [6] 83/13 highlighting [3] household [3] 92/21 he'd [1] 47/17 I am [8] 17/11 141/25 84/20 90/2 90/25 94/7 187/9 202/11 210/12 169/1 188/8 he's [3] 27/18 28/6 191/15 194/16 194/20 households [8] 101/15 highlights [2] 132/25 94/11 196/19 196/23 206/17 Heneghan's [2] 74/21 107/19 148/2 183/14 head [5] 72/20 90/21 91/9 I apologise [2] him [4] 27/11 84/20 169/1 170/25 181/8 142/12 160/17 176/17 125/15 205/10 her [8] 95/14 95/25 106/14 212/13 188/6 191/10 177/7 95/25 97/4 97/8 103/8 hindsight [4] 54/9 I appreciate [3] 11/5 housing [1] 218/25 headed [1] 4/16 62/1 207/3 204/7 213/13 73/17 146/22 196/1 how [105] 9/6 9/6 heading [1] 176/25 his [10] 42/18 50/17 9/14 9/24 12/15 12/19 | I ask [3] 1/20 8/16 Her Majesty's [1] heads [3] 44/7 69/1 85/10 85/14 91/1 183/24 103/8 15/13 15/14 15/16 69/3 l assume [3] 67/9 herd [11] 49/19 49/23 94/14 102/9 104/4 17/11 18/18 19/21 health [55] 7/21 67/11 82/9 20/17 20/17 20/19 90/6 95/22 96/12 164/25 212/16 55/17 55/20 55/24 I believe [12] 28/5 96/13 96/19 97/1 21/11 28/24 29/2 historical [1] 39/11 56/6 62/1 68/4 68/6 28/5 85/22 91/4 97/16 98/5 102/8 history [1] 77/16 33/23 34/12 40/10 85/20 95/19 105/8 105/10 114/16 119/10 herd immunity [9] hit [3] 88/25 89/1 41/7 41/18 47/5 47/5 123/8 123/22 124/15 148/25 176/15 191/16 48/11 48/12 51/21 49/19 90/6 95/22 112/2 128/19 129/4 131/18 192/12 205/7 96/12 96/13 96/19 **HMT [2]** 31/19 32/3 54/21 59/4 60/20 132/1 132/5 134/7 I call [1] 1/4 97/1 97/16 98/5 hoc [1] 128/24 63/16 66/18 70/17 134/11 136/12 145/1 hold [2] 2/22 200/8 72/7 75/4 76/10 85/25 I came [1] 34/6 here [49] 8/13 12/2 145/6 145/15 149/6 I can [31] 6/20 21/20 12/22 18/10 18/12 87/10 87/16 96/18 hole [1] 58/23 149/6 160/11 162/18 96/23 96/25 98/6 98/9 21/20 24/20 33/4 home [17] 12/4 14/12 21/20 22/18 25/21 163/5 163/17 169/6 36/23 41/15 43/11 27/23 33/5 35/12 17/1 38/18 38/18 98/20 99/23 100/12 169/10 178/10 183/6 46/1 48/15 51/9 58/1 35/21 37/25 38/13 38/24 48/9 51/25 100/13 100/19 109/3 186/19 186/20 187/6 59/16 66/1 66/5 71/16 42/9 47/11 47/14 55/14 56/13 57/12 111/14 115/6 118/18 187/11 188/13 189/10 74/24 75/2 83/21 84/5 49/16 49/22 49/24 69/7 94/12 94/23 122/1 122/20 128/4 189/12 191/22 194/9 84/25 86/4 91/2 95/6 54/10 58/23 62/3 75/3 107/17 107/18 166/12 130/11 132/21 137/18 195/13 195/22 196/2 106/18 110/25 114/15 75/5 75/21 79/19 homes [14] 38/12 139/1 139/5 141/8 197/25 201/14 206/2 120/17 177/12 186/17 82/20 83/9 86/14 38/13 38/17 38/21 142/13 142/14 144/23 206/13 207/13 211/6 218/21 88/10 89/9 91/9 94/22 38/22 39/8 39/16 146/13 147/12 147/12 212/4 214/22 I can't [15] 34/3 94/23 95/24 96/18 39/25 40/8 40/11 147/23 151/3 154/24

122/14 122/25 130/20 182/4 182/6 186/1 116/18 118/14 141/1 I remember [12] 8/8 145/9 196/11 196/25 40/25 41/3 101/3 136/21 146/9 147/6 187/6 192/6 199/20 I can't... [14] 37/13 203/16 106/3 113/10 165/25 150/2 154/7 157/21 208/3 208/15 211/6 71/4 78/22 106/9 I hear [1] 195/5 180/18 184/17 190/16 157/23 160/21 161/5 214/17 214/17 215/1 131/13 141/13 160/16 I heard [2] 43/2 98/10 203/21 211/11 161/14 163/13 163/23 216/9 217/13 160/19 163/22 168/2 I hope [3] 14/9 126/2 | I represent [1] 164/24 168/2 168/9 I wouldn't [1] 216/24 176/15 177/8 202/16 178/17 180/8 180/17 189/22 200/14 l'd [10] 7/12 43/3 212/23 I imagine [1] 159/16 180/25 182/19 185/18 43/7 82/8 109/10 I right [1] 217/25 I certainly [5] 61/14 I interrupt [1] 118/10 I rock [1] 82/12 187/4 191/25 192/11 128/8 160/20 205/25 91/2 98/25 104/14 I said [5] 83/19 149/2 193/6 193/6 193/8 I interrupted [1] 206/13 210/22 216/24 196/9 198/13 209/5 193/9 193/10 193/25 **I'II [3]** 120/9 188/25 13/18 I confess [1] 98/13 I just [13] 1/13 6/13 I saw [1] 45/13 194/7 194/10 194/16 213/10 I correct [1] 124/5 I say [16] 8/20 8/21 **I'm [94]** 9/12 12/18 18/13 33/16 37/23 195/3 195/10 195/11 I could [5] 16/11 27/4 9/1 12/8 17/5 21/23 195/13 195/14 195/23 14/9 16/21 17/10 55/17 64/22 82/1 79/14 139/6 144/15 110/16 122/19 132/22 29/16 50/24 72/22 196/5 198/7 205/13 18/13 20/9 25/14 I couldn't [1] 109/6 196/25 210/19 78/19 119/13 120/19 205/22 209/20 213/11 25/21 26/8 26/23 33/6 I did [12] 8/3 45/17 122/18 123/10 182/4 216/12 217/15 217/17 39/1 41/21 43/20 49/9 I knew [2] 45/19 50/15 68/23 130/19 144/19 194/18 218/2 218/2 218/7 49/23 50/9 50/15 55/3 131/12 141/22 144/25 I know [3] 150/8 I see [1] 126/23 218/9 219/11 219/16 55/19 62/2 67/9 71/4 149/4 165/5 209/19 219/23 82/5 82/19 82/21 83/3 173/13 214/17 I seem [1] 176/25 210/9 I leave [1] 118/18 I shall [2] 55/6 151/5 I thought [3] 8/5 83/16 91/5 97/11 I didn't [7] 21/16 I lost [1] 202/6 I should [1] 78/17 98/22 116/17 101/16 101/24 104/4 73/17 90/16 101/11 I may [26] 2/25 7/7 I showed [1] 94/24 I took [2] 128/7 179/8 106/9 106/11 106/12 110/16 125/18 204/20 10/6 28/9 35/7 37/3 I started [1] 4/18 I touched [1] 92/6 110/22 114/16 116/22 I disagree [1] 180/8 38/12 40/17 40/18 118/10 118/12 118/16 I still [1] 25/25 I trialled [1] 179/9 I do [10] 30/11 71/2 41/14 55/12 67/15 134/25 139/15 139/23 **I suppose [3]** 79/10 I understand [6] 72/4 73/16 100/10 71/24 93/18 116/22 109/10 142/23 40/20 153/10 172/22 140/4 143/4 146/16 101/22 167/23 197/7 150/11 150/25 151/21 123/12 123/21 134/25 | I suspect [2] 57/23 196/18 205/2 214/19 211/7 211/15 156/3 168/10 175/2 163/15 I very [1] 141/6 153/11 156/2 161/15 I don't [53] 4/3 15/1 175/9 185/14 186/13 162/22 163/12 165/8 I take [1] 170/22 I view [1] 122/4 18/10 18/12 24/6 I want [4] 136/24 213/17 214/9 I talked [1] 92/23 170/11 172/19 173/13 25/20 36/23 37/13 I mean [39] 6/23 7/12 I think [165] 4/8 4/17 167/6 175/9 185/13 175/2 177/8 182/23 37/25 56/1 61/8 74/13 I wanted [4] 82/19 8/4 11/19 20/7 27/23 6/4 7/18 8/19 9/25 182/23 183/25 184/10 75/19 83/19 86/5 29/23 31/8 31/21 32/9 11/3 11/3 11/19 11/20 136/17 144/23 148/19 184/15 186/12 193/7 90/18 91/23 98/13 35/1 41/4 41/25 43/1 12/14 16/23 20/6 I was [39] 3/3 3/9 5/9 195/6 195/21 196/15 100/21 101/14 106/1 43/3 44/9 45/15 48/9 20/25 20/25 21/13 6/5 6/14 6/14 8/6 18/1 197/7 198/14 198/14 106/3 120/6 124/24 50/5 51/1 53/5 53/19 21/17 22/11 23/20 20/8 26/22 29/21 44/5 198/16 199/22 200/14 125/14 141/3 147/2 53/20 53/23 54/24 24/2 24/7 25/20 26/4 50/11 61/16 61/18 202/6 203/8 203/13 147/2 153/10 154/2 61/15 61/20 65/12 26/7 26/10 26/18 82/20 88/2 141/20 203/18 204/24 204/24 156/16 159/25 161/14 206/6 207/8 213/9 77/10 81/5 81/9 83/19 27/14 28/16 30/18 141/24 141/25 142/10 161/24 163/23 167/22 94/21 98/25 100/20 30/22 30/25 31/13 144/7 148/12 150/4 213/9 213/16 218/15 171/25 173/8 176/8 120/2 133/6 153/10 31/21 32/9 32/12 151/2 153/23 164/7 219/14 219/19 220/2 192/18 194/22 194/24 197/20 32/14 34/4 35/2 39/10 165/1 170/18 179/21 I'm afraid [2] 104/4 195/2 195/7 195/7 I mention [1] 40/24 40/9 40/9 40/24 42/16 181/1 182/4 203/10 114/16 209/12 211/4 212/17 I might [3] 25/22 43/8 43/20 43/20 47/4 204/11 204/17 205/2 l've [11] 12/10 32/1 212/20 213/14 213/22 47/17 50/23 51/1 52/6 209/16 212/22 214/11 43/10 41/22 51/7 86/16 218/3 219/5 I missed [1] 50/25 52/6 52/22 53/4 53/7 I wasn't [3] 44/12 102/3 113/16 114/17 I don't remember [1] I never [3] 34/5 165/4 53/19 54/21 54/25 141/21 184/14 125/11 135/18 175/10 101/16 217/17 55/21 57/9 57/21 I went [3] 4/8 53/21 lan [2] 38/4 38/10 I drew [1] 20/10 I only [2] 184/15 58/18 60/1 60/18 193/18 lan Diamond [2] 38/4 I expect [1] 61/7 218/17 61/20 65/12 65/13 I will [1] 196/9 38/10 I felt [10] 10/1 26/22 I please [1] 25/2 65/17 67/9 68/23 I wish [1] 73/1 ICU [4] 45/9 45/14 60/13 105/1 139/1 69/10 69/13 70/13 I won't [1] 162/7 45/18 50/22 I point [1] 23/8 139/12 142/3 142/17 72/14 72/18 72/19 I probably [1] 7/19 I would [48] 5/11 idea [8] 16/24 17/1 178/25 192/18 I put [1] 179/13 72/25 73/10 77/18 5/22 6/19 6/24 7/3 23/5 34/22 55/25 I gave [1] 208/14 I ran [1] 98/25 83/1 83/25 86/16 88/2 19/9 20/25 21/13 72/20 79/5 209/12 I give [1] 173/11 89/21 90/9 91/21 26/17 30/20 31/13 I read [1] 139/6 ideas [2] 93/7 191/5 **I got [1]** 47/6 I realise [1] 101/7 94/21 96/17 96/17 31/14 34/11 35/1 identifiable [1] 34/15 I guess [1] 50/11 I really [2] 21/10 73/3 37/11 41/8 43/4 43/24 identification [1] 96/20 98/2 98/17 I had [5] 7/13 26/16 98/19 99/2 99/3 101/8 54/10 59/7 65/11 73/9 175/8 I recognised [1] 43/9 171/4 180/10 139/9 101/10 104/13 105/10 92/19 96/19 124/20 identified [5] 21/24 I hadn't [1] 197/14 106/11 106/14 112/17 128/7 141/23 142/4 56/7 152/23 171/7 I recollected [1] I have [12] 18/17 113/19 116/21 117/9 145/19 148/10 148/11 176/9 199/20 42/2 52/22 53/20 82/7 I referred [1] 207/11 120/2 120/6 120/7 150/20 154/7 158/23 identifies [1] 175/22

inclusive [1] 144/22 200/22 134/21 183/17 195/19 INQ000061566 [1] implication [1] 105/4 incorporated [1] 216/6 106/19 identify [4] 24/18 INQ000061567 [1] implications [3] 22/1 19/16 inexorable [1] 99/9 159/14 179/18 57/5 75/9 incorrect [1] 106/15 114/21 108/4 ie [1] 44/20 implies [1] 116/2 increase [7] 76/4 infect [1] 194/20 INQ000061765 [1] if [258] importance [3] 22/4 77/7 89/10 94/14 infected [11] 22/22 35/9 ill [2] 58/25 90/14 22/24 44/9 63/23 164/22 200/1 102/21 169/8 188/16 INQ000062800 [1] illegal [1] 191/3 87/17 92/3 102/10 important [29] 4/14 increased [5] 77/6 111/10 illuminating [1] 5/12 7/5 14/15 21/4 114/20 134/8 152/14 102/16 123/17 166/10 INQ000074930 [1] 125/16 23/7 23/16 42/17 52/7 194/6 188/10 75/1 illustrates [1] 88/20 69/14 69/16 98/20 increasing [6] 74/20 infection [25] 13/7 INQ000089740 [1] imagine [6] 8/11 124/23 134/14 142/24 77/4 104/3 106/21 13/8 13/22 42/5 52/19 134/2 62/22 63/1 64/6 66/24 147/11 149/3 164/6 129/6 179/5 68/12 70/23 78/10 INQ000089742 [1] 159/16 171/17 179/8 186/25 incredible [2] 41/22 88/10 90/12 91/25 154/21 imbue [1] 10/23 190/9 194/8 199/23 41/23 92/1 93/11 93/13 97/6 INQ000089744 [1] **immediate [4]** 104/2 201/6 202/21 205/23 incredibly [1] 29/21 109/25 117/12 117/14 167/11 104/5 107/13 187/5 118/9 120/25 143/10 206/22 212/8 indeed [93] 1/4 1/8 INQ000097872 [1] immediately [3] impose [3] 62/9 79/8 1/9 2/10 3/24 8/20 167/15 188/3 202/1 206/14 137/24 195/20 207/18 10/10 10/22 10/24 203/4 INQ000137261 [1] 114/20 **immense [1]** 207/10 11/24 15/18 20/3 infections [30] 39/12 | 102/5 imposed [2] 57/13 immigrants [1] 20/21 20/23 22/16 49/8 51/11 51/11 59/3 INQ000137281 [1] 95/16 174/15 impossible [1] 23/11 23/14 24/10 60/7 60/9 60/10 60/15 85/11 immune [3] 50/1 61/10 68/4 68/7 68/14 INQ000176354 [1] 178/22 24/19 26/13 27/3 66/16 66/19 impress [1] 82/17 27/21 27/23 29/4 70/15 73/22 77/20 139/25 immunity [21] 49/5 78/8 79/2 80/18 80/22 **INQ000195889 [1]** improve [10] 9/17 31/17 37/6 37/18 39/1 49/19 49/21 49/23 39/19 44/14 45/7 80/25 81/21 87/7 36/1 134/9 143/23 46/2 64/8 64/10 66/23 76/4 144/5 166/4 177/23 45/24 47/7 48/15 50/8 89/16 94/10 105/17 INQ000212100 [1] 90/6 92/1 93/4 95/1 50/13 50/18 51/20 108/12 117/11 117/18 66/2 180/1 180/1 194/14 95/22 96/12 96/13 improved [1] 168/13 52/20 53/16 54/1 56/3 161/2 INQ000213194 [1] 96/19 97/1 97/16 56/10 59/12 59/22 infectious [5] 28/24 improvement [1] 33/4 97/16 98/5 102/8 30/14 40/25 58/24 36/12 61/23 61/24 62/17 INQ000215900 [1] impact [42] 3/25 improvements [1] 65/23 67/20 67/22 96/4 27/4 33/23 35/25 59/21 69/6 71/23 72/2 73/19 inflation [1] 184/24 169/18 INQ000216286 [1] 70/16 75/14 79/23 improving [2] 177/19 74/7 77/1 77/4 77/13 influenza [3] 91/17 12/1 91/18 104/2 108/10 191/22 77/18 77/21 79/13 91/24 96/4 INQ000229601 [1] 114/1 134/6 135/14 inability [1] 30/10 83/3 83/6 83/16 84/8 inform [3] 50/21 82/5 140/1 143/7 147/18 inadequately [1] 84/14 85/9 93/18 119/18 121/7 INQ000238597 [1] 147/20 148/21 153/4 93/23 94/2 95/10 **informal [1]** 213/20 120/10 83/20 155/14 156/14 167/17 95/12 97/3 99/7 inappropriate [1] information [25] 55/1 INQUIRY [14] 1/7 168/21 168/23 176/5 101/21 102/3 103/1 1/10 2/11 6/1 125/24 136/20 133/9 136/8 138/3 179/2 179/15 186/17 inasmuch [1] 109/12 113/25 117/2 118/3 146/1 146/3 148/4 126/8 126/10 127/15 190/6 192/23 195/12 incidence [40] 39/15 120/12 122/3 122/14 149/22 150/15 163/19 129/9 193/23 197/1 195/14 195/18 198/4 55/23 58/6 58/14 123/6 123/17 125/5 164/12 164/19 169/11 200/20 221/5 221/9 198/10 206/20 208/19 58/14 58/16 58/17 125/14 205/5 207/2 171/12 173/16 173/24 Inquiry's [2] 127/19 209/19 210/25 214/6 58/20 59/7 59/8 63/8 214/20 219/15 219/19 174/2 174/18 176/12 218/8 214/13 214/15 63/21 63/21 64/6 64/7 193/22 195/1 201/2 inside [3] 38/16 independent [1] impacted [16] 129/1 64/11 64/12 64/13 206/5 207/5 208/13 138/20 38/20 73/11 154/10 154/11 155/19 67/3 67/5 81/7 81/18 **INDEX [1]** 220/9 informed [7] 7/5 11/4 insight [2] 163/18 160/10 161/20 169/13 indicate [1] 150/21 81/18 102/1 102/21 74/12 141/16 146/8 191/10 170/1 171/1 172/5 102/22 107/15 109/20 indicated [1] 81/5 157/11 178/1 insights [1] 153/19 176/23 180/22 182/10 109/21 109/22 109/24 indicates [1] 79/23 informs [1] 23/22 inspiring [1] 125/2 182/19 190/21 209/18 110/9 110/10 110/13 indications [1] 71/12 initial [4] 8/23 72/1 instance [3] 153/25 impacting [2] 142/1 113/20 171/2 172/10 indirect [1] 147/25 123/25 140/21 180/7 182/17 147/24 172/11 198/4 216/16 individual [4] 34/15 initially [5] 4/24 10/7 instances [1] 106/21 impacts [10] 29/9 include [3] 143/12 51/11 75/7 191/18 11/13 114/10 130/19 instantly [1] 22/10 123/8 123/8 140/23 168/24 188/3 individuals [2] 19/23 initiated [1] 85/20 instead [1] 210/15 147/25 156/10 159/8 included [6] 100/15 39/6 initiatives [3] 10/9 **instigated** [1] 113/6 168/12 180/5 187/3 148/20 184/12 185/9 indoors [1] 74/21 159/5 200/21 **institution** [1] 174/10 imperative [1] 210/2 212/14 inequalities [12] input [3] 7/8 137/7 institutions [2] 103/15 includes [2] 107/13 128/20 130/11 134/7 140/7 103/12 104/17 **implement [2]** 115/6 143/13 151/15 178/10 183/21 inputs [1] 123/9 insufficient [3] 37/4 156/4 **INQ [1]** 177/19 including [6] 102/21 197/6 197/8 197/20 53/18 109/21 implemented [4] 122/7 137/4 189/11 198/4 206/20 206/25 INQ000061565 [1] intense [1] 80/6 103/10 175/4 175/11 intentioned [1] 191/5 199/8 218/25 inequality [5] 131/5 79/17

61/4 75/8 79/6 87/1 145/14 145/14 146/12 160/21 201/13 202/10 involves [1] 114/2 103/10 103/14 104/14 Ireland [3] 35/23 146/13 147/15 148/13 202/10 202/14 206/3 intentions [1] 190/10 107/1 107/4 107/5 36/19 145/6 150/12 151/20 151/21 206/10 206/12 interact [4] 212/4 107/6 114/11 115/8 irregular [1] 149/25 152/3 154/17 155/3 June 2020 [5] 128/8 213/4 214/22 216/18 115/12 116/10 119/3 irrelevant [1] 87/20 155/11 156/20 156/24 132/15 144/1 151/17 interaction [5] 7/10 154/15 157/10 159/8 161/14 161/19 162/14 160/12 irrespective [1] 31/16 40/22 149/12 178/16 181/11 184/25 165/14 172/2 172/7 June/July [1] 94/2 138/21 212/9 173/17 173/23 174/24 junior [2] 129/15 201/12 is [487] interactions [2] 25/8 isn't [5] 18/22 37/16 177/12 177/17 177/19 196/6 into [73] 7/15 8/14 40/19 9/15 10/14 13/6 19/16 46/9 173/17 176/8 178/22 181/13 183/18 just [213] 1/13 3/14 interacts [1] 149/5 24/21 25/12 31/5 185/18 186/9 188/25 4/22 6/13 7/2 7/7 7/8 isolate [1] 184/9 interconnected [1] 34/22 34/22 37/19 188/25 192/14 192/14 7/17 8/9 8/16 8/20 isolating [1] 90/5 215/23 42/24 49/2 49/3 53/21 isolation [3] 63/24 195/6 195/8 195/9 8/23 9/21 9/21 9/22 interdependent [1] 54/14 63/23 65/22 75/7 147/3 198/11 203/23 205/9 10/6 10/15 12/7 12/24 216/2 205/13 205/22 205/22 66/6 69/17 70/1 73/24 issue [34] 20/25 28/2 12/24 14/10 17/10 interest [3] 142/3 74/14 77/24 78/18 28/9 29/11 31/21 213/25 214/13 214/15 17/11 18/1 18/13 184/24 186/9 79/3 84/3 93/3 93/8 35/19 36/2 39/17 40/3 216/15 216/16 216/17 18/24 19/19 19/22 interested [5] 6/23 101/8 110/5 115/16 40/14 65/14 95/18 218/2 218/3 20/17 21/4 22/3 24/17 33/6 82/6 200/15 115/24 117/3 120/3 95/24 98/20 108/21 item [1] 150/9 24/20 27/4 27/12 212/3 120/14 120/15 123/4 28/14 33/4 33/16 35/7 121/8 123/21 157/13 **iterations** [1] 11/25 interesting [5] 6/20 123/10 126/16 132/1 164/6 164/23 164/24 36/13 37/2 37/23 its [11] 22/3 54/5 6/21 31/10 31/16 136/7 137/20 145/19 165/9 166/4 191/14 100/2 109/6 126/8 38/12 39/1 39/4 39/13 98/23 145/23 147/12 147/18 192/4 194/17 197/3 130/25 143/21 154/1 41/21 42/5 43/7 43/11 interests [1] 154/4 148/5 148/7 148/21 199/3 201/7 205/25 185/10 187/3 217/19 45/21 46/1 46/4 46/9 interlocutors [1] 21/2 149/5 150/13 153/24 208/18 208/21 208/25 itself [9] 2/25 23/12 47/2 47/11 47/13 intermittent [6] 48/25 154/16 160/23 163/14 29/4 61/23 109/1 47/18 48/15 49/25 209/19 49/7 80/11 80/18 81/2 163/15 173/12 173/22 issues [19] 12/3 134/2 147/24 183/8 50/5 50/17 50/19 51/9 81/12 173/24 176/25 177/18 19/21 23/12 33/20 201/10 51/9 51/16 52/23 internal [1] 88/15 179/16 179/19 180/4 35/21 37/20 65/17 55/12 55/13 55/17 internally [1] 29/22 198/24 199/6 199/9 96/17 98/3 123/9 56/11 58/15 60/7 64/6 international [3] 128/11 128/11 128/12 jabbing [1] 194/2 64/22 66/1 66/1 66/3 199/11 205/23 213/5 127/20 127/23 130/1 216/7 165/20 176/14 184/23 **January [9]** 40/24 66/5 66/21 67/15 internet [1] 173/21 41/9 53/11 117/2 **intolerable [1]** 57/10 194/9 197/25 219/2 68/19 69/19 74/24 interpretation [1] 119/6 119/6 120/1 intra [1] 176/18 it [524] 76/6 77/25 79/14 47/22 it's [152] 7/4 10/19 171/18 185/20 intra-departmental 80/14 80/23 81/5 82/1 interrupt [6] 18/14 **[1]** 176/18 January 2021 [1] 14/15 15/1 18/24 82/24 83/3 83/9 83/16 47/13 64/21 118/10 171/18 introduce [3] 109/19 83/21 84/5 84/22 20/25 21/4 21/17 164/7 204/24 JBC [1] 29/17 115/1 196/22 21/17 25/19 25/21 84/25 85/2 85/10 **interrupted** [1] 13/18 **JCVI [1]** 3/23 25/25 26/4 26/7 27/4 87/13 91/2 91/5 92/5 introduced [3] interrupting [2] job [7] 9/12 21/17 109/14 109/18 116/4 27/8 29/5 29/7 32/20 92/20 93/18 95/6 205/10 213/10 21/17 26/22 30/17 introduction [4] 33/5 33/6 34/1 35/9 95/22 99/7 100/20 intersection [2] 10/21 75/6 107/13 43/6 44/10 46/4 46/4 166/12 191/16 100/24 102/1 102/3 182/6 198/19 155/6 46/6 46/7 46/8 46/19 John [10] 38/4 41/7 103/10 106/5 106/15 intersectional [2] 47/14 49/24 50/3 55/4 47/17 84/19 101/22 106/19 108/7 110/1 intuition [1] 28/24 37/5 37/20 intuitively [1] 93/10 101/22 102/4 104/11 55/19 61/20 65/11 110/16 110/17 110/25 intersectionality [14] 119/11 123/15 65/14 65/15 66/2 69/1 111/2 112/3 112/5 invested [1] 142/19 198/22 199/2 199/5 **John Aston [1]** 38/4 72/3 73/6 74/25 75/1 112/25 114/15 114/20 investigate [1] 199/7 199/17 213/1 John Edmunds [2] 133/24 75/1 75/3 75/11 79/4 117/7 117/19 119/15 215/19 215/22 216/6 47/17 123/15 investigating [1] 79/17 80/14 80/24 119/22 120/9 121/12 216/19 216/22 216/25 81/4 82/4 82/5 84/13 John's [1] 41/3 122/19 122/25 123/6 151/14 217/6 217/21 join [1] 162/3 investigation [3] 92/19 95/22 96/10 123/12 123/12 123/21 intersections [1] 117/13 212/15 213/20 97/12 98/3 98/17 joined [1] 212/9 124/15 130/12 131/14 199/12 98/23 100/11 102/17 joining [1] 130/14 132/21 132/22 133/3 investigations [1] interval [1] 21/12 July [9] 73/24 73/25 106/19 106/20 110/17 133/17 133/18 134/1 126/9 intervals [1] 107/8 76/22 77/3 86/18 94/2 134/12 136/12 139/23 invitation [1] 82/9 111/9 114/8 114/11 intervene [3] 15/14 114/15 114/16 116/19 126/21 127/5 127/18 invite [2] 82/7 177/13 140/4 141/21 142/4 84/10 85/15 119/10 120/9 121/12 **July 2019 [1]** 127/5 144/24 150/6 151/2 invited [2] 81/25 intervention [11] July 2022 [2] 126/21 82/25 121/12 121/14 122/9 152/18 153/3 153/9 22/23 23/4 75/15 80/6 127/18 involved [7] 3/13 6/6 122/10 122/14 123/6 153/17 154/7 155/23 86/22 94/23 104/10 123/10 126/12 126/21 June [23] 73/24 75/1 7/6 7/25 30/6 142/13 158/3 160/6 160/22 112/20 174/12 203/14 126/24 127/11 129/18 76/21 77/2 94/2 103/6 161/3 162/1 162/5 145/12 205/3 126/10 127/2 128/8 involvement [6] 3/1 129/19 129/19 130/20 162/21 164/14 168/17 interventions [27] 132/10 132/15 140/2 4/11 45/7 58/2 131/22 133/13 135/8 135/19 170/10 170/11 170/13 3/25 20/19 29/9 59/24 144/1 151/17 160/12 166/19 135/25 143/17 145/9 171/19 172/7 172/14

kinds [4] 37/12 41/25 Lady [17] 1/4 12/10 167/24 183/5 184/12 94/22 96/8 112/2 71/19 122/7 55/3 55/11 125/13 217/12 113/3 123/4 123/5 just... [33] 172/19 knew [21] 7/3 28/25 150/25 151/6 151/11 leave [3] 118/18 149/22 150/11 150/21 172/19 173/23 174/17 34/5 45/11 45/19 52/5 196/11 196/13 203/13 127/10 127/14 162/20 163/4 174/14 181/2 181/13 183/12 204/23 205/20 211/18 leaving [1] 51/10 54/21 54/22 61/15 levelled [1] 92/10 183/18 185/15 186/12 61/18 102/12 102/14 218/15 219/14 219/17 led [3] 29/17 42/7 levelling [2] 109/23 187/21 192/5 192/8 117/11 118/2 144/19 **Lady's [1]** 19/19 120/2 127/17 192/20 194/18 196/25 146/14 148/2 160/3 lag [2] 53/14 65/17 Levelling Up [1] left [10] 9/22 12/19 197/2 197/3 198/17 160/5 177/2 180/13 lagged [3] 22/2 22/17 15/3 15/4 17/2 17/13 127/17 198/24 200/14 200/20 know [86] 6/1 9/6 9/6 18/16 86/12 87/1 56/11 levelling-up [1] 201/5 205/2 206/13 15/1 20/12 20/13 182/14 109/23 language [1] 10/2 206/15 210/19 210/22 21/11 22/10 22/12 levels [13] 61/6 61/7 large [18] 5/23 42/6 left-hand [5] 12/19 211/12 213/9 213/10 26/25 27/2 28/20 31/2 43/6 69/15 86/15 15/3 15/4 17/13 18/16 70/23 76/1 96/12 97/6 217/18 218/25 32/13 34/12 36/16 89/23 90/3 91/21 legislation [1] 219/6 97/19 107/16 118/4 Just pausing [1] 41/5 41/5 41/7 42/14 91/23 97/2 102/21 leisure [5] 12/20 172/11 174/6 180/17 170/10 42/23 45/20 47/7 48/9 103/9 103/16 103/23 13/15 17/21 17/22 188/7 justified [1] 96/1 151/1 177/2 177/5 54/11 58/23 59/2 59/4 20/14 lever [2] 29/13 Justin [6] 148/24 61/16 61/17 68/18 190/17 length [1] 155/4 147/18 149/13 151/23 212/12 lengthy [1] 122/9 72/4 73/3 74/2 74/13 largest [1] 217/4 levers [3] 145/15 213/12 213/14 75/19 77/18 79/5 last [14] 81/15 172/1 211/4 lens [1] 196/4 Justin Tomlinson [6] 80/15 83/19 85/24 116/12 119/18 122/1 lenses [1] 195/24 **LGBT [2]** 128/11 148/24 149/13 151/23 86/5 90/18 96/18 131/14 160/5 162/7 Leslie [1] 196/23 131/1 212/12 213/12 213/14 98/19 101/25 105/5 162/12 162/25 164/5 Leslie Thomas [1] LGBT-related [1] K 111/2 113/23 117/25 165/12 177/21 196/9 196/23 128/11 118/14 123/22 133/11 206/19 less [18] 45/21 60/4 lies [3] 2/20 46/12 **KC [4]** 196/21 211/21 133/12 137/22 145/6 61/9 61/10 64/15 73/8 46/19 lastly [3] 129/5 221/11 221/15 146/11 148/8 150/8 94/11 103/17 108/11 | life [10] 12/21 21/1 140/16 215/18 Keating [2] 151/10 164/3 164/16 170/6 late [6] 13/24 54/9 108/11 112/11 124/16 21/7 48/12 65/1 80/20 205/25 172/7 173/13 177/5 78/18 79/25 202/3 124/17 124/22 134/18 92/18 93/3 96/9 keen [8] 29/21 179/10 187/12 192/19 203/5 137/17 180/14 180/14 131/16 139/15 141/21 142/10 192/19 194/25 195/2 lateness [1] 103/24 lessen [1] 143/9 lifted [1] 122/2 144/7 150/4 154/10 lifting [1] 70/22 195/9 195/16 197/17 later [25] 4/10 4/12 lesson [3] 20/16 199/20 197/18 200/15 200/16 6/10 14/8 14/9 14/10 194/14 195/3 light [3] 162/16 keep [12] 1/16 28/18 200/18 204/18 204/25 16/17 22/25 23/6 34/3 lessons [8] 23/16 201/25 203/3 34/12 66/10 79/2 79/6 208/20 210/12 211/15 49/12 56/16 114/7 99/8 125/5 140/20 light-touch [1] 81/21 97/6 102/1 212/17 213/11 214/17 121/5 122/14 127/16 146/12 189/10 189/13 162/16 104/16 110/25 196/9 knowing [4] 20/7 129/8 130/5 130/19 194/13 lightning [1] 195/1 keeping [4] 80/18 201/3 204/17 214/17 135/9 148/1 156/24 let [12] 62/22 80/7 like [56] 5/5 5/8 6/13 80/25 103/9 160/7 12/10 12/23 14/19 knowledge [4] 54/20 165/15 169/3 183/21 80/22 83/13 92/16 keeps [1] 110/19 126/24 141/22 179/10 14/20 16/24 19/8 74/11 126/13 214/5 latest [6] 118/15 **KEMI [3]** 125/23 **known [18]** 11/10 118/19 156/6 157/11 190/10 196/22 199/11 20/16 31/5 33/15 35/1 126/6 221/7 43/3 43/7 43/9 51/5 175/16 185/21 202/7 36/15 42/4 44/8 50/4 Kemi Badenoch [1] 69/21 90/13 90/16 law [2] 57/12 190/25 let's [33] 9/15 12/17 69/2 70/18 76/7 81/5 126/6 18/24 25/24 57/7 59/5 83/2 86/14 95/3 98/14 133/8 141/22 156/19 lay [1] 63/16 kept [6] 55/23 79/9 163/16 200/21 201/16 61/10 63/3 64/6 68/21 116/11 121/19 131/9 layer [1] 216/21 105/11 105/17 113/21 142/6 145/18 146/23 202/19 204/8 204/9 laypeople [1] 19/7 68/23 68/24 68/25 126/2 212/23 lead [3] 24/24 137/2 78/15 112/3 126/16 150/20 153/25 153/25 Kevin [2] 142/11 knows [2] 82/22 183/21 131/21 134/1 139/23 153/25 161/15 164/1 209/8 163/24 leaders [1] 32/14 154/20 157/7 158/24 180/16 181/7 181/11 Kevin Fenton [1] 168/10 177/10 177/17 190/23 191/11 192/6 Kong [1] 42/21 leadership [1] 25/14 142/11 Korea [1] 125/1 leading [2] 93/2 180/20 180/22 180/24 193/25 194/6 198/9 key [10] 21/25 35/19 142/9 181/13 182/17 182/25 199/5 199/9 205/25 95/24 103/22 128/23 183/4 185/12 206/13 207/20 208/3 leakage [1] 93/2 129/4 143/19 166/16 label [1] 198/8 208/15 209/9 210/22 leaked [1] 208/9 lets [1] 88/8 166/17 181/24 labelling [2] 58/18 learn [2] 20/16 letter [3] 154/24 211/8 key workers [3] 58/19 194/14 206/14 207/1 liked [5] 98/15 166/16 166/17 181/24 145/19 160/4 160/6 **Laboratory** [1] 30/3 learned [4] 99/8 letters [1] 68/18 killer [1] 64/18 Labour [1] 204/1 146/13 189/10 189/13 letting [5] 102/18 160/15 **kills** [1] 58/25 labs [1] 34/10 110/19 146/10 148/8 learning [1] 194/13 likelihood [1] 135/3 kind [11] 10/4 16/14 lack [9] 10/8 31/19 learnt [4] 105/3 105/4 156/19 likely [10] 108/11 20/16 37/14 49/23 35/14 59/12 91/13 125/6 140/20 139/8 152/10 152/12 level [23] 12/8 21/11 74/20 87/20 147/7 109/16 121/15 163/9 least [12] 1/16 43/20 28/3 49/20 62/17 152/25 186/4 186/5 187/15 198/9 218/1 174/21 67/18 72/10 99/18 62/23 66/14 70/11 187/13 187/14 188/16 kindly [1] 150/15 lacking [1] 42/22

124/20 131/17 134/20 81/21 88/10 94/6

limit [1] 60/16

49/2 49/3 49/7 54/1 199/1 199/5 213/6 149/2 163/19 164/11 **Iull [2]** 160/25 161/1 54/4 54/14 55/13 looking [46] 3/19 lumping [3] 136/1 166/17 172/9 176/19 **limitation** [1] 211/3 55/14 55/16 56/3 12/18 17/16 18/16 136/7 191/7 181/1 183/10 183/17 limited [8] 86/1 56/12 57/13 58/2 35/2 46/8 47/8 62/20 lunch [2] 164/20 193/19 204/11 216/8 124/11 128/10 143/11 58/11 69/25 70/4 70/5 62/22 69/20 75/4 219/20 male [3] 135/4 145/5 147/23 147/23 70/7 71/3 71/10 72/1 80/10 95/20 98/10 188/11 199/3 **luncheon [1]** 151/12 148/23 80/9 80/12 80/24 100/3 103/2 108/21 males [2] 134/20 limiting [1] 186/19 M 80/25 92/18 99/22 115/11 120/12 121/19 167/24 line [24] 17/16 22/6 macro [1] 32/3 99/24 101/8 101/23 128/10 142/9 153/4 man [2] 4/16 142/11 35/17 45/16 46/14 madam [2] 211/22 102/24 104/19 116/5 154/1 154/8 157/25 manage [3] 60/20 46/15 46/18 47/15 159/14 163/21 166/10 218/5 116/20 116/23 117/16 102/1 217/10 47/21 48/18 53/15 made [43] 1/17 23/9 171/12 172/6 172/10 119/5 119/7 119/13 managed [1] 62/21 86/14 86/20 89/15 24/2 29/15 31/5 36/3 119/21 120/4 120/19 176/19 178/25 190/9 management [2] 104/7 132/24 134/5 38/19 42/2 47/13 53/6 144/8 158/9 144/2 167/10 171/19 191/11 195/12 195/24 185/1 185/4 185/12 54/11 64/5 70/17 177/11 195/14 195/18 196/4 197/3 198/24 **managing** [2] 7/6 185/14 185/18 193/18 203/18 204/19 216/15 78/15 78/16 87/21 218/24 130/24 193/18 88/5 88/5 93/15 95/2 lockdown 1 [1] 69/25 216/16 218/23 manifest [1] 78/8 lines [6] 11/23 48/12 111/16 111/17 111/20 manipulated [1] looks [3] 32/10 lockdown 3 [1] 59/10 74/18 159/18 111/22 119/16 130/2 190/23 216/17 179/19 177/11 213/19 131/24 136/4 148/4 lockdowns [4] 80/19 loop [2] 27/12 71/8 mantra [1] 25/14 linked [2] 152/15 154/3 154/15 155/25 81/2 81/13 81/14 loosening [1] 71/11 many [34] 8/8 20/4 166/22 158/18 161/3 175/10 logical [2] 114/25 lose [1] 184/1 22/19 40/4 41/18 linking [1] 165/12 175/14 189/17 194/2 185/10 losing [1] 220/2 42/22 45/17 45/20 list [2] 84/12 158/19 200/2 201/9 206/3 Lombardelli [1] 30/5 lost [3] 188/25 47/8 58/25 60/20 66/7 listen [6] 5/6 5/13 191/10 202/6 206/8 210/16 76/10 87/17 99/4 **London [5]** 45/18 6/20 21/2 21/9 24/8 main [12] 6/4 6/15 48/10 53/21 142/12 **lot [51]** 7/14 7/18 8/3 100/21 109/25 109/25 listened [2] 100/21 6/15 11/7 34/20 34/21 209/8 9/23 15/11 18/5 31/2 114/22 124/21 124/21 211/24 37/7 37/11 71/9 124/22 139/9 141/15 long [25] 13/10 16/14 31/14 32/22 42/1 **listening [6]** 6/17 128/18 155/13 188/2 38/17 47/5 59/4 63/24 58/25 63/17 69/5 142/5 159/23 170/1 25/19 141/7 142/18 73/6 73/13 81/14 71/18 95/1 98/21 **maintain [2]** 1/19 173/25 178/16 178/19 170/20 201/18 115/2 90/15 97/1 111/14 101/2 117/13 119/25 191/4 195/15 195/15 literally [1] 162/2 137/10 144/20 146/13 Majesty's [1] 103/8 120/5 126/3 162/22 219/1 little [29] 4/20 15/2 170/8 183/25 186/11 147/3 150/10 159/18 majority [1] 3/22 March [31] 3/9 9/1 15/5 19/11 20/10 make [43] 6/17 8/13 186/15 186/18 186/23 169/20 169/21 171/4 12/17 13/25 14/11 20/11 24/21 28/13 22/23 26/6 28/21 31/3 187/2 187/14 187/22 174/7 176/17 176/21 14/18 33/11 33/13 30/4 38/16 47/24 31/11 34/14 37/3 44/6 191/6 178/21 179/12 179/14 35/10 41/15 42/8 48/10 49/12 57/16 47/24 63/19 65/1 Long Covid [7] 90/15 180/25 182/14 182/21 42/10 42/25 45/8 69/3 72/17 81/4 85/25 68/17 68/24 83/14 186/11 186/15 186/18 184/21 188/12 193/6 48/22 51/16 51/19 86/12 87/5 87/22 92/1 86/2 88/2 88/8 99/19 186/23 187/2 187/14 193/8 193/20 194/10 51/24 53/10 53/11 92/5 93/1 96/14 100/3 103/24 106/13 long term [1] 73/6 195/5 198/1 209/7 56/13 56/22 57/4 105/12 117/7 130/12 106/24 120/5 120/7 longer [3] 31/2 138/4 211/10 214/13 216/14 102/25 103/20 105/3 192/23 120/13 122/2 139/13 159/7 217/9 217/10 105/4 116/11 124/3 live [5] 81/14 92/17 look [54] 11/24 12/14 lots [28] 6/7 6/11 7/5 158/12 173/25 176/24 202/3 203/5 92/21 134/16 173/24 16/22 17/5 18/21 19/9 177/3 188/8 191/16 7/15 10/14 11/22 March 2020 [2] 13/25 lived [3] 41/5 42/21 191/20 200/3 201/17 20/16 20/17 34/11 13/23 20/18 20/18 202/3 109/5 205/17 210/3 210/14 39/10 41/21 42/1 29/1 41/2 60/10 60/10 March 23 [1] 14/18 lives [4] 4/14 13/24 210/24 215/5 49/22 51/3 51/7 52/6 90/14 93/9 99/5 **March 23rd [1]** 53/10 65/1 206/24 117/20 150/22 159/24 make-up [1] 188/8 91/6 92/5 92/20 94/21 mark [2] 20/1 112/5 living [7] 13/24 makers [21] 10/10 108/5 113/10 115/16 170/4 173/18 180/18 marked [1] 158/13 134/17 148/1 170/2 115/17 116/2 116/3 10/11 10/25 13/2 180/19 191/4 191/8 marking [2] 156/20 178/23 188/5 188/6 19/22 19/23 24/23 120/7 125/3 132/20 195/8 199/8 211/13 158/21 **Liz [4]** 129/24 130/8 24/24 28/10 28/11 136/4 139/19 139/23 low [33] 48/18 55/23 Marmot [4] 130/15 213/12 213/15 142/6 144/12 147/14 28/22 44/17 59/10 58/6 58/13 58/16 59/7 130/16 131/10 131/14 **Liz Truss [3]** 129/24 $63/13\;63/13\;65/4\;66/9\big|\,\text{masked}\;\textbf{[1]}\;\;135/25$ 147/23 149/5 152/18 63/7 63/20 64/6 64/16 213/12 213/15 67/2 72/6 99/24 157/7 158/10 162/9 66/10 67/3 67/5 71/6 masks [1] 76/8 loads [1] 219/13 109/17 166/7 169/14 172/17 73/22 79/3 80/18 mass [1] 3/17 local [10] 117/13 makes [5] 29/5 41/4 183/21 191/18 191/18 80/25 81/16 93/5 massive [2] 80/24 162/17 162/20 163/4 58/24 64/5 155/21 198/1 198/10 207/23 93/11 97/15 97/23 80/24 163/10 163/16 163/16 212/24 213/3 213/4 107/15 109/20 110/18 making [29] 9/24 material [4] 68/8 177/23 178/8 189/16 11/21 21/22 23/24 213/22 113/20 113/21 115/2 114/7 146/4 194/21 lock [1] 99/25 35/3 37/15 44/2 46/11 looked [13] 50/3 172/11 174/6 184/7 maternity [2] 127/10 lockdown [57] 4/21 50/17 59/9 93/8 51/24 103/5 131/3 185/6 127/14 9/2 14/18 16/19 33/18 148/7 149/10 161/8 lower [5] 47/14 81/21 104/21 104/24 105/1 mathematical [1] 42/24 48/23 48/25 108/22 142/14 144/22

105/21 115/19 116/10

167/14 184/15 196/2

2/19

М mathematicians [1] 21/8 Matt [2] 131/25 206/12 Matt Hancock [2] 131/25 206/12 matter [12] 136/25 145/6 185/7 192/5 203/11 206/12 208/6 212/1 212/19 212/21 216/6 218/7 matters [5] 100/6 157/3 161/12 210/17 212/8 maximum [1] 60/16 may [76] 1/4 1/18 2/25 7/7 10/6 16/24 28/9 32/2 35/7 36/14 37/3 38/12 40/17 40/18 41/14 44/7 55/5 55/12 56/8 58/8 64/3 67/15 69/19 70/1 70/4 70/10 71/24 72/2 75/9 79/25 80/18 84/9 93/18 94/6 95/1 98/15 99/10 101/18 116/18 116/20 116/22 118/14 119/2 119/3 123/12 123/21 132/8 133/4 133/4 134/25 150/25 156/3 157/21 158/4 160/10 164/16 168/10 175/2 175/9 177/18 178/12 183/13 184/6 185/14 186/13 196/12 199/6 203/16 203/17 203/19 206/7 206/9 212/9 213/17 214/9 216/22 May 2020 [3] 70/1 70/4 70/10 May 2021 [1] 178/12 maybe [6] 4/18 76/8 83/16 195/7 206/9 213/12 McLean [5] 1/5 1/6 1/23 8/18 221/3 McLean's [1] 90/20 me [49] 10/2 17/25 19/8 20/10 25/14 26/8 27/24 30/16 30/19 30/20 31/8 33/8 41/3 41/4 42/7 48/9 87/23 93/9 98/24 99/2 116/20 126/20 126/24 131/11 133/3 141/17 144/14 150/7 153/11 167/19 167/22 179/8 194/16 194/19 194/20 196/22 199/11 199/19 201/3 202/7 205/6

205/22 209/19 210/11

211/3 211/5 218/13 218/20 219/11 mean [65] 6/23 7/12 8/4 11/19 20/7 21/6 25/20 25/24 26/24 27/23 29/23 31/8 31/21 32/9 35/1 41/4 41/25 43/1 43/3 43/22 43/22 44/9 45/15 46/5 48/9 49/6 50/5 51/1 51/6 53/5 53/19 53/20 53/23 54/24 56/18 57/9 61/15 61/20 65/12 72/18 77/10 81/5 81/6 81/9 83/19 94/21 98/25 100/20 100/22 112/24 120/2 122/5 133/5 133/6 142/3 153/10 154/8 158/5 179/3 197/8 197/20 198/5 208/13 209/10 215/16 meaning [2] 106/5 190/12 meaningful [1] 66/20 means [10] 25/21 60/7 64/7 64/8 64/13 88/4 204/18 204/19 207/22 217/12 meant [5] 10/1 139/18 139/19 207/22 mental [1] 123/8 214/6 measure [8] 23/6 75/9 121/21 121/22 122/1 158/10 188/20 189/2 measurements [1] 18/19 measures [27] 16/20 53/8 74/25 75/7 76/16 85/24 92/9 94/19 95/15 96/2 96/3 96/25 messaging [3] 94/13 97/25 102/24 103/16 104/18 110/9 110/17 114/20 115/1 115/7 122/2 175/3 175/18 200/22 217/4 217/5 measuring [2] 158/16 161/9 mechanics [1] 172/22 mechanisms [1] 7/15 media [1] 194/25 medical [4] 85/24 137/5 139/11 206/7 medicine [1] 66/12 Medley [10] 5/10 5/25 6/3 27/5 27/12 35/11 35/13 50/14 67/23 119/11 meet [2] 81/22 103/8 meeting [43] 4/19 6/15 6/15 25/16 28/2

42/10 42/19 45/8

47/16 58/12 72/8 mid-May [1] 36/14 72/15 81/25 82/3 82/10 82/22 83/1 83/5 87/6 83/6 83/11 83/18 83/21 84/3 84/5 84/22 85/4 85/13 90/3 97/12 midway [2] 72/23 98/6 100/15 101/12 72/24 105/23 106/8 106/17 might [34] 15/16 106/18 108/4 111/18 111/21 150/6 151/20 152/20 158/20 meetings [17] 4/8 4/24 5/23 6/5 128/24 149/15 149/15 149/20 133/20 148/8 148/9 149/24 149/25 150/1 150/2 150/4 150/17 150/20 150/22 151/3 meets [1] 119/3 195/19 211/8 member [2] 27/7 Mike [1] 33/9 127/1 members [8] 28/3 166/16 178/7 30/21 44/22 100/16 mind [11] 62/18 107/18 148/9 161/22 173/14 memory [3] 85/6 106/16 113/13 205/3 men [9] 152/11 155/15 168/4 168/17 182/18 186/2 186/3 24/17 56/2 186/4 200/13 mention [1] 40/24 mentioned [7] 171/17 174/22 175/5 190/3 197/21 200/13 215/15 merging [1] 165/19 message [5] 65/5 83/15 83/17 131/15 138/8 messages [1] 82/4 138/5 138/6 met [5] 72/10 103/6 122/12 161/10 176/6 metaphorical [1] 185/12 metric [1] 158/15 metrics [15] 158/9 158/10 158/25 159/3 159/11 161/9 162/8 162/11 175/25 176/5 **[1]** 212/15 176/11 176/12 176/17 176/18 177/7 **[1]** 214/20 MHCLG [1] 163/17 ministerial [2] Michael [1] 151/22 129/15 216/7 Michael Gove [1] 151/22 183/15 219/9 microphone [2] 205/18 205/23 mid [4] 12/17 36/14 41/9 87/6 mid-January [1] 41/9 150/1 mid-March [1] 12/17

minorities [14] mid-September [1] 166/17 168/13 177/24 179/16 181/2 181/8 middle [5] 14/3 14/10 188/21 189/3 189/11 14/21 87/10 186/21 190/18 191/17 194/7 215/3 215/11 minority [28] 129/7 132/2 135/6 153/5 18/23 19/8 25/22 42/1 154/18 155/15 162/18 42/3 43/8 43/10 47/4 167/15 174/13 177/3 59/2 64/13 65/8 65/18 179/1 180/4 180/24 71/18 72/21 99/4 99/5 181/17 182/9 182/14 113/10 114/25 131/6 187/3 188/3 189/18 190/1 190/6 196/24 159/17 163/13 164/15 201/4 201/9 201/19 174/5 174/5 174/6 202/23 208/19 214/23 174/11 192/21 192/22 minus [1] 49/24 minutes [3] 19/17 79/14 118/17 misinformation [4] million [3] 102/20 174/8 189/16 194/17 194/24 99/16 115/13 136/24 missed [5] 50/25 142/18 153/8 160/7 73/14 73/16 114/17 160/8 180/11 198/14 119/12 missing [4] 73/2 minds [2] 8/19 79/1 137/6 138/24 195/16 mindset [4] 24/5 24/6 mistake [7] 24/2 103/25 104/21 104/25 105/2 105/9 105/10 mine [2] 6/23 45/17 minister [59] 14/11 mistakes [1] 29/24 44/22 51/17 82/1 82/8 mistrust [1] 179/23 83/11 84/17 100/15 misunderstanding 105/24 106/1 126/18 [1] 209/25 127/4 127/7 127/16 mitigate [6] 46/16 127/22 128/3 128/5 46/18 157/10 157/18 128/9 128/25 129/13 167/16 179/21 129/22 130/7 130/14 mitigated [1] 41/24 130/18 133/24 142/7 mix [1] 214/16 148/23 148/24 149/13 mixed [5] 29/2 181/6 149/17 149/18 149/18 191/9 210/10 216/23 151/23 151/25 154/25 mixes [1] 92/22 155/25 164/21 164/25 mixing [6] 12/4 60/4 165/2 165/3 167/3 70/17 74/20 74/20 176/7 182/5 182/5 92/25 MoD [1] 30/20 184/14 196/6 211/4 212/15 212/21 212/22 model [17] 28/12 214/20 218/22 219/4 28/15 28/16 29/1 29/4 219/5 219/8 219/9 29/23 30/8 30/12 219/10 219/11 219/12 37/16 46/23 59/24 Minister Badenoch's 62/4 68/17 69/4 69/9 69/9 130/22 **Minister Tomlinson** modellers [9] 21/8 30/2 32/11 35/5 35/6 36/15 38/23 46/25 69/11 ministers [6] 141/22 **modelling [23]** 8/6 143/22 149/15 182/7 8/7 8/15 10/21 10/23 30/14 31/20 31/25 ministers' [1] 150/12 32/3 32/7 34/22 36/7 ministry [7] 2/8 4/25 37/15 38/24 46/9 9/23 34/9 72/9 129/13 46/10 47/4 68/21 79/23 91/15 92/19 ministry Y [1] 9/23 121/17 122/8

М models [5] 32/15 37/8 46/8 69/12 178/2 modifications [1] 143/18 module [4] 38/9 39/13 129/8 184/16 moment [23] 12/25 29/12 39/4 55/5 58/17 78/2 81/15 83/3 84/20 85/11 111/2 116/8 116/12 132/18 138/14 139/24 142/22 150/25 160/7 168/8 177/9 185/13 197/2 Monday [3] 54/15 220/3 220/8 money [6] 182/18 182/20 182/25 183/4 183/19 184/22 monitor [1] 159/7 monitoring [6] 156/10 156/14 158/25 159/3 159/12 176/20 month [1] 160/22 months [9] 7/17 70/1 72/10 119/18 159/6 163/7 165/13 208/23 220/2 morbidity [1] 172/11 more [100] 1/18 4/20 7/19 10/3 10/4 14/19 14/20 15/11 16/24 17/5 27/16 31/18 32/9 37/16 48/14 52/23 62/11 62/21 64/8 65/3 65/11 68/14 69/19 70/18 71/11 72/12 72/21 76/7 76/11 79/21 85/6 93/17 95/3 96/14 99/10 99/11 99/15 100/9 104/4 104/6 108/8 110/12 114/25 117/13 117/20 119/24 121/14 121/19 124/16 124/21 124/23 127/25 130/23 134/19 138/23 139/8 139/11 139/12 146/6 146/16 149/5 149/6 152/10 152/25 154/10 157/15 157/19 159/4 160/2 160/16 160/17 162/23 164/3 165/2 166/23 170/22 175/7 175/23 176/9 177/13 177/14 177/15 180/5 181/23 183/2 184/4 184/25 185/5 186/4 187/8 189/23 194/3 195/4 195/11 195/19 198/11 205/22 213/20 214/13 217/20

morning [5] 1/4 1/9 1/22 4/18 198/7 mortality [10] 134/18 134/21 135/3 167/15 169/4 169/8 181/19 200/24 202/1 203/4 mosque [1] 174/9 most [25] 3/22 14/17 21/6 23/16 23/23 57/21 59/1 77/18 85/24 88/25 89/1 95/17 110/20 124/12 134/19 152/16 154/11 154/12 159/8 175/18 182/16 188/20 189/2 217/5 217/12 mostly [1] 26/18 motivation [1] 209/21 move [24] 6/25 17/4 23/21 40/17 51/15 58/16 63/5 66/19 73/24 77/24 83/3 84/19 123/12 129/21 131/21 134/24 151/1 154/20 162/12 175/2 177/17 185/14 196/14 210/19 moves [1] 151/19 moving [8] 66/15 69/25 110/4 140/13 160/3 160/6 160/13 160/14 **MP [3]** 194/18 204/1 204/1 Mr [16] 151/10 196/19 203/10 203/17 204/25 205/9 205/13 205/14 205/19 205/25 211/19 211/20 211/21 218/6 221/13 221/15 Mr Friedman [2] 211/20 218/6 Mr Keating [2] 151/10 205/25 Mr Stanton [2] 205/13 211/19 Mr Stanton's [1] 205/14 Mr Thomas [5] 196/19 203/10 203/17 204/25 205/9 **Ms [15]** 1/3 18/14 55/10 90/18 118/12 118/25 125/23 126/7 153/3 196/22 205/21 218/9 218/12 221/7 221/17 Ms Badenoch [4] 126/7 153/3 196/22 205/21 Ms Cecil [5] 1/3 18/14 55/10 90/18

118/25

Ms Sergides [1] 218/9 much [76] 7/9 7/12 7/12 9/19 11/3 12/19 15/14 15/16 20/17 20/19 30/14 34/3 36/5 my Lady [15] 1/4 36/14 48/14 57/18 70/17 72/21 74/19 92/24 93/13 94/3 94/3 94/11 97/23 104/13 104/18 114/16 117/14 118/4 118/4 119/24 122/1 122/6 122/21 125/10 125/14 125/19 98/18 149/18 179/9 126/7 126/15 128/2 128/10 132/17 136/24 137/9 139/15 141/6 144/3 145/19 147/20 148/12 149/6 154/3 158/7 161/25 165/5 167/12 168/21 169/15 84/7 126/5 177/20 180/3 180/10 187/6 187/25 190/20 195/13 198/11 209/20 narrative [1] 167/10 210/17 211/19 214/19 **nasty [1]** 58/24 215/20 219/15 219/17 nation [1] 131/18 219/18 219/21 multigenerational [6] 148/2 169/1 169/24 170/25 188/5 191/10 multiple [1] 195/24 multivariable [1] 217/22 multivariant [5] 153/24 199/8 213/3 216/15 217/23 must [12] 21/16 33/17 156/4 156/9 156/13 156/14 159/5 175/15 175/18 190/21 201/22 203/1 my [87] 1/4 1/20 5/5 5/10 6/4 6/22 7/21 8/21 9/12 10/1 12/10 19/19 20/8 20/8 21/6 26/22 29/21 34/8 36/14 36/24 40/24 47/22 53/20 54/14 55/3 55/11 55/22 56/20 60/5 61/14 73/19 85/6 85/8 85/17 101/6 113/13 124/10 125/9 125/13 126/24 129/16 142/2 142/2 144/7 149/11 150/25 151/6 151/11 153/17 153/22 158/16 160/17 173/11 173/22 176/17 177/7 180/11 181/5 181/6 187/8 187/10 188/25 190/8 191/16 192/14 193/7 193/8 196/9 196/9 196/11 196/13 198/18 203/3

211/18 212/7 214/7 219/14 219/17 12/10 55/3 55/11 150/25 151/6 151/11 196/11 196/13 203/13 204/23 205/20 211/18 219/14 219/17 **my Lady's [1]** 19/19 myself [6] 20/10 31/7 196/22 mystery [1] 150/14 **myth [1]** 85/17 name [4] 28/1 28/6 namely [1] 56/22 names [1] 84/12 national [7] 114/23 116/5 117/10 119/7 119/21 177/23 211/23 **nations [6]** 3/18 3/25 36/20 114/4 114/11 146/19 naturally [2] 96/24 131/11 nature [2] 34/25 215/23 nearer [1] 48/18 necessarily [5] 36/12 144/20 150/21 151/3 176/18 necessary [6] 34/17 37/16 52/18 75/8 85/7 150/13 necessitated [1] 209/2 need [63] 1/18 21/6 31/10 40/11 46/23 46/25 47/2 55/1 60/22 69/8 92/19 99/10 101/22 102/1 102/23 103/17 107/1 108/18 119/4 123/9 124/11 144/18 146/14 147/23 43/25 50/3 153/16 154/9 158/1 160/20 161/6 162/9 164/3 164/3 165/14 165/21 166/13 171/9 172/16 173/3 174/21 180/8 190/13 195/24 198/10 202/4 202/24 203/6 205/21 207/23 209/22 210/21 213/2

203/13 204/23 205/12 214/6 214/7 214/16 205/20 209/16 211/16 215/5 215/7 217/10 needed [30] 16/25 214/11 217/15 218/15 17/1 23/9 33/25 52/5 62/15 70/18 79/5 79/12 91/22 93/10 99/18 100/17 100/17 115/24 139/13 141/18 142/17 150/3 150/9 157/15 157/19 157/20 165/11 182/15 192/16 201/21 206/21 212/9 215/1 needs [13] 20/18 85/23 103/16 172/12 172/15 172/16 172/17 175/23 185/1 195/4 201/24 203/2 218/23 negative [2] 115/9 115/20 neglected [2] 215/16 218/4 neither [1] 110/1 net [1] 195/20 network [1] 4/15 never [12] 31/7 32/1 34/5 36/16 65/9 81/7 90/12 92/11 142/6 165/4 217/17 217/25 new [11] 5/15 61/10 68/25 78/16 87/7 95/1 114/7 122/15 143/18 157/10 161/4 New Zealand [1] 68/25 next [11] 53/23 53/23 73/19 73/20 89/4 131/21 151/19 156/5 177/12 205/13 220/3 next week [2] 53/23 53/23 NHS [18] 33/15 33/20 34/14 34/22 45/1 47/11 48/1 50/19 60/2 60/20 60/22 65/6 80/23 121/2 121/9 145/14 156/4 188/4 NHS England [2] 145/14 156/4 nicest [1] 25/10 niche [1] 217/5 112/11 114/22 115/25 nightmare [1] 65/5 Nimbus [7] 43/2 43/4 124/12 124/24 132/17 43/8 43/10 43/12 nine [1] 215/13 no [84] 16/23 18/15 20/1 20/1 24/6 27/17 31/1 35/19 37/1 37/15 38/18 43/15 43/17 43/18 46/21 46/24 47/1 49/24 50/6 50/6 52/5 52/17 60/22 60/25 61/2 65/8 65/8

N no... [57] 65/10 69/11 69/14 74/8 74/13 88/17 96/3 98/15 98/17 100/11 101/2 101/15 101/20 102/12 104/10 104/14 105/5 105/7 106/3 108/20 108/22 111/7 125/11 125/14 138/10 138/12 138/19 138/19 145/3 149/11 150/14 153/16 162/2 165/10 168/4 170/13 174/16 177/8 182/4 183/2 183/7 185/7 187/4 188/25 189/22 189/23 195/17 196/15 199/17 203/25 204/10 207/21 210/9 210/9 214/15 216/9 219/21 No one [1] 50/6 no one's [2] 50/6 195/17 **no-no [1]** 20/1 nobody [4] 13/20 50/10 77/11 163/24 **nods [2]** 11/12 188/22 non [9] 24/14 34/17 51/18 55/2 85/24 137/10 177/6 178/16 212/8 non-compliance [1] 177/6 non-disclosure [1] 34/17 non-duplication [1] 212/8 non-essential [2] 51/18 55/2 non-medical [1] 85/24 non-pharmaceutical **[1]** 178/16 non-publication [1] 137/10 non-scientific [1] 24/14 none [3] 4/9 75/16 213/18 **nor [1]** 110/1 normal [5] 12/21 13/24 80/20 92/18 93/3 **normality** [1] 76/1 normally [2] 41/10 131/8 north [1] 182/3 Northern [3] 35/23 36/19 145/6 Northern Ireland [3]

35/23 36/19 145/6

nosocomial [2] 51/10 194/19 206/4 209/10 94/10 not [273] number 1 [1] 144/2 note [4] 8/20 85/13 Number 10 [2] 9/5 95/25 132/19 noted [1] 192/17 notes [2] 93/23 184/16 nothing [10] 34/14 78/12 83/19 85/8 85/18 109/13 110/16 113/9 150/22 176/9 noticing [1] 89/21 Novavax [1] 179/9 November [11] 1/1 89/12 110/5 112/9 112/12 114/10 114/13 117/16 219/25 220/4 220/8 now [71] 1/16 2/25 17/9 17/10 24/4 24/17 28/9 40/17 40/17 41/14 41/23 45/15 50/19 51/17 54/4 54/12 55/5 56/24 57/17 58/10 59/16 67/4 67/15 67/15 69/20 69/25 70/9 71/14 71/24 77/2 78/12 81/25 83/2 83/16 83/21 84/5 84/10 85/15 86/4 87/3 obvious [8] 7/1 7/2 87/18 88/14 90/2 101/23 104/3 105/23 106/17 110/1 110/15 111/8 113/6 116/22 118/7 118/16 119/9 119/21 120/9 120/14 123/12 124/25 135/19 occasions [2] 26/16 140/14 163/23 167/6 167/9 184/24 195/14 205/9 206/24 209/20 214/17 **NPI [1]** 178/17 **NPIs [10]** 12/6 21/22 75/4 76/6 76/11 94/12 occupations [7] 107/12 114/1 114/3 178/19 nuanced [1] 198/11 number [56] 2/4 2/22 4/8 4/23 5/23 9/5 10/8 10/19 10/19 12/22 12/25 13/2 13/12 14/2 14/22 19/3 19/5 42/6 43/7 56/18 56/20 57/3 59/19 60/4 60/7 60/15 61/10 61/18 61/19 61/19 61/21 70/2 70/3 76/17 77/3 103/1 103/5 103/23 105/17 105/21 108/12 137/4 137/7 142/22 143/5 144/2 147/11 165/19 174/22 189/9 190/18

70/3 number one [1] 147/11 numbers [13] 20/23 49/20 50/2 50/5 61/11 69/15 71/6 78/8 87/10 88/3 88/18 175/8 186/1 **numerical [2]** 65/10 112/18 O O's [1] 75/22 **objective [4]** 62/6 62/8 62/9 88/16 objectives [6] 65/24 65/25 121/6 121/20 121/21 122/20 obscured [1] 193/22 obscures [1] 136/7 observation [2] 117/19 196/7 observed [3] 70/22 71/1 118/1 obtain [2] 150/14 150/16 **obtaining [1]** 36/18 7/4 7/4 45/22 154/17 157/22 214/13 obviously [10] 14/15 18/1 33/18 36/12 39/6 69/20 70/9 95/20 100/13 118/11 26/21 occupancy [1] 169/1 occupation [3] 143/12 188/3 188/8 occupational [2] 169/2 210/22 135/9 152/17 166/9 166/19 166/23 181/23 184/5 occupied [1] 2/4 occurred [1] 51/1 occurring [2] 177/2 216/22 October [20] 1/11 86/20 105/18 109/15 109/15 110/4 110/7 111/8 112/16 113/6 123/16 127/21 154/21 154/23 156/12 157/19 159/1 160/12 160/24 167/2 October 2020 [5] 156/12 157/19 159/1 160/12 167/2

217/4 217/13

October 2022 [1] 127/21 odd [3] 129/18 159/17 192/25 off [21] 35/18 39/4 56/1 66/1 81/15 83/9 110/18 114/17 160/17 161/25 176/16 177/7 183/14 183/14 184/19 185/6 191/19 216/19 216/20 216/25 217/11 Office [5] 117/10 129/20 130/4 130/6 195/6 official [3] 123/5 149/22 150/10 officialese [1] 193/21 officials [4] 99/19 123/4 159/24 161/19 offs [1] 75/11 often [17] 6/13 6/19 6/25 8/10 20/9 35/4 77/17 77/20 81/10 129/1 145/20 150/3 150/10 190/12 190/15 193/20 205/16 oh [12] 7/1 20/13 22/10 31/9 43/4 46/8 63/2 101/24 113/5 126/23 156/21 170/12 one page [1] 11/22 okay [16] 12/19 83/4 86/18 111/6 128/14 165/7 168/10 170/19 172/21 181/15 192/13 ones [5] 50/4 79/7 197/15 197/21 202/19 204/4 214/8 old [1] 16/2 older [4] 135/2 148/15 188/10 215/16 107/23 146/5 **olds [1]** 15/24 **Omicron [1]** 187/21 omitted [1] 206/22 on [349] on Race [1] 130/3 once [14] 5/9 22/12 31/1 36/21 46/19 46/19 46/19 63/21 136/9 136/9 152/10 152/23 188/10 217/19 one [135] 3/16 3/18 3/20 6/19 9/20 11/7 11/22 11/25 13/12 14/25 15/6 15/21 15/24 16/6 16/11 18/17 19/21 20/21 21/13 21/23 23/15 23/22 27/5 28/9 31/17 32/18 34/7 34/15 39/22 40/6 42/7 43/20 opening [1] 12/5 47/18 49/7 50/6 50/23 openings [1] 18/22 51/9 56/1 56/8 58/3 59/13 60/21 64/7 64/10 66/3 67/6 69/12 opinion [4] 54/14 70/14 72/25 75/4 75/9 60/11 85/17 187/10

75/15 78/17 81/9 83/22 84/24 85/11 89/24 91/3 91/7 92/8 92/15 94/6 97/12 99/3 99/8 103/10 106/3 108/7 121/4 121/9 123/12 128/18 129/5 131/19 133/4 135/1 135/2 136/7 136/25 137/15 137/15 141/20 143/6 146/20 147/11 147/16 148/14 153/1 157/3 161/17 162/8 162/12 164/2 165/18 166/2 170/17 171/6 174/25 175/25 179/24 181/18 182/7 183/13 183/16 184/3 184/6 186/8 187/4 189/19 189/24 190/8 190/16 191/25 192/5 192/9 193/3 194/16 197/4 198/9 201/2 206/8 207/14 207/19 207/24 208/1 209/25 211/3 211/13 213/22 213/25 218/17 218/25 219/4 219/12 one day [1] 34/7 one year [1] 64/10 one's [2] 50/6 195/17 one-fifth [1] 64/7 111/17 139/8 190/20 ongoing [2] 155/7 166/4 online [3] 85/1 only [21] 17/2 19/9 29/14 34/20 35/3 39/10 42/5 60/5 63/18 94/22 102/9 118/1 137/15 145/5 165/1 184/15 203/23 208/5 210/5 213/9 218/17 **ONS [3]** 78/10 193/12 200/9 onward [3] 15/7 15/10 70/15 onwards [3] 9/2 151/18 202/3 open [17] 14/2 15/15 16/4 18/2 19/5 76/14 101/8 101/9 102/2 103/13 104/17 104/20 107/25 116/17 146/3 167/10 207/6 operates [1] 130/22 operational [1] 24/23

Ο **opportunity** [9] 43/18 73/15 73/16 98/7 126/11 153/6 190/4 196/3 207/9 opposed [7] 17/8 30/14 68/5 81/18 164/13 197/3 215/20 option [3] 9/9 9/9 68/2 option A [1] 9/9 option B [1] 9/9 options [6] 48/17 48/20 49/17 59/25 73/8 73/9 or [150] 4/7 9/9 9/10 9/12 9/22 12/5 12/8 13/7 13/7 18/4 20/3 20/5 20/15 22/21 23/11 26/6 28/20 30/15 30/15 31/20 32/7 36/17 36/17 36/19 36/21 41/18 44/17 46/16 48/12 53/1 56/1 56/8 58/19 59/3 59/12 59/12 59/18 60/9 60/15 60/18 61/3 61/4 61/12 61/18 62/1 62/17 62/17 63/10 63/13 64/5 64/14 64/25 66/12 66/13 67/2 67/3 73/23 75/6 75/18 75/19 76/5 80/9 81/19 84/9 85/1 88/16 90/4 90/15 90/22 91/24 92/11 92/17 92/22 94/19 95/17 95/19 96/1 96/10 98/4 98/4 98/6 100/1 101/21 103/11 106/7 107/4 108/11 110/5 110/12 111/5 113/17 114/6 114/21 120/19 132/16 133/8 133/11 136/12 138/21 139/11 141/12 144/21 145/22 148/8 149/9 149/25 154/1 154/2 157/6 157/14 158/4 159/15 159/19 161/22 161/25 162/3 162/14 167/24 168/3 174/9 174/9 179/4 179/13 180/16 180/23 184/5 184/7 184/12 184/25 187/13 188/13 189/15 190/17 191/12 192/11 195/6 195/20 196/3 200/21 202/13 206/4 209/12 213/5 213/6 213/14 213/20 214/20 214/21 216/2 219/4

or of [1] 206/4 order [13] 70/15 70/16 76/14 120/5 131/8 141/19 154/12 162/19 166/13 176/24 179/18 180/14 209/23 out [105] 4/21 5/16 ordered [1] 160/10 ordinarily [1] 55/4 ordination [1] 172/15 Organisation [2] 123/23 124/15 organisations [6] 137/4 165/12 196/24 206/4 206/8 211/24 organising [1] 82/10 origin [1] 200/13 original [2] 207/6 210/1 **Os [1]** 147/5 other [93] 4/3 5/5 5/6 5/9 6/25 11/7 13/16 13/23 16/15 18/13 18/25 20/24 27/15 30/11 32/6 34/16 35/7 36/4 36/8 37/6 37/9 39/6 42/1 48/8 48/10 48/20 49/7 51/6 52/22 53/5 53/7 55/21 56/1 56/5 56/5 56/8 60/14 60/21 61/4 61/7 68/5 71/19 74/22 75/16 75/20 92/22 93/7 95/21 96/3 96/5 97/19 100/16 103/13 107/19 113/13 114/3 123/9 131/6 136/25 140/12 141/22 143/21 144/9 145/11 148/14 150/11 152/24 153/24 155/18 162/3 162/10 164/2 164/23 169/19 171/9 178/24 180/6 180/16 182/8 183/5 186/7 186/8 189/12 189/13 189/14 190/15 193/14 194/12 197/17 198/20 outcomes [11] 37/19 203/23 205/8 216/23 others [8] 4/9 49/10 49/14 94/16 101/12 118/14 190/24 219/13 otherwise [3] 12/5 60/14 129/12 ought [3] 24/9 85/4 216/7 177/12 our [38] 4/14 6/17 outlined [2] 65/25 12/22 13/24 25/14 26/3 27/16 40/5 44/7 outputs [3] 10/12 51/4 59/1 60/12 62/9 11/9 20/24 69/1 70/13 79/1 90/9 outs [1] 150/19 95/2 96/9 103/24 outset [6] 38/9 39/7 118/9 124/21 134/9 141/16 147/16 149/16 189/17 151/19 153/18 163/20 outside [8] 12/4

181/5 187/5 187/23

200/7 204/22 206/17 207/23 213/6 217/2 ours [1] 181/7 ourselves [4] 27/16 79/3 113/21 161/20 7/16 15/2 15/10 15/18 19/25 20/20 23/8 24/7 25/11 25/12 25/13 27/18 29/19 29/25 32/16 33/14 33/15 33/20 34/21 48/11 48/16 49/2 49/5 49/8 55/20 56/14 57/3 62/7 63/16 68/2 68/13 70/4 overall [3] 168/12 70/19 72/1 72/22 73/25 73/25 75/5 75/18 75/18 84/23 85/12 86/25 87/10 87/17 88/19 91/12 102/5 104/4 106/20 111/15 111/17 112/19 117/16 119/21 120/18 120/19 121/5 121/7 121/24 121/25 122/19 91/15 123/24 124/25 126/17 128/15 132/8 133/25 134/9 136/9 139/3 147/4 148/14 152/18 154/25 155/2 155/6 156/18 160/23 160/24 overwhelming [1] 163/4 168/8 170/4 172/4 176/12 177/10 178/5 179/25 182/15 185/15 190/2 194/22 197/23 201/6 201/8 201/12 204/20 204/22 Oxford [6] 2/22 41/1 208/1 209/12 216/22 217/1 217/3 outbreak [1] 102/14 outbreaks [5] 38/16 39/9 39/15 39/15 96/23 outcome [3] 57/14 114/22 133/20 55/24 55/25 58/9 132/1 132/13 133/1 133/18 195/15 212/4 214/25 outcry [2] 138/18 138/23 outline [2] 3/14

199/13

39/17 122/10 127/15

16/25 30/22 32/10

35/20 73/12 127/18 187/5 outweighed [1] 95/16 over [24] 7/14 9/3 12/2 14/1 19/3 19/6 26/17 31/19 35/16 36/5 49/5 49/24 70/2 92/17 116/12 119/18 126/10 135/23 147/13 152/16 159/6 165/12 205/14 205/15 over-represented [1] 152/16 212/22 218/18 overcriticise [1] 159/19 overlapping [1] 216/1 overleaf [5] 151/24 157/7 162/15 176/9 189/25 overreliance [1] overshoot [1] 29/15 overview [1] 128/17 overwhelmed [5] 47/11 48/6 65/6 146/18 159/24 45/1 own [10] 36/8 46/3 62/9 67/10 82/16 88/3 101/6 130/25 162/2 173/12 48/9 55/22 95/8 215/21 Oxford University [2] 2/22 95/8 P pace [5] 1/20 157/9

157/20 157/23 158/5 package [7] 75/8 102/24 103/9 103/13 107/1 107/4 184/21 packages [5] 180/24 181/11 181/16 182/13 184/18 page [66] 11/22 12/2 19/14 21/21 25/2 33/5 33/7 35/16 48/16 71/25 75/1 78/1 79/17 82/25 83/23 84/24 85/11 86/5 87/1 91/7 91/8 92/5 95/23 98/23 102/5 106/19 108/5 120/17 121/6 134/3 134/3 134/12 134/23 140/4 140/17 141/12 143/24 151/24 152/1 152/2 155/5 155/22

155/22 158/24 162/14 162/14 162/21 162/25 164/9 165/14 165/15 166/8 167/22 168/9 169/3 175/9 176/1 177/20 185/14 186/2 186/10 186/21 187/24 189/5 207/1 221/2 page 1 [1] 75/1 page 10 [1] 121/6 page 11 [2] 85/11 175/9 page 13 [1] 86/5 page 14 [1] 165/14 Page 16 [1] 166/8 page 17 [1] 21/21 page 2 [4] 33/5 79/17 106/19 151/24 page 22 [1] 186/2 page 23 [1] 169/3 page 29 [1] 186/10 page 3 [2] 108/5 177/20 page 36 [1] 71/25 page 4 [3] 120/17 134/3 134/23 page 47 [1] 78/1 page **49 [1]** 176/1 page 5 [6] 152/1 152/2 155/5 162/14 185/14 187/24 page 6 [8] 134/12 140/4 141/12 155/22 155/22 162/14 168/9 189/5 page 7 [2] 25/2 102/5 page 8 [1] 95/23 page 9 [4] 91/8 140/17 162/21 162/25 pages [9] 1/12 91/3 91/4 126/11 187/23 206/18 206/22 208/18 212/17

pages 10 [1] 91/4 pages 223 [1] 212/17 paid [4] 40/11 103/20 122/4 184/7 painful [1] 193/17 Pakistani [11] 168/1 168/23 169/5 169/9 175/20 181/22 182/18 185/16 185/23 186/4

200/13

Pakistani/British [2] 169/9 185/16 pandemic [50] 2/25 4/10 4/12 7/6 9/17 16/14 16/19 20/22 21/24 23/25 26/15 27/6 32/25 32/25 33/1 36/11 39/17 41/6 43/6 45/12 45/14 51/15 58/9 62/21 63/10 65/20 70/2 71/1 78/3

142/16 144/9 144/16 P 175/12 208/22 210/25 permission [6] paragraph 76 [1] partnerships [2] 144/20 148/7 148/22 196/12 203/9 203/14 pandemic... [21] 145/3 177/23 178/9 148/24 149/8 149/19 203/18 205/11 205/12 85/18 85/23 89/24 paragraph 8 [1] parts [7] 29/2 36/8 151/24 152/24 153/5 permitting [1] 97/24 95/13 123/25 128/4 158/25 109/25 110/11 141/1 154/5 154/10 154/11 person [6] 6/5 21/1 134/10 146/18 146/22 173/4 214/23 154/13 155/14 156/21 106/3 152/9 162/3 paragraph 9 [2] 152/4 152/7 156/5 159/19 159/21 160/3 188/10 128/16 128/18 passages [1] 140/5 159/25 161/14 162/4 160/5 160/14 160/23 personal [9] 94/14 paragraph down [1] passengers [1] 162/5 173/16 182/1 135/10 161/21 161/22 161/24 101/6 137/10 142/3 27/9 195/4 215/17 217/9 161/25 162/1 162/18 157/13 162/2 173/12 paragraphs [2] 152/3 passing [2] 148/3 pandemic-sized [1] 198/17 148/6 166/12 169/4 169/13 187/10 207/11 162/5 past [2] 82/21 162/24 169/21 171/9 171/10 paragraphs 44 [1] personally [2] 26/25 pandemics [2] 77/16 198/17 path [1] 190/11 172/8 172/8 173/17 142/19 100/8 pathogens [2] 91/14 173/20 174/1 174/5 **parameter** [1] 37/8 persons [6] 148/22 panicky [1] 79/3 parameterised [1] 97/20 174/10 174/15 177/2 149/13 152/21 153/8 paper [17] 26/16 29/1 Patrick [17] 2/2 177/4 178/22 179/3 154/17 155/19 28/20 42/17 42/18 35/11 45/25 49/17 179/6 179/10 179/20 parameters [1] 15/19 perspective [23] 7/9 42/20 51/23 66/3 66/5 67/10 67/25 82/4 180/15 180/20 180/21 23/15 43/19 54/16 paraphrase [1] 74/24 86/6 89/2 98/10 157/14 82/17 82/21 83/10 181/3 181/4 182/3 55/18 55/20 56/19 98/13 100/13 102/4 182/15 182/16 183/5 83/23 84/18 106/7 56/20 61/25 62/2 84/4 paraphrasing [1] 114/6 114/8 123/15 111/9 112/18 112/24 183/10 183/16 183/17 95/25 105/9 105/9 157/13 papers [2] 92/8 98/8 parcel [1] 181/4 Patrick Vallance [5] 184/5 185/4 185/5 105/16 121/17 135/12 paragraph [42] 21/21 pardon [1] 144/14 2/2 45/25 49/17 67/25 185/8 185/22 186/19 136/12 136/13 136/15 23/9 25/2 27/9 41/15 190/12 190/18 191/4 parents [2] 18/11 111/9 136/17 153/17 196/2 64/4 71/24 75/2 75/21 191/17 193/14 193/19 pertinent [1] 22/18 77/18 pattern [2] 88/22 76/13 78/1 79/17 194/3 194/4 194/19 parliament [5] 127/1 116/1 Peter [1] 33/17 85/14 91/10 106/20 195/20 197/18 198/25 Peter Bruce [1] 137/2 203/11 203/24 patterns [3] 116/3 108/5 108/6 114/17 201/11 207/15 211/8 205/6 168/25 191/23 33/17 128/16 128/18 132/20 Parliamentary [1] pause [7] 7/7 12/24 211/9 212/14 213/19 pharmaceutical [2] 132/22 134/4 134/13 73/12 91/6 170/13 215/16 217/5 217/13 64/14 178/16 127/4 134/24 140/6 140/17 part [32] 4/14 5/12 172/19 181/13 people's [5] 5/6 42/1 pharmaceuticals [1] 141/12 142/21 145/3 pausing [1] 170/10 23/7 23/25 27/8 69/9 51/21 164/2 211/24 66/11 146/3 152/19 155/6 70/1 89/2 90/3 109/16 pay [1] 128/12 per [2] 61/10 152/22 phase [1] 140/21 158/25 159/20 162/25 117/20 133/3 148/20 peacetime [1] 32/11 **perception** [1] 94/15 **PHE [19]** 132/8 166/8 168/9 175/12 149/4 151/19 153/20 peak [9] 53/13 56/15 perfect [2] 93/12 132/12 132/25 133/13 177/21 178/6 206/15 158/20 158/23 159/13 57/12 57/19 62/12 133/22 134/2 136/5 183/7 paragraph 1 [1] 160/5 163/20 164/13 81/23 86/15 112/4 137/5 138/7 140/11 perhaps [55] 7/19 134/4 164/17 167/21 168/15 112/14 11/24 11/25 12/7 17/5 142/12 151/13 160/11 paragraph 116 [1] 168/19 171/17 179/7 17/9 18/22 19/13 169/14 201/1 204/21 peaked [1] 53/9 41/15 179/8 181/3 194/20 19/19 21/19 21/20 208/8 209/7 210/9 pedagogical [1] 29/3 paragraph 120 [1] 23/15 24/20 25/4 27/5 PHE's [1] 206/19 210/8 peer [1] 29/25 71/24 part 3 [1] 89/2 penultimate [1] 27/15 28/19 39/4 51/7 phenomenon [1] paragraph 153 [1] 35/17 60/18 66/1 72/16 186/15 participant [1] 3/2 78/1 73/21 101/7 102/8 participants [3] people [160] 5/5 7/5 **Philip [1]** 30/7 paragraph 16 [2] 137/14 186/10 196/12 12/20 13/23 13/23 106/11 106/15 129/11 **Philip Duffy [1]** 30/7 108/5 108/6 130/25 132/17 134/1 16/13 16/15 16/15 **phone [1]** 53/20 participating [1] paragraph 17 [2] 20/19 21/2 21/7 22/19 134/23 138/6 140/4 photograph [1] 53/20 179/2 132/20 132/22 22/24 26/2 26/23 28/7 146/25 152/7 155/5 participation [1] phrase [7] 28/16 paragraph 18 [1] 194/6 29/8 31/4 34/12 34/18 155/10 155/23 157/14 72/16 129/2 130/8 142/21 34/20 35/3 35/5 41/7 161/5 162/3 166/7 136/14 151/15 172/24 particular [22] 6/22 paragraph 2 [2] 6/24 15/15 16/21 37/9 41/19 42/6 43/25 44/5 168/8 171/23 174/5 phraseology [1] 106/20 134/24 37/10 37/17 40/10 45/20 46/8 48/8 48/10 174/9 174/10 182/1 158/4 paragraph 22 [1] 53/9 128/8 128/11 52/22 57/21 58/25 189/5 190/3 197/17 **physicists** [1] 28/17 25/2 60/20 61/7 69/2 69/16 197/17 209/25 215/19 pick [9] 7/8 10/6 153/21 154/2 155/15 paragraph 3 [2] 164/16 171/13 175/17 72/4 72/17 75/20 period [25] 2/10 3/10 21/20 24/15 43/11 79/17 168/9 175/20 182/13 183/7 76/10 85/6 87/17 4/24 5/24 11/8 23/22 51/9 55/12 194/9 paragraph 4 [1] 75/2 200/13 209/2 90/14 92/21 92/22 24/1 25/9 35/10 54/16 197/1 paragraph 5 [2] particularly [23] 6/8 93/8 93/17 94/13 99/4 65/23 71/1 73/24 picking [6] 8/23 75/21 76/13 99/5 99/6 118/18 77/24 79/22 79/24 19/19 24/17 35/7 99/7 6/11 7/19 8/4 9/4 paragraph 57 [1] 13/22 22/17 34/21 123/3 124/22 134/16 80/12 108/10 109/3 119/2 21/21 36/6 37/7 39/11 94/21 136/2 136/2 136/3 118/3 127/10 127/11 picture [11] 20/11 paragraph 6 [1] 95/16 135/16 136/14 136/7 137/11 137/18 177/11 190/17 204/15 24/7 45/13 46/13 141/12 170/25 179/22 183/1 137/22 138/2 139/3 47/17 47/20 86/12 periods [2] 127/19 paragraph 7 [1] 185/23 188/4 200/1 139/6 142/1 142/6 177/1 102/6 118/8 165/16

(81) pandemic... - picture

140/17 142/22 152/1 28/10 28/22 59/10 83/11 84/17 100/15 P practically [1] 90/11 152/18 155/5 157/7 63/13 praises [1] 35/2 105/24 106/1 133/24 picture... [1] 216/23 158/24 162/13 162/21 polite [1] 51/2 pre [3] 16/19 186/19 154/25 155/25 164/25 pictures [4] 15/15 political [4] 35/19 162/25 166/8 167/11 188/13 167/3 94/24 99/2 99/6 177/20 187/24 189/6 138/6 139/12 158/4 pre-existing [1] Prime Minister [14] pie [1] 145/23 203/19 205/16 205/21 politician [1] 44/11 14/11 44/22 51/17 186/19 piece [5] 28/20 48/14 politicians [5] 19/21 82/1 82/8 84/17 plenty [2] 32/11 pre-lockdown [1] 204/22 208/2 210/15 81/12 19/22 44/20 66/9 16/19 100/15 105/24 106/1 pieces [1] 201/2 119/15 133/24 154/25 155/25 **plot [1]** 51/4 precede [1] 91/3 piloted [1] 175/6 **ploughing [1]** 153/3 politics [1] 65/11 precisely [2] 20/14 164/25 167/3 pin [1] 72/16 plural [1] 135/18 Pollock [1] 11/14 20/15 principle [2] 158/1 **pithy [1]** 10/18 pm [6] 118/22 118/24 poor [1] 188/7 **predictive** [1] 91/15 190/25 place [40] 9/3 9/3 144/17 151/7 151/9 poorest [1] 95/17 **principles [2]** 10/20 predominantly [1] 12/6 22/23 33/10 populated [1] 188/6 220/6 7/25 131/1 51/11 68/20 70/8 pneumococcal [1] population [20] preferable [1] 67/6 printing [1] 184/22 70/10 70/12 76/16 prior [2] 40/21 82/3 96/4 13/21 42/4 44/9 49/21 prejudice [1] 169/16 79/22 94/3 96/3 49/25 59/1 66/16 pneumonia [1] 96/5 premise [2] 95/24 **priorities** [1] 121/5 103/17 108/9 114/3 pockets [1] 40/5 66/19 66/23 68/13 216/4 prioritise [3] 9/6 9/14 119/5 136/16 139/10 point [75] 1/21 2/14 69/18 92/2 92/4 93/2 prepared [4] 24/8 124/11 150/22 156/9 156/22 4/6 16/18 20/4 20/5 93/5 97/6 97/24 66/14 86/6 161/13 priority [6] 121/10 157/4 157/6 158/11 20/15 21/19 23/8 121/12 121/12 121/13 102/10 102/13 179/17 preparedness [1] 173/6 173/9 175/6 25/11 33/18 36/11 population-wide [1] 91/17 154/13 159/5 177/1 177/6 184/13 37/15 42/20 44/3 45/9 97/6 present [9] 5/11 private [1] 34/8 187/15 187/16 192/25 50/19 51/9 53/14 43/14 43/25 98/8 98/8 **probably [21]** 7/19 populations [2] 197/24 199/8 205/8 55/13 56/11 63/16 96/22 174/13 106/10 114/6 149/19 14/16 46/6 54/24 208/11 211/15 64/5 64/5 64/22 65/19 portfolios [1] 216/7 151/21 54/24 65/11 68/16 places [17] 46/5 68/15 77/2 78/13 80/4 presented [4] 114/10 69/10 70/14 93/10 pose [1] 47/14 69/15 109/19 109/22 113/9 115/23 130/20 80/14 82/18 83/7 posed [1] 84/9 114/12 138/24 193/24 110/13 110/14 110/20 83/24 85/3 87/20 88/2 posited [1] 97/12 president [1] 127/20 139/12 144/7 147/7 114/22 115/14 115/15 88/17 93/4 97/17 position [12] 2/1 36/1 162/14 163/17 168/9 press [3] 70/3 70/21 115/16 115/20 115/22 98/17 99/8 101/12 72/1 76/21 76/22 172/14 205/11 218/2 171/8 172/10 175/6 102/8 102/9 104/25 84/25 86/25 95/19 pressing [1] 172/24 problem [10] 23/6 178/5 106/25 107/24 108/17 95/19 188/23 213/13 pressure [3] 121/2 23/8 117/17 171/3 placing [1] 121/1 112/5 131/12 142/23 218/23 121/8 193/8 183/9 191/14 192/25 plain [2] 37/3 100/3 154/17 162/8 162/12 positive [7] 23/12 presumably [3] 49/2 204/16 210/8 219/21 plan [13] 41/18 59/11 163/2 164/8 164/8 61/23 108/25 117/20 problems [8] 8/24 82/11 111/17 59/12 60/23 61/5 62/4 169/22 170/5 170/24 175/3 191/3 191/5 pretty [10] 17/3 41/8 18/17 38/14 39/8 64/23 64/25 73/13 176/17 176/22 176/25 67/9 85/7 87/19 98/23 39/15 191/11 191/13 posits [1] 97/4 82/12 87/21 93/1 104/13 104/18 115/23 179/12 180/9 181/1 possibilities [3] 9/9 195/23 120/5 183/13 185/1 189/5 9/12 57/7 158/7 procedure [1] 114/25 planned [1] 207/6 189/17 191/21 202/13 possibility [3] 67/18 prevalence [9] 87/16 **proceeded** [1] 78/11 planning [2] 82/21 204/5 204/11 86/2 206/4 114/20 114/22 115/3 proceedings [1] 89/15 point 3 [1] 164/8 115/7 116/10 117/11 203/24 possible [19] 15/1 plans [9] 61/16 88/4 process [10] 9/17 point 4 [1] 102/9 28/18 62/20 66/10 186/17 186/23 88/8 88/11 120/3 prevalent [1] 166/24 point 5 [1] 164/8 68/10 69/2 69/21 22/5 48/11 156/25 156/4 156/5 156/14 157/2 158/14 158/23 prevent [8] 15/6 pointedly [1] 25/7 75/25 81/15 96/10 156/22 points [10] 1/18 25/6 97/18 116/12 116/14 15/10 52/18 91/22 172/17 193/17 203/9 play [2] 29/8 31/7 25/12 85/2 93/14 123/1 139/16 144/23 109/12 147/17 178/20 processes [1] 197/24 played [1] 58/8 produce [1] 66/11 120/13 162/7 164/6 171/3 174/1 217/3 200/22 playing [1] 30/8 165/16 181/14 possibly [1] 41/23 **prevented** [1] 94/20 produced [2] 20/4 **plays [1]** 123/24 policies [4] 156/11 post [3] 16/19 126/19 **preventing** [1] 131/5 193/16 please [60] 1/5 9/8 156/15 159/1 176/5 131/23 previous [5] 108/6 **producing [1]** 10/16 12/2 13/18 14/12 22/4 policy [28] 6/22 7/23 128/14 134/22 212/25 production [1] 11/10 potential [8] 29/9 25/2 28/15 30/25 31/4 8/13 10/10 19/23 45/12 45/14 51/3 213/2 **productive** [1] 151/4 31/4 32/20 33/4 35/8 24/23 28/10 28/22 52/19 68/2 68/3 75/16 previously [3] 2/4 professional [2] 2/18 35/16 43/11 46/1 37/12 37/13 37/17 107/9 170/1 126/17 potentially [5] 19/24 62/13 71/24 74/24 59/10 59/25 63/13 35/22 45/3 61/3 price [1] 103/20 **professor** [62] 1/5 77/25 83/15 84/5 65/25 73/25 74/2 104/24 primarily [5] 131/1 1/6 1/8 1/23 2/2 2/19 85/12 92/6 95/6 95/23 96/14 97/9 110/2 145/4 145/10 147/17 5/25 6/3 8/18 11/14 poverty [5] 197/9 106/19 108/5 114/15 119/16 119/18 121/20 197/12 197/16 197/25 219/8 27/5 27/12 35/11 37/1 118/18 120/11 120/14 122/20 143/18 157/10 198/3 primary [3] 19/21 38/9 39/7 40/22 42/15 120/17 125/22 125/25 169/6 172/2 power [1] 60/11 59/13 59/17 42/15 42/16 42/17 126/5 132/23 134/3 42/21 45/10 45/24 policy-makers [7] **PPE [2]** 211/8 211/12 Prime [15] 14/11 134/13 134/23 140/4 10/10 19/23 24/23 practical [1] 90/17 44/22 51/17 82/1 82/8 49/16 50/14 55/12

93/16 200/9 201/13 206/2 192/15 213/23 P **R rate [1]** 73/23 Professors [1] 90/2 publication [5] quarters [3] 42/4 race [10] 130/3 142/9 professor... [35] 58/4 profile [1] 95/11 136/25 137/10 201/13 44/8 159/11 155/11 158/16 159/6 67/23 67/24 77/15 **programme [3]** 170/6 206/23 207/4 question [42] 6/22 181/6 197/16 206/24 84/15 84/18 84/19 171/6 171/10 publicised [3] 179/10 8/9 8/12 8/14 36/23 215/24 217/6 84/20 86/3 90/20 202/2 203/5 37/17 46/20 47/14 racial [2] 169/25 programmes [1] 90/21 90/21 90/25 58/21 64/4 64/19 189/12 publish [3] 137/19 180/20 91/9 93/16 93/16 94/7 progress [5] 28/7 73/20 84/8 84/9 84/10 141/16 207/7 racism [1] 169/17 95/7 95/7 98/2 98/10 128/19 175/10 175/14 published [22] 132/9 85/15 106/4 123/13 racist [2] 191/12 101/13 101/15 102/4 132/15 137/16 138/21 145/9 153/11 160/7 210/24 191/12 104/11 106/7 111/9 progressed [3] 9/18 138/22 139/18 140/2 164/20 180/2 183/24 raise [2] 49/19 98/19 119/11 120/11 125/11 146/8 154/23 167/13 186/25 196/9 198/19 33/1 98/7 raised [6] 40/2 51/10 142/10 196/21 209/8 project [3] 144/8 200/7 200/10 200/11 201/25 202/6 203/3 67/20 160/10 186/11 221/3 221/11 158/9 172/14 202/13 202/14 204/21 203/15 203/19 203/20 203/11 **Professor Carl** projected [1] 112/2 207/2 207/17 208/5 204/21 205/6 213/1 Ramadan [1] 178/4 Heneghan [1] 84/20 ramping [1] 71/21 209/21 212/15 215/7 213/8 213/16 216/12 prominence [1] Professor Dame [1] ran [4] 3/10 16/14 217/15 218/9 218/18 154/6 publishing [3] promise [1] 196/10 137/12 139/19 209/11 questioner [1] 78/17 98/25 **Professor Edmunds prompt [1]** 113/3 pull [6] 33/4 46/1 218/10 range [3] 83/12 85/19 [2] 40/22 45/10 85/10 106/19 114/15 prompted [2] 44/14 questions [31] 1/7 135/9 **Professor Ferguson** 5/16 9/15 10/3 37/9 45/24 168/8 rapid [3] 14/5 14/7 **[1]** 42/15 proper [2] 122/4 pulled [2] 11/13 37/12 37/14 58/1 162/16 Professor Graham 100/22 125/11 125/13 rapidly [1] 97/18 122/6 159/24 **Medley [1]** 5/25 properly [3] 31/11 pulling [2] 81/16 125/24 193/3 196/11 rate [14] 42/5 66/20 Professor Gupta [4] 142/13 196/21 196/25 204/23 73/23 77/6 81/18 68/1 92/11 84/15 90/21 95/7 205/19 206/6 211/21 81/19 115/17 115/19 **proportion [2]** 91/12 pure [1] 55/25 101/13 212/7 214/14 218/8 116/3 152/14 153/8 purely [1] 196/2 92/4 Professor Heneghan 218/12 219/16 221/5 169/4 171/2 181/19 proposal [1] 172/17 purpose [5] 46/7 **[3]** 90/25 94/7 propose [1] 4/3 88/7 88/7 147/16 221/9 221/11 221/13 rates [17] 81/10 101/15 221/15 221/17 97/23 115/9 121/1 protect [4] 90/10 216/14 **Professor** 97/14 188/20 189/2 134/17 134/17 134/18 purposes [1] 84/22 quick [4] 11/24 14/23 Heneghan's [2] **protected [6]** 131/4 pursued [1] 68/11 123/13 186/25 134/21 143/10 152/5 90/21 91/9 147/15 177/4 183/10 pursuing [1] 68/9 quicker [1] 191/23 167/15 184/24 186/18 **Professor John** 198/23 215/13 put [29] 3/20 8/5 quickly [11] 12/7 186/23 202/1 203/4 **Edmunds [1]** 84/19 protecting [3] 90/5 10/14 23/4 36/20 48/7 68/12 147/22 216/16 Professor John 93/7 176/24 63/10 69/10 71/18 158/6 160/4 160/6 rather [18] 8/21 **Edmunds' [2]** 102/4 79/21 81/14 83/5 160/14 162/4 208/12 protection [1] 121/9 20/14 21/1 31/6 75/6 104/11 protections [1] 90/12 86/16 90/2 90/11 210/19 83/14 92/3 95/18 Professor John quite [59] 6/19 6/25 93/16 108/9 109/20 99/25 131/5 138/2 **proud [2]** 117/9 **Medley [1]** 119/11 114/3 115/16 115/18 7/13 7/17 8/8 8/10 139/5 148/15 167/19 193/7 Professor Kevin prove [1] 151/4 123/1 156/9 160/23 9/11 15/11 17/23 177/13 181/8 182/16 Fenton [1] 209/8 proven [2] 178/24 170/4 179/13 201/15 25/25 26/7 30/16 207/25 **Professor McLean** 180/12 202/11 208/15 219/6 31/10 31/10 31/16 **RDU [2]** 155/9 160/23 [2] 1/23 8/18 34/6 36/2 40/10 41/25 RDU's [1] 163/14 provide [13] 59/24 putting [5] 11/20 **Professor McLean's** 62/4 65/2 131/9 110/19 184/6 194/22 42/3 48/3 52/7 52/10 re [4] 31/13 75/6 **[1]** 90/20 141/19 142/5 142/15 202/17 52/10 58/25 63/24 76/14 83/15 **Professor Medley [6]** 144/10 146/14 166/13 68/12 71/12 72/7 re-introduction [1] 6/3 27/5 27/12 35/11 170/22 210/11 219/13 72/18 72/20 78/2 75/6 50/14 67/23 qualitative [2] 139/17 provided [9] 1/9 65/3 82/19 92/14 96/20 re-open [1] 76/14 Professor Riley [3] 91/19 126/9 126/13 209/4 117/13 119/25 122/5 re-quality [1] 31/13 42/15 42/16 42/21 140/14 153/19 182/13 quality [12] 29/19 126/21 128/9 137/9 reach [2] 49/20 173/4 Professor Riley's [1] 30/15 30/17 31/2 200/7 141/17 141/20 142/10 reached [3] 32/16 42/17 31/13 50/21 143/21 146/18 149/3 149/4 providing [4] 4/5 90/12 96/7 Professor Sir [8] 2/2 165/17 165/20 188/7 100/1 121/17 145/23 150/4 150/9 156/12 **reaches** [1] 7/16 38/9 45/24 49/16 191/22 193/15 167/21 169/23 182/6 public [32] 7/21 reaching [1] 174/4 67/24 77/15 84/18 quantitative [3] 182/14 190/12 193/20 react [1] 41/7 31/23 40/25 52/14 111/9 139/17 184/22 209/4 195/17 199/20 216/13 reacting [1] 52/14 55/17 55/20 56/6 62/1 **Professor Tegnell's** read [6] 139/6 143/4 quantity [1] 22/7 84/13 85/20 95/19 **[1]** 86/3 quarterly [18] 128/19 R 105/8 121/9 129/4 150/19 160/20 164/1 **Professor Thomas** 130/11 141/16 147/13 R number [14] 10/19 132/5 134/11 143/23 170/8 Hale [1] 58/4 153/22 154/20 158/14 12/22 13/2 13/12 14/2 144/5 145/14 146/23 read-outs [1] 150/19 **Professor Vallance** 165/23 166/3 167/2 14/22 19/5 56/18 162/18 163/5 163/17 reading [4] 141/25 **[1]** 93/16 167/6 169/12 175/4 56/20 57/3 59/19 60/4 166/4 169/6 169/10 159/18 163/22 180/18 **Professor Whitty [1]** 177/17 187/20 192/10 77/3 103/1 189/9 189/12 200/4 ready [6] 11/10 19/13

207/14 20/22 23/23 28/10 R reducing [2] 108/12 remembering [1] recent [3] 91/12 120/24 30/9 31/18 32/22 18/17 ready... [4] 75/13 114/1 159/4 reduction [3] 16/8 35/22 38/8 39/8 40/8 remind [1] 12/15 94/24 161/5 196/19 recently [1] 162/23 52/2 52/5 45/11 57/5 58/6 58/8 reminding [1] 17/25 real [8] 33/24 35/20 reckoners [4] 11/11 reductions [1] 52/8 68/8 70/5 71/10 75/17 remit [4] 148/20 47/18 48/12 133/11 19/14 75/13 94/25 refer [6] 31/17 32/5 79/19 84/25 90/7 149/11 211/16 212/2 165/8 165/18 173/17 recognise [5] 136/19 125/1 128/24 164/7 90/25 91/20 92/6 94/7 **remote [1]** 170/10 real-time [1] 35/20 141/6 189/17 196/7 164/8 94/10 96/16 101/18 remove [1] 198/3 realise [1] 101/7 216/5 reference [32] 58/3 104/22 117/4 121/4 removed [3] 152/11 realised [1] 102/3 recognised [4] 73/4 70/4 72/8 82/11 86/8 122/19 123/13 124/1 206/19 207/5 realistic [3] 47/9 139/9 179/23 192/16 114/17 115/5 142/14 124/2 124/6 124/15 repeat [2] 140/25 96/10 146/20 142/20 142/22 142/23 126/15 129/8 131/25 recognises [1] 162/7 reality [2] 52/11 132/15 132/19 135/16 repeated [1] 152/6 175/22 143/5 143/14 143/15 84/13 143/19 144/6 144/15 138/5 140/5 140/7 Recognising [1] repeatedly [1] 39/24 really [64] 4/13 4/15 189/23 147/11 151/13 151/16 140/16 149/24 150/16 replicate [1] 145/18 6/4 9/13 9/14 9/23 152/7 152/8 160/24 151/15 153/2 153/7 replicated [1] 134/7 recognition [2] 13/10 21/3 21/4 21/10 166/2 168/10 168/11 155/8 157/18 158/24 166/21 181/19 reply [1] 139/8 22/13 23/1 23/1 23/7 163/11 169/7 169/8 recollect [1] 150/7 171/4 187/5 189/15 report [107] 27/19 23/8 25/10 25/20 26/5 recollected [1] 176/9 206/3 210/2 215/10 171/14 176/2 176/10 110/22 128/22 131/10 28/16 30/19 34/5 recollection [1] 91/4 referenced [1] 145/5 177/10 180/6 181/19 131/21 132/5 132/9 37/13 37/14 39/1 42/8 183/24 184/5 186/8 132/12 132/20 132/25 recommend [4] references [2] 74/8 45/14 46/10 47/10 214/18 216/24 217/13 175/12 187/1 188/18 189/10 133/10 133/13 133/22 53/24 54/11 59/3 60/5 referencing [1] 217/17 189/13 189/25 190/6 133/23 134/1 134/2 63/19 63/21 64/3 recommendation 187/17 191/21 192/5 193/5 134/9 134/24 135/12 64/14 64/14 70/15 **[10]** 108/2 156/8 referred [6] 10/20 194/14 213/19 135/23 135/23 136/5 73/3 73/8 73/12 79/8 157/8 162/9 162/13 39/24 40/12 90/19 relationship [1] 10/1 137/1 137/6 137/15 88/22 91/23 98/3 172/3 176/1 176/3 137/17 138/7 138/13 109/23 207/11 relative [2] 45/17 98/19 101/11 115/21 138/15 138/20 139/6 210/20 211/7 referring [8] 27/22 46/12 115/21 116/1 117/9 recommendation 10 42/10 93/19 133/16 relatively [2] 32/21 139/23 140/3 140/16 117/9 121/19 126/17 198/14 198/16 204/6 141/15 142/14 144/14 **[1]** 176/3 177/19 129/19 135/8 138/17 recommendation 4 204/17 **relatives [1]** 148/3 154/20 155/3 155/21 146/4 148/20 151/19 **[1]** 157/8 refers [5] 38/2 95/22 relaxation [2] 75/5 158/17 160/10 160/18 169/12 176/9 179/8 152/21 178/4 178/6 75/7 160/20 160/24 164/24 recommendations 189/6 **[38]** 106/24 107/24 reflect [2] 6/18 156/6 relaxations [1] 75/16 166/3 166/11 167/2 reason [9] 77/11 130/2 137/6 141/15 reflected [1] 20/6 released [1] 208/8 167/7 169/3 169/12 120/6 133/10 137/13 141/18 155/21 155/24 reflection [1] 101/10 relevance [3] 131/22 169/14 171/21 172/6 142/16 174/16 192/20 156/13 156/19 156/23 reformulate [1] 131/22 217/19 175/4 175/9 175/22 199/18 212/13 157/1 158/11 158/18 203/20 relevant [8] 6/7 36/4 176/2 177/17 177/22 reasonable [19] 1/19 162/8 164/2 166/2 regard [13] 2/11 2/23 | 102/9 127/14 143/8 179/25 185/13 185/19 47/9 62/24 78/16 167/1 177/14 177/15 4/5 4/10 7/7 7/8 21/19 185/22 186/9 186/12 164/15 168/24 218/1 78/18 78/23 82/13 177/15 180/19 189/7 24/4 30/5 32/2 37/4 relied [1] 29/24 187/1 187/17 187/19 82/23 86/8 86/23 191/25 192/10 194/2 210/25 210/25 religious [2] 174/9 187/20 187/22 187/23 87/15 87/17 88/3 88/6 201/17 206/18 206/22 regarded [1] 216/1 177/1 189/6 192/1 192/10 88/7 89/16 89/18 207/2 207/19 209/5 192/15 200/25 201/15 regarding [10] remain [4] 76/16 87/7 96/25 192/24 209/9 209/24 210/3 131/18 135/13 135/20 103/13 123/18 201/23 202/10 202/12 reasonably [1] 71/13 210/5 210/14 210/16 136/25 137/5 138/18 202/18 203/2 204/5 remainder [1] 105/23 reasoning [1] 112/18 160/9 165/20 180/2 remained [1] 164/6 204/10 204/19 204/21 reconsidered [1] reasons [12] 72/6 94/15 190/5 remaining [1] 102/19 206/2 206/5 206/19 81/12 99/17 138/2 record [6] 198/17 regardless [1] 191/1 remains [1] 76/17 207/2 207/6 207/13 141/20 148/13 183/16 205/17 207/9 208/3 207/14 207/17 207/25 regional [2] 168/25 remember [46] 8/8 187/4 197/5 207/24 208/15 212/18 209/8 8/25 13/20 34/3 40/25 208/6 209/3 210/6 208/1 217/18 recorded [2] 192/19 regions [1] 88/23 41/3 42/20 50/15 52/7 210/20 210/24 211/5 reassurance [2] 53/22 71/2 71/4 71/14 211/5 212/25 215/11 192/21 regret [1] 31/8 142/5 142/16 71/16 76/9 78/22 99/3 215/15 recording [1] 192/2 regular [2] 149/25 rebut [2] 173/19 recovery [1] 71/13 150/2 100/21 101/3 101/16 report 1 [1] 135/23 174/3 red [4] 14/4 86/14 reinforcing [1] 158/1 101/22 101/23 106/1 reported [1] 186/18 recall [15] 78/13 reporting [1] 160/11 106/3 106/3 106/9 86/15 86/20 related [6] 128/11 101/13 101/14 101/18 181/4 184/23 195/15 113/10 131/13 141/13 reports [23] 128/19 redacted [3] 28/1 103/2 105/25 160/16 200/2 216/7 144/17 160/19 160/20 128/22 130/11 137/14 28/6 84/13 167/23 176/15 176/25 redouble [1] 175/16 relates [3] 27/5 163/2 160/25 163/22 165/1 137/23 138/7 141/17 177/8 206/9 211/7 165/25 168/2 172/1 146/8 147/13 151/13 reduce [4] 112/11 212/25 212/24 213/14 relating [3] 30/7 94/8 174/13 180/18 184/17 183/20 186/6 206/24 153/22 158/14 165/24 recalling [1] 213/21 190/16 198/7 203/21 171/18 180/19 193/18 reduced [3] 18/7 218/18 received [2] 100/19 97/18 103/1 relation [79] 19/20 211/11 212/20 200/7 206/18 207/14

R reports... [4] 212/16 213/23 215/7 215/9 represent [2] 142/6 209/13 200/14 representation [2] 143/17 15/16 16/24 representations [2] 11/6 206/9 represented [1] 152/16 reproduction [2] 10/19 76/17 95/15 request [1] 61/12 requested [2] 61/14 85/13 175/4 requests [1] 131/24 require [1] 169/10 140/11 required [6] 17/4 27/17 65/13 140/24 121/10 144/4 169/6 requirement [1] 210/2 requires [5] 17/2 73/9 24/5 24/6 216/13 217/8 research [5] 131/1 172/2 187/7 193/13 201/8 resistance [1] 145/20 128/7 resolve [2] 183/9 198/6 resource [1] 124/9 163/8 resources [2] 217/3 217/11 respect [16] 4/22 6/21 21/22 25/1 30/4 36/10 38/13 39/24 41/11 51/21 54/4 63/17 67/25 77/8 119/9 121/17 respected [1] 189/15 30/2 respects [1] 66/7 respiratory [5] 39/11 77/19 91/25 91/25 97/19 respond [1] 138/4 responded [1] 103/19 response [26] 2/12 2/25 17/8 28/6 32/19 32/19 40/18 58/9 96/2 101/5 101/6 103/15 103/24 134/11 162/5 164/22 169/7 201/20 202/5 202/20 202/23 206/21 207/23 208/14 209/11 216/8 responses [5] 91/12 138/25 139/1 139/10 139/21 responsibilities [2]

128/5 128/14

responsibility [3] 212/22 218/18 219/3 responsible [4] 10/16 130/24 184/14 rest [3] 97/24 118/17 restrictions [11] 14/14 70/22 71/11 71/18 76/10 79/21 79/24 97/6 108/9 114/23 120/20 restrictive [2] 94/12 result [5] 102/19 104/6 133/23 152/8 results [2] 134/8 resumption [1] retail [1] 107/20 retard [1] 115/2 retrospect [2] 72/25 return [8] 3/24 55/6 75/25 76/18 77/4 107/15 151/5 219/24 returned [1] 130/13 **returning [2]** 77/25 returns [1] 164/10 revealed [2] 159/10 reverse [1] 107/2 review [15] 130/15 130/16 130/17 131/15 131/20 132/1 137/2 137/20 140/11 143/7 144/23 162/16 164/3 201/14 210/2 reviewed [2] 30/1 reviewing [1] 164/12 reviews [1] 72/23 revisit [1] 205/25 revving [1] 95/3 rich [1] 173/2 rife [1] 77/20 right [83] 1/24 2/13 2/20 3/9 5/2 5/15 11/1 **Rob [3]** 4/17 120/3 11/15 12/18 13/14 13/17 14/1 14/4 15/4 15/6 16/6 17/23 23/19 29/11 29/12 29/12 29/21 30/18 33/21 51/22 51/23 59/20 60/12 74/3 74/4 75/11 81/24 84/8 86/12 89/13 98/9 99/3 99/12 101/24 102/12 112/7 129/15 129/16 164/21 116/17 116/21 117/15 218/22

122/16 122/23 126/14 rollercoaster [3] 129/10 151/21 154/22 80/22 81/3 81/4 room [5] 15/11 33/8 160/22 162/3 165/24 167/8 170/22 172/6 172/16 172/20 174/1 175/23 177/19 180/3 183/22 184/2 187/15 192/7 194/9 202/9 204/4 205/9 205/13 217/16 217/25 right-hand [6] 12/18 14/1 14/4 15/4 15/6 126/14 rightly [3] 30/22 113/10 209/14 rigorous [1] 193/10 **rigour [1]** 139/15 Riley [3] 42/15 42/16 42/21 Riley's [1] 42/17 ring [1] 27/24 rip [1] 83/13 rise [5] 14/7 81/22 107/2 108/19 109/25 rising [8] 57/9 57/15 78/8 89/17 105/17 110/10 115/7 117/18 risk [44] 97/15 97/17 98/1 105/1 121/1 132/13 133/1 133/18 133/21 135/4 135/6 148/5 148/8 148/9 149/8 152/16 152/24 156/7 161/5 166/7 166/8 166/9 166/18 166/21 166/21 166/22 safe [2] 179/7 218/2 167/25 168/16 179/19 Safeguarding [1] 179/21 185/24 188/2 188/16 200/24 201/10 safety [2] 195/20 201/19 202/21 202/22 211/1 204/12 204/12 204/13 **Saffron [1]** 127/2 210/22 211/1 214/24 risks [2] 167/17 200/12 road [2] 9/24 135/10 roadmap [7] 4/21 65/24 120/18 120/20 121/7 122/14 122/19 roam [1] 15/11 123/1 **Rob Harrison [3]** 4/17 120/3 123/1 robust [1] 27/15 rock [1] 82/12 33/22 37/6 40/3 45/16 role [20] 5/1 5/4 5/5 6/3 6/4 7/10 7/23 29/21 58/8 91/14 123/25 127/17 127/25 128/3 128/7 128/9

roles [2] 2/4 188/4

118/1 119/24 120/2

rotate [1] 86/5 roughly [7] 14/24 64/9 64/9 86/17 87/16 154/12 170/1 round [16] 14/20 205/24 212/11 213/16 14/22 16/25 39/4 44/1 44/7 60/7 60/8 60/9 66/1 68/5 72/20 83/9 100/24 111/7 183/22 route [1] 62/5 routine [1] 218/3 routinely [2] 74/19 158/16 row [2] 15/3 50/1 rude [2] 26/21 26/25 Rule [1] 203/9 Rule 10 [1] 203/9 ruled [1] 68/2 ruler [2] 19/25 20/11 rules [1] 9/24 run [7] 3/4 87/13 102/19 126/24 138/9 191/6 191/8 running [7] 63/10 63/20 67/16 79/4 81/19 82/21 112/19 runs [2] 1/11 126/10 **RWCS [1]** 88/13 **sadly [4]** 58/25 91/22 105/18 135/3 219/6 **SAGE [53]** 3/2 4/22 4/23 5/4 5/5 5/7 5/13 5/16 5/19 9/19 10/13 11/9 11/21 19/17 20/4 31/22 36/17 39/19 40/9 40/9 40/19 42/10 45/8 50/24 50/25 51/19 51/24 59/22 67/4 74/2 74/7 75/18 75/19 79/14 80/5 84/1 92/12 93/22 93/22 95/12 99/9 103/6 106/18 108/3 110/1 111/13 114/10 114/12 119/2 119/16 121/16 124/5 124/5 **SAGE 15 [1]** 45/8 **SAGE's [1]** 108/6 **said [48]** 6/19 8/5 8/8 14/11 19/6 25/18 25/23 26/9 31/3 31/9 33/17 50/10 52/8

72/14 74/20 76/11

73/5 74/22 78/11 78/13 83/19 88/14 99/1 100/23 100/25 101/7 101/13 101/22 111/6 111/7 141/12 149/2 160/1 167/5 168/17 182/25 196/9 197/1 198/8 198/13 201/20 202/23 209/5 212/2 sake [1] 150/5 same [21] 1/17 8/19 24/2 32/10 35/9 50/13 83/23 95/9 103/24 104/19 104/21 104/25 105/1 114/8 116/11 144/21 147/6 168/2 168/16 168/20 203/10 sampled [1] 198/25 sat [4] 5/20 25/18 44/1 100/20 save [1] 206/24 saw [12] 8/24 14/6 14/7 25/8 45/13 63/14 72/12 77/7 81/10 116/1 167/24 193/25 say [107] 5/22 6/24 7/1 7/3 8/11 8/20 8/21 9/1 9/12 10/9 12/8 12/17 16/6 17/5 19/9 20/12 20/25 21/5 21/10 21/13 21/15 21/16 21/16 21/23 22/9 25/17 26/17 26/23 27/24 29/16 30/9 30/13 30/20 34/12 37/11 38/19 41/9 42/7 44/14 46/9 50/24 51/13 52/16 52/22 54/10 55/1 57/17 59/7 60/2 61/10 61/16 61/18 62/7 62/8 62/10 65/11 66/17 68/19 72/22 78/3 78/19 80/7 82/12 82/22 83/24 86/23 92/13 92/19 93/9 99/14 100/14 112/18 115/25 119/13 120/1 120/19 122/9 122/10 122/18 122/20 122/25 123/10 124/20 128/7 128/8 138/7 146/20 150/6 154/4 156/21 157/14 158/12 158/23 173/1 180/2 182/4 182/4 182/6 183/3 183/16 187/6 188/25 189/1 194/18 207/3 216/10 218/2 saying [23] 25/21 26/2 28/6 30/25 41/3 (85) reports... - saying

52/21 62/13 63/1 63/2

68/16 69/11 70/21

29/25 30/11 164/11 120/18 120/23 121/7 S scientist [4] 5/8 seven [4] 102/23 21/14 84/16 85/12 121/8 123/6 123/7 176/11 106/23 206/18 207/2 saying... [18] 46/8 scientists [4] 5/9 126/14 126/23 128/17 sentence [3] 28/14 seven days [1] 47/23 50/9 68/1 79/10 24/20 25/5 83/12 134/3 136/21 139/6 89/21 160/5 106/23 86/13 87/23 104/19 scientists' [1] 21/17 140/18 142/20 143/4 separate [14] 28/9 several [4] 9/8 26/16 106/1 159/21 163/23 92/15 187/4 scope [4] 142/24 144/15 151/22 152/2 38/22 40/13 55/20 163/24 173/17 174/17 147/9 148/12 151/17 155/21 155/22 155/24 137/22 139/16 155/17 severe [4] 140/22 182/23 198/3 203/21 158/25 160/3 169/8 Scotland [4] 35/22 163/14 209/2 210/15 201/25 203/4 214/5 204/7 36/6 36/18 145/7 170/24 171/1 171/25 210/19 213/23 214/3 sex [4] 143/12 saying: [1] 51/2 175/11 177/21 186/21 219/10 153/25 188/11 217/7 screen [2] 90/20 saying: why [1] 51/2 206/14 187/24 190/14 195/7 separated [1] 139/13 sexes [1] 211/10 says [23] 12/19 195/7 214/15 218/10 screens [1] 76/9 separately [4] 3/23 **shall [4]** 55/6 135/18 51/17 82/6 85/21 scroll [1] 152/18 218/13 218/20 120/24 154/8 213/7 151/5 219/24 86/16 87/5 87/16 September [32] 7/13 | searching [1] 113/1 seed [1] 171/11 shared [6] 10/2 57/23 96/17 103/7 104/13 second [51] 3/17 seeded [2] 68/13 62/22 71/15 76/14 119/19 147/5 149/23 104/16 114/18 119/3 3/24 22/2 27/9 35/13 69/22 76/18 76/25 77/5 77/6 173/16 120/21 121/23 134/5 57/4 76/15 77/8 77/8 77/19 77/21 77/24 **sharing [4]** 36/10 seeing [7] 35/21 134/15 152/21 165/15 77/10 77/16 84/15 56/23 136/6 169/18 78/21 78/25 79/15 145/25 145/25 146/2 168/12 169/3 175/13 85/14 87/14 89/1 173/20 184/24 195/15 82/1 82/3 86/17 87/6 she [13] 45/18 95/7 203/19 89/23 94/20 97/11 seek [1] 132/22 87/22 94/18 95/1 95/10 96/10 96/13 scale [1] 140/14 109/8 116/5 137/16 104/25 105/5 105/11 seeking [1] 94/14 97/4 97/22 101/13 scare [1] 179/16 138/13 140/6 148/19 seem [4] 41/17 105/17 106/18 108/3 201/17 202/20 204/5 scenario [16] 58/14 152/3 152/6 160/13 159/17 176/25 217/19 108/18 113/20 127/6 205/6 205/8 58/14 58/16 62/24 161/13 166/8 167/6 seemed [6] 41/22 151/20 154/6 she'll [1] 196/16 82/13 82/23 86/9 167/18 168/14 168/15 47/22 48/3 54/23 93/9 September 2020 [3] **shield [2]** 144/18 86/24 87/16 87/18 168/19 168/20 168/22 192/25 62/22 151/20 154/6 178/23 88/4 88/6 88/8 89/16 168/25 169/12 172/5 seen [11] 32/1 45/15 shielding [5] 93/20 **September 2021 [1]** 89/18 109/11 175/4 175/19 181/13 51/7 68/8 108/7 133/4 127/6 93/21 94/2 98/4 scenarios [5] 27/6 185/20 185/22 185/25 134/21 152/4 162/23 **sequence** [1] 12/3 161/22 58/12 67/17 87/2 97/5 192/11 207/19 208/2 201/7 213/17 **Sergides [3]** 218/9 **shocking [2]** 45/15 **schedule [1]** 150/12 210/5 210/20 213/16 sees [1] 50/17 218/12 221/17 72/18 scheme [6] 74/12 **secondary [1]** 154/5 segmentation [9] serious [2] 72/7 **shockingly [1]** 22/13 74/15 75/18 109/24 90/4 92/9 92/11 92/14 206/17 secondly [4] 67/24 shoemaker [1] 34/6 109/24 218/1 90/13 121/15 165/19 93/1 93/6 93/18 93/24 seriously [3] 141/20 **short [17]** 10/18 55/8 school [15] 12/5 **secret [3]** 34/13 98/4 165/5 209/23 75/11 80/9 81/13 14/17 15/24 16/2 179/15 207/7 seriousness [2] 84/24 85/12 88/19 segmented [1] 93/3 17/22 17/23 17/24 segregated [1] 181/3 secretariat [8] 5/14 208/25 209/1 106/23 114/8 118/23 18/21 18/21 52/12 6/9 9/5 9/19 36/25 127/10 151/8 159/7 servant [4] 26/1 segregation [1] 52/13 71/15 71/17 26/19 26/20 27/7 111/11 111/13 112/21 191/8 196/13 216/10 180/20 76/19 77/4 servants [8] 6/8 7/20 secretaries [2] 44/1 self [3] 184/7 184/9 shortcomings [1] schooling [1] 147/25 44/24 186/18 10/10 24/19 24/23 23/23 schools [33] 12/4 secretary [12] 34/8 self-employed [1] 26/10 27/14 161/20 shorter [4] 79/22 14/2 14/19 14/21 84/17 106/2 127/7 service [6] 7/13 80/12 108/9 108/10 184/7 15/14 15/22 16/4 16/7 10/24 25/16 61/14 127/20 129/22 130/9 self-isolate [1] 184/9 **shorthand** [5] 11/19 17/17 17/19 18/2 18/4 131/25 211/23 216/5 158/4 161/21 13/5 13/6 61/4 70/25 self-reported [1] 18/8 18/11 18/25 19/2 218/16 219/18 services [1] 166/14 **shortlist** [1] 107/12 186/18 19/5 19/9 76/14 77/25 security [4] 76/2 76/6 send [4] 45/24 50/13 session [1] 207/12 shortlisted [1] 101/8 101/9 102/2 76/7 135/11 158/19 164/14 sessions [2] 5/21 193/14 104/20 106/22 107/25 shortly [4] 28/14 82/2 see [88] 11/2 12/2 sending [1] 164/13 140/19 116/16 116/17 116/19 set [32] 34/10 34/19 14/24 14/25 15/4 senior [5] 100/16 202/16 202/17 116/19 119/7 121/11 15/21 16/6 16/7 22/7 130/7 149/17 165/2 38/22 40/13 48/16 should [66] 5/17 195/16 23/21 27/8 27/23 29/8 212/22 56/13 57/2 75/5 84/23 21/10 29/22 35/3 science [11] 3/21 35/12 36/11 38/17 85/12 86/25 87/10 seniority [1] 164/22 35/15 54/10 54/14 30/3 34/9 41/1 58/11 39/19 40/12 46/7 88/15 88/19 94/12 64/16 70/22 73/10 sense [12] 29/25 62/1 65/15 82/12 46/19 48/22 49/16 32/12 36/14 38/23 109/19 111/15 121/5 74/21 78/11 78/14 82/20 99/9 117/25 52/1 52/10 52/10 41/18 47/3 47/5 50/9 122/1 123/24 124/25 78/17 83/2 84/10 scientific [26] 1/24 52/12 52/13 56/13 99/23 110/8 119/17 126/16 128/15 132/8 85/15 92/16 94/15 2/7 2/15 3/1 4/5 4/25 62/10 62/15 75/3 123/11 139/7 148/14 156/18 96/11 99/15 100/4 7/11 10/12 11/8 20/24 79/19 83/9 84/8 84/12 sensible [5] 30/12 164/9 172/8 179/25 100/24 101/1 101/4 24/5 24/6 24/14 24/17 32/14 55/19 65/3 84/17 86/19 91/9 201/3 207/9 101/8 103/24 106/4 24/22 31/22 43/19 96/23 102/5 104/7 208/10 sets [4] 15/18 102/5 106/15 107/12 113/17 65/14 65/17 70/6 106/14 107/8 107/14 **sensitive [3]** 34/13 104/4 155/6 113/19 115/8 125/2 74/12 74/13 84/6 108/3 110/13 115/19 100/6 193/11 130/3 137/25 139/2 setting [2] 106/20 98/20 120/5 122/7 117/15 117/16 117/23 sent [6] 27/11 29/19 154/25 139/16 140/12 143/12

130/16 131/11 133/8 168/21 185/22 S 66/6 68/21 92/16 social media [1] 133/21 137/20 137/23 South Korea [1] 95/18 153/18 201/8 194/25 **should... [26]** 156/16 201/16 202/18 209/11 societal [1] 37/19 138/17 141/5 142/1 125/1 157/6 157/9 157/23 215/6 society [1] 29/2 146/23 147/21 150/24 Southall [1] 218/16 159/16 159/22 162/16 154/14 158/11 169/17 space [8] 20/18 since [7] 2/1 7/13 socioeconomic [4] 171/23 173/5 173/5 109/9 127/2 131/16 95/20 123/8 152/11 171/16 171/23 173/5 50/22 121/24 121/24 173/7 179/11 180/5 131/17 203/5 173/7 173/13 174/17 131/2 146/15 211/10 198/21 182/2 184/4 184/11 174/21 179/5 179/11 sing [1] 35/1 Solace [1] 218/17 219/13 185/4 185/9 185/10 single [7] 82/19 solution [2] 66/11 179/21 180/10 180/23 speak [7] 25/6 44/15 195/11 195/13 196/5 82/25 107/3 107/4 184/11 186/11 187/9 62/2 96/13 141/22 97/11 200/2 200/11 209/16 107/6 136/9 165/10 solve [1] 195/2 187/12 187/16 191/15 150/8 205/23 216/20 192/3 192/8 192/15 singling [1] 190/1 solved [1] 183/9 speaking [11] 14/24 shouldn't [3] 20/12 Sir [16] 2/2 35/11 some [99] 1/11 1/21 192/20 197/1 197/22 64/9 64/9 83/22 83/22 101/7 205/11 38/9 45/24 49/16 66/5 3/13 4/23 6/24 7/21 199/18 204/18 207/10 87/16 129/18 129/25 **show [3]** 17/10 104/5 209/18 209/21 218/3 67/24 77/15 82/4 9/24 10/23 11/5 18/22 142/8 172/12 215/10 158/19 82/17 83/23 84/18 23/24 24/10 25/23 218/4 219/12 speaks [3] 85/20 showed [2] 94/24 84/18 106/7 111/8 26/20 28/3 28/19 30/1 sometimes [10] 5/11 97/22 104/21 154/9 111/9 30/2 30/20 30/21 31/8 6/24 9/7 37/9 109/23 **special [2]** 115/23 **showing [2]** 20/8 Sir Chris Whitty [1] 31/11 32/3 32/9 36/2 157/24 162/1 164/14 190/2 185/17 38/8 42/2 47/2 47/18 164/15 208/12 **specific [4]** 20/3 111/8 **shown [4]** 46/13 51/3 51/20 52/22 59/7 Sir Patrick [1] 82/17 **somewhere [4]** 14/2 129/2 200/10 200/21 47/17 182/8 208/22 Sir Patrick Vallance 61/6 61/11 62/23 14/4 51/8 62/9 specifically [10] 36/4 **shows [1]** 103/22 **[6]** 35/11 66/5 82/4 66/19 67/13 68/18 soon [2] 9/11 115/7 38/23 40/14 83/12 sic [1] 119/11 83/23 84/18 106/7 68/20 74/22 76/3 sorry [22] 6/25 7/7 100/8 160/18 161/7 sick [1] 184/8 Sisters [1] 218/17 76/16 82/4 85/6 86/21 9/13 17/12 18/14 204/12 215/11 215/12 side [13] 6/25 14/1 93/21 94/6 98/5 sit [5] 5/14 125/25 26/23 37/23 47/13 specifications [1] 14/5 100/4 149/6 105/13 110/12 111/15 51/23 53/11 64/21 182/7 205/15 219/8 131/3 153/13 153/13 168/1 71/4 92/17 100/25 sitting [1] 162/6 112/8 112/18 113/3 **specifics** [1] 160/19 184/6 185/9 194/12 situation [10] 28/4 114/7 115/14 117/4 101/16 106/9 164/7 **specified [4]** 62/23 195/13 195/22 44/4 47/23 60/13 79/4 122/18 122/25 130/21 170/12 188/24 202/6 62/25 78/20 88/13 sides [2] 11/4 83/14 88/10 95/2 133/21 131/12 132/16 132/25 204/24 219/19 speculation [2] sighed [1] 31/14 192/24 192/24 177/5 198/10 134/7 136/15 137/25 sort [40] 4/15 4/17 sight [1] 10/22 speed [4] 1/19 50/11 six [1] 7/17 141/3 141/23 147/17 9/8 9/10 9/11 9/21 sign [2] 20/11 35/18 9/22 15/11 15/13 21/1 103/15 195/1 six months [1] 7/17 148/11 155/2 155/23 sign-off [1] 35/18 size [2] 189/19 198/9 156/21 159/1 159/14 22/21 25/21 26/2 spend [2] 69/4 signal [1] 41/8 sized [1] 162/5 159/21 161/2 162/23 28/25 29/16 34/6 41/2 180/13 **signature** [1] 1/13 **skewed [2]** 139/4 163/18 164/11 166/9 50/2 51/1 52/2 53/24 **spending [1]** 191/11 signed [1] 126/12 168/21 169/19 170/7 61/17 63/25 64/18 152/8 **SPI [57]** 3/8 5/2 5/9 significance [1] 73/1 76/3 78/17 87/14 5/11 5/14 5/17 5/23 170/22 171/8 173/23 **skill [1]** 162/4 133/14 174/3 178/22 178/25 92/25 101/25 136/13 6/2 6/5 6/15 7/21 8/2 skillset [1] 162/4 significant [**25**] 4/23 **skin [1]** 191/19 184/23 185/1 194/1 137/17 138/5 144/17 8/9 10/4 10/12 11/9 23/23 33/19 35/21 slacking [1] 161/25 197/18 199/13 200/15 148/4 149/12 184/10 11/16 11/20 20/5 36/12 41/11 52/10 slam [1] 116/13 207/22 211/12 187/6 195/23 216/13 25/14 26/19 27/10 52/11 79/22 91/18 28/2 30/15 30/16 slight [1] 137/12 somebody [12] 21/6 sort of [29] 4/15 9/8 129/5 133/1 133/15 slightly [14] 8/16 24/14 25/12 25/16 9/10 9/11 9/21 9/22 30/21 30/22 32/10 133/18 135/13 140/7 33/17 36/3 36/17 38/1 17/5 28/11 41/24 41/2 41/10 62/13 64/5 15/11 15/13 22/21 149/8 155/3 163/3 78/23 100/17 100/23 48/15 55/4 60/4 61/9 26/2 28/25 29/16 34/6 40/2 40/7 50/16 51/20 166/15 167/21 188/9 66/6 80/10 114/7 157/25 41/2 50/2 53/24 63/25 59/22 69/5 74/5 74/8 188/20 189/2 190/17 somehow [1] 46/10 174/7 185/5 205/22 78/17 87/14 92/25 74/24 75/3 75/18 significantly [3] 75/19 75/22 84/1 slope [1] 22/6 someone [3] 82/13 136/13 138/5 144/17 128/15 135/2 186/7 slow [3] 1/20 8/16 82/23 156/20 148/4 149/12 184/10 86/13 92/11 95/12 silence [2] 100/20 something [86] 7/1 187/6 195/23 216/13 103/6 110/1 111/10 114/21 100/20 8/14 9/15 11/10 11/13 sorted [1] 34/7 111/13 111/21 112/20 **slowing [1]** 108/12 silver [1] 198/6 sorts [6] 33/24 50/21 121/16 124/6 **slowly [3]** 1/19 64/8 16/13 21/15 22/21 similar [3] 26/3 79/24 103/20 26/6 28/18 28/21 76/11 123/3 149/9 **SPI-M [6]** 32/10 182/15 small [5] 4/8 66/18 29/13 29/22 32/23 193/1 33/17 50/16 69/5 similarity [1] 85/2 84/6 92/3 196/25 34/25 39/16 42/3 111/13 112/20 **sought [1]** 93/23 **similarly [4]** 1/21 **smaller [1]** 116/10 44/11 47/23 50/4 sounds [3] 60/16 **SPI-M-O [47]** 3/8 5/2 37/18 38/12 183/6 50/12 52/6 61/18 205/11 220/1 5/9 5/11 5/14 5/17 so [418] simple [6] 28/18 social [15] 52/4 68/4 78/12 78/14 80/9 5/23 6/2 6/5 6/15 7/21 **source [1]** 31/8 30/12 58/15 67/1 68/7 74/25 97/8 97/25 80/17 82/2 83/2 86/13 south [5] 117/17 8/2 8/9 10/4 10/12 77/11 186/21 116/15 139/11 160/11 87/23 88/14 91/22 117/18 125/1 168/21 11/9 11/16 11/20 20/5 **simplify** [1] 94/13 169/20 186/20 187/11 94/11 110/18 110/19 25/14 26/19 27/10 185/22 simply [11] 52/16 115/23 121/18 121/19 South Asian [2] 190/9 194/25 215/23 28/2 30/15 30/16

191/20 194/16 204/13 stimulate [1] 74/23 162/18 180/7 180/17 S 215/20 216/20 216/25 217/3 stood [2] 14/11 **substantial** [6] 70/16 180/24 181/16 182/2 **SPI-M-O... [22]** 30/21 **started [5]** 4/18 178/17 86/21 104/17 123/10 182/13 182/15 184/4 30/22 36/3 36/17 38/1 109/9 131/7 136/9 stop [8] 9/21 22/19 201/25 203/3 184/9 184/10 219/13 40/2 40/7 51/20 59/22 136/10 51/18 55/1 109/21 succeeded [1] 2/2 supporting [3] 6/12 74/5 74/8 75/3 75/18 starting [6] 95/24 112/11 114/21 124/4 success [2] 113/8 97/24 178/4 75/19 84/1 86/13 stopped [1] 52/13 110/18 136/15 170/6 193/4 suppose [3] 79/10 92/11 95/12 103/6 216/19 217/11 **straight [2]** 5/13 successes [3] 117/4 109/10 142/23 110/1 111/21 124/6 starts [1] 106/20 207/9 193/7 194/10 suppress [3] 46/17 **SPI-M-O's [1]** 75/22 state [16] 44/1 44/24 straightaway [3] successful [2] 46/18 208/10 **spike [1]** 161/4 99/15 124/18 127/4 117/17 117/23 137/19 115/12 115/14 suppressed [1] spikes [1] 153/1 127/16 127/20 129/22 such [26] 10/18 23/7 straightforward [4] 208/7 **splitting [2]** 136/9 130/9 131/25 151/23 17/3 34/1 60/1 96/21 60/15 60/23 96/4 97/9 sure [43] 6/17 16/21 136/10 208/3 211/23 216/5 31/3 34/14 35/3 49/9 **strand [1]** 155/17 97/17 115/1 115/9 **spoke [2]** 42/16 218/16 219/18 strategic [6] 58/22 124/18 124/18 137/6 50/17 55/19 67/9 130/22 **statement [36]** 1/10 59/13 59/21 60/3 147/25 155/14 168/23 71/15 83/14 93/8 spot [2] 30/10 192/22 1/13 4/3 6/18 21/21 180/6 182/3 188/4 104/15 106/12 139/13 61/13 62/17 **spotted [1]** 191/23 24/11 25/3 31/18 37/3 strategies [4] 71/10 188/10 188/13 197/17 142/14 144/22 146/16 **spotting [1]** 169/23 40/24 41/16 56/14 92/7 199/14 199/16 197/19 198/20 214/5 148/4 149/2 158/12 spread [6] 49/5 49/8 strategy [11] 41/24 215/24 216/3 59/14 71/25 78/1 161/16 163/12 163/19 147/4 174/8 174/8 84/14 96/20 99/7 164/12 172/9 173/25 59/11 59/12 59/17 **suddenly [1]** 168/3 219/2 116/7 120/13 123/24 63/15 64/25 68/9 **suffer [2]** 197/18 176/19 176/24 177/4 spreading [4] 54/22 124/24 126/9 128/16 68/10 94/13 94/23 197/18 177/8 183/10 183/17 117/23 190/19 190/22 128/18 132/9 132/20 121/16 **suffering [1]** 96/8 184/10 191/17 193/19 spreads [1] 42/3 132/23 145/3 146/3 streams [3] 37/11 sufficient [12] 32/7 195/7 195/21 197/7 spring [3] 2/15 3/11 200/3 203/8 205/17 154/24 157/12 167/5 38/15 53/7 52/4 52/24 94/17 65/10 188/19 189/1 198/16 94/19 96/9 147/7 **street [3]** 53/24 70/3 215/5 squiggly [2] 11/23 154/6 161/11 164/22 statements [4] 19/17 194/19 surely [2] 34/12 68/18 74/8 142/20 167/14 184/8 188/24 197/5 strength [2] 5/19 stable [1] 110/20 **states [5]** 76/13 5/22 sufficiently [1] surge [1] 121/1 stage [35] 3/2 33/23 114/14 140/8 191/2 strengthen [1] 120/24 surmise [1] 54/23 40/4 40/23 50/9 50/16 206/16 140/12 **surpassing [1]** 89/11 suggesting [4] 50/21 51/13 61/15 123/16 180/20 182/24 surprised [3] 28/7 statistical [1] 187/8 stress [1] 150/20 73/23 82/14 82/24 **statistics [4]** 20/23 **strictly [3]** 129/18 202/20 203/13 205/2 105/1 105/6 105/14 117/10 153/12 202/3 129/25 142/8 surprising [1] 160/1 suggestion [1] 108/14 108/22 109/4 string [2] 9/8 9/10 surveillance [5] stats [1] 203/5 182/22 110/4 113/4 116/16 status [1] 198/21 stringent [2] 79/21 suggestive [1] 117/5 117/8 118/7 118/9 122/14 124/5 stay [10] 14/12 42/24 109/16 124/1 125/7 108/9 129/14 144/1 144/2 46/17 47/24 51/25 strong [8] 31/16 41/8 suggests [1] 146/4 survey [1] 117/11 154/6 156/5 167/20 55/14 56/13 57/12 42/23 55/21 71/14 **suitable [1]** 150/25 susceptible [3] 13/21 171/24 178/11 180/4 130/3 183/18 71/16 85/22 99/25 summarise [7] 54/25 59/1 185/17 208/21 stayed [1] 168/2 strongly [1] 91/16 134/25 136/11 156/2 **suspect [3]** 57/23 stage 4 [1] 122/14 staying [1] 107/25 165/8 167/14 186/1 struck [1] 212/7 163/15 163/20 stages [5] 4/10 35/25 **steeped [1]** 7/20 **structural** [4] 197/5 186/17 **suspected** [2] 204/7 123/25 124/3 139/19 197/8 197/20 198/4 204/9 stenographer [3] summarises [2] stakeholder [6] 118/17 170/10 196/16 Stu [1] 82/10 177/22 185/19 suspicion [1] 209/20 137/17 138/24 139/21 step [2] 147/14 Stuart [1] 82/11 summarising [2] suspicious [1] 141/4 143/22 169/15 170/22 Stuart Wainwright [1] 143/17 167/19 174/11 stakeholders [3] steps [2] 97/14 82/11 summary [6] 33/2 sustainability [1] 140/6 140/8 140/10 177/12 studies [3] 85/7 33/3 95/14 168/6 178/8 **stalled [1]** 131/16 sticking [1] 145/22 117/22 140/12 178/12 188/19 sustainable [1] 97/10 **stand [1]** 6/8 study [4] 16/13 78/10 summed [1] 26/7 stigmatisation [3] swamped [2] 48/6 **standards** [1] 165/21 180/9 190/4 190/5 110/7 215/7 summer [13] 70/1 131/10 **standing [1]** 184/17 70/13 71/3 73/2 73/21 | Sweden [3] 84/16 stigmatising [2] **subgroup [2]** 39/19 **stands** [1] 25/16 190/1 191/15 40/13 73/23 78/16 94/1 85/12 85/17 **Stanton [4]** 205/13 still [26] 14/17 14/17 103/6 109/10 161/1 Swedish [1] 90/19 subject [2] 32/14 205/19 211/19 221/13 19/2 25/25 32/1 33/19 122/11 161/12 177/18 **sworn [2]** 125/23 **Stanton's [1]** 205/14 35/19 43/6 66/19 **Sunday [1]** 82/8 221/7 subsequent [1] stark [1] 155/8 76/21 79/3 89/17 synergies [1] 146/24 114/6 **super [1]** 93/21 start [21] 5/17 6/9 108/14 125/9 144/2 subsequently [8] 5/1 super-shielding [1] Synthesisation [1] 15/11 17/5 40/17 144/4 147/9 152/8 56/15 81/25 109/14 93/21 118/6 58/21 59/5 59/9 66/15 system [12] 5/20 152/9 153/20 166/13 110/24 113/25 124/1 **support [19]** 5/10 76/18 78/20 91/7 6/16 57/2 131/9 185/13 194/16 205/17 9/20 28/8 65/14 70/8 207/1 136/17 172/14 191/19 substance [2] 210/13 144/10 159/25 161/18 205/17 213/7 70/10 109/14 114/2

155/17 152/9 207/25 53/10 53/14 53/15 **system... [4]** 137/20 tangible [1] 140/14 terms [100] 2/18 5/23 thank [81] 1/8 2/17 54/1 54/16 57/14 139/7 159/2 173/9 10/6 13/19 17/25 target [8] 60/25 63/3 7/23 10/6 11/8 12/3 57/23 57/23 59/13 systems [2] 28/24 65/7 65/9 65/10 88/15 20/19 23/16 25/8 39/5 19/12 24/3 32/17 34/2 59/20 61/1 66/11 216/2 171/2 180/24 40/19 50/22 51/15 37/1 40/16 55/6 64/2 73/19 76/21 81/16 51/16 52/16 54/5 65/21 69/24 70/20 81/24 85/11 86/3 86/4 Т targeted [6] 92/9 110/17 178/1 178/9 54/17 56/18 57/2 71/7 71/20 73/14 84/6 86/11 86/12 89/13 table [3] 44/2 63/24 199/14 201/11 58/15 59/21 60/17 94/5 95/5 110/21 89/24 90/13 91/18 85/1 63/7 63/11 67/4 67/13 111/25 114/15 118/21 91/24 93/5 95/20 96/6 targeting [3] 181/7 tackle [4] 182/11 181/8 182/16 69/15 69/17 71/8 119/1 123/20 124/14 98/9 98/9 98/17 189/16 198/2 217/5 targets [3] 60/14 74/15 78/4 84/3 88/22 125/5 125/10 125/11 100/13 101/2 102/4 tackling [1] 197/2 65/18 65/24 93/22 95/24 95/25 125/14 125/17 125/19 102/12 103/2 104/15 tactics [1] 23/10 task [4] 3/13 3/18 4/2 98/6 100/2 100/12 125/20 125/22 125/25 106/15 107/14 108/2 tailored [1] 177/16 113/25 101/12 103/20 104/11 126/1 126/7 126/8 108/4 108/24 110/3 take [43] 1/18 1/21 105/23 113/22 114/14 126/15 128/2 132/23 112/7 114/10 115/5 tasked [2] 113/25 4/4 17/9 20/3 21/20 115/6 115/11 117/7 134/3 134/4 134/13 116/21 119/4 119/6 133/23 23/11 34/14 41/14 taskforce [7] 4/11 120/18 121/8 121/16 139/22 151/6 151/11 119/7 119/22 119/24 41/15 48/15 49/20 4/13 6/10 6/11 24/12 122/21 124/3 125/6 154/22 155/12 162/15 120/2 120/21 122/4 51/11 52/23 70/11 128/2 129/13 129/13 162/25 163/1 166/8 122/16 122/23 125/9 119/23 123/1 71/24 72/10 72/21 73/6 77/25 83/10 86/4 taught [1] 77/16 130/7 130/13 141/4 167/11 175/12 177/20 126/4 127/15 128/3 90/25 95/6 96/1 97/14 taxi [3] 182/25 183/2 134/2 134/3 136/13 142/13 142/19 142/22 187/18 189/25 194/11 183/4 142/23 143/5 143/14 196/20 202/8 205/18 138/19 139/25 141/5 113/2 118/10 120/6 123/21 124/24 131/23 teaching [3] 29/5 143/19 144/6 144/14 205/20 208/16 208/17 141/14 142/2 142/2 107/22 121/11 147/10 147/15 149/8 210/17 210/18 211/17 144/24 145/1 145/15 141/23 147/14 165/4 170/22 174/18 185/10 team [9] 29/17 29/17 147/21 148/18 150/21 151/13 151/16 152/1 211/18 211/19 211/22 153/4 154/14 155/3 218/5 218/6 219/15 152/9 154/21 155/5 123/2 150/15 158/16 190/10 196/16 196/16 156/13 158/9 160/23 219/17 219/18 219/21 158/7 164/8 165/23 164/16 193/4 193/8 201/21 202/25 193/8 161/1 161/4 166/2 220/5 168/6 172/20 178/17 taken [21] 8/21 11/18 167/10 167/25 171/3 182/23 184/9 186/11 technical [2] 21/1 thank you [66] 1/8 72/7 87/3 94/2 102/4 2/17 10/6 17/25 19/12 176/6 175/3 177/7 178/20 187/7 190/11 192/5 108/23 120/15 123/9 186/1 186/22 187/2 24/3 32/17 34/2 37/1 195/10 197/19 205/12 technology [2] 30/3 148/5 154/16 162/17 187/5 195/2 197/25 40/16 55/6 64/2 65/21 208/1 208/14 211/13 125/7 187/15 188/24 198/24 Tegnell [4] 84/16 199/3 204/11 210/1 69/24 70/20 71/7 212/17 199/6 199/9 200/22 85/10 101/18 101/19 216/17 71/20 73/14 84/6 94/5 their [45] 4/18 10/3 206/24 216/7 217/15 terrible [3] 57/14 95/5 110/21 111/25 10/11 21/17 25/7 Tegnell's [1] 86/3 takers [6] 28/23 42/8 28/23 30/10 30/12 tell [12] 6/21 9/8 116/8 182/20 119/1 123/20 124/14 59/10 62/15 63/2 25/14 26/8 53/7 71/14 125/5 125/10 125/17 30/17 35/1 35/6 36/8 test [7] 28/8 89/22 113/2 117/15 120/20 123/23 44/7 52/13 67/10 76/8 96/18 96/20 136/5 125/20 125/22 125/25 takes [5] 22/7 75/4 126/1 126/15 128/2 92/8 98/1 99/11 99/11 136/6 194/20 201/5 123/23 123/23 91/10 95/20 119/5 testing [14] 3/17 28/4 132/23 134/3 134/4 telling [4] 109/8 113/7 117/10 125/6 taking [19] 15/21 145/22 169/11 176/23 39/20 40/5 93/9 118/7 134/13 139/22 151/6 135/5 137/8 143/9 24/23 33/10 40/18 tells [3] 21/14 56/20 123/22 123/24 124/3 151/11 154/22 155/12 145/22 148/3 148/9 136/16 138/4 141/19 124/4 124/23 125/7 162/15 162/25 163/1 201/5 152/5 152/9 152/12 150/22 153/24 175/6 ten [10] 22/21 22/25 152/8 175/5 166/8 167/11 175/12 156/10 159/24 162/2 175/16 177/1 177/6 177/20 187/18 189/25 tests [5] 96/21 166/19 171/11 175/16 23/6 53/10 53/13 179/7 179/16 184/13 53/15 56/15 56/21 117/20 120/22 122/12 194/11 202/8 205/18 176/5 178/23 191/1 194/4 202/4 207/18 205/20 208/16 208/17 131/20 200/17 124/11 191/19 191/19 207/16 talk [9] 8/6 14/14 text [3] 98/18 99/3 ten days [6] 22/25 210/18 211/17 211/18 218/24 107/3 139/20 144/20 23/6 53/10 53/13 211/22 218/5 218/6 them [61] 8/10 10/4 99/6 150/24 213/23 214/3 56/15 56/21 than [48] 7/21 8/22 220/5 19/24 20/3 21/16 217/22 Ten Years [1] 131/20 20/14 27/16 38/7 that [1427] 28/25 30/14 30/21 talked [9] 29/12 45/21 52/23 55/4 60/5 that's [145] 1/10 2/1 34/11 35/6 44/9 51/4 ten-day [1] 53/15 41/25 61/7 82/24 61/9 61/10 62/12 2/13 2/16 2/19 3/9 5/3 58/19 61/14 63/23 tend [1] 25/6 92/23 106/6 175/25 81/15 90/25 91/6 93/8 tended [1] 38/17 64/15 66/25 72/21 6/20 7/1 7/4 8/9 9/9 181/23 190/19 tens [1] 16/13 75/6 81/13 88/13 94/3 11/1 11/15 11/19 12/1 99/20 109/8 113/1 talking [12] 22/10 term [16] 69/23 73/6 95/4 95/18 98/17 99/2 13/12 13/14 13/17 115/23 120/7 133/12 27/1 65/16 98/3 99/22 73/13 119/13 120/5 99/6 99/25 112/11 18/10 19/13 19/25 134/8 137/15 139/7 111/11 121/15 181/16 129/2 135/23 135/24 112/14 113/9 124/22 20/15 21/5 25/18 26/9 139/19 142/6 142/7 189/11 197/2 198/22 131/5 134/17 134/19 135/25 136/21 143/14 28/9 29/11 29/21 143/4 143/17 145/22 215/12 143/15 159/8 198/12 146/8 146/10 147/16 134/21 135/4 135/6 32/23 33/3 33/10 talks [11] 85/19 216/25 217/21 138/2 139/5 148/15 33/22 39/23 40/3 42/5 148/8 150/5 155/23 103/12 103/14 106/21 terminology [7] 152/5 167/19 177/13 43/24 44/10 44/14 156/2 162/23 170/2 108/6 134/25 135/3 80/11 135/5 135/19 181/8 182/16 183/2 46/1 50/1 50/2 51/1 170/7 177/12 178/22

135/20 136/19 138/6

189/24 190/24 199/3

51/17 51/23 53/5

135/4 152/13 153/2

S

165/20 172/9 172/10 174/19 176/15 176/16 58/22 16/13 61/11 Т 185/7 189/9 207/21 176/20 176/20 177/8 thinking [12] 5/18 thread [1] 202/6 **them... [15]** 179/20 18/1 25/18 51/4 59/21 threat [2] 91/13 thereafter [3] 138/11 179/11 181/23 183/2 180/22 190/2 190/16 138/18 165/24 184/8 184/8 184/9 63/25 92/15 101/24 94/15 194/6 194/21 195/17 therefore [9] 7/25 185/5 186/6 186/6 119/25 151/2 203/10 three [13] 3/16 8/19 195/25 198/6 198/16 62/4 73/21 76/15 97/2 190/13 190/19 190/21 212/10 9/9 9/10 12/16 24/2 199/13 205/16 209/23 104/7 105/20 124/11 191/5 191/6 191/8 24/21 25/1 42/4 44/8 thinks [1] 87/18 213/3 216/17 193/9 193/10 193/11 218/8 third [18] 116/20 62/7 69/8 69/9 theme [1] 158/24 these [60] 5/21 9/14 193/12 193/13 193/15 116/23 119/7 119/21 three weeks [1] 62/7 themes [3] 31/17 15/1 16/22 17/8 19/16 193/19 194/4 194/4 120/4 120/21 134/5 three-quarters [2] 59/13 164/5 20/7 20/8 20/12 34/12 194/5 194/5 201/5 152/19 155/6 167/9 42/4 44/8 themselves [9] 34/10 171/19 177/17 185/13 threshold [1] 96/19 37/7 44/2 44/6 50/5 204/8 204/9 204/9 34/19 107/6 111/14 50/21 51/3 62/10 64/1 204/14 204/14 207/16 185/14 185/18 185/19 through [38] 3/4 5/15 161/7 162/2 176/24 87/19 88/2 96/17 209/14 209/15 209/24 186/12 187/1 12/8 23/21 31/22 177/4 199/17 107/24 111/20 111/22 210/10 210/11 210/12 this [343] 35/10 41/5 51/15 then [117] 3/20 5/12 113/19 115/22 120/13 210/13 210/13 210/15 **Thomas [9]** 58/4 58/22 59/5 60/12 62/5 5/21 6/10 6/10 6/12 121/24 121/24 134/8 211/8 213/4 213/6 196/19 196/21 196/23 67/16 69/3 71/3 72/2 8/10 9/10 9/25 10/6 141/7 141/24 142/20 74/7 77/2 78/25 86/20 216/17 217/23 203/10 203/17 204/25 11/20 12/6 14/18 144/6 149/25 152/15 they'd [1] 44/12 205/9 221/11 87/13 105/17 109/5 15/10 15/24 16/4 16/6 156/5 156/12 156/18 they're [24] 6/22 18/9 those [118] 3/14 3/16 110/4 116/24 117/2 16/7 24/22 25/19 156/22 157/3 158/11 25/11 25/13 26/11 3/24 4/4 4/23 7/17 9/7 123/1 124/25 126/24 27/18 29/19 29/19 158/18 159/17 161/12 42/9 81/8 88/1 88/12 9/10 10/7 10/15 10/24 128/22 165/23 170/8 32/18 41/10 41/14 162/22 163/11 166/15 91/3 91/4 133/15 12/9 24/15 24/15 25/1 172/16 177/23 193/18 45/7 45/8 45/18 47/24 164/5 172/7 173/20 170/7 172/24 176/13 27/6 35/5 36/20 37/19 195/24 196/4 219/6 48/15 49/13 50/13 191/4 193/18 195/23 176/24 179/7 180/21 42/7 44/17 48/12 throughout [7] 3/10 51/15 56/11 56/15 197/23 200/15 204/8 49/17 50/3 51/7 52/12 4/24 11/7 32/25 182/18 185/8 190/20 58/1 58/16 62/23 63/6 206/22 214/4 219/2 190/21 198/18 205/15 56/23 57/11 58/18 114/19 118/3 136/22 64/15 65/23 66/18 60/11 61/6 65/17 67/1 Thursday [2] 1/1 they [169] 6/6 6/23 they've [3] 21/18 66/21 67/11 67/23 7/1 7/2 7/2 9/23 10/3 43/5 86/18 67/17 68/20 76/9 111/13 68/23 69/25 70/1 70/9 thing [23] 10/4 16/11 19/18 21/4 21/15 76/11 78/4 79/24 tick [1] 78/20 71/6 72/8 72/15 73/7 25/17 25/20 26/20 18/13 22/24 23/1 83/19 84/15 84/16 tier [14] 109/14 73/21 73/24 75/21 26/24 26/25 27/1 27/1 25/10 25/17 26/3 88/11 88/25 90/7 91/5 110/12 110/12 114/2 77/5 77/7 77/24 78/8 28/22 29/22 30/11 29/16 31/6 56/1 57/14 92/9 93/16 94/18 115/1 115/9 115/16 78/24 80/7 80/23 83/9 115/17 115/18 115/20 30/13 30/17 30/18 59/18 90/13 99/5 94/24 95/17 96/7 84/21 85/10 86/25 32/6 32/9 32/12 32/15 104/19 116/9 147/8 97/15 98/3 99/16 115/22 115/24 116/4 87/10 87/22 87/25 34/10 34/10 34/13 181/9 182/12 200/25 100/12 100/14 102/25 159/2 89/2 89/14 93/7 93/18 34/16 34/19 34/19 208/10 209/13 107/9 109/22 110/14 Tier 2 [1] 115/1 94/13 95/6 96/13 97/3 35/4 35/5 45/20 45/22 things [76] 1/18 8/6 111/17 115/11 118/6 Tier 3 [8] 110/12 98/5 102/23 103/7 120/3 120/25 122/17 52/1 55/24 60/20 8/7 9/11 9/20 10/18 110/12 115/16 115/17 103/9 103/14 103/19 61/15 61/15 61/18 124/12 128/15 128/22 12/15 14/24 14/25 115/18 115/20 115/22 104/9 105/2 105/12 63/14 67/11 69/11 20/7 20/12 21/13 23/3 128/23 132/2 134/17 115/24 106/10 106/24 107/3 69/14 69/14 69/16 23/5 25/22 28/18 135/4 135/7 135/8 tiers [3] 3/20 109/19 108/3 111/15 116/5 76/18 77/18 79/2 29/15 30/11 30/25 135/10 138/25 142/5 110/5 116/13 116/24 117/21 81/21 81/22 86/1 32/6 40/6 51/6 60/22 144/17 147/7 147/13 till [1] 116/12 119/6 119/24 119/25 87/24 87/25 88/5 62/16 63/17 72/25 148/15 148/21 149/9 time [88] 1/17 2/10 121/5 122/13 123/21 90/22 90/24 91/13 73/3 76/7 81/9 82/14 149/19 149/24 150/17 5/4 5/5 14/16 16/18 134/24 136/8 139/20 152/22 154/15 155/16 22/6 22/9 34/4 35/9 92/7 93/12 93/12 96/8 86/18 87/21 87/24 150/2 152/20 154/13 88/12 109/7 109/12 155/22 155/23 161/18 98/25 99/1 100/13 35/20 36/3 36/6 36/6 179/4 183/4 184/22 100/16 100/20 100/24 113/19 118/6 121/21 162/3 166/18 169/25 38/17 45/13 45/21 196/16 201/11 211/11 103/12 103/17 104/3 121/21 121/24 139/14 170/20 174/4 174/11 45/23 49/5 51/18 215/18 216/17 104/12 106/5 110/5 141/18 146/13 149/9 175/18 175/20 175/25 54/18 54/20 56/21 theoretical [2] 95/7 110/8 113/14 115/12 150/13 153/25 154/8 183/22 184/5 186/1 60/21 68/10 69/5 216/3 115/14 115/15 115/18 156/23 172/24 174/3 186/22 188/4 191/13 73/18 74/16 77/19 theories [1] 179/19 176/18 177/18 178/19 199/14 200/22 204/23 115/24 120/7 132/5 79/2 79/9 81/1 85/2 **theory [3]** 173/19 85/5 92/2 93/4 96/22 133/17 139/5 141/8 178/25 179/18 180/14 209/12 209/24 210/17 174/8 174/20 141/9 142/7 145/21 181/11 183/19 183/22 213/18 214/16 215/1 100/6 103/17 105/13 there [259] 146/17 147/5 147/5 193/25 194/6 196/2 106/23 111/3 111/5 though [2] 92/2 there's [28] 1/12 15/8 148/8 148/8 149/25 197/23 198/1 199/5 214/19 112/16 112/19 113/21 18/4 28/5 32/11 36/16 153/16 153/19 153/21 199/9 204/8 207/20 thought [15] 8/5 38/2 118/12 120/7 120/18 37/15 49/24 50/24 156/16 156/17 156/23 208/12 209/11 209/12 43/4 49/9 49/10 60/11 123/23 126/18 126/20 51/6 60/25 65/10 74/8 159/15 159/21 161/8 210/10 211/3 217/24 72/19 98/22 99/15 127/11 127/19 129/24 88/17 93/19 110/16 161/25 164/11 164/12 109/10 112/24 116/17 218/1 129/25 130/9 130/15 119/25 138/8 143/18 164/13 164/14 164/14 164/15 187/9 187/16 131/10 133/24 142/11 think [247] 150/14 152/7 161/4 165/4 165/5 174/18 think-through [1] thousands [3] 11/23 144/14 145/14 147/6

T top [13] 15/3 15/21 treading [1] 50/17 twelve [1] 152/16 106/10 109/7 136/18 17/2 27/8 45/17 48/23 Treasury [14] 30/5 twice [3] 23/3 146/21 153/10 162/19 172/22 time... [24] 149/14 86/12 86/14 126/14 30/7 31/9 31/19 31/25 152/12 179/6 191/4 193/20 150/12 153/22 155/25 two [36] 4/3 21/25 151/24 160/17 176/16 32/5 32/15 127/7 196/18 205/2 205/15 159/23 162/6 163/18 177/7 172/15 172/17 182/5 25/3 35/7 50/10 51/25 207/20 209/6 214/12 168/20 177/11 180/13 topic [6] 32/18 39/5 184/13 184/15 196/6 52/23 53/2 53/3 53/4 214/19 180/19 182/4 186/9 treat [4] 59/3 180/21 54/10 54/12 56/23 55/3 151/1 186/25 understandable [2] 186/12 187/21 191/11 58/12 64/1 80/19 97/4 159/4 160/2 210/19 189/18 191/17 196/6 204/15 207/22 understanding [26] treated [3] 141/9 101/11 103/11 119/4 **topics [2]** 17/8 208/14 209/17 211/11 137/13 137/22 138/6 7/24 10/3 10/4 10/8 170/17 190/24 191/1 212/14 216/10 total [3] 143/5 166/18 treatment [1] 190/2 139/13 140/2 140/5 10/11 10/23 20/23 time-sensitive [1] 141/1 146/12 147/11 21/19 21/25 23/17 166/20 trends [1] 191/23 100/6 totally [1] 139/4 trespass [1] 205/1 155/22 156/2 169/25 24/4 28/23 41/1 91/13 timeline [1] 151/19 193/3 207/13 210/10 124/8 124/10 134/9 touch [12] 38/12 **trial [1]** 179/10 **timeliness [2]** 54/5 65/22 128/23 129/11 trialled [1] 179/9 214/16 137/11 137/21 140/1 55/15 133/25 134/1 138/14 trials [4] 179/2 179/7 **two days [5]** 50/10 144/16 144/21 165/11 times [9] 22/8 24/2 145/3 154/23 157/12 187/25 199/23 204/6 179/9 194/7 51/25 53/2 53/3 53/4 41/6 64/8 152/25 162/16 186/13 tried [2] 148/7 208/10 two weeks [5] 54/10 understood [7] 23/9 174/22 186/3 186/5 42/8 44/24 72/4 touched [13] 58/3 trigger [4] 61/3 61/4 54/12 80/19 101/11 190/3 66/21 67/13 75/14 98/12 187/14 169/21 186/16 212/13 140/2 timetable [1] 126/24 92/6 131/19 132/12 triggered [1] 195/19 two-way [1] 146/12 undertaken [6] 95/12 timing [2] 54/17 triggers [1] 122/17 134/14 142/19 166/10 **type [1]** 181/23 140/11 143/8 166/12 119/12 167/1 188/12 188/15 triumph [1] 117/25 167/16 188/1 timings [1] 192/9 touches [1] 135/8 tropes [1] 139/9 **undertook** [3] 10/8 tiny [1] 120/7 touching [1] 69/19 **UK [9]** 36/9 102/6 187/23 210/23 trouble [7] 13/11 title [1] 83/1 19/7 31/3 33/24 45/22 103/19 103/23 114/1 towards [7] 4/12 underway [1] 168/22 today [6] 4/4 87/11 117/21 124/19 125/2 33/11 66/15 66/19 72/21 72/22 unequivocal [2] 119/15 126/7 211/25 145/21 86/17 100/22 114/16 true [8] 1/14 1/15 99/10 100/5 219/24 **UK Government [1]** tov [5] 28/12 28/14 39/23 43/24 126/12 unequivocally [1] toes [1] 50/17 28/16 29/4 30/8 138/19 198/5 219/5 145/21 104/9 together [20] 9/10 **UK's [2]** 1/23 114/11 unfortunate [1] trace [5] 28/8 70/8 Truss [4] 129/24 11/13 22/9 25/24 70/10 89/22 117/15 130/8 213/12 213/15 ultimate [1] 219/3 137/24 26/12 29/2 41/2 69/16 trust [4] 174/16 tracing [16] 12/6 **ultimately [1]** 97/5 unfriendly [1] 25/12 72/14 123/2 123/4 174/21 174/21 189/16 umbrella [2] 135/24 15/5 15/6 15/9 15/16 **unhappy [3]** 27/10 142/13 153/14 160/22 140/8 16/7 20/17 28/4 49/11 trusted [5] 34/13 139/3 139/4 163/8 191/7 210/10 umpteen [1] 41/6 63/18 63/22 71/21 34/23 171/11 171/22 unhelpful [2] 198/9 214/4 214/16 216/21 unambiguously [1] 76/2 81/6 95/3 125/8 194/5 198/12 told [2] 26/19 62/6 track [3] 70/7 70/10 103/22 **Trusts [1]** 156/4 uniform [1] 211/12 tolerable [5] 60/16 truth [3] 1/13 26/4 **unanimous** [1] 84/3 220/2 unintended [1] 61/17 61/19 61/22 unbelievably [1] 42/6 190/15 trade [11] 56/1 75/11 26/6 66/14 union [1] 209/15 127/20 127/21 127/23 try [11] 1/19 10/9 uncertainties [1] tolerate [2] 50/6 50/7 127/23 127/24 130/1 10/23 27/18 27/25 47/8 unit [7] 130/3 155/11 Tom [2] 55/22 80/21 183/14 183/14 209/15 109/7 109/12 116/1 uncomfortable [1] 158/17 159/7 172/3 Tom Hale [2] 55/22 27/19 trade-off [3] 56/1 144/9 144/25 173/25 187/8 214/21 80/21 183/14 183/14 trying [20] 22/18 unconscionable [1] united [1] 140/23 Tomlinson [7] 44/10 trade-offs [1] 75/11 22/19 26/4 26/5 26/6 units [1] 214/4 148/24 149/13 151/23 uncontroversial [1] train [2] 53/21 204/14 41/21 60/6 81/21 universities [2] 212/12 213/12 213/14 57/25 trajectory [2] 89/7 82/17 88/2 112/25 106/22 193/15 214/20 under [12] 13/7 13/8 89/10 113/3 116/9 150/7 university [3] 2/22 too [13] 13/23 22/19 14/14 25/13 73/3 89/9 95/8 107/22 175/7 178/19 183/9 transcript [1] 1/17 39/6 40/9 46/5 54/8 92/17 92/17 115/20 190/12 214/12 217/2 unless [3] 148/10 transformation [1] 58/25 92/24 122/6 140/8 185/12 193/8 tsunami [2] 45/3 198/15 199/19 178/8 126/2 132/17 180/3 under 40s [1] 92/17 52/19 unlikely [1] 107/6 transmission [6] 185/6 under 45s [1] 92/17 turn [20] 2/25 4/20 10/21 69/17 75/15 unlock [1] 120/3 took [12] 30/20 67/12 9/15 21/22 28/9 32/18 underline [1] 168/19 79/23 123/15 123/18 unlocking [1] 71/3 70/1 128/7 160/21 underlying [3] 28/2 55/3 65/23 67/15 transmissions [2] unpalatable [2] 60/16 179/8 199/8 199/11 136/5 140/15 15/7 15/10 83/21 139/25 155/5 99/23 207/22 209/22 211/15 underpinned [1] 157/7 165/14 166/7 unpopular [1] 99/19 transmits [1] 174/2 213/5 175/9 177/13 187/24 91/13 unsuitable [1] 211/12 transparency [3] tool [3] 29/3 29/5 **Undersecretary [1]** 31/19 145/24 146/5 189/5 205/21 unsung [1] 35/4 124/2 127/4 transparent [3] 31/23 turnaround [1] 118/7 unsustainable [1] toolbox [1] 89/25 turned [2] 13/6 120/3 understand [22] 121/14 200/3 121/2 tools [3] 39/24 40/5 21/15 21/16 26/1 travel [1] 51/19 turning [4] 25/4 until [14] 3/10 23/1 89/24 36/15 40/20 79/10 travels [1] 195/1 108/21 116/22 189/25 23/6 43/2 66/11 73/6

until... [8] 80/22 97/7 97/10 114/19 127/18 128/7 169/12 220/7 unusual [1] 101/23 up [87] 1/16 4/16 5/21 6/15 7/8 8/23 10/6 12/21 14/4 14/11 15/11 17/11 17/14 19/2 19/19 21/20 22/19 23/3 24/15 24/17 25/2 25/16 26/7 27/4 27/24 28/1 33/4 34/10 34/19 35/7 35/8 38/22 40/13 43/11 46/1 49/25 50/11 51/9 55/12 58/18 59/8 66/2 69/12 69/13 71/21 74/24 78/20 79/14 81/22 81/22 82/12 84/5 85/10 88/17 89/5 90/14 95/3 99/8 102/3 106/19 109/23 114/15 119/2 120/9 127/17 131/23 139/24 142/14 142/21 144/6 156/24 162/4 166/17 167/11 169/12 172/20 177/5 185/20 188/8 191/11 194/9 194/19 197/1 198/15 206/13 210/17 212/9 up-down [1] 12/21 update [3] 132/15 149/15 176/2 updated [3] 114/7 114/12 132/16 updates [2] 147/6 149/16 upon [28] 7/9 33/23 58/3 65/22 66/22 67/13 75/14 77/4 82/17 91/18 92/6 128/23 129/11 131/19 132/12 133/25 134/1 134/14 135/8 138/14 142/19 145/3 154/23 157/12 166/10 167/1 186/13 188/15 upsetting [1] 26/24 uptake [6] 129/7 177/24 178/13 179/5 180/1 193/25 upwards [2] 67/8 77/7 urban [1] 188/6 urge [1] 202/21 urgent [1] 140/23 us [61] 9/8 9/23 11/5 12/15 18/23 19/10 19/11 22/4 31/9 31/13 37/15 41/2 42/22 53/7 53/19 56/20 58/15

U

62/22 62/25 64/4 values [3] 26/3 26/10 64/18 64/19 66/15 66/19 69/2 72/19 72/21 77/16 78/5 79/4 variant [1] 122/15 87/13 90/19 100/1 100/21 100/24 100/25 variety [1] 83/13 111/24 112/17 112/22 various [11] 3/1 5/20 124/23 126/5 136/6 136/6 136/17 144/12 150/9 151/22 154/22 158/19 164/11 164/14 168/6 168/17 169/7 169/12 173/15 173/15 176/23 177/19 190/10 vehicles [1] 135/11 207/22 usage [1] 158/7 use [19] 20/12 31/5 31/6 61/3 75/13 116/1 122/19 125/6 130/8 135/5 135/23 145/9 151/14 172/24 178/5 181/1 205/17 217/2 217/21 used [10] 27/17 32/9 72/15 73/10 92/15 136/14 136/22 140/12 146/2 189/14 useful [6] 11/21 50/23 64/10 69/4 120/8 182/6 using [7] 80/11 120/4 135/25 138/5 158/9 189/15 216/25 usually [3] 26/17 26/18 115/20 utilise [1] 19/24 vaccinated [1] 13/21 vaccination [6] 3/21 3/23 188/19 188/24 189/1 189/11 vaccinations [2] 176/7 189/14 vaccine [20] 59/4 64/14 66/12 73/6 80/16 97/7 97/10 120/23 120/24 129/6 177/24 178/3 178/13 179/3 179/5 179/10 180/1 180/22 193/25 194/4 vaccines [11] 76/5 113/23 129/9 178/24 179/6 179/16 180/5 180/12 180/16 182/1 194/8 validated [1] 156/25 Vallance [13] 2/2 35/11 45/25 49/17 66/5 67/25 82/4 83/10 83/23 84/18 93/16 106/7 111/9

value [1] 144/13

65/12 variables [1] 165/11 variants [1] 121/3 29/19 43/13 57/7 93/19 120/13 121/6 146/19 214/22 219/2 varying [1] 85/1 vehicle [2] 174/25 184/9 verifiable [1] 174/18 verified [1] 133/9 versa [2] 214/24 215/4 version [3] 20/8 67/11 114/12 versus [2] 26/8 47/9 very [179] 3/14 4/22 5/13 6/5 6/17 6/23 7/2 7/9 7/12 7/12 8/5 10/15 10/18 11/24 12/7 12/8 12/13 12/24 13/20 14/6 14/15 14/23 16/18 19/11 19/25 21/5 22/11 23/2 23/10 25/3 25/15 25/16 26/10 26/22 28/14 29/3 29/10 29/13 29/17 30/1 30/14 32/12 32/24 34/8 34/12 36/3 36/7 38/16 38/19 40/4 44/6 44/7 45/21 48/7 50/23 52/8 55/12 57/10 57/10 58/25 60/1 60/12 61/20 62/3 95/14 98/8 100/12 62/4 62/7 63/22 66/6 68/14 69/15 71/12 71/13 71/16 76/8 78/5 81/4 81/7 82/2 84/24 87/13 87/14 90/11 90/14 91/16 92/1 92/1 92/19 92/24 92/24 93/4 93/4 93/5 93/11 97/1 97/4 97/23 98/17 98/23 100/3 103/13 104/17 109/5 110/18 111/4 114/15 115/25 117/18 118/12 118/15 voices [1] 189/16 118/19 123/12 123/13 voluntary [6] 14/14 125/10 125/14 125/16 16/19 51/25 52/8 125/19 128/10 136/2 136/3 136/3 136/3 136/24 137/9 137/24 139/15 139/23 141/6 144/3 144/7 147/20 147/22 147/22 150/11 151/21 156/20 164/5 164/18 165/5 173/13

173/19 174/14 180/8 180/10 186/21 187/6 190/12 191/2 191/15 193/7 193/9 193/11 193/11 193/16 193/17 waited [1] 114/19 194/16 194/17 194/22 waiting [4] 126/2 195/12 195/22 195/22 162/6 172/7 172/8 195/22 200/16 204/24 Walden [1] 127/2 208/20 209/6 209/22 210/17 210/19 211/19 217/7 219/15 219/17 219/18 219/21 219/23 very gen [1] 12/8 vessel [1] 102/15 **via [1]** 32/10 viable [1] 180/14 vice [2] 214/24 215/4 vice versa [2] 214/24 215/4 victims [3] 218/19 218/24 219/1 victory [1] 119/17 view [43] 32/8 33/19 42/23 45/10 54/7 57/2 57/23 65/19 72/12 74/15 75/22 82/18 83/6 85/4 85/8 86/3 86/4 90/3 91/11 94/14 wanting [1] 212/2 94/18 96/16 102/9 102/11 105/8 105/21 106/8 110/4 119/12 119/19 122/4 123/18 125/9 139/4 144/4 157/14 157/19 161/11 warranted [2] 201/22 187/25 188/20 192/16 202/25 193/3 194/12 41/11 42/23 43/6 43/6 views [19] 25/7 55/15 washing [1] 76/7 68/10 83/5 84/23 85/1 wasn't [30] 5/11 7/17 90/7 90/8 90/20 90/21 90/22 91/1 91/9 91/20 104/11 105/24 virtually [1] 77/11 virus [6] 117/23 155/13 175/19 183/11 190/19 200/17 **visibility [3]** 163/9 163/13 163/14 visible [1] 140/13 visit [1] 37/25 visual [1] 19/14 voice [4] 1/16 82/19 83/22 171/22 52/11 53/8 vulnerable [9] 69/15 90/5 90/10 90/13 90/16 93/7 93/17 95/17 97/14 W **Wainwright** [1] 82/11

wait [11] 22/25 23/10 23/11 66/16 116/12 201/21 202/4 202/24 203/6 203/7 204/13 Wales [6] 35/22 36/6 36/19 113/6 113/17 145/7 want [23] 33/16 50/15 50/16 61/9 66/10 66/23 82/1 99/19 104/14 104/16 112/14 132/22 136/24 144/25 145/21 159/18 167/6 167/19 175/9 184/1 185/13 198/15 210/19 wanted [19] 28/21 28/22 32/15 46/9 49/25 58/21 71/17 79/2 82/19 98/12 110/11 122/19 136/17 144/23 148/19 159/16 160/3 180/16 208/8 wants [3] 83/11 179/13 196/13 warn [1] 33/16 Warner [4] 30/7 42/12 43/2 44/15 was [724] 29/23 37/11 44/12 53/24 58/20 62/13 69/4 72/18 74/19 86/21 90/24 101/2 133/18 138/22 139/18 141/21 146/14 154/5 164/15 179/4 179/11 184/14 192/19 192/20 201/10 203/11 204/11 218/7 waste [1] 182/20 watch [3] 23/10 62/7 78/9 watching [1] 144/21 wave [40] 57/6 57/18 57/21 62/12 76/15 77/8 77/9 77/10 77/17 88/22 88/25 89/1 89/11 89/23 91/21 91/23 92/3 94/20 97/2 109/6 109/8 109/9 109/13 112/4 112/14 113/23 116/24 118/2 152/4 152/6 160/13 161/13 168/14 168/15 168/16 168/20 168/22

W **wave... [3]** 175/19 185/20 185/25 waves [5] 51/4 77/17 140/21 167/18 168/25 way [69] 3/4 8/14 11/19 11/21 12/14 13/10 14/18 14/23 17/1 17/1 21/3 24/8 26/18 26/20 28/12 29/10 32/10 32/16 34/19 36/20 40/19 44/7 45/6 46/14 46/15 46/15 49/7 51/2 56/8 58/18 60/12 60/12 67/1 68/5 69/2 69/4 69/22 74/22 80/10 80/24 92/15 94/1 96/9 97/1 98/5 104/19 109/18 111/7 120/21 121/25 122/21 131/14 138/25 139/17 142/15 146/12 159/17 174/4 179/17 181/8 183/7 191/7 191/18 193/19 193/23 213/4 215/8 216/23 216/25 ways [10] 26/11 26/13 27/14 27/16 38/6 49/7 59/7 60/3 92/15 93/19 we [695] we'd [15] 9/11 46/13 47/16 47/24 49/9 55/13 57/19 64/10 69/22 72/14 87/22 105/10 109/8 151/16 207/18 we'll [12] 1/21 14/9 14/14 49/11 58/16 60/4 65/22 83/5 84/19 128/23 133/25 164/1 we're [59] 13/25 13/25 14/3 14/3 17/4 17/16 19/6 21/21 22/10 33/19 35/21 37/25 42/23 46/12 51/4 54/9 56/23 58/23 63/5 64/7 68/19 73/5 88/11 113/23 117/9 121/21 121/23 121/24 128/4 136/6 138/13 144/1 144/1 144/2 145/17 150/24 155/2 155/2 159/2 159/19 160/12 161/20 162/12 164/12 165/19 167/9 169/18 173/2 177/18 181/14 184/23 185/13 185/15 185/15 190/11 192/14 195/24 204/25 214/12 we've [39] 5/25 10/22

25/22 25/22 28/13 30/4 31/12 32/22 39/5 41/5 58/23 63/2 66/3 67/14 68/8 75/13 77/15 84/20 86/8 93/20 93/21 102/3 106/5 107/5 107/8 108/7 108/25 118/16 122/18 130/6 132/12 147/9 147/10 156/21 189/13 190/3 200/18 201/7 202/10 weaken [1] 100/1 wearing [1] 76/8 weather [1] 36/16 weaved [1] 147/12 **Wednesday [3]** 6/16 27/10 27/25 week [19] 5/17 9/13 14/10 22/9 45/21 48/11 48/13 50/7 53/21 53/23 53/23 79/24 93/15 98/11 108/8 108/11 115/17 115/18 117/12 week's [1] 206/19 weeks [15] 14/7 41/14 41/22 54/10 54/12 62/7 62/11 80/1 80/19 80/20 87/8 101/11 114/23 119/4 140/2 weeks' [1] 45/23 weighted [1] 75/15 weirdly [1] 26/2 Welcome [1] 151/12 well [97] 1/10 6/14 7/3 7/5 7/5 8/4 8/5 8/12 10/1 12/12 15/2 15/7 16/12 17/19 18/23 19/6 19/10 20/13 21/10 22/17 23/24 25/18 26/8 28/25 34/12 38/9 41/3 42/3 43/5 46/17 47/10 49/22 53/14 57/7 59/3 59/11 60/3 61/19 62/3 62/11 63/1 63/22 64/6 68/16 70/2 71/18 73/5 81/10 81/13 88/17 93/22 100/25 101/24 104/14 106/11 106/14 110/7 116/9 117/2 133/19 141/3 141/25 145/13 148/13 149/4 149/17 150/11 150/24 153/6 153/13 154/18 157/25 159/15 160/1 165/3 172/13 173/19 181/11 181/24 182/5 183/3 185/12 186/7 190/12 190/22 191/5 191/9 196/7 200/7

200/25 202/18 203/17

213/9 214/19 215/7 219/10 219/23 well-important [1] 7/5 well-informed [1] 7/5 went [13] 4/8 27/25 43/9 53/21 74/14 76/9 98/9 100/24 119/15 137/20 179/9 193/18 204/14 were [359] weren't [18] 7/20 33/25 35/5 43/16 53/8 58/13 61/6 69/14 69/16 79/11 93/12 109/7 115/22 149/2 159/15 160/3 160/6 160/14 western [1] 124/18 what [290] what's [14] 5/15 17/24 22/6 35/12 59/11 62/6 75/5 80/14 94/22 113/13 117/21 157/21 157/22 202/19 whatever [6] 22/7 23/5 31/24 44/10 72/6 2/11 9/20 10/22 13/8 142/16 WhatsApp [2] 173/14 48/13 51/3 56/7 62/25 173/22 when [70] 4/20 6/14 8/5 9/1 13/9 13/10 14/18 17/5 18/1 18/8 18/9 18/11 18/21 19/24 22/5 22/9 23/12 26/3 26/16 26/21 26/22 29/16 33/14 33/16 49/8 51/11 51/17 54/1 56/3 59/2 73/2 76/18 77/19 79/5 80/15 81/11 86/1 88/13 93/4 101/4 103/5 103/6 104/2 113/23 119/6 119/7 119/22 121/15 130/13 130/13 131/13 138/24 139/16 146/8 148/14 150/8 150/13 153/1 155/17 166/10 191/9 195/23 199/1 202/13 202/15 206/23 207/11 209/9 212/12 214/24 where [80] 2/20 7/16 8/8 8/11 9/15 14/10 14/15 22/6 22/23 23/5 28/3 28/18 41/21 46/11 46/13 46/19 47/16 47/17 47/24 48/16 49/17 61/15 62/10 63/1 63/2 68/20 69/15 72/9 78/22 79/4 79/21 80/6 80/22 83/11 86/17 86/25 87/15 87/25 89/4

96/23 100/23 104/13 104/16 107/14 108/8 109/19 109/19 111/2 124/21 144/12 144/19 145/15 145/24 146/24 149/4 149/5 149/6 149/16 159/14 159/14 161/1 166/23 170/6 172/9 172/9 172/10 173/11 174/15 176/11 177/1 177/5 177/11 185/4 185/7 193/21 194/16 194/25 198/17 213/23 214/3 whereas [5] 15/8 22/24 25/15 168/1 187/7 whether [22] 44/17 53/1 96/1 133/11 138/21 143/17 148/5 149/8 154/1 161/19 163/12 164/21 168/2 169/21 180/2 184/3 195/6 201/17 204/6 212/20 213/5 213/14 which [156] 1/12 27/11 37/19 40/20 64/5 67/11 68/16 72/23 74/19 74/24 78/13 78/19 85/7 88/8 88/19 92/1 97/3 97/11 97/16 98/20 100/15 101/6 104/3 104/5 106/5 110/10 110/12 117/14 118/11 119/11 124/12 126/19 126/20 126/22 127/14 129/9 129/24 131/4 131/19 131/21 132/12 132/17 133/4 133/9 133/25 134/8 134/14 135/9 136/8 137/6 137/15 137/16 137/17 137/24 137/25 138/3 138/4 138/8 138/13 138/17 139/10 140/8 141/7 142/17 146/3 146/4 149/24 151/17 151/20 153/7 153/9 153/22 154/20 155/7 155/24 155/25 156/23 157/3 158/20 159/8 160/1 162/12 162/23 163/5 164/6 165/10 167/9 169/23 169/25 170/17 171/6 171/16 171/23 172/5 173/1 173/5 173/23 175/3 175/6 175/10 175/11 175/12 176/3 179/16 179/18 179/25 180/10 180/14 183/9 184/3 184/7

184/10 184/18 184/22 185/6 185/14 185/20 186/8 186/10 186/11 186/17 187/19 187/20 191/21 192/2 192/8 192/15 194/7 195/15 195/24 197/24 199/9 202/1 203/8 204/5 204/22 206/14 206/16 207/19 207/19 208/15 209/22 209/25 210/13 211/12 213/1 213/17 219/12 while [7] 67/16 86/20 97/14 97/24 140/10 159/4 175/14 whilst [6] 60/21 66/16 79/2 80/25 92/18 113/20 white [9] 135/7 136/12 152/5 152/12 168/4 168/17 181/6 186/2 186/22 whites [1] 167/25 Whitty [7] 22/12 38/2 77/15 84/19 93/16 106/8 111/8 who [102] 5/25 6/5 6/19 7/6 7/20 21/2 21/7 26/1 30/20 32/13 32/13 34/9 34/18 35/3 42/14 43/9 43/25 44/1 44/5 47/21 48/8 48/10 53/19 84/18 84/20 85/6 90/14 90/15 92/22 93/8 99/16 106/3 106/14 129/22 130/8 131/9 132/2 134/16 135/8 135/10 136/2 136/2 136/11 137/7 137/22 139/3 139/6 142/5 142/6 142/7 142/8 142/11 142/16 144/18 144/18 148/15 148/21 148/24 151/23 152/22 154/11 161/18 161/22 161/22 161/23 170/20 170/25 171/10 171/11 172/6 173/20 174/5 174/8 174/10 174/11 174/15 176/23 180/15 181/4 182/15 182/16 183/1 183/1 183/6 184/6 185/8 190/19 191/11 194/5 194/5 194/19 200/17 201/4 203/12 207/15 208/23 209/8 209/16 209/18 212/22 215/4 219/6 who's [2] 24/8 63/23 whoever [2] 99/10 129/21 whole [6] 63/17 75/9

W whole... [4] 95/1 98/21 168/13 216/14 wholly [1] 122/11 whom [2] 92/23 99/6 whose [1] 217/6 why [62] 8/12 10/3 13/12 21/10 23/7 25/11 25/13 25/13 25/14 26/2 26/8 26/19 27/18 41/20 51/2 69/1 78/3 78/3 79/10 81/2 81/12 109/7 109/17 116/8 118/2 122/25 130/21 133/10 133/13 136/6 137/12 139/3 141/20 144/24 153/13 155/13 160/1 161/7 168/22 169/13 169/13 178/15 183/16 192/15 192/19 197/3 199/15 201/5 201/6 202/3 203/6 204/17 204/21 204/22 206/21 207/24 208/1 212/14 214/12 214/14 216/10 217/13 wide [4] 85/19 97/6 103/13 143/14 widely [5] 68/12 72/12 201/22 202/2 203/1 wider [6] 37/19 38/6 69/17 93/14 189/9 218/23 will [54] 1/19 1/20 3/24 4/20 6/1 8/21 9/14 14/13 14/25 16/17 21/2 21/9 23/21 25/17 39/13 60/2 62/10 65/23 89/10 89/22 103/10 103/14 104/6 104/17 107/1 109/9 109/22 109/22 111/22 112/9 113/11 120/15 120/22 123/22 128/22 129/11 134/10 136/21 150/16 154/18 159/6 163/6 171/7 172/7 174/18 175/18 185/8 196/9 208/20 215/4 215/6 217/4 217/5 217/12 wind [1] 53/24 winter [4] 77/13 77/16 86/15 117/3 wish [2] 73/1 73/3 withdrew [2] 125/21 219/22 withheld [3] 206/5 207/16 208/4 within [55] 2/4 2/5 4/3 5/4 7/10 10/24 11/16 24/10 27/8 29/4 145/14 146/25 146/25

31/18 37/3 39/16 39/19 40/2 41/18 43/23 47/10 47/25 51/19 56/14 59/14 59/22 66/5 66/23 68/3 71/9 75/3 83/6 83/17 90/3 95/14 97/12 102/6 107/18 123/7 123/24 124/24 129/7 129/20 136/1 137/1 149/11 154/18 155/16 158/16 161/21 168/5 174/13 187/8 205/3 211/16 213/6 214/7 218/1 without [13] 11/22 35/6 70/18 76/1 76/3 76/15 86/21 119/16 184/25 196/3 196/3 209/11 219/3 witness [17] 1/9 11/12 21/21 24/10 25/2 31/18 37/3 56/14 59/14 99/7 116/7 118/16 120/13 125/21 188/22 198/16 219/22 woman [1] 141/25 women [11] 127/22 129/22 149/17 149/18 workable [1] 26/6 186/3 186/3 186/4 212/21 218/22 219/9 219/10 women's [4] 128/11 128/12 131/1 218/17 won [2] 193/12 193/12 won't [3] 88/11 120/12 162/7 wonderful [2] 27/24 120/4 word [6] 44/11 47/3 133/3 145/10 146/2 181/1 words [8] 68/20 78/22 82/16 83/19 116/2 145/11 189/13 212/4 work [136] 5/12 6/6 7/14 7/18 9/25 10/14 12/20 13/15 17/21 17/22 18/12 20/13 24/15 25/21 25/24 27/1 28/24 30/18 31/14 33/23 35/6 36/4 world [8] 25/12 32/13 38/1 60/12 75/16 81/8 86/1 93/1 93/10 93/13 94/12 94/23 107/17 120/2 128/17 129/11 130/11 130/25 131/7 133/25 135/19 135/22 worry [4] 41/4 132/17 136/21 141/21 141/23 142/9 142/24 144/4 worse [7] 73/9 86/19 144/9 145/4 145/10

147/3 147/4 147/12 147/13 148/12 149/16 worsening [1] 151/14 151/17 153/3 153/6 153/7 153/16 153/22 154/25 155/7 155/17 157/9 157/18 157/20 157/23 158/2 158/5 159/10 159/25 160/23 162/10 163/3 163/8 163/20 164/2 165/4 165/23 166/13 167/4 167/16 167/21 168/21 171/17 172/8 174/24 177/22 178/11 181/18 181/23 184/11 184/13 186/13 187/1 187/2 187/6 187/15 188/1 188/18 189/14 190/6 190/24 193/4 193/7 193/10 193/15 194/1 194/8 195/5 195/8 195/21 198/1 204/22 208/2 210/15 210/23 211/10 211/15 19/10 57/20 94/25 213/5 214/7 214/11 214/22 215/3 216/13 217/9 217/11 217/20 218/1 worked [5] 42/21 142/12 180/12 180/13 110/24 129/6 137/14 209/23 workers [9] 166/16 166/17 181/24 183/6 186/20 187/11 188/5 200/14 211/2 workflow [1] 6/13 workforce [4] 166/18 166/20 201/19 202/23 working [18] 5/18 26/11 40/13 45/18 53/1 58/10 58/12 63/6 123/15 154/24 176/3 110/5 119/22 123/3 123/5 132/3 159/20 161/21 179/4 184/7 214/4 works [2] 81/6 162/19 workstream [6] 153/18 154/2 213/6 213/24 214/3 214/11 workstreams [2] 128/15 214/16 63/1 123/22 124/15 171/7 173/15 195/1 worried [7] 22/25 23/1 41/4 46/7 57/11 72/6 109/5 156/21 205/14 **worrying [1]** 41/8

87/22 87/24 88/1

88/12 168/3 169/19 worship [2] 175/6 178/5 worst [17] 47/9 62/24 78/2 78/16 78/19 78/23 82/13 82/23 86/9 86/23 87/15 87/18 88/4 88/6 88/7 89/16 89/18 worst-case [12] 62/24 82/13 82/23 86/9 86/23 87/15 87/18 88/4 88/6 88/7 89/16 89/18 worth [3] 89/21 187/9 yesterday [1] 82/10 187/16 worthwhile [1] 130/20 would [242] would've [1] 214/12 wouldn't [8] 9/6 150/6 211/16 216/24 216/24 write [2] 82/25 159/17 writing [1] 206/17 written [7] 16/23 138/20 193/19 208/7 wrong [21] 25/11 25/13 25/15 26/8 26/20 46/11 91/19 101/24 110/16 111/7 116/18 116/19 153/12 168/10 180/17 181/9 185/9 191/14 192/14 201/12 203/16 wrote [4] 67/10 X X axis [1] 14/20 Y Y axis [1] 12/21 yeah [76] 4/1 6/19 17/7 17/15 18/3 18/20

19/1 19/4 24/16 26/21 29/6 30/23 32/4 33/12 42/11 44/23 47/12 48/2 48/5 48/19 48/21 48/24 49/1 49/4 49/15 49/18 52/3 53/17 54/6 56/4 57/24 58/5 59/23 62/19 63/9 64/24 66/8 young [3] 94/13 67/19 67/21 70/24 75/12 75/23 77/23 79/16 79/18 81/20 83/8 87/9 88/21 89/3 89/6 89/8 90/1 93/25 94/9 97/21 98/14

101/10 102/7 103/4 103/18 107/10 108/1 108/16 110/23 111/1 112/10 112/13 112/22 114/5 116/6 123/14 132/4 157/5 181/21 204/3 year [11] 1/11 2/2 4/13 15/24 16/2 64/10 66/24 72/22 73/7 77/19 126/10 **years [6]** 7/14 64/15 130/16 131/15 131/20 134/22 Yellow [1] 16/2 yes [237] yet [5] 17/16 102/18 159/2 159/21 186/16 you [712] you know [22] 21/11 22/12 28/20 32/13 36/16 41/5 41/5 42/23 45/20 48/9 54/11 58/23 61/16 73/3 79/5 98/19 117/25 177/5 187/12 194/25 195/16 197/17 you'll [2] 27/18 30/25 you're [40] 17/23 19/2 23/1 25/18 29/14 42/10 46/17 47/8 51/17 62/6 62/7 62/11 63/20 67/16 67/17 67/25 80/15 80/17 80/23 81/5 84/8 90/18 99/3 99/22 113/25 126/17 136/15 142/8 142/24 151/24 153/11 154/7 160/13 181/16 184/10 194/8 198/22 205/23 213/21 218/9 you've [47] 2/4 8/13 17/13 17/14 18/23 19/5 25/23 29/15 31/1 40/12 41/25 45/15 54/8 56/13 56/23 58/2 63/10 63/20 66/21 73/19 73/22 74/7 79/8 81/7 84/22 90/19 97/17 98/2 100/14 109/3 112/8 115/5 126/12 126/13 150/15 168/17 188/15 188/18 189/17 199/12 200/13 207/3 211/25 212/2 213/11 217/15 217/18 148/7 170/3 your [208] 1/8 1/13 1/16 2/18 2/20 3/1 4/3 4/11 5/1 5/4 6/2 6/3 7/8 7/10 19/21 20/17

20/21 21/1 21/21 23/5

Υ	Z	 	
your [188] 23/6	Zealand [1] 68/25		
23/15 24/10 24/22	zoology [1] 95/10		
24/22 25/2 25/8 27/1	zoom [4] 15/1 72/15		
31/18 32/5 32/8 33/19			
33/23 37/3 39/5 40/19			
41/15 45/7 45/10 46/3	l .		
48/16 49/8 53/14 54/7			
54/16 55/15 56/11			
56/14 56/18 57/1 58/1			
58/3 59/11 59/14			
59/22 61/25 62/8 65/1 65/1 66/3 66/11 66/12	l .		
67/4 68/9 69/3 70/9			
71/25 72/9 72/20			
74/15 78/1 80/11 81/6			
81/8 82/12 82/16			
82/18 83/6 84/23 86/4			
86/23 88/3 89/2 90/7			
91/20 94/18 96/16			
98/8 98/8 98/10 98/13			
98/15 99/7 101/5			
102/11 104/11 105/8			
105/8 105/15 105/21			
110/4 115/12 116/7			
120/13 123/18 123/24			
124/8 124/24 125/15			
126/5 126/13 126/16			
126/17 126/18 128/14			
128/16 128/18 128/25 129/6 129/11 130/8			
130/17 131/22 131/23			
132/8 132/19 132/22			
132/23 135/12 135/19			
135/20 135/22 136/21			
139/24 142/20 144/4			
145/3 145/4 145/10			
147/10 148/13 148/18			
148/20 150/15 151/15			
151/17 151/25 153/3			
153/6 153/10 154/20			
154/24 156/14 157/12			
157/13 157/19 158/9			
160/5 161/11 163/8			
164/2 164/20 166/1			
166/2 167/4 167/5 167/14 167/20 167/21			
170/13 171/13 171/17	l .		
171/17 171/21 172/24	l .		
174/24 176/1 178/11			
181/18 184/11 185/13			
187/1 187/25 188/1			
188/18 188/20 189/1			
189/5 189/7 190/6			
192/6 192/9 193/3			
193/4 198/7 198/16			
206/1 206/15 211/24			
212/1 212/3 213/19			
214/22 215/7 215/8			
217/17 218/22 219/19			
yours [2] 8/22 51/13			
yourself [2] 157/15 171/12			
1/1/12			
			(95) vour zoon