

NOTICE OF DETERMINATION CORE PARTICIPANT APPLICATION MODULE 3 - PUBLIC HEALTH SCOTLAND

Introduction

- In my Opening Statement on 21 July 2022, I explained that Modules would be announced and opened in sequence, with those wishing to take a formal role in the Inquiry invited to apply to become Core Participants for each Module. On 8 November 2022, the Inquiry opened Module 3 and invited anyone who wished to be considered as a Core Participant to that Module to submit an application in writing to the Solicitor to the Inquiry by 5 December 2022.
- 2. The Inquiry has published the <u>Provisional Outline of Scope</u> for Module 3, which states that this Module will consider the impact of the Covid-19 pandemic on healthcare systems in England, Wales, Scotland and Northern Ireland. Further Modules will be announced and opened in due course, to address other aspects of the Inquiry's Terms of Reference.
- 3. On 29 November 2022 the Inquiry received an application from Public Health Scotland ("the Applicant") for Core Participant status in Module 3. This Notice sets out my determination of the application.

Application

- 4. Applications for Core Participant status are considered in accordance with Rule 5 of the Inquiry Rules 2006, which provides:
 - 5.—(1) The chairman may designate a person as a core participant at any time during the course of the inquiry, provided that person consents to being so designated.
 - (2) In deciding whether to designate a person as a core participant, the chairman must in particular consider whether—

- (a) the person played, or may have played, a direct and significant role in relation to the matters to which the inquiry relates;
- (b) the person has a significant interest in an important aspect of the matters to which the inquiry relates; or
- (c) the person may be subject to explicit or significant criticism during the inquiry proceedings or in the report, or in any interim report.
- (3) A person ceases to be a core participant on—
 - (a) the date specified by the chairman in writing; or
 - (b) the end of the inquiry.
- 5. In accordance with the approach set out in my Opening Statement and the Inquiry's <u>Core Participant Protocol</u>, I considered whether the application fulfils the requirements set out in Rule 5(2) in relation to the issues set out in the Provisional Outline of Scope for Module 3.

Summary of Application

6. The application is put on the basis that the Applicant is a statutory body responsible for public health in Scotland and that since April 2020 it has been Scotland's lead national agency for improving and protecting the health and wellbeing of all of Scotland's people. In terms of the direct and significant role it played (Rule 5(2)(a)), the Applicant observes that during the pandemic it was heavily involved in data collection and reporting, contact tracing, genome sequencing, vaccines, research, national guidance and enquiries and that it is likely to have relevant evidence for a number areas within Module 3. As to Rule 5(2)(b), the Applicant relies upon its role in public health in Scotland generally (particularly in relation to health protection, health improvement and health inequality), and its substantial role in the Covid-19 pandemic, to demonstrate a significant interest in Module 3. As to Rule 5(2)(c) the Applicant states that it is too early in the process to comment on whether it will be criticised.

Decision for the Applicant

7. I have carefully considered this application and having done so, I agree to designate the Applicant as a Core Participant in Module 3.

- 8. Module 3 will consider the impact of the Covid-19 pandemic on healthcare systems in England, Wales, Scotland and Northern Ireland. This will include consideration of the healthcare consequences of how the governments and the public responded to the pandemic. It will examine the capacity of healthcare systems to respond to a pandemic and how this evolved during the Covid-19 pandemic. It will consider the primary, secondary and tertiary healthcare sectors and services and people's experience of healthcare during the pandemic, including through illustrative accounts. It will also examine healthcare-related inequalities (such as in relation to death rates, PPE and oximeters), with further detailed consideration in a separate designated Module.
- 9. I consider that the Applicant played a direct and significant role in the Scottish healthcare systems' response to the pandemic, satisfying Rule 5(2)(a) of the Inquiry Rules 2006. I am also satisfied that it has a significant interest in the matters to which Module 3 relates and thus also satisfies Rule 5(2)(b).

Legal Representation

10. Applications for designation as the Recognised Legal Representative of a Core Participant are governed by Rules 6 and 7 of the Inquiry Rules 2006, which provide:

6.—(1) Where—

- (a) a core participant, other than a core participant referred to in rule 7; or
- (b) any other person required or permitted to give evidence or produce documents during the course of the inquiry,

has appointed a qualified lawyer to act on that person's behalf, the chairman must designate that lawyer as that person's recognised legal representative in respect of the inquiry proceedings.

- 7.—(1) This rule applies where there are two or more core participants, each of whom seeks to be legally represented, and the chairman considers that—
 - (a) their interests in the outcome of the inquiry are similar;
 - (b) the facts they are likely to rely on in the course of the inquiry are similar; and
 - (c) it is fair and proper for them to be jointly represented.
- (2) The chairman must direct that those core participants shall be represented by a single recognised legal representative, and the chairman may designate a qualified lawyer for that purpose.

- (3) Subject to paragraph (4), any designation must be agreed by the core participants in question.
- (4) If no agreement on a designation is forthcoming within a reasonable period, the chairman may designate an appropriate lawyer who, in his opinion, has sufficient knowledge and experience to act in this capacity.
- 11. I am satisfied that the Applicant has appointed Stefano Rinaldi of NHS Scotland Central Legal Office as its qualified lawyer in relation to this Module. I therefore designate Stefano Rinaldi as the Applicant's recognised legal representative in accordance with Rule 6(1).
- 12. Directions will be given in relation to applications for an award under section 40(1)(b) of the Inquiries Act 2005 of expenses to be incurred in respect of legal representation, at the forthcoming preliminary hearing. I will determine any such applications in accordance with the provisions of section 40 of the Inquiries Act 2005, the Inquiry Rules 2006, the Prime Minister's determination under section 40(4) and the Inquiry's Costs Protocol.

Rt Hon Baroness Heather Hallett DBE
Chair of the UK Covid-19 Inquiry
16 January 2023