

Project Initiation Document	
Project name	Social care surge in Wales during a flu pandemic
Project Manager	David Goulding, Health Emergency Planning Adviser
Senior Responsible Officer	NR rep. on Workstream 2)
Policy Leads	NR
Clinical Adviser	Dr Marion Lyons
Date	02/07/2018

Aim

The aim of UK Workstream 2 is to increase our understanding of and confidence in the ability of social care to respond to a reasonably worst case scenario pandemic.

The Wales Social Care surge project will consider the information and analysis coming out of DH/NHSE in respect of better preparing for a surge in this sector and where appropriate ensure similar arrangements are made in Wales to reflect our structures and also ensure appropriate easements in legislation and regulation can be made available to support the sector in Wales during a pandemic

The expectation is that our current guidance documents relating to our pandemic preparedness will be amended and added to reflect any changed requirements in surge planning. If necessary, clauses will be developed in respect of the proposed pandemic flu Bill and potential changes in regulatory processes to support the social care sector identified.

Measures will also be taken to raise awareness of surge planning requirements and any amended guidance with local authorities, the NHS and partner agencies. This will be followed by measures to monitor preparedness going forward.

Governance

The SRO /Policy Leads will report progress to the Welsh Government-led Wales Pandemic Influenza Preparedness Group.

The Chair of that Group reports to the Chief Executive of Wales NHS, Wales Chief Medical Officer and Cabinet Secretary for Health & Social Services and the Minister for Children, Older People and Social Care.

Outcomes

The outcome is to review and strengthen arrangements for planning for and managing a surge in social care during a reasonably worst case influenza pandemic through:

1. Considering 'NHS England Pandemic Influenza briefing paper: Adult social care and community care' – **from 27 June 2018**

2. Ensure any necessary clauses to support a surge in social care are covered in the draft UK Pandemic Flu Bill – **October 2018**
3. Identify areas to be addressed arising from the NHS England briefing paper and further Workstream 2 information –**December 2018**
4. Raise awareness of emerging outcomes of the UK Review, including work on social care surge planning with Local Resilience Forums (LRFs) – **December 2018**
5. Contribute to an All-Wales Pandemic Flu Workshop with the LRFs to raise awareness of likely social care surge and promote planning requirements and examine issues **December 2018**
6. Ensure that continuing healthcare and social care arrangements are included appropriately in Guidance for Wales based on English Guidance and areas identified [in 3 above] – **January 2019**
7. Cross-check between planning for health and social care surge to ensure integration and coordination, including discharging patients from hospitals – **ongoing**
8. Work with NHS England / Workstream 2 to establish arrangements for engaging with relevant professional bodies - **Ongoing**
9. Identify relevant multi-agency actions and develop suitable clauses/amendments to be included in a revision of the CCS LRF Pandemic Flu Guidance to Local Responders – **April 2019**
10. Include in revised *Wales Health and Social Care Influenza Pandemic Preparedness & Response Guidance* and provide clauses for inclusion in the revision of the LRF Guidance - **April 2019**
11. Develop and support appropriate messages to ensure changes to address a surge in social care are included in health and social care communications planning-**ongoing**

Stakeholders and dependencies

The following are crucial to the outcomes of the PID:

- Welsh Government Health and Social Care Policy Leads
- Wales Pandemic Influenza Preparedness Group
- LRF Pandemic Flu Group Chairs
- Wales NHS Emergency Planning Leads
- Chief Medical Officer/ NHS Wales clinical leads
- Local Authority Directors of Social Services
- Wales NHS CEO

- WG Director of Social Services
- Regulators and professional bodies
- NHS England / Department for Health
- Possibly providers of social care services – eg resi/nursing/dom care

Resources

Individual	Organisation	
David Goulding	Welsh Government	
NR	Welsh Government	
NR	Welsh Government	
Dr Marion Lyons	Welsh Government	
NR	Welsh Government	
NR	Welsh Government	

General Risks

Risk	Owner(s)	Mitigation
A pandemic occurs before addition surge measures and guidance agreed	WG working with NHS England/DH	Early engagement with Wales NHS and Local Authorities to ensure knowledge and understanding is shared
Lack of policy engagement in Work streams	WG Health Policy and Social Care Leads	HEPA/ Wales Group monitoring progress
Professional bodies Colleges do not support the proposals	WG working with NHS England/DH	UK Review Group to oversee engagement
Regulators cannot accept immunity or agree legislative changes to accommodate triage by resource	WG working with NHS England/DH	Ministerial agreement through revised UK Strategy
Services in Wales do not support / accept the proposals	Wales NHS CEO/CMO Director of Social Services and Integration	Early engagement with Wales NHS and Local Authorities and providers?
Proposals release early and public concerns raised	WG Health and Local Government Comms Leads	UK drafted reactive lines and proactive communication

Version update on 05 July 2018

Outcome	Milestones	Lead Officer	Risks	Mitigation	Status
Service facing documentation	Develop a PID social care surge	NR David Goulding	PID will not be developed to meet UK deadlines and Wales's interests will be ignored	Identify SRO and policy leads to take forward work	Established mid June 2018 David Goulding/ Alistair Davey
	Liaise with DH and NHSE on the emerging modelling data on the impact on social care	NR engaging with DH/NHSE Analysis work from Workstream 2	Modelling data not shared or in a form not suitable for Wales interpretation	Ongoing dialogue and scheduling Engage with PHW	December 2018
	Establish links with ADSS on planning assumptions and a surge in the sector	NR engaging with DH/NHSE Liaise with WG Directors of SS/ ADSS	Lack of engagement or involvement	Closer working with NHSE policy lead	December 2018
	Identify legislative and regulation options to support surge planning in social care	NR NR	Legal challenge (judicial review) may result if processes are not followed when pandemic is declared.	Legal consideration for Social Services and Well-being (Wales) Act 2014 and continuing health care funding.	Awaiting WG Legal Services response to policy analysis. inter-Govt. policy discussion planned.
	Work with Directors of Social Services to develop practical options for responding to a surge in social care and take account of NHSE proposals	NR	Lack of resources Lack of options	Regular engagement with stakeholders	Ongoing
	Consider current LRF	David Goulding, WG	Multi-agency support to	Workshop will explore	December 2018

Version update on 05 July 2018

Outcome	Milestones	Lead Officer	Risks	Mitigation	Status
	pandemic flu guidance and LRF plans That cover social care surge arrangements	NR	the sector not fully appreciated	issues and requirements, including integration between NHS and social care	
	Review current Health and Social Care pandemic flu guidance in relation to outcomes of Workstream 2	NR	Current guidance will be out of date and we will be unprepared for surge when a pandemic occurs	Stakeholders from local government and NHS will have input into any new guidance	Ongoing. Starting June 2018 following PID
	Develop action lists for Social Services / Social Care - Incorporate into the existing pandemic flu guidance	NR David Goulding	Current action lists will be out of date and NHS Wales will be unprepared for surge when it occurs	Stakeholders will have input into the new action lists through the workshop and engagement with them	Starting June 2018 following PID
	Cross reference between health and social care guidance developed to ensure appropriate – March 2019	NR David Goulding WG	Lack of joint working between policy leads	Policy leads members of the Wales pandemic Flu Group Ensuring joint meeting to address surge in both areas cross referencing and	Starting June 2018 following PID development
	Identify key actions for inclusion in CCS LRF Pandemic Flu Guidance for Local Responders –March 2019	David Goulding, WG	LRFs will be excluded and partnership working will fail during a pandemic	Partner organisations will be briefed and ready to respond jointly to a pandemic	Starting June 2018 following PID development

Version update on 05 July 2018

Outcome	Milestones	Lead Officer	Risks	Mitigation	Status
	Issue new Welsh Guidance – April 2019	NR David Goulding, WG	Without new guidance NHS Wales will be under prepared to face a surge or extreme surge pandemic	New guidance will support NHS Wales to better develop contingency plans and joint working with LRFs	Starting June 2018 following PID development
Supporting communications	Continue to feed relevant legislative and regulatory aspects on surge into the Pandemic Influenza Bill workstream	NR David Goulding	Items identified that cannot be addressed in the timescales available	Identify alternative solutions	In progress – ongoing
	Review Pandemic Flu Communications Plans to ensure surge is appropriately covered	WG Health comms colleagues and DH lead David Goulding	Lack of engagement by communications leads Inconsistency in comms messages	UK Review Structure	August 2018 onwards
	Ensure WG Senior Officials and Ministers are briefed on planning for an extreme surge	David Goulding, WG NR	The revised UK Pandemic Framework to be agreed by Ministers is delayed	A revision is in the UK work programme	March 2019